ESTTA Tracking number:

ESTTA489130 08/15/2012

Filing date:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

#### **Petition for Cancellation**

Notice is hereby given that the following party requests to cancel indicated registration.

#### **Petitioner Information**

| Name    | Baxano, Inc.  |  |  |  |
|---------|---|--|--|--|
| Entity  | Corporation Citizenship Delaware                              |  |  |  |
| Address | 655 River Oaks Parkway<br>San Jose, CA 95134<br>UNITED STATES |  |  |  |

| Attorney    | Susan L. Heller                      |  |
|-------------|--------------------------------------|--|
| information | Greenberg Traurig, LLP               |  |
|             | 1840 Century Park East, Suite 1900   |  |
|             | Los Angeles, CA 90067                |  |
|             | UNITED STATES                        |  |
|             | latm2@gtlaw.com Phone:(310) 586-7700 |  |

#### Registration Subject to Cancellation

| F | Registration No | 4057096   | Registration date | 11/15/2011 |
|---|-----------------|---|-------------------|------------|
| F | Registrant      | Extremity Medical, LLC<br>Suite 410 300 Interpace Park<br>Parsippany, NJ 07054<br>UNITED STATES | way               |            |

#### Goods/Services Subject to Cancellation

Class 010. First Use: 2011/05/00 First Use In Commerce: 2011/05/00

All goods and services in the class are cancelled, namely: Medical devices, namely, intramedullary fusion devices

#### **Grounds for Cancellation**

| False suggestion of a connection     | Trademark Act section 2(a)  |  |
|--------------------------------------|-----------------------------|--|
| Priority and likelihood of confusion | Trademark Act section 2(d)  |  |
| Dilution                             | Trademark Act section 43(c) |  |

#### Marks Cited by Petitioner as Basis for Cancellation

| U.S. Registration No. | 3894968    | Application Date         | 09/01/2009 |
|-----------------------|------------|--------------------------|------------|
| Registration Date     | 12/21/2010 | Foreign Priority<br>Date | NONE       |
| Word Mark             | IOFLEX     | •                        |            |

| Design Mark            | IOFLEX   |                  |            |
|------------------------|--|------------------|------------|
| Description of<br>Mark | NONE   |                  |            |
| Goods/Services         | Class 010. First use: First Use: 2009/10/07 First Use In Commerce: 2009/10/07  |                  |            |
|                        | Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |                  |            |
| IIS Registration       | 3887573  | Application Date | 09/01/2009 |

| U.S. Registration No.  | 3887573  | Application Date         | 09/01/2009                 |
|------------------------|--|--------------------------|----------------------------|
| Registration Date      | 12/07/2010   | Foreign Priority<br>Date | NONE                       |
| Word Mark              | IOFLEX   |                          | •                          |
| Design Mark            | IOI  | FLE                      | EX                         |
| Description of<br>Mark | NONE   |                          |                            |
| Goods/Services         | Class 044. First use: First Us<br>Medical services | e: 2009/10/07 First U    | se In Commerce: 2009/10/07 |

| U.S. Registration No. | 3894969    | Application Date         | 09/01/2009 |
|-----------------------|------------|--------------------------|------------|
| Registration Date     | 12/21/2010 | Foreign Priority<br>Date | NONE       |
| Word Mark             | IO-FLEX    |                          |            |

| Design Mark            | iO-Flex  |
|------------------------|--|
| Description of<br>Mark | NONE   |
| Goods/Services         | Class 010. First use: First Use: 2009/10/07 First Use In Commerce: 2009/10/07  |
|                        | Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |

| U.S. Registration No.  | 3887574                                   | Application Date              | 09/01/2009                  |
|------------------------|---|-------------------------------|-----------------------------|
| Registration Date      | 12/07/2010                                | Foreign Priority Date         | NONE                        |
| Word Mark              | IO-FLEX                                   |                               |                             |
| Design Mark            | i(  | )-Fle                         | ex                          |
| Description of<br>Mark | NONE                                      |                               |                             |
| Goods/Services         | Class 044. First use:<br>Medical services | First Use: 2009/10/07 First U | lse In Commerce: 2009/10/07 |

| U.S. Registration No. | 3894970    | Application Date         | 09/01/2009 |
|-----------------------|------------|--------------------------|------------|
| Registration Date     | 12/21/2010 | Foreign Priority<br>Date | NONE       |
| Word Mark             | IO · FLEX  |                          |            |

| Design Mark            | iO·Flex  |  |
|------------------------|--|--|
| Description of<br>Mark | The mark consists of the term "IO", with a lower-case "I" and an upper-case "O", followed by a hyphen, followed by the word "Flex". The letter "F" in "Flex" is capitalized.   |  |
| Goods/Services         | Class 010. First use: First Use: 2009/10/07 First Use In Commerce: 2009/10/07 Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |  |

| U.S. Registration No.  | 3894971  | Application Date         | 09/01/2009 |
|------------------------|--|--------------------------|------------|
| Registration Date      | 12/21/2010   | Foreign Priority<br>Date | NONE       |
| Word Mark              | IO · FLEX  |                          |            |
| Design Mark            | i ()   |                          | ex         |
| Description of<br>Mark | The mark consists of the term "IO", with a lower-case "I" and an upper-case "O", followed by a hyphen, followed by the word "FLEX". The letter "F" in "FLEX" is capitalized. |                          |            |
| Goods/Services         | Class 044. First use: First Use: 2009/10/07 First Use In Commerce: 2009/10/07 Medical services   |                          |            |

| Attachments | 77817765#TMSN.jpeg ( 1 page )( bytes )    |
|-------------|---|
|             | 77817782#TMSN.jpeg ( 1 page )( bytes )    |
|             | 77817791#TMSN.jpeg ( 1 page )( bytes )    |
|             | 77817794#TMSN.jpeg ( 1 page )( bytes )    |
|             | 77817829#TMSN.jpeg ( 1 page )( bytes )    |
|             | 77817833#TMSN.jpeg ( 1 page )( bytes )    |
|             | canc_096.pdf ( 33 pages )(2243891 bytes ) |

#### **Certificate of Service**

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

| Signature | /slh/ |
|-----------|-------|

| Name | Susan L. Heller |
|------|-----------------|
| Date | 08/15/2012      |

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the matter of Registration No. 4,057,096 For the mark:

| 0      | F      | X       |
|--------|--------|---------|
| ra Oss | eous F | ixation |

Registered November 15, 2011

| BAXANO, INC., a Delaware corporation,                           |             |                  |
|---|-------------|------------------|
| Petitioner,   | )<br>)<br>) |                  |
| vs.   | )           |                  |
| EXTREMITY MEDICAL, LLC, a New Jersey limited liability company, | )           | Cancellation No. |
| Respondent.   | _ )         |                  |

#### **PETITION FOR CANCELLATION**

Petitioner Baxano, Inc. ("Petitioner"), a Delaware corporation, having a principal place of business at 655 River Oaks Parkway, San Jose, California 95134, believes that it has been and will continue to be damaged by the continued registration of the mark that is the subject of Registration No. 4,057,096 and hereby petitions for cancellation of the same.

The grounds for cancellation are as follows:

- 1. Petitioner is a leading provider of surgical and medical devices, machines, instruments, apparatus and systems in the United States.
- 2. Since at least as early as October 7, 2009, Petitioner has continuously and exclusively used the marks IOFLEX, IO-FLEX and IO · FLEX (collectively referred to as the "IOFLEX Marks" or "Petitioner's Marks") in connection with surgical and medical devices and instruments, surgical and medical systems, and medical services.
- 3. Petitioner owns all right, title and interest in and to the IOFLEX Marks and is the owner of several U.S. federal trademark registrations for the IOFLEX Marks, which filing and first use dates

date back prior to any date on which Respondent can rely. Petitioner owns the following U.S. federal trademark registrations for the IOFLEX Marks:

| MARK    | DATE OF<br>FIRST USE | REG. NO.<br>REG. DATE    | CLASS: GOODS/SERVICES  |
|---------|----------------------|--------------------------|--|
| IOFLEX  | 07-Oct-2009          | 3,894,968<br>21-Dec-2010 | 10: Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |
| IOFLEX  | 07-Oct-2009          | 3,887,573<br>07-Dec-2010 | 44: Medical services   |
| iO-Flex | 07-Oct-2009          | 3,894,969<br>21-Dec-2010 | 10: Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |
| iO-Flex | 07-Oct-2009          | 3,887,574<br>07-Dec-2010 | 44: Medical services   |
| iO·Flex | 07-Oct-2009          | 3,894,970<br>21-Dec-2010 | 10: Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments used in sequence for accessing, localizing and modifying tissue |
| iO·Flex | 07-Oct-2009          | 3,894,971<br>21-Dec-2010 | 44: Medical services   |

These registrations are valid, subsisting, unrevoked and have not been cancelled, and constitute *prima* facie evidence of the validity of the IOFLEX Marks and of Petitioner's exclusive right to use the IOFLEX Marks on the goods and services identified in the registrations. A copy of the registration certificates and

corresponding TARR status reports are attached hereto as <u>Exhibit A</u> and are made part of the record in these proceedings.

- 4. Beginning at least as early as October 7, 2009, continuously through to the present and without abandonment, Petitioner has extensively advertised, promoted, marketed, offered, and sold its goods and services in commerce under the IOFLEX Marks, establishing valuable common law rights in the marks in addition to the rights afforded by its federal trademark registrations.
- 5. As a result, Petitioner's IOFLEX Marks are closely associated with Petitioner's long-standing reputation for quality and excellence, and the purchasing public has come to know, rely upon, and recognize the goods and services of Petitioner by such marks. Based on this extensive and prominent use, Petitioner's well-known and distinctive IOFLEX Marks have acquired enormous value and have achieved widespread recognition and fame among the relevant public as a symbol of Petitioner's goodwill and reputation as a leading provider of surgical and medical devices.
- 6. Upon information and belief, Respondent Extremity Medical, LLC ("Respondent") is a New Jersey limited liability company with a place of business at 300 Interpace Parkway, Suite 410, Parsippany, New Jersey 07054.
- 7. The mark that is the subject of this Petition for Cancellation is covered by Respondent's Registration No. 4,057,096 for the mark IO FIX INTRA OSSEOUS FIXATION and Design as depicted immediately below:



("Respondent's Mark" or the "IO FIX Mark").

8. Registration No. 4,057,096 for the IO FIX Mark covers "medical devices, namely, intramedullary fusion devices" in Class 10 (the "Respondent's Registration"), and was filed on an intent-to-use basis on April 13, 2010, registered November 15, 2011, with an alleged date of first use of May 2011.

- 9. Petitioner's first use of its IOFLEX Marks predate all of Respondent's filing, first use, and registration dates for the IO FIX Mark.
- 10. Respondent's IO FIX Mark is highly and confusingly similar to Petitioner's IOFLEX Marks. In particular, Respondent's IO FIX Mark bears a striking resemblance to Petitioner's IO · FLEX mark. For illustration purposes only, below is a side-by-side comparison of the two marks: 1

| Petitioner's Mark | Respondent's Mark |
|-------------------|-------------------|
| iO·Flex           | IO Fix            |

As shown above, the two marks share the prefix "IO" and the wording "F\_X" (both one syllable - FLEX vs. FIX). In addition, the marks both contain a stylized "X" at the end of the mark with curved line(s). Although Respondent's Mark also contains the descriptive wording "INTRA OSSEOUS FIXATION," this non-distinctive wording, which is depicted in much smaller lettering at the bottom of the mark, is insufficient to overcome the remarkably confusing similarities.<sup>2</sup> Visually and aurally, the two marks are strikingly and confusingly similar.

11. Accordingly, Respondent's IO FIX Mark directly evokes the distinctive commercial impression associated with Petitioner's IOFLEX Marks. Given the fame and reputation of Petitioner's Marks in the surgical and medical devices industry, Respondent's use of the confusingly similar IO FIX Mark is likely to cause consumer confusion in the marketplace as to Respondent's association with Petitioner.

<sup>1</sup> Petitioner notes that the standard for a likelihood of confusion is not a side-by-side comparison as ordinary consumers retain only a general impression of a mark. Therefore, courts tend to focus on the lesser standard of "general recollection" produced by the marks, and not a side-by-side comparison. 2 The term "intraosseous fixation" is defined as "the reduction and stabilization of fractured bony parts by direct fixation to one another with surgical wires, screws, pins and/or plates." See http://medical-dictionary.thefreedictionary.com/intraosseous+fixation.

- 12. Moreover, the goods identified in Respondent's Registration are identical and/or highly related to the goods and services that are identified in Petitioner's federal trademark registrations and offered by Petitioner in connection with Petitioner's IOFLEX Marks. Significantly, both parties provide surgical and medical devices.
- 13. Upon information and belief, the goods identified in Respondent's Registration would be offered to the same, substantially similar, and/or overlapping classes of consumers as those to which Petitioner offers.
- 14. Upon information and belief, the goods identified in Respondent's Registration would be offered through the same, substantially similar, and/or overlapping channels of trade as those through which Petitioner offers its goods and services.
- 15. Respondent's Registration was filed on an intent-to-use basis on April 13, 2010, long after Petitioner's first use in commerce of its famous and distinctive IOFLEX Marks. Petitioner thus has priority of use over Respondent.
- 16. Moreover, based on Petitioner's extensive use, promotion, and advertising of Petitioner's Marks, Petitioner's Marks are and have become strong, distinctive, and famous in the United States within the meaning of the Lanham Act.
- 17. Due to the identical nature of the parties' marks and underlying goods, it is highly likely that the public will view Respondent's IO FIX Mark as a mere extension of the famous Petitioner's IO FLEX Marks. As such, the public will erroneously assume or believe that Respondent's Mark and/or goods offered under Respondent's Mark emanate from the same source or origin as Petitioner, or are in some other way associated, endorsed, licensed, authorized, sponsored by, or, connected with Petitioner, all to Petitioner's irreparable damage in violation of Section 2(d) of the Trademark Act of 1946, 15 U.S.C. § 1052(d).
- 18. Respondent's Registration and use of Respondent's Mark falsely suggests a connection with Petitioner and/or Petitioner's goods and/or services, thereby causing loss, damage and injury to Petitioner, in violation of Section 2(a) of the Trademark Act of 1946, 15 U.S.C. § 1052(a).

Marks, the fame of the IOFLEX Marks, and the exclusive association between the IOFLEX Marks and

By reason of the similarity between Respondent's IO FIX Mark and Petitioner's IOFLEX

Petitioner, continued registration of Respondent's IO FIX Mark will dilute Petitioner's IOFLEX Marks

by impairing the distinctiveness of Petitioner's IOFLEX Marks to identify exclusively goods from

Petitioner. Accordingly, continued registration of the IO FIX Mark violates the Federal Trademark

Dilution Act, 15 U.S.C. § 1125(c).

19.

20. For the reasons set forth herein, Petitioner believes and asserts that the continued

registration of Respondent's IO FIX Mark for the goods identified therein is inconsistent with Petitioner's

superior rights in Petitioner's IOFLEX Marks, and has caused and will continue to cause damage to

Petitioner and Petitioner's rights in the same.

WHEREFORE, Petitioner prays that U.S. Registration No. 4,057,096 be cancelled and that this

Petition for Cancellation be sustained in favor of Petitioner.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: August 15, 2012

By:

Susan L. Heller Candice E. Kim

1840 Century Park East, Suite 1900

Los Angeles, California 90067

Tel: (310) 586-6568 Fax: (310) 586-0568 hellers@gtlaw.com kimce@gtlaw.com

Attorneys for Petitioner

#### **CERTIFICATE OF SERVICE**

I hereby certify that I served a copy of the foregoing **PETITION FOR CANCELLATION** upon Respondent by depositing one copy thereof in the U.S. Mail, First-Class Certified Mail, postage prepaid, on August 15, 2012, addressed as follows:

Michael J. Zinna, Esq. Ward & Zinna, LLC 382 Springfield Ave., Suite 300 Summit, NJ 07901-2707

and to

Extremity Medical, LLC 300 Interpace Parkway, Suite 410 Parsippany, New Jersey 07054

# Exhibit A

# United States of America United States Patent and Trademark Office

### **IOFLEX**

Reg. No. 3,894,968

BAXANO, INC. (DELAWARE CORPORATION)

Registered Dec. 21, 2010 SAN JOSE, CA 95134

Int. Cl.: 10

TRADEMARK

FOR: SURGICAL AND MEDICAL DEVICES AND INSTRUMENTS, NAMELY, SURGICAL INSTRUMENTS FOR ACCESSING, LOCALIZING AND MODIFYING TISSUE; SURGICAL AND MEDICAL SYSTEMS, COMPRISED OF A SET OF MINIMALLY INVASIVE SURGICAL INSTRUMENTS USED IN SEQUENCE FOR ACCESSING, LOCALIZING AND MODIFYING TISSUE, IN CLASS 10 (U.S. CLS. 26, 39 AND 44).

PRINCIPAL REGISTER

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

SN 77-817,765, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

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This page was generated by the TARR system on 2012-06-20 18:08:02 ET

Serial Number: 77817765 Assignment Information Trademark Document Retrieval

**Registration Number: 3894968** 

Mark

# **IOFLEX**

(words only): IOFLEX

Standard Character claim: Yes

Current Status: Registered. The registration date is used to determine when post-registration maintenance

documents are due.

Date of Status: 2010-12-21

Filing Date: 2009-09-01

Transformed into a National Application: No

Registration Date: 2010-12-21

Register: Principal

Law Office Assigned: LAW OFFICE 112

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at Trademark Assistance Center @uspto.gov

Current Location: 650 - Publication And Issue Section

**Date In Location: 2010-11-18** 

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Baxano, Inc.

#### Address:

Baxano, Inc.

655 River Oaks Parkway

San Jose, CA 95134

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Delaware

#### **GOODS AND/OR SERVICES**

International Class: 010 Class Status: Active

Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments

used in sequence for accessing, localizing and modifying tissue

Basis: 1(a)

First Use Date: 2009-10-07

First Use in Commerce Date: 2009-10-07

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2012-05-01 - TEAS Change Of Correspondence Received

2010-12-21 - Registered - Principal Register

2010-11-19 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-11-18 - Law Office Registration Review Completed

2010-11-18 - Assigned To LIE

2010-11-02 - Allowed for Registration - Principal Register (SOU accepted)

2010-11-02 - Statement Of Use Processing Complete

2010-10-18 - Use Amendment Filed

2010-11-02 - Case Assigned To Intent To Use Paralegal

2010-10-18 - TEAS Statement of Use Received

2010-05-11 - NOA Mailed - SOU Required From Applicant

- 2010-04-19 Applicant/Correspondence Changes (Non-Responsive) Entered
- 2010-04-19 TEAS Change Of Owner Address Received
- 2010-03-16 Official Gazette Publication Confirmation E-Mailed
- 2010-03-16 Published for opposition
- 2010-02-05 Law Office Publication Review Completed
- 2010-02-05 Assigned To LIE
- 2010-01-13 Approved For Pub Principal Register
- 2010-01-13 Teas/Email Correspondence Entered
- 2010-01-12 Communication received from applicant
- 2010-01-12 TEAS Response to Office Action Received
- 2009-12-09 Notification Of Non-Final Action E-Mailed
- 2009-12-09 Non-final action e-mailed
- 2009-12-09 Non-Final Action Written
- 2009-12-04 Assigned To Examiner
- 2009-09-09 Notice Of Pseudo Mark Mailed
- 2009-09-08 New Application Office Supplied Data Entered In Tram
- 2009-09-04 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121 Phone Number: (310) 586-7700

Fax Number: (310) 586-7800

## United States of America United States Patent and Trademark Office United States Patent and Trademark Office

### **IOFLEX**

Reg. No. 3,887,573

BAXANO, INC. (DELAWARE CORPORATION)

Registered Dec. 7, 2010 SAN JOSE, CA 95134

655 RIVER OAKS PARKWAY

Int. Cl.: 44

FOR: MEDICAL SERVICES, IN CLASS 44 (U.S. CLS. 100 AND 101).

SERVICE MARK

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PAR-

TICULAR FONT, STYLE, SIZE, OR COLOR.

SN 77-817,782, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

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Serial Number: 77817782 Assignment Information Trademark Document Retrieval

**Registration Number:** 3887573

Mark

## **IOFLEX**

(words only): IOFLEX

Standard Character claim: Yes

Current Status: Registered. The registration date is used to determine when post-registration maintenance

documents are due.

**Date of Status: 2010-12-07** 

Filing Date: 2009-09-01

Transformed into a National Application: No

**Registration Date: 2010-12-07** 

**Register:** Principal

Law Office Assigned: LAW OFFICE 112

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov

Current Location: 650 - Publication And Issue Section

**Date In Location:** 2010-11-02

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Baxano, Inc.

#### Address:

Baxano, Inc.

655 River Oaks Parkway

San Jose, CA 95134

**United States** 

Legal Entity Type: Corporation

State or Country of Incorporation: Delaware

#### GOODS AND/OR SERVICES

International Class: 044 Class Status: Active Medical services Basis: 1(a)

First Use Date: 2009-10-07

First Use in Commerce Date: 2009-10-07

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### **PROSECUTION HISTORY**

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

- 2012-05-01 TEAS Change Of Correspondence Received
- 2010-12-07 Registered Principal Register
- 2010-11-03 Notice Of Acceptance Of Statement Of Use E-Mailed
- 2010-11-02 Law Office Registration Review Completed
- 2010-11-02 Allowed for Registration Principal Register (SOU accepted)
- 2010-11-02 Notice Of Approval Of Extension Request E-Mailed
- 2010-11-01 Statement Of Use Processing Complete
- 2010-10-18 Use Amendment Filed
- 2010-11-01 Extension 1 granted
- 2010-10-18 Extension 1 filed
- 2010-11-01 Case Assigned To Intent To Use Paralegal
- 2010-10-18 TEAS Extension Received

- 2010-10-18 TEAS Statement of Use Received
- 2010-04-27 NOA Mailed SOU Required From Applicant
- 2010-04-19 Applicant/Correspondence Changes (Non-Responsive) Entered
- 2010-04-19 TEAS Change Of Owner Address Received
- 2010-02-02 Official Gazette Publication Confirmation E-Mailed
- 2010-02-02 Published for opposition
- 2009-12-29 Law Office Publication Review Completed
- 2009-12-29 Assigned To LIE
- 2009-12-09 Approved For Pub Principal Register
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#### **Attorney of Record**

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121

Phone Number: (310) 586-7700 Fax Number: (310) 586-7800

## United States of America Mariton States Anton and Trahemark Office United States Patent and Trademark Office

### iO-Flex

Reg. No. 3,894,969

BAXANO, INC. (DELAWARE CORPORATION)

655 RIVER OAKS PARKWAY Registered Dec. 21, 2010 SAN JOSE, CA 95134

Int. Cl.: 10

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FOR: SURGICAL AND MEDICAL DEVICES AND INSTRUMENTS, NAMELY, SURGICAL INSTRUMENTS FOR ACCESSING, LOCALIZING AND MODIFYING TISSUE; SURGICAL AND MEDICAL SYSTEMS, COMPRISED OF A SET OF MINIMALLY INVASIVE SURGICAL INSTRUMENTS USED IN SEQUENCE FOR ACCESSING, LOCALIZING AND MODIFYING

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PRINCIPAL REGISTER

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

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TICULAR FONT, STYLE, SIZE, OR COLOR.

SN 77-817,791, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

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Serial Number: 77817791 Assignment Information Trademark Document Retrieval

**Registration Number: 3894969** 

Mark

# iO-Flex

(words only): IO-FLEX

Standard Character claim: Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

documents are due.

**Date of Status:** 2010-12-21

Filing Date: 2009-09-01

Transformed into a National Application: No

**Registration Date: 2010-12-21** 

Register: Principal

Law Office Assigned: LAW OFFICE 112

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Legal Entity Type: Corporation

State or Country of Incorporation: Delaware

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International Class: 010 Class Status: Active

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used in sequence for accessing, localizing and modifying tissue

Basis: 1(a)

First Use Date: 2009-10-07

First Use in Commerce Date: 2009-10-07

#### ADDITIONAL INFORMATION

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#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

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2010-11-19 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-11-18 - Law Office Registration Review Completed

2010-11-18 - Assigned To LIE

2010-11-02 - Allowed for Registration - Principal Register (SOU accepted)

2010-11-02 - Statement Of Use Processing Complete

2010-10-18 - Use Amendment Filed

2010-11-02 - Case Assigned To Intent To Use Paralegal

2010-10-18 - TEAS Statement of Use Received

2010-05-11 - NOA Mailed - SOU Required From Applicant

- 2010-04-19 Applicant/Correspondence Changes (Non-Responsive) Entered
- 2010-04-19 TEAS Change Of Owner Address Received
- 2010-03-16 Official Gazette Publication Confirmation E-Mailed
- 2010-03-16 Published for opposition
- 2010-02-05 Law Office Publication Review Completed
- 2010-02-05 Assigned To LIE
- 2010-01-13 Approved For Pub Principal Register
- 2010-01-13 Teas/Email Correspondence Entered
- 2010-01-12 Communication received from applicant
- 2010-01-12 TEAS Response to Office Action Received
- 2009-12-09 Notification Of Non-Final Action E-Mailed
- 2009-12-09 Non-final action e-mailed
- 2009-12-09 Non-Final Action Written
- 2009-12-04 Assigned To Examiner
- 2009-09-08 New Application Office Supplied Data Entered In Tram
- 2009-09-04 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### Attorney of Record

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121

Phone Number: (310) 586-7700 Fax Number: (310) 586-7800

# United States of America United States Patent and Trademark Office

### iO-Flex

Reg. No. 3,887,574

BAXANO, INC. (DELAWARE CORPORATION)

Registered Dec. 7, 2010 SAN JOSE, CA 95134

655 RIVER OAKS PARKWAY

Int. Cl.: 44

FOR: MEDICAL SERVICES, IN CLASS 44 (U.S. CLS. 100 AND 101).

SERVICE MARK

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

PRINCIPAL REGISTER

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT STATE OF COLOR

TICULAR FONT, STYLE, SIZE, OR COLOR.

SN 77-817,794, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2012-06-20 18:10:17 ET

Serial Number: 77817794 Assignment Information Trademark Document Retrieval

**Registration Number: 3887574** 

Mark



(words only): IO-FLEX

**Standard Character claim:** Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status: 2010-12-07** 

Filing Date: 2009-09-01

Transformed into a National Application: No

Registration Date: 2010-12-07

Register: Principal

Law Office Assigned: LAW OFFICE 112

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 650 - Publication And Issue Section

**Date In Location:** 2010-11-02

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Baxano, Inc.

#### Address:

Baxano, Inc.

655 River Oaks Parkway

San Jose, CA 95134

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Delaware

#### **GOODS AND/OR SERVICES**

International Class: 044 Class Status: Active Medical services

Basis: 1(a)

First Use Date: 2009-10-07

First Use in Commerce Date: 2009-10-07

#### ADDITIONAL INFORMATION

(NOT AVAILABLE)

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2012-05-01 - TEAS Change Of Correspondence Received

2010-12-07 - Registered - Principal Register

2010-11-03 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-11-02 - Law Office Registration Review Completed

2010-11-02 - Allowed for Registration - Principal Register (SOU accepted)

2010-11-02 - Notice Of Approval Of Extension Request E-Mailed

2010-11-01 - Statement Of Use Processing Complete

2010-10-18 - Use Amendment Filed

2010-11-01 - Extension 1 granted

2010-10-18 - Extension 1 filed

2010-11-01 - Case Assigned To Intent To Use Paralegal

2010-10-18 - TEAS Extension Received

- 2010-10-18 TEAS Statement of Use Received
- 2010-04-27 NOA Mailed SOU Required From Applicant
- 2010-04-19 Applicant/Correspondence Changes (Non-Responsive) Entered
- 2010-04-19 TEAS Change Of Owner Address Received
- 2010-02-02 Official Gazette Publication Confirmation E-Mailed
- 2010-02-02 Published for opposition
- 2009-12-29 Law Office Publication Review Completed
- 2009-12-29 Assigned To LIE
- 2009-12-09 Approved For Pub Principal Register
- 2009-12-04 Assigned To Examiner
- 2009-09-08 New Application Office Supplied Data Entered In Tram
- 2009-09-04 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121

Phone Number: (310) 586-7700 Fax Number: (310) 586-7800

# United States of America United States Patent and Trademark Office



Reg. No. 3,894,970

BAXANO, INC. (DELAWARE CORPORATION)

Registered Dec. 21, 2010 SAN JOSE, CA 95134

Int. Cl.: 10

TRADEMARK

FOR: SURGICAL AND MEDICAL DEVICES AND INSTRUMENTS, NAMELY, SURGICAL INSTRUMENTS FOR ACCESSING, LOCALIZING AND MODIFYING TISSUE; SURGICAL AND MEDICAL SYSTEMS COMPUSED OF A SET OF MINIMALLY INVASING SURGICAL

AND MEDICAL SYSTEMS, COMPRISED OF A SET OF MINIMALLY INVASIVE SURGICAL INSTRUMENTS USED IN SEQUENCE FOR ACCESSING, LOCALIZING AND MODIFYING

TISSUE, IN CLASS 10 (U.S. CLS. 26, 39 AND 44).

PRINCIPAL REGISTER

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

THE MARK CONSISTS OF THE TERM "IO", WITH A LOWER-CASE "I" AND AN UPPERCASE "O", FOLLOWED BY A HYPHEN, FOLLOWED BY THE WORD "FLEX". THE LETTER

"F" IN "FLEX" IS CAPITALIZED.

SN 77-817,829, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Vand J. L. Jipas

Director of the United States Patent and Trademark Office

Thank you for your request. Here are the latest results from the TARR web server.

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Serial Number: 77817829 <u>Assignment Information</u> <u>Trademark Document Retrieval</u>

**Registration Number: 3894970** 

Mark



(words only): IO · FLEX

Standard Character claim: No

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status: 2010-12-21** 

Filing Date: 2009-09-01

Transformed into a National Application: No

**Registration Date: 2010-12-21** 

Register: Principal

Law Office Assigned: LAW OFFICE 112

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at <u>TrademarkAssistanceCenter@uspto.gov</u>

Current Location: 650 - Publication And Issue Section

Date In Location: 2010-11-18

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

1. Baxano, Inc.

#### Address:

Baxano, Inc.

655 River Oaks Parkway

Latest Status Info Page 2 of 4

San Jose, CA 95134

United States

Legal Entity Type: Corporation

State or Country of Incorporation: Delaware

#### GOODS AND/OR SERVICES

International Class: 010 Class Status: Active

Surgical and medical devices and instruments, namely, surgical instruments for accessing, localizing and modifying tissue; Surgical and medical systems, comprised of a set of minimally invasive surgical instruments

used in sequence for accessing, localizing and modifying tissue

**Basis:** 1(a)

First Use Date: 2009-10-07

First Use in Commerce Date: 2009-10-07

#### ADDITIONAL INFORMATION

**Color(s)** Claimed: Color is not claimed as a feature of the mark.

**Description of Mark:** The mark consists of the term "IO", with a lower-case "I" and an upper-case "O", followed by a hyphen, followed by the word "Flex". The letter "F" in "Flex" is capitalized.

#### **Design Search Code(s):**

26.17.01 - Bands, straight; Bars, straight; Lines, straight; Straight line(s), band(s) or bar(s)

26.17.06 - Bands, diagonal; Bars, diagonal; Diagonal line(s), band(s) or bar(s); Lines, diagonal

26.17.09 - Bands, curved; Bars, curved; Curved line(s), band(s) or bar(s); Lines, curved

27.03.01 - Geometric figures forming letters, numerals or punctuation

#### MADRID PROTOCOL INFORMATION

(NOT AVAILABLE)

#### PROSECUTION HISTORY

NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2012-05-01 - TEAS Change Of Correspondence Received

2010-12-21 - Registered - Principal Register

2010-11-19 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-11-18 - Law Office Registration Review Completed

2010-11-18 - Assigned To LIE

2010-11-02 - Allowed for Registration - Principal Register (SOU accepted)

- 2010-11-02 Statement Of Use Processing Complete
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- 2009-12-04 Assigned To Examiner
- 2009-09-09 Notice Of Design Search Code Mailed
- 2009-09-08 New Application Office Supplied Data Entered In Tram
- 2009-09-04 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121 Phone Number: (310) 586-7700 Fax Number: (310) 586-7800

## Anited States of America United States Patent and Trademark Office



Reg. No. 3,894,971

BAXANO, INC. (DELAWARE CORPORATION)

655 RIVER OAKS PARKWAY

Registered Dec. 21, 2010 SAN JOSE, CA 95134

Int. Cl.: 44

FOR: MEDICAL SERVICES, IN CLASS 44 (U.S. CLS. 100 AND 101).

SERVICE MARK

FIRST USE 10-7-2009; IN COMMERCE 10-7-2009.

PRINCIPAL REGISTER

THE MARK CONSISTS OF THE TERM "IO", WITH A LOWER-CASE "I" AND AN UPPER-CASE "O", FOLLOWED BY A HYPHEN, FOLLOWED BY THE WORD "FLEX". THE LETTER

"F" IN "FLEX" IS CAPITALIZED.

SN 77-817,833, FILED 9-1-2009.

ELI HELLMAN, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

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This page was generated by the TARR system on 2012-06-20 18:11:41 ET

Serial Number: 77817833 Assignment Information Trademark Document Retrieval

**Registration Number: 3894971** 

Mark



(words only): IO · FLEX

Standard Character claim: No

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status: 2010-12-21** 

Filing Date: 2009-09-01

Transformed into a National Application: No

Registration Date: 2010-12-21

Register: Principal

Law Office Assigned: LAW OFFICE 112

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Current Location: 650 - Publication And Issue Section

Date In Location: 2010-11-18

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San Jose, CA 95134

United States

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State or Country of Incorporation: Delaware

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First Use Date: 2009-10-07

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(NOT AVAILABLE)

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- 2010-04-19 TEAS Change Of Owner Address Received
- 2010-02-02 Official Gazette Publication Confirmation E-Mailed
- 2010-02-02 Published for opposition
- 2009-12-31 Law Office Publication Review Completed
- 2009-12-31 Assigned To LIE
- 2009-12-11 Approved For Pub Principal Register
- 2009-12-11 Assigned To Examiner
- 2009-12-04 Assigned To Examiner
- 2009-09-09 Notice Of Design Search Code Mailed
- 2009-09-08 New Application Office Supplied Data Entered In Tram
- 2009-09-04 New Application Entered In Tram

#### ATTORNEY/CORRESPONDENT INFORMATION

#### **Attorney of Record**

Susan L. Heller

#### Correspondent

Susan L. Heller GREENBERG TRAURIG, LLP 1840 Century Park East, Suite 1900 Los Angeles CA 90067-2121 Phone Number: (310) 586-7700

Fax Number: (310) 586-7800