ESTTA Tracking number:

ESTTA1171472

Filing date:

11/10/2021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

Opposer Information

Name	Shift Technologies, Inc.
Granted to Date of previous extension	11/14/2021
Address	2525 16TH ST. SAN FRANCISCO, CA 94103 UNITED STATES

Attorney information	HONEAH SOHAIL MANGIONE ELLENOFF GROSSMAN, AND SCHOLE LLP 1345 AVENUE OF THE AMERICAS 11TH FLOOR NEW YORK CITY, NY 10105 UNITED STATES Primary Email: hmangione@egsllp.com Secondary Email(s): hmangione@egsllp.com, asingh@egsllp.com, trademark@egsllp.com 6468957232
Docket Number	16727.010

Applicant Information

Application No.	87943627	Publication date	05/18/2021
Opposition Filing Date	11/10/2021	Opposition Peri- od Ends	11/14/2021
International Registration No.	NONE	International Registration Date	NONE
Applicant	Shift Commerce Limited OLD LINEN COURT 83-85 SHAMBLES STREET, BARNSLEY SOUTH YORKSHIRE, S702SB UNITED KINGDOM		

Goods/Services Affected by Opposition

Class 009. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Software for internet platforms for electronic commerce; database software for accessing and uploading data and content; software for database management; software for accessing, searching and updating of databases; data processing software; computer software being an ecommerce platform that allows users to perform electronic business transactions via a global computer network; software for the analysis and processing of real-time transactional data; software for the creation, management, upkeep and access of databases; software for marketing, tracking sales performance, managing sales and marketing processes; soft-

ware for providing commercial, consumer and marketing information; marketing software; software for use in data analysis, compilation, computation and processing of marketing analytics, content management, SEO and CRM; none of the aforesaid for computer software being for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid software being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 035. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Advertising services; marketing services; advertising and promotional services; marketing and promotional services relating to third party search engines and portals; marketing and promotional services to facilitate the presentation of goods and services on e-commerce platforms; marketing and promotional services using Application Program Interfaces (API) permitting one marketing strategy to interact with third party search engines andportals; data collection services; computer data entry services; Business data analysis, compilation of business directories, compilation of information into computer databases, and data processing services relating to marketing; business data analysis, compilation of statistics, and data processing services, businessdata analysis, compilation of business price and statistical information, business management and processing services of marketing budgets and allocation benchmarking; business analysis of marketing programmes; business data analysis, compilation of business data, business management and data processing services and customer lifetime analysis; business dataanalysis, compilation of business directories, and data processing services forproduct performance, range and pricing; online assortment optimization, namely, search engine optimization for online sales promotion; business analysis, compilation of business data, and data processing services of geographical information and data; business analysis, compilation of business data, and data processingservices of consumer and commercial information and data; provision of marketing reports; business analysis, compilation of business data, and data processing services of statistical data; none of the aforesaid for data analysis, compilation, computation, management and processing services being for the purpose of providing interactive entertainment software in the gaming industry, computer game software. electronic game software, and virtual reality game software

Class 042. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Creation, development and design of computer programs and computer software forthe presentation of goods and services on an e-commerce platform; creation, development and design of computer programs and computer software for interaction with third party search engines and portals; creation, development and design of computer programs and computer software for interaction with third party search engines and portals to present goods andservices, supplied by third parties on e-commerce platforms; creation, development and design of computer programs and computer software including marketing software which enables the bidding for andmanagement of advertisements and the like; creation, development and design of computer programs and computer software for placement of advertisements; creation, development and design of computer programs and computer software being marketing software; creation, development anddesign of computer programs and computer software for data collection, data entry, data analysis, data compilation, data computation, data management and processing; creation, development and design of computer programs and computer software for data analysis, compilation, computation and processing services relating to marketing; creation, development and design of computer programs and computersoftware for an ecommerce platform; creation, development and design of computer programs and computer software for data searching, customer experience audits, analytics and benchmarking, and, customer journey mapping; creation, development and design of computer programs and computer software for real-time and rapid evaluation of transactional data; creation, development and design of computer programs and computer software for providing temporary use of non-downloadable programs enabling commercial transactions; software engineering services; data searching services namely data mining services; electronic data storage; none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Application No.	87943650	Publication date	05/18/2021
Opposition Filing Date	11/10/2021	Opposition Peri- od Ends	
International Registration No.	NONE	International Registration Date	NONE
Applicant	Shift Commerce Limited OLD LINEN COURT 83-85 SHAMBLES STREET, BARNSLEY SOUTH YORKSHIRE, S702SB UNITED KINGDOM		

Goods/Services Affected by Opposition

Class 009. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Software for internet platforms for electronic commerce; database software for accessing and uploading data and content; software for database management; software for accessing, searching and updating of databases; data processing software; computer software being an ecommerce platform that allows users to perform electronic business transactions via a global computer network; software for the analysis and processing of real-time transactional data; software for the creation, management, upkeep and access of databases; software for marketing, tracking sales performance, managing sales and marketing processes; software for providing commercial, consumer and marketing information; marketing software; software for use in data analysis, compilation, computation and processing of marketing analytics, content management, SEO and CRM; none of the aforesaid for computer software being for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid software being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 035. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Advertising services; marketing services; advertising and promotional services; marketing and promotional services relating to third party search engines and portals; marketing and promotional services to facilitate the presentation of goods and services on e-commerce platforms; marketing and promotional services using Application Program Interfaces (API) permitting one marketing strategy to interact with third party search engines andportals; data collection services; computer data entry services; Business data analysis, compilation of business directories, compilation of information into computer databases, and data processing services relating to marketing; business data analysis, compilation of statistics, and data processing services, businessdata analysis, compilation of business price and statistical information, business management and processing services of marketing budgets and allocation benchmarking; business analysis of marketing programmes; business data analysis, compilation of business data, business management and data processing services and customer lifetime analysis; business dataanalysis, compilation of business directories, and data processing services forproduct performance, range and pricing; online assortment optimization, namely, search engine optimization for online sales promotion; business analysis, compilation of business data, and data processing services of geographical information and data; business analysis, compilation of business data, and data processingservices of consumer and commercial information and data; provision of marketing reports; business analysis, compilation of business data, and data processing services of statistical data; none of the aforesaid for data analysis, compilation, computation, management and processing services being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 042. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Creation, development and design of computer programs and computer software forthe presentation of goods and services on an e-commerce platform; creation, development and design of computer programs and computer software for interaction with third party search engines and portals; creation, development and design of computer programs and computer software for interaction with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; creation, development and design of computer programs and computer software including marketing software which enables the

bidding for andmanagement of advertisements and the like; creation, development and design of computer programs and computer software for placement of advertisements; creation, development and design of computer programs and computer software being marketing software; creation, development anddesign of computer programs and computer software for data collection, data entry, data analysis, data compilation, data computation, data management and processing; creation, development and design of computer programs and computer software for data analysis, compilation, computation and processing services relating to marketing; creation, development and design of computer programs and computersoftware for an ecommerce platform; creation, development and design of computer programs and computer software for data searching, customer experience audits, analytics and benchmarking, and, customer journey mapping; creation, development and design of computer programs and computer software for real-time and rapid evaluation of transactional data; creation, development and design of computer programs and computer software for providing temporary use of non-downloadable programs enabling commercial transactions; software engineering services; data searching services namely data mining services; electronic data storage; none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Applicant Information

Application No.	87943665	Publication date	05/18/2021
Opposition Filing Date	11/10/2021	Opposition Peri- od Ends	
International Registration No.	NONE	International Registration Date	NONE
Applicant	Shift Commerce Limited OLD LINEN COURT 83-85 SHAMBLES STREET, BARNSLEY SOUTH YORKSHIRE, S702SB UNITED KINGDOM		

Goods/Services Affected by Opposition

Class 009. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Software for internet platforms for electronic commerce; computer software for internet platforms for electronic commerce; application software for internet platforms for electronic commerce; software for accessing and sharing data and content; interactive software for internet platforms for electronic commerce for use with third party search engines and portals; interactive software for use withthird party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; interactive software for use with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms including marketing software which bids for, and manages, the costs and placement of advertisements and the like; interactive software for use with third party search engines and portals to present goods and services supplied by third parties on e-commerce platforms including the management and operation of such interactions, including costs, placement of advertisements, uploading content, whether of information, data and/or images; marketing software for the management and operation of such marketing interactions onto and/or into third party environments; computer software which facilitates goodsand services to be populated onto and/or into third party market places; Application Program Interface Interfaces (API)software for permitting one software program to interact with another software program or API; Application Program Interface (API) software for permitting one software program to interact with another software program or API for the placement of advertisements on third party search engines and portals to present goodsand services; software for database management; software for accessing, searching and updating of databases; data processing software; computer software being an ecommerce platform that allows users to perform electronic business transactions via a global computer network; software for the analysis and processing of real-time transactional data; software for the creation, management, upkeep and access of databases; software for relating to marketing, tracking sales performance, managing sales

and marketing processes; software relating to for providing commercial, consumer and marketing information; marketing software; software for use in data analysis, compilation, computation and processing of marketing analytics, content management, SEO and CRM; downloadable electronic publications in the nature of a magazine or journal in the field of computers and computer software; downloadable audio-visual recordingsfeaturing information in field of computers and computer software; downloadableaudio files featuring information in field of computers and computer software; downloadable image files featuring information in field of computers and computer software; none of the aforesaid for computer software being for the management, transmission, storage, and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid software being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 035. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Advertising services; marketing services; advertising and promotional services; marketing and promotional services relating to third party search engines and portals; marketing and promotional services to facilitate the presentation of goods and services on e-commerce platforms; marketing and promotional services using Application Program Interfaces (API) permitting one marketing strategy to interact with third party search engines andportals; data collection services; computer data entry services; Business data analysis, compilation of business directories, compilation of information into computer databases, and data processing services relating to marketing; business data analysis, compilation of statistics, and data processing services, all of the foregoing relating to marketing information including for customer experienceaudits, analytics, benchmarking, and, customer journey mapping; business data analysis, compilation of business price and statistical information, business management and processing services of marketing budgets and allocation benchmarking; business analysis of marketing programmes; business data analysis, compilationof business data, business management and data processing services and customerlifetime analysis; business data analysis, compilation of business directories, and data processing services for product performance, range and pricing; onlineassortment optimization namely search engine optimization for online sales promotion; business analysis, compilation ofbusiness data, and data processing services of geographical information and data; business analysis, compilation of business data, and data processing services of consumer and commercial information and data; provision of marketing reports; business analysis, compilation of business data, and data processing services of statistical data; none of the aforesaid for data analysis, compilation, computation, management and processing services being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software and virtual reality game software

Class 042. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Creation, development and design of computer programs and computer software forbusiness research services; creation, development and design of computer programs and computer software for the presentation of goods and services on an e-commerce platform; creation, development anddesign of computer programs and computer software for interaction with third party search engines and portals; creation, development and design of computer programs and computer software for interaction with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; creation, development and design of computer programs and computer software including marketing software whichenables the bidding for and management of advertisements and the like; creation, development and design of computer programs and computer software for placement of advertisements; creation, development and design of computer programs and computer software being marketing software; creation, development and design of computer programs and computer software for data collection, data entry, data analysis, data compilation, data computation, data management and processing; creation, development and design of computer programs and computer software for data analysis, compilation, computation and processing services relating to marketing; creation, development and design of computer programs and computer software for an ecommerce platform; creation, development and design of computer programs and computer software for data searching customer experience audits, analytics and benchmarking, and, customer journey mapping; creation, development and designof computer programs and computer software for real-time and rapid evaluation of transactional data; creation, development and design of computer programs and computer software for providing temporary use of non-downloadable programs enabling commercial transactions; software engineering services; data searching services namely data mining services; electronic data storage;

none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networksand further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Applicant Information

Application No.	87956220	Publication date	05/18/2021
Opposition Filing Date	11/10/2021	Opposition Peri- od Ends	
International Registration No.	NONE	International Registration Date	NONE
Applicant	Shift Commerce Limited OLD LINEN COURT 83-85 SHAMBLES STREET, BARNSLEY SOUTH YORKSHIRE, S702SB UNITED KINGDOM		

Goods/Services Affected by Opposition

Class 009. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Software for internet platforms for electronic commerce; computer software for internet platforms for electronic commerce; application software for internet platforms for electronic commerce; software for accessing and sharing data and content; interactive software for internet platforms for electronic commerce for use with third party search engines and portals; interactive software for use withthird party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; interactive software for use with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms including marketing software which bids for, and manages, the costs and placement of advertisements and the like; interactive software for use with third party search engines and portals to present goods and services supplied by third parties on e-commerce platforms including the management and operation of such interactions, including costs, placement of advertisements, uploading content, whether of information, data and/or images; marketing software for the management and operation of such marketing interactions onto and/or into third party environments; computer software which facilitates goodsand services to be populated onto and/or into third party market places; Application Program Interface Interfaces (API)software for permitting one software program to interact with another software program or API; Application Program Interface (API) software for permitting one software program to interact with another software program or API for the placement of advertisements on third party search engines and portals to present goodsand services; software for database management; software for accessing, searching and updating of databases; data processing software; computer software being an ecommerce platform that allows users to perform electronic business transactions via a global computer network; software for the analysis and processing of real-time transactional data; software for the creation, management, upkeep and access of databases; software for relating to marketing, tracking sales performance, managing sales and marketing processes; software relating to for providing commercial, consumer and marketing information; marketing software; software for use in data analysis, compilation, computation and processing of marketing analytics, content management, SEO and CRM; downloadable electronic publications in the nature of a magazine or journal in the field of computers and computer software; downloadable audio-visual recordingsfeaturing information in field of computers and computer software; downloadableaudio files featuring information in field of computers and computer software; downloadable image files featuring information in field of computers and computer software; none of the aforesaid for computer software being for the management, transmission, storage, and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid software being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 035. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Advertising services; marketing services; advertising and promotional services; marketing and promotional services relating to third party search engines and portals; marketing and promotional services to facilitate the presentation of goods and services on e-commerce platforms; marketing and promotional services using Application Program Interfaces (API) permitting one marketing strategy to interact with third party search engines andportals; data collection services; computer data entry services; Business data analysis, compilation of business directories, compilation of information into computer databases, and data processing services relating to marketing; business data analysis, compilation of statistics, and data processing services, all of the foregoing relating to marketing information including for customer experienceaudits, analytics, benchmarking, and, customer journey mapping; business data analysis, compilation of business price and statistical information, business management and processing services of marketing budgets and allocation benchmarking; business analysis of marketing programmes; business data analysis, compilationof business data, business management and data processing services and customerlifetime analysis; business data analysis, compilation of business directories, and data processing services for product performance, range and pricing; onlineassortment optimization namely search engine optimization for online sales promotion; business analysis, compilation ofbusiness data, and data processing services of geographical information and data; business analysis, compilation of business data, and data processing servicesof consumer and commercial information and data; provision of marketing reports; business analysis, compilation of business data, and data processing services of statistical data; none of the aforesaid for data analysis, compilation, computation, management and processing services being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software and virtual reality game software

Class 042. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Creation, development and design of computer programs and computer software forbusiness research services; creation, development and design of computer programs and computer software for the presentation of goods and services on an e-commerce platform; creation, development anddesign of computer programs and computer software for interaction with third party search engines and portals; creation, development and design of computer programs and computer software for interaction with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; creation, development and design of computer programs and computer software including marketing software whichenables the bidding for and management of advertisements and the like; creation, development and design of computer programs and computer software for placement of advertisements; creation, development and design of computer programs and computer software being marketing software; creation, development and design of computer programs and computer software for data collection, data entry, data analysis, data compilation, data computation, data management and processing; creation, development and design of computer programs and computer software for data analysis, compilation, computation and processing services relating to marketing; creation, development and design of computer programs and computer software for an ecommerce platform; creation, development and design of computer programs and computer software for data searching, customer experience audits, analytics and benchmarking, and, customer journey mapping; creation, development and designof computer programs and computer software for real-time and rapid evaluation of transactional data; creation, development and design of computer programs and computer software for providing temporary use of non-downloadable programs enabling commercial transactions; software engineering services; data searching services namely data mining services; electronic data storage; none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networksand further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
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Mark Cited by Opposer as Basis for Opposition

U.S. Ap	plication	88699314	Application Date	11/20/2019
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No.			
Registration Date	NONE	Foreign Priority Date	NONE
Word Mark	SHIFT		
Design Mark	SE	HF7	
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use Operating on-line marketplace about automobiles for sale by Class 036. First use: First Use Providing lease financing for a	es featuring automobi means of the Interne e: 2014/03/14 First Us	les; Providing information et In Commerce: 2014/03/14

Attachments	88699314#TMSN.png(bytes) Shift_Notice_Opposition_vFinal.pdf(2439975 bytes)
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Signature	/honeah sohail mangione/	
Name	HONEAH SOHAIL MANGIONE	
Date	11/10/2021	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

CONSOLIDATED NOTICE OF OPPOSITION

Shift Technologies, Inc. ("Opposer" or "Shift") believes that it will be damaged by Shift Commerce Limited's ("Applicant") registration of the marks shown in U.S. Trademark Application Serial Nos. 87/943,627; 87/943,650; 87/943,665; and 87/956,220 in International Classes 009, 035 and 042 and hereby opposes the same. In support of its Consolidated Notice of Opposition submitted pursuant to Trademark Trial and Appeal Board Manual of Procedure ("TBMP") § 305.01, Opposer states the following as grounds for opposition:

Shift and Its Online Platform Business

- 1. Shift Technologies, Inc. is a Delaware corporation having a primary address of 2525 16th Street, San Francisco, California 94103.
- 2. Opposer began as a start-up company in 2013 and incorporated as a company in 2014. Shift provides an ecommerce platform through its website at www.shift.com ("SHIFT.COM") for the purchase, sale, and financing of used automobiles. Since at least as early

as 2014, Shift has been offering its online platform that retails vehicles and offers financing for such vehicles. See **Exhibit A** hereto.

- 3. Vehicle purchasers visit Opposer's platform on SHIFT.COM to search through an online catalog of automobiles based on criteria such as price, make of vehicle, body style, year, budget, etc. When a vehicle is selected for purchase, it is brought to the prospective purchaser for a test drive. After the test drive, the prospective purchaser decides whether to purchase the vehicle or not. If a vehicle is purchased, the purchaser has seven (7) days or 200 miles (whichever comes first) to return the vehicle with no questions asked.
- 4. SHIFT.COM also allows for the sale of vehicles by its users. When a seller desires to list a vehicle for sale, independent contractors acting on behalf of Shift visit the vehicle for inspection and provide a quote to the seller. If the seller agrees to the quoted price, Shift offers the vehicle for sale on its website SHIFT.COM.
- 5. Over the years, numerous mainstream outlets have featured and/or reported on Shift and its services. See **Exhibit B**, **Exhibit C**, and **Exhibit D** hereto. Such publicity for Shift has, in part, contributed to Shift's rise in popularity. Accordingly, through its continuous use of the SHIFT mark and third-party media coverage, Opposer has developed and continues to develop widespread goodwill and recognition in and to its SHIFT mark.

Third Parties Passing Off as Shift

- 6. The rise in popularity of Opposer and its SHIFT.COM platform has unfortunately exposed Opposer to become a popular target of online attacks and infringements.
- 7. To date, there have been at least two known attempts to usurp Opposer's goodwill in the mark SHIFT for commercial/financial gain. In each case, a third-party had set up a fake website for an e-commerce platform using the SHIFT mark in two ways. First, the term SHIFT

was used in the domain name. Second, each website attempted to pass itself off as Opposer by selling vehicles and providing financing for the purchase of vehicles.

- 8. The first incident involved the website SHIFTAUTOBROKERS.COM. On July 8, 2020, Opposer filed a proceeding under the Uniform Domain-Name Dispute Resolute Policy ("UDRP") against this domain name before the National Arbitration Forum ("FORUM").¹
- 9. On August 6, 2020, FORUM rendered a decision in the UDRP proceeding finding that: (i) Opposer has established common law trademark rights in the SHIFT mark since at least March 14, 2014, (ii) the domain name registrant had no legitimate interest in respect of the domain name, and (iii) the domain name was registered in bad faith. As a result, the domain name SHIFTAUTOBROKERS.COM was transferred to Opposer. See **Exhibit E** hereto.
- 10. The second incident is currently pending before FORUM.² In that case, the domain name registrant registered the domain name SHIFT-AUTOS.COM and offers a website purporting to be Opposer. The website features Opposer's identical marks including SHIFT, identical services, and even links to Opposer's social media pages and its employees' professional profiles.
- 11. The foregoing examples of third parties passing themselves off as Opposer shows that Opposer has attained substantial goodwill and recognition in the SHIFT mark otherwise such third parties would not have attempted to knock off Opposer's mark if it was not widely known and well-regarded.

Shift's Trademark Applications

12. On July 25, 2016, Opposer filed trademark application U.S. Serial No. 87115509 with the United States Patent and Trademark Office ("USPTO") for the mark SHIFT for use with

¹ <u>https://www.adrforum.com/</u>

² Shift Technologies, Inc. v. Bogdan Lajos / B L Travel Agency Ltd., FORUM case FA2110001969440.

"operating on-line marketplaces featuring automobiles; providing information about automobiles for sale by means of the Internet; provision of an on-line marketplace for buyers and sellers of goods and services." See **Exhibit F** hereto. The application was filed on a Section 1(a) basis, and the dates of first use anywhere and in commerce listed therein "as early as March 14, 2014". A specimen in the form of an electronic display, namely Opposer's website WWW.SHIFT.COM, was also submitted.

- 13. On November 14, 2016, an office action in connection with U.S. Serial No. 87115509 was issued. The office action stated that Opposer's mark is refused on the basis of a Section 2(d) Refusal Likelihood of confusion with U.S. Reg. Nos. 3142488 and 4325673 for the mark SHIFT_. Both of these aforementioned registrations have since been canceled for failure to provide an acceptable declaration under Section 8.
- 14. Due to changes in personnel, the office action was not addressed, and U.S. Serial No. 87115509 was inadvertently abandoned on May 16, 2017. Notwithstanding that, Opposer never discontinued use of the SHIFT mark.
- 15. On November 20, 2019, Opposer filed trademark application U.S. Serial No. 88699314 for the mark SHIFT for (i) Operating on-line marketplaces featuring automobiles; Providing information about automobiles for sale by means of the Internet in class 035, and (ii) Providing lease financing for automobiles; Financing relating to automobile in class 036.
- 16. On February 25, 2020, Opposer received an office action from the USPTO. While the office action raised administrative issues, Opposer was notified of prior-filed pending applications Serial Nos. 87943650 and 87943627 for the mark SHIFT filed by Applicant. Opposer presented provisional arguments against anticipated refusals based on the aforementioned applications, but the Examiner refused to withdraw the citation of the foregoing applications. As

such, Opposer has standing to oppose Applicant's foregoing applications as they are precluding registration of Opposer's SHIFT mark.

Applicant's Trademark Applications

- 17. Applicant, Shift Commerce Limited, is a United Kingdom limited company doing business at Old Linen Court, 83-85 Shambles Street, Barnsley, South Yorkshire, United Kingdom. Applicant provides e-commerce platforms for businesses.
- 18. On November 3, 2015, applicant, Createthe Group, Inc., filed trademark application U.S. Serial No. 86982988 for the mark SHIFT COMMERCE. The application matured to registration on October 17, 2017 as detailed below:

Mark	U.S. Registration No.		
SHIFT COMMERCE	5,312,463		
Coods and Samioss Applicable to Above Marks			

Goods and Services Applicable to Above Marks

<u>Class 042</u>: Designing an Internet platform for electronic commerce; designing, creating, maintaining and hosting online retail and electronic commerce websites for others; providing temporary use of non-downloadable computer programs for enabling commercial transactions via a global communication network; software engineering services; * none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

- 19. On March 19, 2019, Applicant acquired by assignment all rights to U.S. Trademark Registration No. 5312463 for the mark SHIFT COMMERCE from the prior registrant, namely, Createthe Group, Inc.
- 20. On May 31, 2018, Applicant filed multiple trademark applications for the marks SHIFT and SHIFT COMMERCE. On June 11, 2018, Applicant filed another application for a stylized version of the mark SHIFT COMMERCE. Each of Applicant's applications was filed on

a 1(b); 44(d) basis claiming a priority date of May 14, 2018. Below is a table summarizing the particulars of each of Applicant's applications:

Mark	U.S. Serial No.
SHIFT	87/943,627
SH/FT	87/943,650
SHIFT COMMERCE	87/943,665
SH FT	87/956,220

Goods and Services Applicable to Above Marks

Class 009: Software for internet platforms for electronic commerce; computer software for internet platforms for electronic commerce; application software for internet platforms for electronic commerce; software for accessing and sharing data and content; interactive software for internet platforms for electronic commerce for use with third party search engines and portals; interactive software for use with third party search engines and portals to present goods and services, supplied by third parties on ecommerce platforms; interactive software for use with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms including marketing software which bids for, and manages, the costs and placement of advertisements and the like; interactive software for use with third party search engines and portals to present goods and services supplied by third parties on ecommerce platforms including the management and operation of such interactions, including costs, placement of advertisements, uploading content, whether of information, data and/or images; marketing software for the management and operation of such marketing interactions onto and/or into third party environments; computer software which facilitates goods and services to be populated onto and/or into third party market places; Application Program Interface Interfaces (API) software for permitting one software program to interact with another software program or API; Application Program Interface (API) software for permitting one software program to interact with another software program or API for the placement of advertisements on third party search engines and portals to present goods and services; software for database management; software for accessing, searching and updating of databases; data processing software; computer software being an ecommerce platform that allows users to perform electronic business transactions via a global computer network; software for the analysis and processing of real-time transactional data; software for the creation, management, upkeep and access of databases; software for relating to marketing, tracking sales performance, managing sales and marketing processes; software relating to for providing commercial, consumer and marketing information; marketing software; software for use in data analysis, compilation, computation and processing of marketing analytics, content management, SEO and CRM; downloadable electronic publications in the nature of a magazine or journal in the field of computers and computer software; downloadable audio-visual recordings featuring information in field of computers and computer software; downloadable audio files featuring information in field of computers and computer software; downloadable image files featuring information in field of computers and computer software; none of the

aforesaid for computer software being for the management, transmission, storage, and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid software being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software

Class 035: Advertising services; marketing services; advertising and promotional services; marketing and promotional services relating to third party search engines and portals; marketing and promotional services to facilitate the presentation of goods and services on e-commerce platforms; marketing and promotional services using Application Program Interfaces (API) permitting one marketing strategy to interact with third party search engines and portals; data collection services; computer data entry services; Business data analysis, compilation of business directories, compilation of information into computer databases, and data processing services relating to marketing; business data analysis, compilation of statistics, and data processing services, all of the foregoing relating to marketing information including for customer experience audits, analytics, benchmarking, and, customer journey mapping; business data analysis, compilation of business price and statistical information, business management and processing services of marketing budgets and allocation benchmarking; business analysis of marketing programmes; business data analysis, compilation of business data, business management and data processing services and customer lifetime analysis; business data analysis, compilation of business directories, and data processing services for product performance, range and pricing; online assortment optimization namely search engine optimization for online sales promotion; business analysis, compilation of business data, and data processing services of geographical information and data; business analysis, compilation of business data, and data processing services of consumer and commercial information and data; provision of marketing reports; business analysis, compilation of business data, and data processing services of statistical data; none of the aforesaid for data analysis, compilation, computation, management and processing services being for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software and virtual reality game software

Class 042: Creation, development and design of computer programs and computer software for business research services; creation, development and design of computer programs and computer software for the presentation of goods and services on an ecommerce platform; creation, development and design of computer programs and computer software for interaction with third party search engines and portals; creation, development and design of computer programs and computer software for interaction with third party search engines and portals to present goods and services, supplied by third parties on e-commerce platforms; creation, development and design of computer programs and computer software including marketing software which enables the bidding for and management of advertisements and the like; creation, development and design of computer programs and computer software being marketing software; creation, development and design of computer programs and

computer software for data collection, data entry, data analysis, data compilation, data computation, data management and processing; creation, development and design of computer programs and computer software for data analysis, compilation, computation and processing services relating to marketing; creation, development and design of computer programs and computer software for an ecommerce platform; creation, development and design of computer programs and computer software for data searching, customer experience audits, analytics and benchmarking, and, customer journey mapping; creation, development and design of computer programs and computer software for real-time and rapid evaluation of transactional data; creation, development and design of computer programs and computer software for providing temporary use of non-downloadable programs enabling commercial transactions; software engineering services; data searching services namely data mining services; electronic data storage; none of the aforesaid being software as a service (SAAS) services for the management, transmission, storage and sharing of computer game programs and electronically stored computer game information across computer networks and further none of the aforesaid for the purpose of providing interactive entertainment software in the gaming industry, computer game software, electronic game software, and virtual reality game software.

21. On September 25, 2020, Applicant informed the USPTO that its UK applications serving as a basis for its 44(d) filing basis had registered on July 31, 2020. Applicant cited the following UK registrations: UK0003310706 and UK0000331070 for the mark SHIFT and UK00003310704 and UK00003310708 for the mark SHIFT COMMERCE. Accordingly, the filing bases for Applicant's U.S. applications were amended from 1(b); 44(d) to 1(b); 44(e).

Applicant's Business

22. Upon information and belief, Applicant is in the business of providing e-commerce platforms under the marks SHIFT and SHIFT COMMERCE. Under the domain name www.SHIFTCOMMERCE.com, Applicant renders its e-commerce services under the mark SHIFT. In its marketing brochures, Applicant describes itself as "...the first ecommerce platform to successfully combine simplicity of use, speed to market, the affordability of SAAS and the flexibility of bespoke solutions".

- 23. Applicant's SHIFT and SHIFT COMMERCE marks have visual and aural similarities to Opposer's SHIFT Marks. Both sets of marks feature the identical term SHIFT, alone or as the mark's first term. Furthermore, the term COMMERCE is descriptive of both Opposer's and Applicant's e-commerce platforms and therefore does not sufficiently distinguish Applicant's Marks from Opposer's SHIFT Marks.
- 24. Customers and potential customers exposed to Applicant's various trademarks discussed above would be confused by Applicant's prospective and actual use of its SHIFT and SHIFT COMMERCE marks.
- 25. Upon information and belief, and in view of the parties' common use of the term SHIFT in their respective marks for the identical and/or similar types of goods and services, Opposer's claims against each of the Applicant's subject applications involve common (i.e., similar) questions of law and/or fact.
- 26. Opposer further alleges that it has standing to oppose Applicant's Application Serial Nos. 87943650 and 87943627 because they have been provisionally cited against Opposer's application for its SHIFT mark (ser. no. 88699314), and that Opposer has standing to oppose Applicant's Application Serial Nos. 87956220 and 87943665 because the marks covered by such applications are sufficiently similar to the provisionally cited applications such that registration thereof is likely to damage Opposer.

COUNT I

LIKELIHOOD OF CONFUSION SECTION 2(d) OF THE LANHAM ACT

27. Opposer repeats and re-alleges paragraphs 1 through 26 above as if fully set forth herein.

- 28. Opposer is an end-to-end ecommerce platform that retails automobiles as well as offers financing for the purchase or lease of such vehicles. An end-to-end platform provides all the software and hardware requirements of the platform such that no other vendor is involved. Accordingly, Opposer handles all of its own installation, integration, and setup for its automobile and financing platform.
- 29. Opposer has priority of use based on its continuous use of the mark SHIFT for an e-commerce platform since at least March 14, 2014, a date prior to any priority date that Applicant may claim whether by actual use, constructive use, or foreign priority date.
- 30. Simultaneous use of Opposer's SHIFT mark and Applicant's SHIFT and SHIFT COMMERCE marks for their respective goods and services is likely to cause public confusion, mistake and/or deception in violation of Section 2(d) of the Trademark Act 15 U.S.C. § 1052(d).
- 31. Applicant's marks are confusingly similar to Opposer's SHIFT mark and Opposer's common law rights therein. Applicant's goods and services covered by its SHIFT and SHIFT COMMERCE applications are substantially similar, overlapping, or at least similar to the goods and services covered by Opposer's currently used SHIFT trademark.
- 32. The conditions surrounding both parties' marketing are such that their respective goods and services could be encountered by the same customers under circumstances where use of similar marks would give rise to the mistaken belief that the parties' goods and services come from a common source.
- 33. For the foregoing reasons, Opposer is being and will be damaged by the registration of Applicant's Marks as shown in U.S. Ser. Nos. 87943627, 87943650, 87943665, and 87956220.

WHEREFORE, Opposer respectfully requests that its Consolidated Notice of Opposition be sustained, and that registration of Applicant's Marks as shown in U.S. Ser. Nos. 87943627, 87943650, 87943665, and 87956220 be refused in their entirety.

The filing fees have been submitted electronically. Any deficiency in the filing fees should be charged to Deposit Account 50-5761.

Dated: November 11, 2021 Respectfully submitted,

By: s/Honeah Sohail Mangione/

Honeah Sohail Mangione

Atul R. Singh

ELLENOFF, GROSSMAN & SCHOLE LLP

1345 Avenue of the Americas, 11th Fl.

New York, NY 10105 Tel: (212) 370-1300

Email: hmangione@egsllp.com

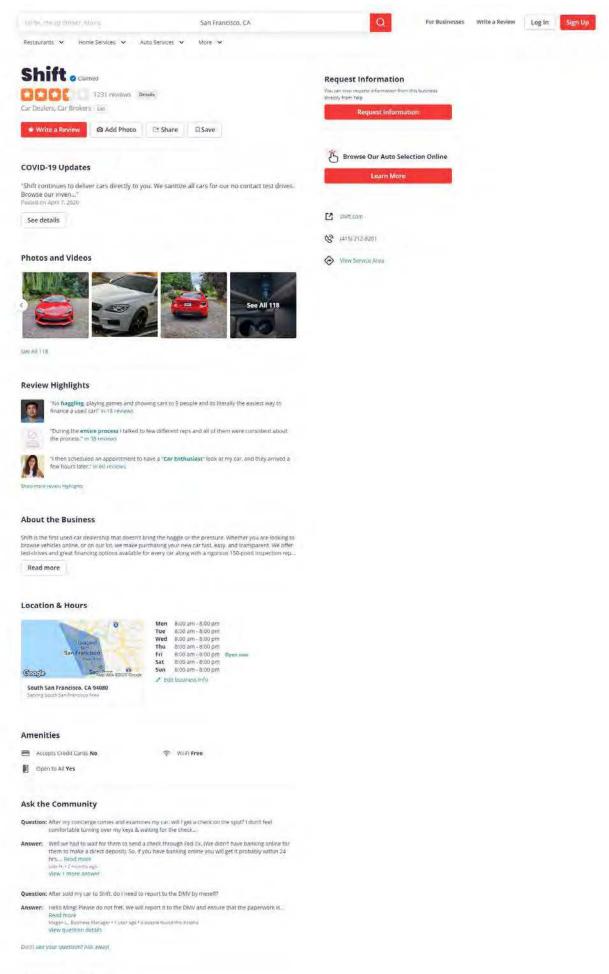
asingh@egsllp.com

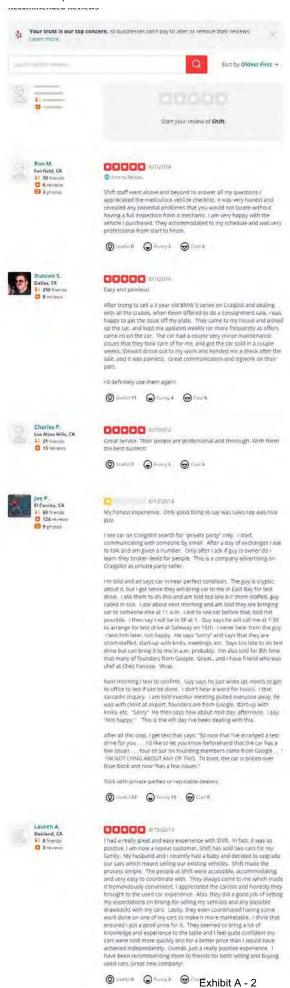
Attorneys for Opposer *Shift Technologies, Inc.*

EXHIBIT A

YELP REVIEWS FOR SHIFT









- *Their "car enthusiasts" (who are something like a cross between salespeople, "etail clerks, and delivery people) were nice people on an interpersonal level
- * SHIFT will deliver a car to you for test drive or purchase, which is a very nice purk in San Francisco, maybe a little less important other places
- * There was no pressure to "buy now" or anything of that nature.
- * I got a moderately better-than-market-deal on that car through SHIFT.

The Bad

The boar "S SHIT" did not have the title of the vehicle they were selling, and they did not realize this fact until what would have been the end of the transaction.

* SHIFT did not realize that the owner did not have the title either; the bank still had it, because the owner had a loan on the car. SHIFT's cesporase was to ask me to front the money for the loan, and once the title was clear. I would get the little, this is obviously a very risky proposition from a buyer's perspective, since if anything went wrong or new problems emerged, i would have paid for the car without. becoming the owner

* Prior to realizing they did not have the title, SHIFF gave me a bad time about not being able to line up an independent inspection for a few days after indicating I wanted to buy the car. I did not procrastinate, but reputable garages don't always have next day appointments available. After making many phone calls to line up an inspection appointment it turned out the car could not be purchased for several weeks because of the title issues.

- * SHIFT was 30 minutes late bringing the vehicle to the inspection, as they had forgotten to unlock the garage where the vehicle was kept. By itself, this was not a big deal, but it speaks to the general lack of
- * After a few weeks, the title situation was finally straightened out, and I was able to buy the car. SHIFT generously offered to give me a gift card because of the weeks it had taken to purchase the car, but then they failed to follow (through: No gift card was ever sent.
- * When we were finally ready to conclude the deal, I noticed that the smog certificate had expired. SHIFT had been unaware of this although it is a legal requirement that the seller of a vehicle in California smog the vehicle before selling it.
- * Figuring that it was very likely the vehicle would pass smog. I agreed reguring max is was very lively the venicle would pass smooth lagreed to smoot the car myself, after which SHIFT would relimbure me for the modest cost of the smooth the vehicle did pass, but SHIFT never sent, the check. Continuing the pattern that existed throughout the weeks it took to buy the car. SHIFT promited to "make it right"... just as they had promised with every previous issue.

in summary, these are nice people who don't understand the basic process of selling cars. Unfortunately, their business is selling cars.













Awayame service, trustworthy people making used car buying and selling a seamless and paintess experience. Thank you Shift 1 is purchased a 2011 Porsche through them and literally went from first call to lest drive to purchase in the convenience and comfort of any home in a matter of hours. The car was spotless and better than advertised. The price was fair. The "salesperson" Will was knowledgeable and politic. He then offered in a very low key way to help me self in veal, which did not expect. Took the entire hassle off my hands, Offered a fair price up front and a % of the upside. Car listed and sold in less than two weeks above the list and so 17 be getting a nice cheex. Hote buying and selling cars. The Possche dealers were all rude and only allowed short test drives. For the price I was paying I should nave received better treatment. Then crass are still sitting on the market and being marked down and I've been driving my sweet ride for over two weeks. This was an incredible experience. Great service is so care. Glad that if anyone made money on these transactions, it was the SHIFT team. knowledgeable and polite. He then offered in a very low key way to











10/14/2014 - © Updated review

This is an update to my fast revi I have upgraded Shift to 3 stars for the reasons cited below.

Shift read my previous review and went out of their way to call me and apologize. It is also good business that shift takes their social media reviews serious. They had also indicated to me that a change of protocal is in order. Those who are not local and traveling to meet up with one of their field personnel will be kept in the loop. Our whole fiasco could have been avoided if I knew the car we were going to test drive was already being test driven by someone else.

I can't give them 5 stars because of our previous expe bey called to apologice I felt if was sincere (and I have a pretty good BS detectors

I hope Shift builds upon their business and can further cement customer relationships. A couple of pointers might be appropriate as I will list below

Redo and open your website: no code should be needed to view your offenngs - Customers should see what you have so offer

Describe your business complemented with testimonials, pictures of personnel (not Linkdin pictures but pictures at your offices), esc.

List the hours and days of operation with a single contact number. Voice-mail should include a detailed greeting and business hours of operation.

For those who are not local to SF, state what can be done and who to

Hire and fram a customer liason - You have a new and unique business. but without a storefront. You're not selling ten dollar dyd's by mail. Get Someone trained to hold hands with your potential customers. That person should describe what you offer, thoroughly answer customer questions and why they should do business with you. They should be the main point of conact.

Exhibit A - 4

train your field personnel on customer relationships. Standardize on communication protocols. They should be second ther contact after the customer Balson (Texting should be a no-no unless it is agreed upon by the Customer.) Finally, the customer ilaison should follow up after every transaction or potential transaction and record pertinent feedback. O Useful 9 O Favery O Code Comment from Jan S. of Shift Shares, Manager 10/30/2014 • Thanks for your feedback, Ron. As a new company, we are working very hard to make improvements of 9/30/2014 - Previous review Overall it was a bad experience. 00000 rerzárzen A I find a great experience buying a car from Shift, it was incredibly convenient and fast. I scheduled a test drive of two different vehicles. The shift representative came by my office with one of the vehicles. I drove the car to their parking garage where the other vehicle was located. I drove that car around a while until decided that which one I wanted. The price was great - below KBB, and I wrote a check on the spot and drove I suppose if you are looking for a traditional carlot where you can walk among 100 cars and take your pick. It may not be ideal. But if you are knowledgable online consumer and know what you went. I cannot imagine a better place to buy a used car. O Useful 7 O Farmy 4 O Com 5 Comment from Jan S. of Shift Sustains Manager 10/30/2014 • We appreciate your glowing review. Mark, and really hope you're loving your new (used) car. Let us know if... Stephen M. Sen Francisco, CA 12 Officeds. D 9 reviews OOOOO 10/25/2014 i had a great experience with Shift, Going in to this process I had done extensive research and knew Going into this process I had done extensive research and when exactly what I wanted i found a vehicle that Shift was adventising online. I was not familiar with how Shift operated so I sent a short, email asking what was the best was to see the vehicle. I recoved a quick response and was able to set up an appointment for a test drive the next afternoon. The representative who arrived with the vehicle was nice, seemed very knowledgeable about the vehicle and was able to answer all of our questions regarding the vehicle as well as Shift and the purchase We took it for a test drive, got back to pur house and my wife and i headed inside to have a chall about what we wanted to do. We decided to buy had the representative come in and completed the process in less than a half hour. We also paid under kelly files Blook for the The entire experience was convenient and extremely painless. (would buy from Shift again. O Useful & Funnyal O Cool 5 00000 10/25/2014 Shift made my car selling experience painless. The entire process took about three weeks, and I ended up getting a fall value for my car. I would definitely recommend checking them out if you have a car to selli Ø Elsetuk
 Ø Fammy
 Ø Cack
 Ø 00000 toleriona I needed a simple straight forward way to sell my car. I wanted more than blue book trade in but didn't want to list, take phone calls or show the car. Kewin from Shift did all of this and more, He picked the sprawing ear, keyes from shift due at of mis and more, he picked one car up, kept me in the loop as to when he shived the car and and conferred with me on the sell price. When all was done a check showed up as promised. What more I could I ask for. Keyin was a professional throughout the estire self. And yes I would use them again. 10/28/2014 Federally sold my car through Shift and had a delightful, totally hassis-free sales experience, in addition, they obtained a great price. Shift handled all aspects of my sale - prepping the car, beautifully shift handled all aspects of my sale - prepping the Car. Declutinity photographing it, marketing it on various web sizes. test drives, negotiating the sale and completing all of the paperwork. I received periodic updates from my shift rep once I turned the car over to them. My car solid quickly fless than 30 days and I obtained a nice check several days later. This was the best, most haspit free car sale process live ever experienced. I would definitely recommend checking them. © General Section Section (Section 1) Section

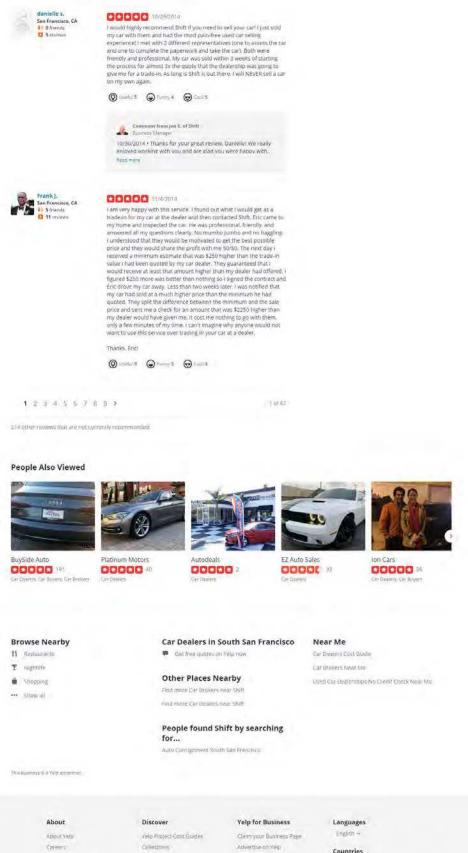
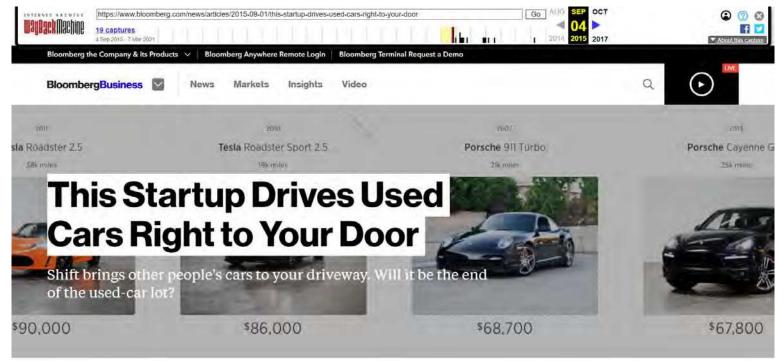
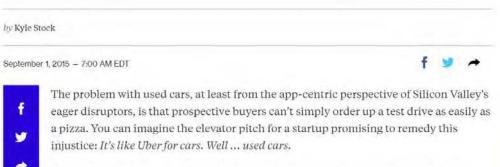




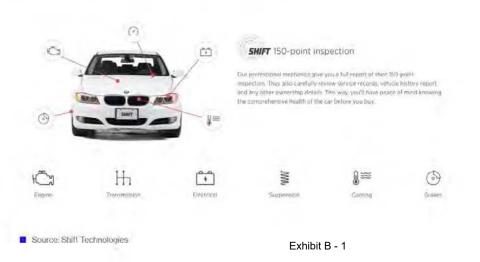
EXHIBIT B
BLOOMBERG ARTICLE COVERING SHIFT





Shift Technologies has for the past year been trying to steal a slice of secondhand car sales from Craigslist, CarMax, and traditional dealerships. In the magical cities of San Francisco and Los Angeles, where the marginally more convenient future sometimes arrives first, would-be buyers can now skip the used-car lots and awkward e-mails to strangers with a 2009 Honda Civic to spare. Flush with \$50 million of funding led by the venture team at Goldman Sachs, the San Francisco-based Shift plans to take its car-shopping service to 18 more U.S. cities by 2017.

Here's how it works: Someone with a vehicle to sell pings Shift, which collects the car and zips it back to its warehouse for a thorough mechanical audit and some light refurbishment. If the ride passes muster, Shift and the seller agree on a guaranteed minimum price and the vehicle is listed on Shift's site.



When prospective buyers schedule a test-drive, one of Shift's "car enthusiasts" swings by with the vehicle. In the event of a sale, Shift keeps half of every sales dollar over its guaranteed minimum price.

Shift claims that sellers, generally, pocket about 10 percent more than they would on a dealership trade-in, while buyers spend about 15 percent less than they would at a car lot. And all involved get to skip the crapshoot that is the classified market.

"Ultimately, marketplaces win when they offer a better customer experience for less money," says Shift co-founder and chief executive George Arison. "We have the opportunity to do that here."

Shift declined to detail its financial results or sales data, but it's fair to say the business is still pretty small. On Monday morning, just 163 cars were for sale on its San Francisco site and another 46 were in Los Angeles. This morning's funding announcement with Goldman comes about 10 months after Shift raised \$24 million in a round led by Draper Fisher Juryetson and Highland Capital Partners.

Shift's model is certainly not perfect. The process of actually driving to a dealership and chit-chatting with a salesman tends to weed out people who simply want an exciting test drive and have no intention of buying a car. With Shift, that buffer isn't there. Anyone who wants a gratis joy ride in a Nissan "Godzilla" GTR can now order one up by iPhone. And to a bachelorette party within striking distance of wine country, a fleet of free Porsches probably sounds like a great idea.

"We're going to be one of the largest car sellers in the world without owning cars"

Meanwhile, there is no shortage of rival startups promising to disrupt the business of buying and selling cars. Beepi, a Los Altos (Calif.) outfit, operates a remarkably similar sales platform, including a thorough inspection and vehicle delivery. The only major difference is that Beepi, which was on the hunt for a \$300 million round of funding this spring, doesn't offer test drives. "We're not set up that way, and it's what enables us to offer you such amazing prices," Beepi explains on its site. Carvana, a one-click car market focused on the Southeast, doesn't offer test-drives either. But it does have a used-car vending machine. (Elon Musk take note.)

Shift says it will win with technology. Crammed with computer scientists from California's blue-chip tech companies, Shift says its listing platform is without peer and it is better than its competitors at pricing vehicles and scheduling its workers¹.

Software engineers aren't cheap, nor are delivery drivers and the massive garages near urban centers. But Shift had an edge over classic dealerships: no-cost inventory. The startup never actually buys any of the vehicles, acting instead as a conduit between the seller and eventual owner. "We're going to be one of the largest car sellers in the world without owning cars," Arison says.

Will Shift change the game? Who knows? It's maneuvering in a crowded space with another \$50 million in the tank, trying to address several used-car pain points at once. Some established rivals have made great search platforms for finding cars without offering much in the way of service and sales help. Other would-be disruptors handle the sale well but aren't so hot on the search front.

Shift is also selling a measure of trust with its mechanical audit and a seven-day Exhibit B - 2 window in which it offers a full refund to unhappy customers. Arison says those



features make shoppers more comfortable buying older vehicles than they otherwise might, giving it access to a larger slice of the market.

And the market is massive, roughly \$640 billion a year at the moment, according to TrueCar, another digital car-shopping platform. When things are really humming, Americans buy almost 45 million used cars every year.



Arison, however, is still on the hunt. He's in the market for a BMW i8 and has yet to find one on Shift.

Almost Half of Homes in New York and D.C. Are Now Losing **Value**

A new home-price index is a warning sign for some property markets





Almost half of single-family houses in the New York and Washington metropolitan areas are losing value, a sign that buyers' tolerance for high prices in many large U.S. cities may be reaching a limit.

EXHIBIT C

LOS ANGELES TIMES ARTICLE COVERING SHIFT

Los Angeles Times

LOG IN

N C

ADVERTISEMENT

TECHNOLOGY

On-demand used car buying and selling comes to L.A.



Shift's chief operating officer, Minnie Ingersoll, walks through the Hub, a warehouse where the company photographs and stores the cars until they are sold. (Shift)

BY TRACEY LIEN



The on-demand economy has, with a few taps on an app, brought everything from lunches to laundry to our doorsteps. Now it wants to bring us something a lot bigger: used cars.

Bay Area start-up <u>Shift</u> recently rolled out its on-demand car buying and selling service in Los Angeles, providing what it calls the "full end-to-end experience" of buying or selling a used car -- from your desktop or phone. At its core, it's like Craigslist, but a slew of features brings it closer to on-demand counterparts like grocery delivery service Instacart and postal service Shyp.

For sellers, instead of listing a car and fielding expressions of interest, Craigslist-style, Shift sends one of its "car enthusiasts" (i.e. independent contractors) to the seller to provide a quote, pick up the car, take it to the company's warehouse for mechanical inspection, handle Department of Motor Vehicles paperwork, and sell the vehicle on the customer's behalf.

On the buyer's side, Shift's contractors bring the car by for a test drive, and handle the paperwork.

ADVERTISING D

It's one of several California start-ups launching in Los Angeles that's using technology to facilitate peer-to-peer car buying and selling.

Instamotor, also a Bay Area company, had its own launch in Los Angeles and Orange County last week. Its mobile-first service is more akin to a Craigslist, with the added benefit of an algorithm that scans cars to check for bad history: fraud, past recalls and hidden damage.

In the case of both Shift and Instamotor, neither buyer nor seller pays a fee for the service. Instamotor makes money through brokering loans and pushing warranties; Shift offers similar services through its partners, and also gets a cut of the car's sale price.

The company's independent contractors determine a minimum guarantee with the seller, which is a guaranteed amount the seller receives when the car is sold. Using an algorithm, Shift then determines a sale price for the car, which is competitive with the local market. The difference between the minimum guarantee and the final sale price is then split evenly with the seller and Shift. Buyers do not negotiate the final sale price.

Los Angeles will be Shift's second market after the Bay Area, and its chief operating officer, Minnie Ingersoll, anticipates it will be the company's biggest.

"L.A. is a car city, there's a car culture, but buying a car there is a real pain point," said Ingersoll, a Pasadena native.

"In L.A. you get stuck on the 405 trying to get anywhere, and having to drive an hour and a half to get to a car dealership... that's something we want to solve. So we'll come to you if you're selling a car, and if you're a buyer we'll bring the car to you for a test drive."

Twitter: <u>@traceylien</u>

TECHNOLOGY



Tracey Lien

Tracey Lien covered Silicon Valley and the technology industry for The Times' business section before leaving in 2018 to pursue her MFA at the University of Kansas. A Sydney native, she came to the U.S. because the servings are bigger. She enjoys perpetuating lies about Australian animals, specifically drop bears and boxing kangaroos.



EXHIBIT D

WALL STREET JOURNAL ARTICLE COVERING SHIFT

/4/21, 11:53 PM Shift Technolog es Ra ses \$23.8 M llion to Build Used Car Marketplace - WSJ arc ve.today Saved from ttps://www.wsj.com/articles/BL-VCDB-15921 5 Nov 2021 03:48:26 UTC search no other snapshots from this url webpage capture All snapshots from host www.wsj.com report bug or abuse Webpage Screenshot share download .zip DOW JONES, A NEWS CORP COMPANY ▼ About WSJ Hang Seng **24994.40** 0.91% ▼ U.S. 10 Yr **-1/32 Yield** 1.545% ▼ Nikkei **29593.61** 0.67% ▼ Crude Oil **79.65** 1.07% ▲ Yen **113.71** 0.04% ▼ THE WALL STREET JOURNAL. Subscribe Sign In English Edition ▼ Print Edition | Video | Podcasts | Latest Headlines Home World U.S. Politics Economy Business Tech Markets Opinion Books & Arts Real Estate Life & Work WSJ. Magazine Sports Q Shift Technologies Raises \$23.8 Million to Build Used SHARE Car Marketplace **UPCOMING EVENTS** > in 7:00 PM - 8:00 PM EDT $\langle \hat{\mathcal{D}} \rangle$



Nov
9
2021

7:00 PM - 8:00 PM EDT
WSJ Opinion: A Momentous
Term for the Supreme Court

11:00 AM - 3:00 PM EDT
WSJ Pro Sustainable Business
Forum

Shift Technologies Chief Executive George Arison WATSON LU

Nov

12:00 PM - 1:45 PM EDT

22

2021

WSJ Women In: Navigating the New Normal at Work

By <u>Lizette Chapman</u>

Nov. 18, 2014 7:30 am ET



ADD TO CALENDAR

MOST POPULAR NEWS

1 'Eternals' Brings Sex to Marvel Cinematic Universe



New Jersey Gov. Phil Murphy Wins Tight Election Race



Employer Covid-19
Vaccine and Testing
Rules Unveiled



Inside the World's
Most Blatant Covid-19
Coverup: Secret
Burials, a Dead
President



Election Results 2021: Who Won, From Virginia to New Jersey



2/7

Investors have put more than \$23 million into <u>Shift Technologies Inc.</u>, a used car marketplace that is racing <u>Beepi Inc.</u> and others to disrupt the multibillion-dollar sector.

Founded less than a year ago, Shift co-founders see the same market opportunity that prompted Sherpa Ventures and other Beepi investors to plow \$60 million into that startup <u>last month</u>.

The premise is that used car shopping--be it via dealerships or direct to sellers--is unpleasant, inefficient and woefully in need of a technological overhaul.

"It's a huge market that we see the ability to rethink and rebuild," said Draper Fisher Jurvetson Partner Emily Melton, who co-led the company's Series A round.

Ms. Melton said she was most excited about the large opportunity Shift is attacking, which she compared to previous DFJ investments like Redfin for home buying and SpaceX for space travel.

MOST POPULAR OPINION

Opinion: The Big 'Racist' Fail in Virginia

Like Beepi, Shift allows people to buy or sell used cars from their phones. Unlike Beepi, which emphasizes the integrity of its car reviews to eliminate the need for test drives, Shift includes test drives.

Shift employs "car enthusiasts" who function as car concierges. They schedule "instant test drives" with potential buyers and bring cars to them personally. They also meet with sellers to establish a price guarantee and then take the vehicle to a Shift warehouse to be evaluated by mechanics, detailed, photographed and posted for sale across sites including AutoTrader.com, Car.com and CarGurus.com and as well as Shift's own site, Driveshift.com.

Shift splits the difference between the guarantee price and the final sale price with the car owner.

"Our interests are aligned. If we can get a better price then we will," Shift co-founder and Chief Executive George Arison said.

Mr. Arison said the startup also generates revenue through add-on services like financing and warrantees and plans to expand those products in coming months.

He said he will also use the funding to hire more employees, particularly engineers, and expand the team from 20 to 60 or so within the next six months.

Expanding the San Francisco and Los Angeles markets and ultimately opening new regions is also planned.

"We think we can 10x the business over the next six to nine months," Mr. Arrison said.



2. Opinion: The Biden Democrats Lose It



Opinion: Covid-19
Boosters Aren't for
Everyone



4 Opinion: Lessons of the Progressive Fall



Opinion: Voters Cancel the War on Police



MOST POPULAR VIDEOS

The Great Labor Shift, Explained in One Chart



WSJ Opinion: The
Biden Democrats Lose
It



Watch: New Jersey
Gov. Phil Murphy
Speaks After Winning
Re-election



The Unconventional Franchise Model Behind Chick-fil-A's Success



Exhibit D - 3

DFJ and Highland Capital Partners co-led the \$20 million Series A round with participation from SV Angel, Great Oaks VC and individuals including GoogleMaps co-creator Lars Rasmussen, Meraki Networks Inc. founder Hans Robertson, Square Inc. co-founder Jim McKelvey and Shazam Ltd. founder Chris Barton.

WSJ Opinion: Biden-Pelosi Overreach Turns Washington Into Fantasyland



DFJ's Ms. Melton and Highland Capital Partner Manish Patel join the Shift board.

Valuation was north of \$60 million.

Shift raised a \$3.75 million seed round that was previously undisclosed.

Write to Lizette Chapman at lizette.chapman@wsj.com. Follow her on Twitter at @zettewil

EXHIBIT E
FORUM DECISION IN CLAIM NUMBER: FA2007001903441



DECISION

Shift Technologies, Inc. v. Redacted for Privacy Claim Number: FA2007001903441

PARTIES

Complainant is **Shift Technologies**, Inc. ("Complainant"), represented by **Atul Singh** of **Ellenoff**, **Grossman**, and **Schole LLP**, United States. Respondent is **Redacted for Privacy** ("Respondent"), (Place) Redacted for Privacy.

REGISTRAR AND DISPUTED DOMAIN NAME

The domain name at issue is <shiftautobrokers.com>, registered with NameCheap, Inc..

PANEL

The undersigned certifies that he has acted independently and impartially and to the best of his knowledge has no known conflict in serving as Panelist in this proceeding.

The Honourable Neil Anthony Brown QC as Panelist.

PROCEDURAL HISTORY

Complainant submitted a Complaint to the Forum electronically on July 8, 2020; the Forum received payment on July 8, 2020.

On July 9, 2020, NameCheap, Luc confurred by esmails on the Foremultat The schift authorizers come domain name is confused with NameCheap. Inc. has verified that Respondent is bound by the NameCheap, Inc. registration agreement and has thereby agreed to resolve domain disputes brought by third parties in accordance with ICANN's Uniform Domain Name Dispute Resolution Policy (the "Policy").

On July 14, 2020, the FORUM served the Complaint and all Annexes, including a Written Notice of the Complaint, setting a deadline of August 3, 2020 by which Respondent could file a Response to the Complaint, via e-mail to all entities and persons listed on Respondent's registration as technical, administrative, and billing contacts, and to postmaster@shiftautobrokers.com. Also on July 14, 2020, the Written Notice of the Complaint, notifying Respondent of the e-mail addresses served and the deadline for a Response, was transmitted to Respondent via post and fax, to all entities and persons listed on Respondent's registration as technical, administrative and billing contacts.

Having received no response from Respondent, the FORUM transmitted to the parties a Notification of Respondent Default.

On August 5, 2020, pursuant to Complainant's request to have the dispute decided by a single-member Panel, the Forum appointed The Honourable Neil Anthony Brown QC as Panelist.

Having reviewed the communications records, the Administrative Panel (the "Panel") finds that the Forum has discharged its responsibility under Paragraph 2(a) of the Rules for Uniform Domain Name Dispute Resolution Policy (the "Rules") "to employ reasonably available means calculated to achieve actual notice to Respondent" through submission of Electronic and Written Notices, as defined in Rule 1 and Rule 2. Therefore, the Panel may issue its decision based on the documents submitted and in accordance with the ICANN Policy, ICANN Rules, the Forum's Supplemental Rules and any rules and principles of law that the Panel deems applicable, without the benefit of any response from Respondent.

RELIEFSOUGHT

Complainant requests that the domain name be transferred from Respondent to Complainant.

PARTIES' CONTENTIONS

A. Complainant

Complainant made the following contentions

Complainant operates an online automobile marketplace. Complainant has common law rights in the SHIFT mark. Respondent's <shiftautobrokers.com> domain name is identical or confusingly similar to Complainant's SHIFT mark because it incorporates the mark in its entirety and only adds the generic/descriptive words "auto brokers" and a ".com" generic top-level domain ("gTLD").

Respondent has no rights or legitimate interests in the <shiftautobrokers.com> domain name, as Respondent is not commonly known by this domain name. Respondent does not use the disputed domain name for any bona fide offering of goods or services, nor does it engage in any legitimate noncommercial or fair uses with the mark. Instead, Respondent initially attempted to attract Internet users for commercial gain by passing off as Complainant and offering similar content and services on the disputed domain name's resolving website. Respondent currently possesses no rights or legitimate interests in the disputed domain name in using the disputed domain name to redirect to Complainant's website or an inactive website.

Respondent registered and uses the <shiftautobrokers.com> domain name in bad faith. Respondent initially used the disputed domain name to attract Internet users for commercial gain by passing off as Complainant and offered content or services similar to those offered by Complainant. Respondent also registered the disputed domain name with actual knowledge of Complainant's rights, and use of, the SHIFT mark. Finally, Respondent's current use of the disputed domain name to redirect to Complainant's website demonstrates bad faith usage.

B. Respondent

Respondent failed to submit a Response in this proceeding.

FINDINGS

- Complainant is a United States company that operates an online automobile marketplace.
- 2. Complainant has established its common law trademark rights in the SHIFT mark from at least March 14, 2014 and thus prior to the date when the disputed domain name was registered, namely on December 17, 2019.
- 3. Respondent registered the <shiftautobrokers.com> domain name on December 17, 2019.
- 4. Respondent initially attempted to attract Internet users for commercial gain

by passing itself off as Complainant and offering similar content and

services on the resolving website of the disputed domain name but more

recently has used the domain name to redirect internet users to

Complainant's website or an inactive website.

DISCUSSION

Paragraph 15(a) of the Rules instructs this Panel to "decide a complaint on the basis of the statements and documents submitted in accordance with the Policy, these Rules and any rules and principles of law that it deems applicable."

Paragraph 4(a) of the Policy requires that Complainant must prove each of the following three elements to obtain an order that a domain name should be cancelled or transferred:

- (1) the domain name registered by Respondent is identical or confusingly similar to a trademark or service mark in which Complainant has rights; and
- (2) Respondent has no rights or legitimate interests in respect of the domain name; and
- (3) the domain name has been registered and is being used in bad faith.

In view of Respondent's failure to submit a response, the Panel shall decide this administrative proceeding on the basis of Complainant's undisputed representations pursuant to paragraphs 5(f), 14(a) and 15(a) of the Rules and draw such inferences it considers appropriate pursuant to paragraph 14(b) of the Rules. The Panel is entitled to accept all reasonable allegations set forth in a complaint; however, the Panel may deny relief where a complaint contains mere conclusory or unsubstantiated arguments. See WIPO Jurisprudential Overview 3.0 at ¶ 4.3; see also eGalaxy Multimedia Inc. v. ON HOLD By Owner Ready To Expire, FA 157287 (Forum June 26, 2003) ("Because Complainant did not produce clear evidence to support its subjective

Identical and/or Confusingly Similar

The first question that arises is whether Complainant has rights in a trademark or service mark on which it may rely. Complainant submits that it has common law rights in the SHIFT mark. Where a complainant lacks a registered trademark, common law rights in a mark may satisfy Policy ¶ 4(a)(i). See Artistic Pursuit LLC v. calcuttawebdevelopers.com, FA 894477 (FORUM Mar. 8, 2007) (finding that Policy ¶ 4(a)(i) does not require a trademark registration if a complainant can establish common law rights in its mark). Complainant argues that it its common law rights suffice in lieu of a trademark.

The next question that arises is whether Complainant has established by evidence that it has the common law rights for which it contends. Complainant argues that the SHIFT mark has secondary meaning, thereby establishing its common law rights in the mark. Secondary meaning may be established under Policy ¶ 4(a)(i) through factors such as longstanding use of the mark, holding an identical domain name, advertising, and widespread recognition. See Klabzuba Oil & Gas, Inc. v. LAKHPAT SINGH BHANDARI. FA 1625750 (Forum July 17, 2015) (holding, "Complainant has provided evidence of secondary meaning by providing evidence of length of use in the mark; evidence of holding an identical domain name; media recognition; and promotional material/advertising (including letterhead and business cards). Complainant has used its mark in the oil and gas industry since 1997. Complainant has used its mark in the real estate industry since 2004. Complainant has used its mark in the real estate industry since 2004. Complainant is rights were previously recognized in Klabzuba Oil & Gas, Inc. v. ross micheal, Claim Number: FA1505001619813."). Complainant provides a copy of its pending USPTO application to argue its use of the mark dates back to March 14, 2014. See Compl. Ex. B. Complainant further provides Yelp reviews dating back to 2014 as well as various articles written about Complainant's business and its SHIFT mark. See Compl. Exs. C and D. Finally, Complainant's provides screenshots of its own website <shift.com>. See Compl. Ex. G. Complainant argues this evidence demonstrates its widespread media recognition of its mark as well as continuous use of the SHIFT mark. As the Panel agrees, it finds Complainant has established common law rights in the SHIFT mark under Policy ¶ 4(a)(i) and that they existed from at least March 14, 2014 and thus prior to the date when the disputed domain name was registered, namely on December 17, 2019.

The next question that arises is whether the disputed domain name is identical or confusiongly similar to Complainant's SHIFT mark. Complainant argues that Respondent's stiffautobrokers.com> domain name is identical or confusingly similar to Complainant's SHIFT mark, as it incorporates the mark in its entirety and merely adds the words "auto brokers" and the "com" gTLD to the end of the mark. The addition of generic or descriptive terms and a gTLD is generally insufficient to differentiate a domain name from a mark under Policy \$\frac{4}{4}(a)(i)\$. See The Toronto-Dominion Bank v. George Whitehead, FA 1784412 (FORUM June 11, 2018) ("[S]light differences between domain names and registered marks, such as the addition of words that describe the goods or services in connection with the mark and gTLDs, do not distinguish the domain name from the mark incorporated therein per Policy \$\frac{4}{4}(a)(i).")\$; see also Dell Inc. v. pushpender chanham, FA 1784548 (FORUM June 11, 2018) ("Respondent merely adds the term 'supports' and a '.org' gTLD to the DELL mark. Thus, the Panel finds Respondent's disputed domain name is confusingly similar to Complainant argues that the disputed domain name incorporates Complainant's SHIFT mark entirely, and only adds the generic/descriptive term "auto brokers" and the ".com" gTLD to the end of the mark. Therefore, the Panel finds Respondent's domain name is confusingly similar to Complainant's mark under Policy \$\frac{4}{4}(a)(i)\$.

Complainant has thus made out the first of the three elements that it must establish.

Rights or Legitimate Interests

It is now well established that Complainant must first make a prima facie case that Respondent lacks rights and legitimate interests in the disputed domain name under Policy ¶ 4(a)(ii), then the burden shifts to Respondent to show it does have rights or legitimate interests. See Advanced International Marketing Corporation v. AA-1 Corp. FA 780200 (FORUM Nov. 2, 2011) (finding that a complainant must offer some evidence to make its prima facie case and satisfy Policy ¶ 4(a)(ii)); see also Neal & Massey Holdings Limited v. Gregory Ricks, FA 1549327 (FORUM Apr. 12, 2014) ("Under Policy ¶ 4(a)(ii)). Complainant must first make out a prima facie case showing that Respondent lacks rights and legitimate interests in respect of an at-issue domain name and then the burden, in effect, shifts to Respondent to come forward with evidence of its rights or legitimate interests").

The Panel finds that Complainant has made out a prima facie case that arises from the following considerations:

- (a) Respondent has chosen to take Complainant's SHIFT trademark and to use it in its domain name, adding the generic/descriptive term "auto brokers" which does not negate the
 confusing similarity between the domain name and the trademark;
- (b) Respondent registered the <shiftautobrokers.com> domain name on December 17, 2019;
- (c) Respondent initially attempted to attract Internet users for commercial gain by passing itself off as Complainant and offering similar content and services on the disputed domain name's resolving website but more recently has used the domain name to redirect internet users to Complainant's website or an inactive website;
- (d) Respondent has engaged in these activities without the consent or approval of Complainant;
- (e) Complainant submits that Respondent has no rights or legitimate interests in the <shiftautobrokers.com> domain name, as Respondent is not commonly known by the disputed domain name. Under Policy ¶ 4(e)(ii), where a response is lacking, relevant WHOIS information, along with other evidence can support a finding that the respondent is not commonly known by a disputed domain name. See Educ. Broad. Corp. v. DomainWorks Inc., FA 882172 (FORUM Apr. 18, 2007) (concluding that the respondent was not commonly known by the thirteen.com> domain name based on all evidence in the record, and the respondent did not counter this argument in its response); see also Chevron Intellectual Property LLC v. Fred Wallace, FA1506001626022 (FORUM July 27, 2015) (finding that the respondent was not commonly known by the <chevron-europe.com> domain name under Policy ¶ 4(c)(ii), as the WHOIS information named "Fred Wallace" as registrant of the disputed domain name). The WHOIS of record identifies the registrant of the disputed domain name as (Redacted for Privacy). Furthermore, Complainant argues that Respondent's own Terms of Use identify it as "SE Motors Group" and "Group 1 Automotive", as Respondent directly copied from another auto retailer. See Compl. Ex. I. Therefore, the Panel finds that Respondent is not commonly known by the disputed domain name under Policy ¶ 4 (c)(ii);
- (f) Complainant argues that Respondent has not used the <shiftautobrokers.com> domain name for a bona fide offering of goods or services or legitimate noncommercial or fair use. Instead, Respondent used the disputed domain name's resolving website to pass itself off as Complainant, and now uses the disputed domain name to redirect to Complainant's <shift.com> website. Passing off as a complainant by using its mark and featuring similar services and content on the disputed domain name's resolving website is not a bona fide offering of goods or services or legitimate noncommercial or fair use under Policy ¶ 4 (c)(i) or (iii). See Bittrex, Inc. v. Caroline Alves Maia, FA 1796113 (Forum Aug. 6, 2018) (finding the respondent's attempt to pass itself of as the complainant was not a bona fide offering of goods or services pursuant to Policy ¶ 4(c)(ii) when the respondent used the disputed domain name to present users with a website that was "virtually identical, with the same color scheme, the same layout and the same substantive content."). Complainant provides screenshots of Respondent's original resolving website, which appears to offer a similar website layout as Complainant's official websites, services and business models identical to Complainant's, other content copied from other similar competitor and Complainant's official websites, and a link to Complainant's advertising video to promote Respondent's own goods and services. See Compl. Exs. F through J. Complainant argues this demonstrates Respondent was attempting to pass itself off as Complainant. As the Panel agrees, it finds Respondent was not using the disputed domain name for a bona fide offering of goods or services, or any legitimate noncommercial or fair use under Policy ¶ 4 (c)(i) and (iii);
- (g) Complainant argues that Respondent does not possess any legitimate rights or interests in the <shiftautobrokers.com> domain name, because it currently uses the disputed domain name to redirect to Complainant's official website or a blank page. Using a disputed domain name to resolve to Complainant's own website or an inactive website is insufficient to establish legitimate rights or interests under Policy ¶ 4(a)(ii). See Direct Line Ins. plc v. Low-cost-domain, FA 1337658 (Forum Sept. 8, 2010) ("The Panel finds that using Complainant's mark in a domain name over which Complainant has no control, even if the domain name redirects to Complainant's actual site, is not consistent with the requirements of Policy ¶ 4(c)(i) or ¶ 4(c)(ii) . . ."); see also Nutri/System IPHC, Inc. v. Usama Ayub, FA1725806 (Forum June 5, 2017) (holding that "Respondent does not use the <nutrisystemturbo.us> domain for a bona fide offering of goods or services because the domain name resolves to a website that currently is designated as 'under construction.""). Complainant provides screenshots of the disputed domain name redirecting to Complainant's website or a blank page, which it claims occurred after Complainant sent a cease and desist letter to Respondent. See Compl. Exs. K through N. As the Panel agrees, it finds Respondent possesses no legitimate rights or interests in the disputed domain name under Policy ¶ 4 (a)(ii).

As Respondent has not filed a Response or attempted by any other means to rebut the prima facie case against it, the Panel finds that Respondent has no rights or legitimate interests in the disputed domain name.

Complainant has thus made out the second of the three elements that it must establish.

Registration and Use in Bad Faith

It is clear that to establish bad faith for the purposes of the Policy, Complainant must show that the disputed domain name was registered in bad faith and has been used in bad faith. It is also clear that the criteria set out in Policy ¶ 4(b) for establishing bad faith are not exclusive, but that Complainants in UDRP proceedings may also rely on conduct that is bad faith within the generally accepted meaning of that expression.

Having regard to those principles, the Panel finds that the disputed domain name was registered and used in bad faith. That is so for the following reasons.

First, Complainant argues that Respondent's registration and use of the <shiftautobrokers.com> domain name is in bad faith because Respondent is attracting internet users for commercial gain by passing itself off as Complainant. Using an identical or confusingly similar domain name, along with similar or identical content on the disputed domain's resolving website, to confuse internet users into believing a disputed domain name is affiliated with a complainant in order to sell competing products or services may be evidence of bad faith under Policy ¶ 4(b)(iii) and (iv). See DatingDirect.com Ltd. v. Aston, FA 593977 (FORUM Dec. 28, 2005) ("Respondent is appropriating Complainant's mark to divert Complainant's customers to Respondent's competing business. The Panel finds this diversion is evidence of bad faith registration and use pursuant to Policy ¶ 4(b)(iii)."); see also American Cheerleader Media, LLC, v.

ilir shoshi / cheer, FA 1592319 (FORUM, January 20, 2015) ("The Panel here finds that Respondent has engaged in bad faith registration and use pursuant to Policy ¶ 4(b)(iv) as ... Respondent utilizes a logo and stylized font identical to Complainant's own, as well as Complainant's copyrighted images and text in an attempt to pass itself off as Complainant."). The Panel recalls Complainant's screenshots of the disputed domain name's former resolving website, which Complainant argues contains content and services similar or identical to that on Complainant's own website. See Compl. Exs. F through J. As the Panel agrees, it finds Respondent registered and uses the disputed domain name in bad faith under Policy ¶ 4(b)(iii) and (iv).

Secondly, Complainant further argues that Respondent registered the <shiftautobrokers.com> domain name in bad faith because Respondent had actual knowledge of Complainant's rights in the SHIFT mark. Using a well-known mark and similar content, as well as a complainant's own content, such as a video, on a disputed domain name's resolving website may establish actual notice and bad faith registration under Policy ¶ 4 (a)(iii). See Google Inc. v. Almed Humood, FA1411001591796 (FORUM Jan. 7, 2015) ("This Panel makes that inference; Respondent has actual knowledge of Complainant's mark at the time of domain name registration based on the fame of Complainant's GOOGLE mark and Respondent's use of one of the disputed domain names to detail Internet domain name registration and maintenance services related to and in competition with Complainant."); see also Twentieth Century Fox Film Corporation and Fox International Channels (US), Inc. v. Daniel Pizlo / HS, FA1412001596020 (FORUM Jan. 27, 2015) (finding that the respondent must have had actual knowledge of the complainant and its rights in the FOX LIFE mark, where the respondent was using the disputed domain name to feature one of the complainant's videos on its website, indicating that the respondent had actual had faith under Policy ¶ 4(a)(iii). The Panel recalls Complainant's numerous exhibits which it argues demonstrate the SHIFT mark's notoriety, as well as the screenshots of Respondent's past resolving website, offering similar content and services, and Complainant's own advertising video. See Exs. F through J. As the Panel finds this convincing, it holds that Respondent registered the disputed domain with actual notice, and therefore in bad faith, under Policy ¶ 4 (a)(iii).

Thirdly, Complainant argues Respondent registered and uses the <shiftautobrokers.com> in bad faith by currently redirecting the disputed domain name to Complainant's own website. Previous Panels have found that redirecting a disputed domain name to Complainant's website is a bad faith use under Policy ¶ 4(a)(iii). See Verizon Trademark Servs. LLC v. Boyiko, FA 1382148 (Forum May 12, 2011) ("The Panel finds that Respondent's registration and use of the confusingly similar disputed domain name, even where it resolves to Complainant's own site, is still registration and use in bad faith pursuant to Policy ¶ 4(a)(iii)."). The Panel recalls Complainant's screenshots that purportedly show the disputed domain name currently resolving to Complainant's website. See Compl. Ex. K. Therefore, the Panel finds Respondent registered and uses the disputed domain name in bad faith under Policy ¶ 4(a)(iii).

Fourthly, in addition and having regard to the totality of the evidence, the Panel finds that, in view of Respondent's registration of the disputed domain name using the SHIFT mark and in view of the conduct that Respondent has engaged in when using the disputed domain name. Respondent registered and used it in bad faith within the generally accepted meaning of that expression.

Complainant has thus made out the third of the three elements that it must establish.

REDACTION OF RESPONDENT'S IDENTITY

Before announcing its decision, the Panel wishes to raise another matter. In this proceeding, Respondent contended that it has been the victim of identity theft. In an email sent by the Respondent to the FORUM and dated August 6, 2020 Respondent said "I do not own any domains whatsoever, my debit card was used fraudulently and I have changed all my bank details, I have no idea what this is all about and this is not the only complain I have received under my name, but this is a case of stolen identity as millions of other from what IU was told by the (Redacted for Privacy) Police where I have filled a complaint for more than a year now."

This evidence has made it clear in the opinion of the Panel that a fraud was perpetrated, that Respondent was the victim to the extent that its debit card was used fraudulently and that further frauds might be committed by the same means in the future by whoever was responsible. Thus, although the named Respondent is the domain name holder and the proper Respondent in this proceeding, the real name and contact details of the person who wrongly effected the registration of the domain name is unknown.

This gives rise to the question whether there should be a redaction from the decision to protect the privacy of the named Respondent. Neither party has requested itself any redaction. However, the Panel has formed the view that it may well be unfair to the named Respondent to continue to have its name associated with this proceeding, especially as it is the Respondent and where the impression may be given to internet users that it has some responsibility for some of the events described in the decision, whereas in fact it does not, being an innocent party.

The Panel has therefore decided to consider on its own initiative whether it should exercise the power to determine whether there should be a redaction from the decision to protect Respondent's privacy. In considering the issue, the Panel will take the following rules and decisions into account.

Under Policy ¶ 4(j) the Panel has power to determine that portions of its decision may be redacted. The practical result of the exercise of this power is that the provider, in this case the FORUM, will not publish on the internet the full decision, but will redact the portion determined by the Panel to be redacted. It is clear that the language of the Policy in that regard is wide enough to redact from a decision the case caption and any other reference to the named Respondent.

According to Policy ¶ 4(j). "[a]ll decisions under this Policy will be published in full over the Internet, except when an Administrative Panel determines in an exceptional case to redact portions of its decision." In Wells Fargo & Co. v. John Doe as Holder of Domain Name <wellzfargo.com>, FA 362108 (FORUM Dec. 30, 2004) and Wells Fargo & Co. v. John Doe as Holder of Domain Name <wellzfargossl>, FA 453727 (FORUM May 19, 2005), the panels omitted the respondents' personal information from the decisions, pursuant to Policy ¶ 4(j), to protect the respondents who claimed to be victims of identity theft from becoming aligned with acts the actual registrants appeared to have sought to impute to the respondents.

The Panel notes here that the power given to it by Policy \P 4(j) is a discretionary one that must be exercised judicially. In that regard the Panel would have to be satisfied that the case was an "exceptional" one and that it was appropriate to be made in all the circumstances.

ICANN Rule 16(b) also makes practical provision for the publication of the full decision except if the Panel determines otherwise under Policy ¶ 4(j).

It is true that FORUM Supplemental Rule 15(b) provides "All requests pursuant to Policy paragraph 4(j) and Rule 16(b) to have a portion of the decision redacted, must be made in the Complaint, the Response, or an Additional Submission that is submitted before the Panel's decision is published." But in those cases where there is no request, such as the present case, the Panel has a discretion although, as has just been noted, the discretion must be exercised judicially.

The Panel notes that previous decisions have held that the registrar-confirmed registrant of a disputed domain name (per the WHOIS at commencement of the proceeding) is the proper respondent, notwithstanding the possibility that said respondent's identity was stolen. See, e.g., Banco Bradesco S/A v. Gisele Moura Leite, D2014-0414 (WIPO Apr. 30, 2014). Despite this, the power to redact a portion of a decision is expressly conferred by Policy ¶ 4(j) and may be implemented by ICANN Rule 16 (b). Thus, in the present case the named registrant is the proper Respondent

The role of the Panel is then to determine whether the circumstances of the present case including the theft of Respondent's identity and the fraud warrant the redaction of Respondent's name and any other identifying information from the Panel's decision.

The Panel has considered this matter carefully. It is clear that whoever was responsible for registering the domain name in the name of Respondent has stolen its identity and been responsible for the fraud. Thus, in the opinion of the Panel, the identity of the Respondent should be protected by redaction.

The Panel has therefore decided that in all the circumstances this is an exceptional case and that it is appropriate to redact Respondent's name and information from the Panel's decision. The Panel therefore determines for the purposes of Policy ¶ 4(j) and ICANN Rule 16(b) that this will be done.

DECISION

Having established all three elements required under the ICANN Policy, the Panel concludes that relief shall be GRANTED.

Accordingly, it is Ordered that the <shiftautobrokers.com> domain name be TRANSFERRED from Respondent to Complainant.

The Honourable Neil Anthony Brown QC Panelist Dated: August 6, 2020

Click Here to return to the main Domain Decisions Page.

EXHIBIT F

SHIFT'S ORIGINALLY FILED TRADEMARK APPLICATION

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OMB No. 0651-0009 (Exp 02/28/2018)

Trademark/Service Mark Application, Principal Register

TEAS Plus Application

Serial Number: 87115509 Filing Date: 07/25/2016

NOTE: Data fields with the * are mandatory under TEAS Plus. The wording "(if applicable)" appears where the field is only mandatory under the facts of the particular application.

The table below presents the data as entered.

Input Field	Entered
TEAS Plus	YES
MARK INFORMATION	
*MARK	Shift
*STANDARD CHARACTERS	YES
USPTO-GENERATED IMAGE	YES
LITERAL ELEMENT	Shift
*MARK STATEMENT	The mark consists of standard characters, without claim to any particular font, style, size, or color.
REGISTER	Principal
APPLICANT INFORMATION	
*OWNER OF MARK	Shift Technologies, Inc.
*STREET	2500 Market St.
*CITY	San Francisco
*STATE (Required for U.S. applicants)	California
*COUNTRY	United States
*ZIP/POSTAL CODE (Required for U.S. applicants)	94114
PHONE	860-833-1227
EMAIL ADDRESS	XXXX
WEBSITE ADDRESS	www.shift.com
LEGAL ENTITY INFORMATION	
*TYPE	CORPORATION
* STATE/COUNTRY OF INCORPORATION	Delaware
GOODS AND/OR SERVICES AND BASIS INFORMATION	
*INTERNATIONAL CLASS	035
	Operating on-line marketplaces featuring automobiles; Providing information

*IDENTIFICATION	about automobiles for sale by means of the Internet; Provision of an on-line marketplace for buyers and sellers of goods and services
*FILING BASIS	SECTION 1(a)
FIRST USE ANYWHERE DATE	At least as early as 03/14/2014
FIRST USE IN COMMERCE DATE	At least as early as 03/14/2014
SPECIMEN FILE NAME(S)	'
ORIGINAL PDF FILE	SPE0-1-17324720298-20160725180939133135SHIFT
	_Specimen_of_Use_PDFpdf
CONVERTED PDF FILE(S) (1 page)	\\TICRS\EXPORT16\IMAGEOUT16\871\155\87115509\xml1\FTK0003.JPG
SPECIMEN DESCRIPTION	Please see attached a PDF image of the Shift Technologies, Inc. webpage (www.shift.com) showing use of the mark, advertising of services and a picture and textual description of the goods and services offered in connection with the mark.
ADDITIONAL STATEMENTS INFORMAT	ION
*TRANSLATION (if applicable)	
*TRANSLITERATION (if applicable)	
*CLAIMED PRIOR REGISTRATION (if applicable)	
*CONSENT (NAME/LIKENESS) (if applicable)	
*CONCURRENT USE CLAIM (if applicable)	
ATTORNEY INFORMATION	
NAME	Jesse Payne-Johnson
FIRM NAME	Shift Technologies, Inc.
STREET	2500 Market St.
CITY	San Francisco
STATE	California
COUNTRY	United States
ZIP/POSTAL CODE	94114
PHONE	(860) 833-1227
EMAIL ADDRESS	jesse@shift.com
AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes
CORRESPONDENCE INFORMATION	
*NAME	Jesse Payne-Johnson
FIRM NAME	Shift Technologies, Inc.
*STREET	2500 Market St.
*CITY	San Francisco
*STATE (Required for U.S. addresses)	California
*COUNTRY	United States

*ZIP/POSTAL CODE	94114	
PHONE	(860) 833-1227	
*EMAIL ADDRESS	jesse@shift.com	
*AUTHORIZED TO COMMUNICATE VIA EMAIL	Yes	
FEE INFORMATION		
APPLICATION FILING OPTION	TEAS Plus	
NUMBER OF CLASSES	1	
FEE PER CLASS	225	
*TOTAL FEE PAID	225	
SIGNATURE INFORMATION		
* SIGNATURE	/Jesse Payne-Johnson/	
* SIGNATORY'S NAME	Jesse Payne-Johnson	
* SIGNATORY'S POSITION	Head of Legal, Shift Technologies, Inc.	
SIGNATORY'S PHONE NUMBER	(860) 833-1227	
* DATE SIGNED	07/25/2016	

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PTO Form 1478 (Rev 09/2006)

OMB No. 0651-0009 (Exp 02/28/2018)

Trademark/Service Mark Application, Principal Register

TEAS Plus Application

Serial Number: 87115509 Filing Date: 07/25/2016

To the Commissioner for Trademarks:

MARK: Shift (Standard Characters, see mark)
The literal element of the mark consists of Shift.

The mark consists of standard characters, without claim to any particular font, style, size, or color.

The applicant, Shift Technologies, Inc., a corporation of Delaware, having an address of 2500 Market St.
San Francisco, California 94114
United States
860-833-1227(phone)
XXXX (not authorized)

requests registration of the trademark/service mark identified above in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946 (15 U.S.C. Section 1051 et seq.), as amended, for the following:

For specific filing basis information for each item, you must view the display within the Input Table.

International Class 035: Operating on-line marketplaces featuring automobiles; Providing information about automobiles for sale by means of the Internet; Provision of an on-line marketplace for buyers and sellers of goods and services

Use in Commerce: The applicant is using the mark in commerce on or in connection with the identified goods/services. The applicant attaches, or will later submit, one specimen as a JPG/PDF image file showing the mark as used in commerce on or in connection with any item in the class of listed goods/services, regardless of whether the mark itself is in the standard character format or is a stylized or design mark. The specimen image file may be in color, and the image must be in color if color is being claimed as a feature of the mark.

In International Class 035, the mark was first used by the applicant or the applicant's related company or licensee predecessor in interest at least as early as 03/14/2014, and first used in commerce at least as early as 03/14/2014, and is now in use in such commerce. The applicant is submitting one(or more) specimen(s) showing the mark as used in commerce on or in connection with any item in the class of listed goods/services, consisting of a(n) Please see attached a PDF image of the Shift Technologies, Inc. webpage (www.shift.com) showing use of the mark, advertising of services and a picture and textual description of the goods and services offered in connection with the mark.

Original PDF file:

<u>SPE0-1-17324720298-20160725180939133135_._SHIFT_-_Specimen_of_Use__PDF_.pdf</u>

Converted PDF file(s) (1 page)

Specimen File1

For informational purposes only, applicant's website address is: www.shift.com The applicant's current Attorney Information:

Jesse Payne-Johnson of Shift Technologies, Inc. 2500 Market St. San Francisco, California 94114 United States (860) 833-1227(phone)

jesse@shift.com (authorized)

The applicant's current Correspondence Information:

Jesse Payne-Johnson

Shift Technologies, Inc.

2500 Market St. San Francisco, California 94114 (860) 833-1227(phone) jesse@shift.com (authorized)

E-mail Authorization: I authorize the USPTO to send e-mail correspondence concerning the application to the applicant or applicant's attorney at the e-mail address provided above. I understand that a valid e-mail address must be maintained and that the applicant or the applicant's attorney must file the relevant subsequent application-related submissions via the Trademark Electronic Application System (TEAS). Failure to do so will result in an additional processing fee of \$50 per international class of goods/services.

A fee payment in the amount of \$225 has been submitted with the application, representing payment for 1 class(es).

Declaration

The signatory believes that: if the applicant is filing the application under 15 U.S.C. § 1051(a), the applicant is the owner of the trademark/service mark sought to be registered; the applicant is using the mark in commerce on or in connection with the goods/services in the application; the specimen(s) shows the mark as used on or in connection with the goods/services in the application; and/or if the applicant filed an application under 15 U.S.C. § 1051(b), § 1126(d), and/or § 1126(e), the applicant has a bona fide intention, and is entitled, to use the mark in commerce on or in connection with the goods/services in the application. The signatory believes that to the best of the signatory's knowledge and belief, no other persons, except, if applicable, concurrent users, have the right to use the mark in commerce, either in the identical form or in such near resemblance as to be likely, when used on or in connection with the goods/services of such other persons, to cause confusion or mistake, or to deceive. The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements and the like may jeopardize the validity of the application or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

Signature: /Jesse Payne-Johnson/ Date Signed: 07/25/2016

Signatory's Name: Jesse Payne-Johnson

Signatory's Position: Head of Legal, Shift Technologies, Inc.

RAM Sale Number: 87115509 RAM Accounting Date: 07/26/2016

Serial Number: 87115509

Internet Transmission Date: Mon Jul 25 18:33:08 EDT 2016

TEAS Stamp: USPTO/FTK-XXX.XXX.XXX.XXX-201607251833080

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Shift



