

ESTTA Tracking number: **ESTTA951609**

Filing date: **02/01/2019**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

**Notice of Opposition**

Notice is hereby given that the following party opposes registration of the indicated application.

**Opposer Information**

Name	Ping Identity Corporation
Granted to Date of previous extension	03/02/2019
Address	1001 17th Street Suite 100 Denver, CO 80202 UNITED STATES

Attorney information	Craig Neugeboren Neugeboren O'Dowd PC 1227 Spruce Street Suite 200 Boulder, CO 80302 UNITED STATES craig@nodiplaw.com, paul@nodiplaw.com, vanessa@nodiplaw.com no phone number provided
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**Applicant Information**

Application No	88068382	Publication date	01/01/2019
Opposition Filing Date	02/01/2019	Opposition Period Ends	03/02/2019
Applicant	Ping Inc. 2001 Addison St. STE 200 Berkeley, CA 94704 UNITED STATES		


**Goods/Services Affected by Opposition**

<p>Class 009. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Computer software for time management, time-keeping, and data analytics; Computer software for automating time management, time-keeping, and data analytics; Downloadable cloud-based software for collecting, storing, automating, evaluating, and analyzing time-keeping and time management data and workflows, all of the foregoing unrelated to the sport of golf</p>
<p>Class 042. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Providing on-line, non-downloadable, Internet-based software application for time management, time keeping, and data analytics, unrelated to the sport of golf; Data automation and collection service using proprietary software to evaluate, analyze, and collect time-keeping and time management data and workflows; Providing a website featuring non-downloadable software for collecting, analyzing, and evaluating time-keeping and time management records, data and workflows; Software as a Service (SAAS) services featuring software for collecting, evaluating, and analyzing time keeping, time management data and workflows, all of the foregoing unrelated to the sport of golf</p>

## Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
Dilution by blurring	Trademark Act Sections 2 and 43(c)

## Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	3127602	Application Date	02/03/2004
Registration Date	08/08/2006	Foreign Priority Date	NONE
Word Mark	PING IDENTITY		
Design Mark	 <p>The design mark consists of the words "PING IDENTITY" in a large, bold, serif font, centered within a rectangular border.</p>		
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 2003/01/31 First Use In Commerce: 2003/01/31 Downloadable computer software that facilitates identity federation in the field of digital security and identity verification Class 042. First use: First Use: 2002/02/28 First Use In Commerce: 2002/02/28 Consulting services related to standards, processes and methods in the field of digital security and identity verification		

U.S. Registration No.	3017959	Application Date	09/29/2004
Registration Date	11/22/2005	Foreign Priority Date	NONE
Word Mark	PINGFEDERATE		

Design Mark	<h1>PINGFEDERATE</h1>		
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 2004/10/26 First Use In Commerce: 2004/10/26 Software that facilitates identity federation in the field of digital security and identity verification		

U.S. Registration No.	4313616	Application Date	04/01/2011
Registration Date	04/02/2013	Foreign Priority Date	NONE

Word Mark	PINGONE		
Design Mark	<h1>PINGONE</h1>		
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 2012/03/20 First Use In Commerce: 2012/03/20 Downloadable computer software that facilitates identity federation in the field of digital security and identity verification		

U.S. Registration No.	4151402	Application Date	04/01/2011
Registration Date	05/29/2012	Foreign Priority Date	NONE
Word Mark	PINGONE		

Design Mark	<h1>PINGONE</h1>		
Description of Mark	NONE		
Goods/Services	Class 042. First use: First Use: 2011/11/15 First Use In Commerce: 2011/11/15 Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation in the field of digital security and identification		


U.S. Registration No.	4151401	Application Date	04/01/2011
Registration Date	05/29/2012	Foreign Priority Date	NONE


Word Mark	PINGONE
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Design Mark	<h1>PINGONE</h1>		
Description of Mark	NONE		
Goods/Services	Class 045. First use: First Use: 2011/11/15 First Use In Commerce: 2011/11/15 Identity verification services, namely, providing user authentication between two or more entities in the field of digital security		

U.S. Registration No.	4417517	Application Date	01/28/2013
Registration Date	10/15/2013	Foreign Priority Date	NONE

Word Mark	PING IDENTITY
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Design Mark	
Description of Mark	The mark consists of a shaded rectangle with the words "Ping Identity" in the lower half of the rectangle.
Goods/Services	<p>Class 009. First use: First Use: 2010/01/04 First Use In Commerce: 2010/01/04 Downloadable computer software that facilitates identity federation in the field of digital security and identity verification</p> <p>Class 042. First use: First Use: 2010/01/04 First Use In Commerce: 2010/01/04 Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation in the field of digital security and identification</p>

U.S. Registration No.	4516137	Application Date	03/18/2013
Registration Date	04/15/2014	Foreign Priority Date	NONE
Word Mark	PINGACCESS		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 009. First use: First Use: 2013/06/28 First Use In Commerce: 2013/06/28 Downloadable computer software that provides security and access control for web applications and services accessed by browsers, mobile devices, and other clients</p>		

U.S. Registration No.	5043691	Application Date	04/22/2015
Registration Date	09/20/2016	Foreign Priority Date	NONE
Word Mark	PING IDENTITY		
Design Mark			
Description of Mark	The mark consists of a shaded square with the words "PING IDENTITY" within the square.		
Goods/Services	<p>Class 009. First use: First Use: 2013/12/19 First Use In Commerce: 2013/12/19 Downloadable computer software that facilitates identity federation, multi-factor and strong authentication and access control for web, mobile and application programmable interface (API)</p> <p>Class 042. First use: First Use: 2013/12/19 First Use In Commerce: 2013/12/19 Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation, multi-factor and strong authentication, access control for web, mobile and application programmable interface (API)</p> <p>Class 045. First use: First Use: 2013/12/19 First Use In Commerce: 2013/12/19 Identity verification services, namely, providing user authentication between two or more entities in the field of digital security</p>		

U.S. Registration No.	4976216	Application Date	04/22/2015
Registration Date	06/14/2016	Foreign Priority Date	NONE
Word Mark	PINGID		

Design Mark	<h1>PingID</h1>
Description of Mark	NONE
Goods/Services	<p>Class 009. First use: First Use: 2014/07/20 First Use In Commerce: 2014/07/20 Downloadable computer software that facilitates multi-factor authentication and strong authentication in the field of digital security and identity verification</p> <p>Class 042. First use: First Use: 2014/07/20 First Use In Commerce: 2014/07/20 Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates multi-factor authentication and strong authentication in the field of digital security and identification</p> <p>Class 045. First use: First Use: 2014/07/20 First Use In Commerce: 2014/07/20 Identity verification services, namely, providing user authentication between two or more entities in the field of digital security</p>

Attachments	<p>78361739#TMSN.png( bytes )  78491229#TMSN.png( bytes )  85283640#TMSN.png( bytes )  85283647#TMSN.png( bytes )  85283644#TMSN.png( bytes )  85833729#TMSN.png( bytes )  85879261#TMSN.png( bytes )  86605650#TMSN.png( bytes )  86605656#TMSN.png( bytes )  1229.2016_Ping_Inc_Notice of Opposition.pdf(126784 bytes )  Exh. A.pdf(67135 bytes )  Exh. B.pdf(65898 bytes )  Exh. C.pdf(62295 bytes )  Exh. D.pdf(62354 bytes )  Exh. E.pdf(62024 bytes )  Exh. F.pdf(74128 bytes )  Exh. H.pdf(64899 bytes )  Exh. G.pdf(63585 bytes )  Exh. I.pdf(58328 bytes )</p>
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Signature	/Craig A. Neugeboren/
Name	Craig Neugeboren
Date	02/01/2019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE  
TRADEMARK TRIAL AND APPEAL BOARD

Ping Identity Corporation  Opposer,  v.  Ping, Inc.  Applicant	Opposition No. _____  Serial No. 88068382
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NOTICE OF OPPOSITION

Ping Identity Corporation (“Ping Identity” or "Opposer"), a Delaware Corporation having a place of business at 1001 17th Street, Suite 100, Denver, Colorado 80202, believes it will be damaged by the registration of the mark shown in Application Serial No. 88068382, filed by Ping, Inc. (“Applicant”), a Delaware Corporation having a place of business at 2001 Addison St. Ste. 200, Berkeley, CA 94704, and hereby opposes that registration under the provisions of 15 U.S.C. §1063.

As grounds for the Notice for Opposition, Opposer alleges that:

1. Application Serial No. 88068382 was filed on August 7, 2017 for the mark PING. The application was published for opposition on Jan 1, 2019 under the following goods and services: “Computer software for time management, time-keeping, and data analytics; Computer software for automating time management, time-keeping, and data analytics; Downloadable cloud-based software for collecting, storing, automating, evaluating, and analyzing time-keeping and time management data and workflows, all of the foregoing unrelated to the sport of golf” in International Class 009; and “Providing on-line, non-downloadable, Internet-based software application for time management, time keeping, and data analytics, unrelated to the sport of golf; Data automation and collection service using proprietary software to evaluate, analyze, and collect time-keeping and time management data



and workflows; Providing a website featuring non-downloadable software for collecting, analyzing, and evaluating time-keeping and time management records, data and workflows; Software as a Service (SAAS) services featuring software for collecting, evaluating, and analyzing time keeping, time management data and workflows, all of the foregoing unrelated to the sport of golf” in International Class 42.

2. Opposer is in the business of offering and providing, *inter alia*, downloadable and non-downloadable (i.e., SaaS) software related to information security services using identity management and other methods for providing, among other things, data encryption and secure sign on tools for customers utilizing employer-provided resources, including the types of goods and services set forth in Applicant’s trademark application. Specifically, Opposer offers and provides downloadable computer software that provides security and access control for web applications and services accessed by browsers, mobile devices, and other clients. Opposer’s goods and services are marketed and sold throughout many channels of trade including the same channels of trade as that of Applicant.

3. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 3127602 for PING IDENTITY, filed February 3, 2004. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 3127602 is attached as Exhibit A.

4. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 3017959 for PINGFEDERATE, filed September 29, 2004. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 3017959 is attached as Exhibit B.

5. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 4313616 for PINGONE, filed April 1, 2011. A copy of a current printout of information from the

electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4313616 is attached as Exhibit C.

6. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 4151402 for PINGONE, filed April 1, 2011. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4151402 is attached as Exhibit D.

7. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 4151401 for PINGONE, filed April 1, 2011. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4151401 is attached as Exhibit E.

8. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 4417517 for PING IDENTITY & Design, filed January 28, 2013. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4417517 is attached as Exhibit F.

9. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 4516137 for PINGACCESS, filed March 18, 2013. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4516137 is attached as Exhibit G.

10. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No. 5043691 for PING IDENTITY & Design, filed April 22, 2015. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 5043691 is attached as Exhibit H.

11. Opposer is the owner of all right, title and interest in U.S. Trademark Registration No.

4976216 for PINGID, filed April 22, 2015. A copy of a current printout of information from the electronic database records of the Patent and Trademark Office showing the current status and title of U.S. Trademark Registration No. 4976216 is attached as Exhibit I.

12. Collectively, these applications, registrations and common law rights of Ping Identity are referred to as the “Ping Identity Marks”.

13. Opposer has common law rights to the Ping Identity Marks, as well as various other marks, dating back to before any use of Applicant’s PING mark for the goods listed in Application Serial No. 88068382.

14. Opposer has offered products and services in support thereof under the Ping Identity marks and various related common law marks continuously since at least as early as 2004.

15. Opposer has used the Ping Identity Marks continuously and exclusively in connection with its goods and services since at least 2004.

16. Since well prior to any use by Applicant of the challenged mark in connection with the goods and services listed in its application, Opposer has extensively and continuously used, advertised and promoted the Ping Identity Marks for, *inter alia*:

- a. Downloadable computer software that facilitates identity federation in the field of digital security and identity verification; downloadable computer software that facilitates identity federation, multi-factor and strong authentication; access control for web, mobile and application programmable interface (API); authorization policy services; directory, identity provisioning and identity administration services; identity services auditing and reporting; and other identity and access management services in the field of digital security and identity verification; downloadable computer software that provides security and access control for web applications and services accessed by browsers, mobile

devices, and other clients in class 009;

- b. Consulting services related to standards, processes and methods in the field of digital security and identity verification; software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation in the field of digital security and identification; software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation, multi-factor and strong authentication, access control for web, mobile and application programmable interface (API) in class 042;
- c. Identity verification services, namely, providing user authentication between two or more entities in the field of digital security in class 045; and
- d. Various other goods and services that overlap with those goods and services set for in Application Serial No. 88068382.

17. Applicant seeks registration for the PING mark for the goods and services identified in paragraph 1 above.

18. Applicant filed its application for the PING mark under Section 1(b), intent-to-use.

19. Upon information and belief, Applicant made no valid use of the challenged mark for the published goods and services prior to the first use of the Ping Identity Marks by Opposer.

20. Applicant's PING mark so resembles Opposer's previously used marks, as to be likely, when used in connection with the goods and services of Applicant, to cause confusion, to cause mistake, to deceive, or to dilute the Ping Identity Marks.

21. In the alternative, the registration of Applicant's PING mark on the Principal Register would be inconsistent with Opposer's rights under its aforementioned applications and under the common law and would be damaging to Opposer.

WHEREFORE, Opposer requests that Serial No. 88068382 be rejected, that judgment in favor of Opposer be entered, and that this Notice for Opposition be sustained in favor of Opposer.

Respectfully submitted,

Dated: February 1, 2019

By: /Craig Neugeboren/  
Craig Neugeboren  
NEUGEBOREN O'DOWD PC  
1227 Spruce Street, Suite 200  
Boulder, CO 80302  
720-536-4900 phone  
720-536-4910 fax  
craig@nodiqlaw.com

Attorney for Opposer

**CERTIFICATE OF SERVICE**

I certify that on February 1, 2019, the attached document was mailed and e-mailed to the correspondent and owner for this case at the following addresses:

Russell C. Pangborn  
Seed IP Law Group LLP  
701 Fifth Avenue  
Suite 5400  
Seattle, WA, UNITED STATES 98104  
[russp.docketing@SeedIP.com](mailto:russp.docketing@SeedIP.com)

Ping, Inc.  
2001 Addison St. Ste. 200  
Berkeley, CA 94704

/Craig Neugeboren/

Craig Neugeboren

# Exhibit A

**Generated on:** This page was generated by TSDR on 2018-08-06 14:08:36 EDT

**Mark:** PING IDENTITY

PING IDENTITY

**US Serial Number:** 78361739

**Application Filing Date:** Feb. 03, 2004

**US Registration Number:** 3127602

**Registration Date:** Aug. 08, 2006

**Register:** Principal

**Mark Type:** Trademark, Service Mark

**Status:** The registration has been renewed.

**Status Date:** Nov. 29, 2016

**Publication Date:** Sep. 13, 2005

**Notice of Allowance Date:** Dec. 06, 2005

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## Mark Information

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**Mark Literal Elements:** PING IDENTITY

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

**Disclaimer:** "IDENTITY"

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## Related Properties Information

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**International Registration Number:** 0963465

**International Application(s) /Registration(s) Based on this Property:** A0012093/0963465

**Claimed Ownership of US Registrations:** 2901701

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## Goods and Services

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**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*.\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that facilitates identity federation in the field of digital security and identity verification

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jan. 31, 2003

**Use in Commerce:** Jan. 31, 2003

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**For:** Consulting services related to standards, processes and methods in the field of digital security and identity verification

**International Class(es):** 042 - Primary Class

**U.S Class(es):** 100, 101

**Class(es):**

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Feb. 28, 2002

**Use in Commerce:** Feb. 28, 2002

## Basis Information (Case Level)

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

**Filed 44E:** No

**Currently 44E:** No

**Amended 44E:** No

**Filed 66A:** No

**Currently 66A:** No

**Filed No Basis:** No

**Currently No Basis:** No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation

**Owner Address:** 1001 17th Street, Suite 100  
Denver, COLORADO 80202  
UNITED STATES

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig A. Neugeboren

**Docket Number:** 1229.300.US

**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** Craig A. Neugeboren  
Neugeboren O'Dowd PC  
1227 Spruce Stree  
Suite 200  
Boulder, COLORADO 80302  
UNITED STATES

**Phone:** 720-536-4900

**Fax:** 720-536-4910

**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [rene@nodiplaw.com](mailto:rene@nodiplaw.com)

**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Nov. 29, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Nov. 29, 2016	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	68335
Nov. 29, 2016	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	68335
Nov. 25, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68335
Sep. 13, 2016	TEAS SECTION 8 & 9 RECEIVED	
Sep. 13, 2016	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Aug. 08, 2015	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Nov. 30, 2012	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 30, 2012	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Aug. 25, 2012	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Aug. 25, 2012	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	75461
Aug. 25, 2012	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	75461
Aug. 07, 2012	TEAS SECTION 8 & 15 RECEIVED	
Jul. 16, 2010	REVIEW OF CORRESPONDENCE COMPLETE	67657



Jul. 16, 2010	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67657
Jun. 07, 2010	TEAS WITHDRAWAL OF ATTORNEY RECEIVED-FIRM RETAINS	
May 29, 2007	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
May 29, 2007	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Aug. 08, 2006	REGISTERED-PRINCIPAL REGISTER	
Jun. 20, 2006	LAW OFFICE REGISTRATION REVIEW COMPLETED	71466
Jun. 16, 2006	ASSIGNED TO LIE	71466
Jun. 09, 2006	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jun. 09, 2006	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 09, 2006	EXAMINERS AMENDMENT E-MAILED	
Jun. 09, 2006	SU-EXAMINER'S AMENDMENT WRITTEN	78553
May 02, 2006	STATEMENT OF USE PROCESSING COMPLETE	71280
May 02, 2006	EXTENSION 1 GRANTED	71280
Apr. 17, 2006	USE AMENDMENT FILED	71280
Apr. 17, 2006	EXTENSION 1 FILED	71280
Apr. 17, 2006	TEAS EXTENSION RECEIVED	
Apr. 17, 2006	TEAS STATEMENT OF USE RECEIVED	
Apr. 12, 2006	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Dec. 06, 2005	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Sep. 13, 2005	PUBLISHED FOR OPPOSITION	
Aug. 24, 2005	NOTICE OF PUBLICATION	
Aug. 01, 2005	LAW OFFICE PUBLICATION REVIEW COMPLETED	68123
Jul. 29, 2005	ASSIGNED TO LIE	68123
Jul. 27, 2005	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jul. 27, 2005	EXAMINER'S AMENDMENT ENTERED	88888
Jul. 27, 2005	EXAMINERS AMENDMENT E-MAILED	6328
Jul. 27, 2005	EXAMINERS AMENDMENT -WRITTEN	78553
Jun. 24, 2005	AMENDMENT FROM APPLICANT ENTERED	70138
Jun. 15, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	70138
Jun. 15, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Mar. 01, 2005	LETTER OF SUSPENSION E-MAILED	6332
Mar. 01, 2005	SUSPENSION LETTER WRITTEN	78553
Mar. 01, 2005	TEAS/EMAIL CORRESPONDENCE ENTERED	77075
Feb. 11, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	77075
Feb. 11, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Aug. 27, 2004	NON-FINAL ACTION E-MAILED	6325
Aug. 25, 2004	ASSIGNED TO EXAMINER	78553
Feb. 12, 2004	NEW APPLICATION ENTERED IN TRAM	

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## Maintenance Filings or Post Registration Information

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**Affidavit of Continued Use:** Section 8 - Accepted

**Affidavit of Incontestability:** Section 15 - Accepted

**Renewal Date:** Aug. 08, 2016

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## TM Staff and Location Information

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TM Staff Information - None

File Location

**Current Location:** GENERIC WEB UPDATE

**Date in Location:** Nov. 29, 2016

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## Assignment Abstract Of Title Information

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### Summary

**Total Assignments:** 5

**Registrant:** Ping Identity Corporation

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**Assignment 1 of 5**

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**Conveyance:** TRADEMARK GRANT OF SECURITY INTEREST

**Reel/Frame:** [3283/0209](#)

**Pages:** 9

**Date Recorded:** Apr. 05, 2006

**Supporting Documents:** [assignment-tm-3283-0209.pdf](#)

**Assignor**

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Mar. 06, 2006

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [HERCULES TECHNOLOGY GROWTH CAPITAL, INC.](#)

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** MARYLAND

**Address:** 525 UNIVERSITY AVENUE, SUITE 700  
PALO ALTO, CALIFORNIA 94301

**Correspondent**

**Correspondent Name:** IP RESEARCH PLUS, INC.

**Correspondent Address:** 21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Domestic Representative - Not Found**

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**Assignment 2 of 5**

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**Conveyance:** RELEASE BY SECURED PARTY

**Reel/Frame:** [5822/0498](#)

**Pages:** 5

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0498.pdf](#)

**Assignor**

**Name:** [HERCULES TECHNOLOGY GROWTH CAPITAL, INC.](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** MARYLAND

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** SUSAN ZABLOCKI

**Correspondent Address:** KIRKLAND & ELLIS LLP  
601 LEXINGTON AVENUE  
NEW YORK, NY 10022

**Domestic Representative - Not Found**

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**Assignment 3 of 5**

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**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

**Assignor**

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country:** DELAWARE

Where Organized:

Assignee

Name: [GUGGENHEIM CORPORATE FUNDING, LLC](#)  
Legal Entity Type: LIMITED LIABILITY COMPANY State or Country: DELAWARE  
Where Organized:  
Address: 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

Correspondent

Correspondent Name: CHRISTINE DIONNE C/O PAUL HASTINGS LLP  
Correspondent Address: 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

Domestic Representative - Not Found

Assignment 4 of 5

Conveyance: SECURITY INTEREST  
Reel/Frame: [6257/0246](#) Pages: 8  
Date Recorded: Jan. 25, 2018  
Supporting Documents: [assignment-tm-6257-0246.pdf](#)

Assignor

Name: [PING IDENTITY CORPORATION](#) Execution Date: Jan. 25, 2018  
Legal Entity Type: CORPORATION State or Country: DELAWARE  
Where Organized:

Assignee

Name: [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)  
Legal Entity Type: STATE CHARTERED BANK State or Country: NEW YORK  
Where Organized:  
Address: 200 WEST STREET  
NEW YORK, NEW YORK 10282

Correspondent

Correspondent Name: GAYLE D. GROCKE C/O LATHAM & WATKINS LLP  
Correspondent Address: 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

Domestic Representative - Not Found

Assignment 5 of 5

Conveyance: RELEASE BY SECURED PARTY  
Reel/Frame: [6257/0615](#) Pages: 5  
Date Recorded: Jan. 25, 2018  
Supporting Documents: [assignment-tm-6257-0615.pdf](#)

Assignor

Name: [GUGGENHEIM CORPORATE FUNDING, LLC](#) Execution Date: Jan. 25, 2018  
Legal Entity Type: LIMITED LIABILITY COMPANY State or Country: DELAWARE  
Where Organized:

Assignee

Name: [PING IDENTITY CORPORATION](#)  
Legal Entity Type: CORPORATION State or Country: DELAWARE  
Where Organized:  
Address: 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

Correspondent

Correspondent Name: ROHANI MAHYERA, ESQ.

**Correspondent** 200 PARK AVENUE, 28TH FLOOR  
**Address:** C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit B

**Generated on:** This page was generated by TSDR on 2018-08-06 14:09:33 EDT

**Mark:** PINGFEDERATE

PINGFEDERATE

**US Serial Number:** 78491229

**Application Filing Date:** Sep. 29, 2004

**US Registration Number:** 3017959

**Registration Date:** Nov. 22, 2005

**Register:** Principal

**Mark Type:** Trademark

**Status:** The registration has been renewed.

**Status Date:** Jan. 04, 2016

**Publication Date:** Aug. 30, 2005

---

## Mark Information

---

**Mark Literal Elements:** PINGFEDERATE

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 0963470

**International Application(s) /Registration(s) Based on this Property:** A0012105/0963470

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*.\* identify additional (new) wording in the goods/services.

**For:** Software that facilitates identity federation in the field of digital security and identity verification

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Oct. 26, 2004

**Use in Commerce:** Oct. 26, 2004

---

## Basis Information (Case Level)

---

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

**Filed 44E:** No

**Currently 44E:** No

**Amended 44E:** No

Filed 66A: No  
Filed No Basis: No

Currently 66A: No  
Currently No Basis: No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation  
**Owner Address:** 1001 17th Street  
Suite 100  
Denver, COLORADO 80202  
UNITED STATES  
**Legal Entity Type:** CORPORATION  
**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig A. Neugeboren  
**Docket Number:** 1229.301.US

### Correspondent

**Correspondent Name/Address:** Craig A. Neugeboren  
Neugeboren O'Dowd PC  
1227 Spruce Street  
Suite 200  
Boulder, COLORADO 80302  
UNITED STATES  
**Phone:** 720-536-4900  
**Fax:** 720-536-4910  
**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [sarah@nodiplay.com](mailto:sarah@nodiplay.com)  
**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Jan. 04, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Jan. 04, 2016	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	64591
Jan. 04, 2016	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	64591
Jan. 04, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	64591
Oct. 26, 2015	TEAS SECTION 8 & 9 RECEIVED	
Oct. 26, 2015	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Nov. 30, 2012	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 30, 2012	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Nov. 30, 2011	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Nov. 30, 2011	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	64591
Nov. 30, 2011	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	64591
Oct. 20, 2011	TEAS SECTION 8 & 15 RECEIVED	
Jul. 16, 2010	REVIEW OF CORRESPONDENCE COMPLETE	67657
Jul. 16, 2010	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67657
Jun. 07, 2010	TEAS WITHDRAWAL OF ATTORNEY RECEIVED-FIRM RETAINS	
May 29, 2007	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
May 29, 2007	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Apr. 12, 2006	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Nov. 22, 2005	REGISTERED-PRINCIPAL REGISTER	
Aug. 30, 2005	PUBLISHED FOR OPPOSITION	
Aug. 10, 2005	NOTICE OF PUBLICATION	
May 12, 2005	LAW OFFICE PUBLICATION REVIEW COMPLETED	76538
May 06, 2005	ASSIGNED TO LIE	76538
May 02, 2005	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 02, 2005	USE AMENDMENT ACCEPTED	
May 02, 2005	ASSIGNED TO EXAMINER	67523

Nov. 09, 2004	APPLICANT AMENDMENT PRIOR TO EXAMINATION - ENTERED	76537
Dec. 06, 2004	AMENDMENT TO USE PROCESSING COMPLETE	71466
Nov. 09, 2004	USE AMENDMENT FILED	71466
Nov. 09, 2004	TEAS AMENDMENT OF USE RECEIVED	
Oct. 05, 2004	NEW APPLICATION ENTERED IN TRAM	

## Maintenance Filings or Post Registration Information

**Affidavit of Continued Use:** Section 8 - Accepted

**Affidavit of Incontestability:** Section 15 - Accepted

**Renewal Date:** Nov. 22, 2015

## TM Staff and Location Information

### TM Staff Information - None File Location

**Current Location:** GENERIC WEB UPDATE      **Date in Location:** Jan. 04, 2016

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 5      **Registrant:** Ping Identity Corporation

### Assignment 1 of 5

**Conveyance:** TRADEMARK GRANT OF SECURITY INTEREST

**Reel/Frame:** [3283/0209](#)      **Pages:** 9

**Date Recorded:** Apr. 05, 2006

**Supporting Documents:** [assignment-tm-3283-0209.pdf](#)

### Assignor

**Name:** [PING IDENTITY CORPORATION](#)      **Execution Date:** Mar. 06, 2006

**Legal Entity Type:** CORPORATION      **State or Country Where Organized:** DELAWARE

### Assignee

**Name:** [HERCULES TECHNOLOGY GROWTH CAPITAL, INC.](#)

**Legal Entity Type:** CORPORATION      **State or Country Where Organized:** MARYLAND

**Address:** 525 UNIVERSITY AVENUE, SUITE 700  
PALO ALTO, CALIFORNIA 94301

### Correspondent

**Correspondent Name:** IP RESEARCH PLUS, INC.

**Correspondent Address:** 21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

### Domestic Representative - Not Found

### Assignment 2 of 5

**Conveyance:** RELEASE BY SECURED PARTY

**Reel/Frame:** [5822/0498](#)      **Pages:** 5

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0498.pdf](#)

### Assignor

**Name:** [HERCULES TECHNOLOGY GROWTH CAPITAL, INC.](#)      **Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION      **State or Country Where Organized:** MARYLAND

**Assignee****Name:** [PING IDENTITY CORPORATION](#)**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202**Correspondent****Correspondent Name:** SUSAN ZABLOCKI**Correspondent Address:** KIRKLAND & ELLIS LLP  
601 LEXINGTON AVENUE  
NEW YORK, NY 10022**Domestic Representative - Not Found****Assignment 3 of 5****Conveyance:** SECURITY INTEREST**Reel/Frame:** [5822/0871](#)**Pages:** 7**Date Recorded:** Jun. 30, 2016**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)**Assignor****Name:** [PING IDENTITY CORPORATION](#)**Execution Date:** Jun. 30, 2016**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Assignee****Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)**Legal Entity Type:** LIMITED LIABILITY COMPANY**State or Country Where Organized:** DELAWARE**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017**Correspondent****Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166**Domestic Representative - Not Found****Assignment 4 of 5****Conveyance:** SECURITY INTEREST**Reel/Frame:** [6257/0246](#)**Pages:** 8**Date Recorded:** Jan. 25, 2018**Supporting Documents:** [assignment-tm-6257-0246.pdf](#)**Assignor****Name:** [PING IDENTITY CORPORATION](#)**Execution Date:** Jan. 25, 2018**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Assignee****Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)**Legal Entity Type:** STATE CHARTERED BANK**State or Country Where Organized:** NEW YORK**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282**Correspondent****Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP**Correspondent Address:** 330 N. WABASH AVENUE



**Address:** SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found**

**Assignment 5 of 5**

**Conveyance:** RELEASE BY SECURED PARTY

**Reel/Frame:** [6257/0615](#)

**Pages:** 5

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)

**Assignor**

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** ROHANI MAHYERA, ESQ.

**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit C

**Generated on:** This page was generated by TSDR on 2018-08-06 14:10:01 EDT

**Mark:** PINGONE

# PINGONE

**US Serial Number:** 85283640

**Application Filing Date:** Apr. 01, 2011

**US Registration Number:** 4313616

**Registration Date:** Apr. 02, 2013

**Register:** Principal

**Mark Type:** Trademark

**Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Status Date:** Apr. 02, 2013

**Publication Date:** Jul. 19, 2011

**Notice of Allowance Date:** Sep. 13, 2011

---

## Mark Information

---

**Mark Literal Elements:** PINGONE

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 1097397

**International Application(s) /Registration(s) Based on this Property:** A0026523/1097397

**Claimed Ownership of US Registrations:** 3017959, 3127602, 3336775 and others

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*.\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that facilitates identity federation in the field of digital security and identity verification

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Mar. 20, 2012

**Use in Commerce:** Mar. 20, 2012

---

## Basis Information (Case Level)

---

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

Filed 44E: No  
Filed 66A: No  
Filed No Basis: No

Currently 44E: No  
Currently 66A: No  
Currently No Basis: No

Amended 44E: No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation  
**Owner Address:** 1001 17th Street, Suite 100  
Denver, COLORADO 80202  
UNITED STATES  
**Legal Entity Type:** CORPORATION  
**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig A. Neugeboren  
**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)  
**Docket Number:** 1229.309.US  
**Attorney Email Authorized:** No

### Correspondent

**Correspondent Name/Address:** Craig A. Neugeboren  
Neugeboren O'Dowd PC  
1227 Spruce Stree  
Suite 200  
Boulder, COLORADO 80302  
UNITED STATES  
**Phone:** 720-536-4900  
**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)  
**Fax:** 720-536-4910  
**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Apr. 02, 2018	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Apr. 02, 2013	REGISTERED-PRINCIPAL REGISTER	
Feb. 27, 2013	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Feb. 26, 2013	LAW OFFICE REGISTRATION REVIEW COMPLETED	73296
Feb. 22, 2013	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Feb. 06, 2013	TEAS/EMAIL CORRESPONDENCE ENTERED	73296
Feb. 06, 2013	CORRESPONDENCE RECEIVED IN LAW OFFICE	73296
Feb. 04, 2013	ASSIGNED TO LIE	73296
Feb. 01, 2013	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 30, 2012	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 30, 2012	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
Oct. 02, 2012	NOTIFICATION OF NON-FINAL ACTION E-MAILED	
Oct. 02, 2012	NON-FINAL ACTION E-MAILED	
Oct. 02, 2012	SU - NON-FINAL ACTION - WRITTEN	76520
Sep. 18, 2012	STATEMENT OF USE PROCESSING COMPLETE	66230
Sep. 12, 2012	USE AMENDMENT FILED	66230
Sep. 12, 2012	TEAS STATEMENT OF USE RECEIVED	
Apr. 05, 2012	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Apr. 04, 2012	EXTENSION 1 GRANTED	66230
Mar. 13, 2012	EXTENSION 1 FILED	66230
Mar. 30, 2012	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Mar. 13, 2012	TEAS EXTENSION RECEIVED	
Sep. 13, 2011	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 19, 2011	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	

Jul. 19, 2011	PUBLISHED FOR OPPOSITION	
Jun. 14, 2011	LAW OFFICE PUBLICATION REVIEW COMPLETED	68658
Jun. 10, 2011	ASSIGNED TO LIE	68658
Jun. 08, 2011	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 08, 2011	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 08, 2011	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT -WRITTEN	76520
Jun. 07, 2011	ASSIGNED TO EXAMINER	76520
Apr. 07, 2011	NOTICE OF PSEUDO MARK MAILED	
Apr. 06, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 05, 2011	NEW APPLICATION ENTERED IN TRAM	

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Feb. 26, 2013

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

#### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

#### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

#### Domestic Representative - Not Found

### Assignment 2 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [6257/0246](#)

**Pages:** 8

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0246.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Assignee****Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)**Legal Entity Type:** STATE CHARTERED BANK**State or Country Where Organized:** NEW YORK**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282**Correspondent****Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP**Correspondent Address:** 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611**Domestic Representative - Not Found****Assignment 3 of 3****Conveyance:** RELEASE BY SECURED PARTY**Reel/Frame:** [6257/0615](#)**Pages:** 5**Date Recorded:** Jan. 25, 2018**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)**Assignor****Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)**Execution Date:** Jan. 25, 2018**Legal Entity Type:** LIMITED LIABILITY COMPANY**State or Country Where Organized:** DELAWARE**Assignee****Name:** [PING IDENTITY CORPORATION](#)**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202**Correspondent****Correspondent Name:** ROHANI MAHYERA, ESQ.**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116**Domestic Representative - Not Found**

# Exhibit D

**Generated on:** This page was generated by TSDR on 2018-08-06 14:10:31 EDT

**Mark:** PINGONE

# PINGONE

**US Serial Number:** 85283647

**Application Filing Date:** Apr. 01, 2011

**US Registration Number:** 4151402

**Registration Date:** May 29, 2012

**Register:** Principal

**Mark Type:** Service Mark

**Status:** A Sections 8 and 15 combined declaration has been accepted and acknowledged.

**Status Date:** Jun. 07, 2018

**Publication Date:** Jul. 19, 2011

**Notice of Allowance Date:** Sep. 13, 2011

---

## Mark Information

---

**Mark Literal Elements:** PINGONE

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 1097397

**International Application(s) /Registration(s) Based on this Property:** A0026523/1097397

**Claimed Ownership of US Registrations:** 3017959, 3127602, 3336775 and others

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*.\* identify additional (new) wording in the goods/services.

**For:** Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation in the field of digital security and identification

**International Class(es):** 042 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Nov. 15, 2011

**Use in Commerce:** Nov. 15, 2011

---

## Basis Information (Case Level)

---

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

Filed 44D: No  
Filed 44E: No  
Filed 66A: No  
Filed No Basis: No

Currently 44D: No  
Currently 44E: No  
Currently 66A: No  
Currently No Basis: No

Amended 44D: No  
Amended 44E: No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation  
**Owner Address:** 1001 17th Street, Suite 100  
Denver, COLORADO 80202  
UNITED STATES  
**Legal Entity Type:** CORPORATION  
**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig A. Neugeboren  
**Attorney Primary Email Address:** [craig@nodiplaw.com](mailto:craig@nodiplaw.com)  
**Docket Number:** 1229.310.US  
**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** Craig A. Neugeboren  
Neugeboren O'Dowd PC  
1227 Spruce Stree  
Suite 200  
Boulder, COLORADO 80302  
UNITED STATES  
**Phone:** 720-536-4900  
**Fax:** 720-536-4910  
**Correspondent e-mail:** [craig@nodiplaw.com](mailto:craig@nodiplaw.com)  
**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Jun. 07, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Jun. 07, 2018	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	67110
Jun. 07, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67110
May 24, 2018	TEAS SECTION 8 & 15 RECEIVED	
May 29, 2017	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Nov. 30, 2012	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 30, 2012	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
May 29, 2012	REGISTERED-PRINCIPAL REGISTER	
Apr. 21, 2012	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Apr. 20, 2012	LAW OFFICE REGISTRATION REVIEW COMPLETED	73797
Apr. 18, 2012	ASSIGNED TO LIE	73797
Apr. 17, 2012	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Apr. 05, 2012	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Apr. 04, 2012	STATEMENT OF USE PROCESSING COMPLETE	66230
Mar. 13, 2012	USE AMENDMENT FILED	66230
Apr. 04, 2012	EXTENSION 1 GRANTED	66230
Mar. 13, 2012	EXTENSION 1 FILED	66230
Mar. 30, 2012	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Mar. 13, 2012	TEAS EXTENSION RECEIVED	
Mar. 13, 2012	TEAS STATEMENT OF USE RECEIVED	
Sep. 13, 2011	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 19, 2011	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 19, 2011	PUBLISHED FOR OPPOSITION	

Jun. 14, 2011	LAW OFFICE PUBLICATION REVIEW COMPLETED	68658
Jun. 10, 2011	ASSIGNED TO LIE	68658
Jun. 08, 2011	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 08, 2011	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 08, 2011	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT -WRITTEN	76520
Jun. 07, 2011	ASSIGNED TO EXAMINER	76520
Apr. 07, 2011	NOTICE OF PSEUDO MARK MAILED	
Apr. 06, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 05, 2011	NEW APPLICATION ENTERED IN TRAM	

## Maintenance Filings or Post Registration Information

**Affidavit of Continued Use:** Section 8 - Accepted  
**Affidavit of Incontestability:** Section 15 - Accepted

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** TMO LAW OFFICE 110

**Date in Location:** Jun. 07, 2018

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

### Domestic Representative - Not Found

### Assignment 2 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [6257/0246](#)

**Pages:** 8

**Date Recorded:** Jan. 25, 2018



Supporting Documents: [assignment-tm-6257-0246.pdf](#)

**Assignor**

**Name:** [PING IDENTITY CORPORATION](#) **Execution Date:** Jan. 25, 2018  
**Legal Entity Type:** CORPORATION **State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)  
**Legal Entity Type:** STATE CHARTERED BANK **State or Country Where Organized:** NEW YORK  
**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282

**Correspondent**

**Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP  
**Correspondent Address:** 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found**

**Assignment 3 of 3**

**Conveyance:** RELEASE BY SECURED PARTY  
**Reel/Frame:** [6257/0615](#) **Pages:** 5  
**Date Recorded:** Jan. 25, 2018  
**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)

**Assignor**

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#) **Execution Date:** Jan. 25, 2018  
**Legal Entity Type:** LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)  
**Legal Entity Type:** CORPORATION **State or Country Where Organized:** DELAWARE  
**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** ROHANI MAHYERA, ESQ.  
**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit E

**Generated on:** This page was generated by TSDR on 2018-08-06 14:11:01 EDT

**Mark:** PINGONE

# PINGONE

**US Serial Number:** 85283644

**Application Filing Date:** Apr. 01, 2011

**US Registration Number:** 4151401

**Registration Date:** May 29, 2012

**Register:** Principal

**Mark Type:** Service Mark

**Status:** A Sections 8 and 15 combined declaration has been accepted and acknowledged.

**Status Date:** Jun. 07, 2018

**Publication Date:** Jul. 19, 2011

**Notice of Allowance Date:** Sep. 13, 2011

---

## Mark Information

---

**Mark Literal Elements:** PINGONE

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 1097397

**International Application(s) /Registration(s) Based on this Property:** A0026523/1097397

**Claimed Ownership of US Registrations:** 3017959, 3127602, 3336775 and others

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*.\* identify additional (new) wording in the goods/services.

**For:** Identity verification services, namely, providing user authentication between two or more entities in the field of digital security

**International Class(es):** 045 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Nov. 15, 2011

**Use in Commerce:** Nov. 15, 2011

---

## Basis Information (Case Level)

---

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation

**Owner Address:** 1001 17th Street, Suite 100  
Denver, COLORADO 80202  
UNITED STATES

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig A. Neugeboren

**Docket Number:** 1229.311.US

**Attorney Primary Email Address:** [craig@nodiplaw.com](mailto:craig@nodiplaw.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** Craig A. Neugeboren  
Neugeboren O'Dowd PC  
1227 Spruce Stree  
Suite 200  
Boulder, COLORADO 80302  
UNITED STATES

**Phone:** 720-536-4900

**Fax:** 720-536-4910

**Correspondent e-mail:** [craig@nodiplaw.com](mailto:craig@nodiplaw.com)

**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Jun. 07, 2018	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Jun. 07, 2018	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	67110
Jun. 07, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67110
May 24, 2018	TEAS SECTION 8 & 15 RECEIVED	
May 29, 2017	COURTESY REMINDER - SEC. 8 (6-YR) E-MAILED	
Nov. 30, 2012	ATTORNEY/DOM.REP.REVOKED AND/OR APPOINTED	
Nov. 30, 2012	TEAS REVOKE/APP/CHANGE ADDR OF ATTY/DOM REP RECEIVED	
May 29, 2012	REGISTERED-PRINCIPAL REGISTER	
Apr. 24, 2012	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Apr. 23, 2012	LAW OFFICE REGISTRATION REVIEW COMPLETED	66213
Apr. 18, 2012	ASSIGNED TO LIE	66213
Apr. 17, 2012	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Apr. 05, 2012	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Apr. 04, 2012	STATEMENT OF USE PROCESSING COMPLETE	66230
Mar. 13, 2012	USE AMENDMENT FILED	66230
Apr. 04, 2012	EXTENSION 1 GRANTED	66230
Mar. 13, 2012	EXTENSION 1 FILED	66230
Mar. 30, 2012	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Mar. 13, 2012	TEAS EXTENSION RECEIVED	
Mar. 13, 2012	TEAS STATEMENT OF USE RECEIVED	
Sep. 13, 2011	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jul. 19, 2011	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 19, 2011	PUBLISHED FOR OPPOSITION	
Jun. 14, 2011	LAW OFFICE PUBLICATION REVIEW COMPLETED	68658

Jun. 10, 2011	ASSIGNED TO LIE	68658
Jun. 08, 2011	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 08, 2011	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 08, 2011	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 08, 2011	EXAMINERS AMENDMENT -WRITTEN	76520
Jun. 07, 2011	ASSIGNED TO EXAMINER	76520
Apr. 07, 2011	NOTICE OF PSEUDO MARK MAILED	
Apr. 06, 2011	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 05, 2011	NEW APPLICATION ENTERED IN TRAM	

## Maintenance Filings or Post Registration Information

**Affidavit of Continued Use:** Section 8 - Accepted  
**Affidavit of Incontestability:** Section 15 - Accepted

## TM Staff and Location Information

### TM Staff Information - None File Location

**Current Location:** TMO LAW OFFICE 110

**Date in Location:** Jun. 07, 2018

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

### Domestic Representative - Not Found

### Assignment 2 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [6257/0246](#)

**Pages:** 8

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0246.pdf](#)

**Assignor**

**Name:** [PING IDENTITY CORPORATION](#) **Execution Date:** Jan. 25, 2018  
**Legal Entity Type:** CORPORATION **State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)  
**Legal Entity Type:** STATE CHARTERED BANK **State or Country Where Organized:** NEW YORK  
**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282

**Correspondent**

**Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP  
**Correspondent Address:** 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found****Assignment 3 of 3**

**Conveyance:** RELEASE BY SECURED PARTY  
**Reel/Frame:** [6257/0615](#) **Pages:** 5  
**Date Recorded:** Jan. 25, 2018  
**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)

**Assignor**

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#) **Execution Date:** Jan. 25, 2018  
**Legal Entity Type:** LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)  
**Legal Entity Type:** CORPORATION **State or Country Where Organized:** DELAWARE  
**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** ROHANI MAHYERA, ESQ.  
**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit F

**Generated on:** This page was generated by TSDR on 2018-08-06 14:11:36 EDT

**Mark:** PING IDENTITY

The logo for Ping Identity, featuring the words "Ping" and "Identity" stacked vertically in a white, sans-serif font against a black rectangular background.

**US Serial Number:** 85833729

**Application Filing Date:** Jan. 28, 2013

**US Registration Number:** 4417517

**Registration Date:** Oct. 15, 2013

**Register:** Principal

**Mark Type:** Trademark, Service Mark

**Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Status Date:** Oct. 15, 2013

**Publication Date:** Jul. 30, 2013

---

## Mark Information

---

**Mark Literal Elements:** PING IDENTITY

**Standard Character Claim:** No

**Mark Drawing Type:** 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

**Description of Mark:** The mark consists of a shaded rectangle with the words "Ping Identity" in the lower half of the rectangle.

**Color(s) Claimed:** Color is not claimed as a feature of the mark.

**Disclaimer:** "IDENTITY"

**Design Search Code(s):** 26.09.21 - Squares that are completely or partially shaded

---

## Related Properties Information

---

**International Registration Number:** 1174456

**International Application(s) /Registration(s) Based on this Property:** A0036975/1174456

**Claimed Ownership of US Registrations:** 3127602, 3453137, 3457300 and others

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that facilitates identity federation in the field of digital security and identity verification

**International** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class(es):****Class Status:** ACTIVE**Basis:** 1(a)**First Use:** Jan. 04, 2010**Use in Commerce:** Jan. 04, 2010**For:** Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation in the field of digital security and identification**International Class(es):** 042 - Primary Class**U.S Class(es):** 100, 101**Class Status:** ACTIVE**Basis:** 1(a)**First Use:** Jan. 04, 2010**Use in Commerce:** Jan. 04, 2010

## Basis Information (Case Level)

**Filed Use:** Yes**Currently Use:** Yes**Amended Use:** No**Filed ITU:** No**Currently ITU:** No**Amended ITU:** No**Filed 44D:** No**Currently 44D:** No**Amended 44D:** No**Filed 44E:** No**Currently 44E:** No**Amended 44E:** No**Filed 66A:** No**Currently 66A:** No**Filed No Basis:** No**Currently No Basis:** No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation**Owner Address:** Suite 100  
1001 17th Street  
Denver, COLORADO 80202  
UNITED STATES**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig Neugeboren**Docket Number:** 1229.312.US**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** CRAIG NEUGEBOREN  
NEUGEBOREN O'DOWD PC  
1227 SPRUCE ST STE 200  
BOULDER, COLORADO 80302-4829  
UNITED STATES**Phone:** 720-536-4900**Fax:** 720-536-4910**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [doCKET@neugeborenlaw.com](mailto:doCKET@neugeborenlaw.com)  
[aw.com](mailto:aw.com) [rene@neugeborenlaw.com](mailto:rene@neugeborenlaw.com)**Correspondent e-mail Authorized:** Yes**Domestic Representative - Not Found**

## Prosecution History

Date	Description	Proceeding Number
Oct. 15, 2013	REGISTERED-PRINCIPAL REGISTER	
Jul. 30, 2013	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 30, 2013	PUBLISHED FOR OPPOSITION	
Jul. 10, 2013	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jun. 24, 2013	LAW OFFICE PUBLICATION REVIEW COMPLETED	70138
Jun. 17, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	

Jun. 07, 2013	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jun. 06, 2013	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jun. 06, 2013	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 13, 2013	COMBINED EXAMINER'S AMENDMENT/PRIORITY ACTION ENTERED	70138
May 13, 2013	ASSIGNED TO LIE	70138
May 13, 2013	NOTIFICATION OF EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
May 13, 2013	EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
May 13, 2013	EXAMINERS AMENDMENT AND/OR PRIORITY ACTION - COMPLETED	90292
May 10, 2013	ASSIGNED TO EXAMINER	90292
Feb. 02, 2013	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Feb. 01, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 31, 2013	NEW APPLICATION ENTERED IN TRAM	

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Oct. 15, 2013

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

#### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

#### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

### Domestic Representative - Not Found

### Assignment 2 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [6257/0246](#)

**Pages:** 8

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0246.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE



**Assignee**

**Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)

**Legal Entity Type:** STATE CHARTERED BANK

**State or Country Where Organized:** NEW YORK

**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282

**Correspondent**

**Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP

**Correspondent Address:** 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found**

**Assignment 3 of 3**

**Conveyance:** RELEASE BY SECURED PARTY

**Reel/Frame:** [6257/0615](#)

**Pages:** 5

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)

**Assignor**

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** ROHANI MAHYERA, ESQ.

**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit H

**Generated on:** This page was generated by TSDR on 2018-08-06 14:12:31 EDT

**Mark:** PING IDENTITY



**US Serial Number:** 86605650  
**Application Filing Date:** Apr. 22, 2015  
**US Registration Number:** 5043691  
**Registration Date:** Sep. 20, 2016  
**Filed as TEAS RF:** Yes  
**Currently TEAS RF:** Yes  
**Register:** Principal  
**Mark Type:** Trademark, Service Mark  
**Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.  
**Status Date:** Sep. 20, 2016  
**Publication Date:** Jul. 05, 2016

---

## Mark Information

---

**Mark Literal Elements:** PING IDENTITY  
**Standard Character Claim:** No  
**Mark Drawing Type:** 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)  
**Description of Mark:** The mark consists of a shaded square with the words "PING IDENTITY" within the square.  
**Color(s) Claimed:** Color is not claimed as a feature of the mark.  
**Disclaimer:** "IDENTITY"  
**Design Search Code(s):** 26.09.21 - Squares that are completely or partially shaded

---

## Related Properties Information

---

**International Registration Number:** 1288623  
**International Application(s) /Registration(s) Based on this Property:** A0053298/1288623  
**Claimed Ownership of US Registrations:** 3017959, 3127602, 3327105

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that facilitates identity federation, multi-factor and strong authentication and access control for web,

mobile and application programmable interface (API)

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Dec. 19, 2013

**Use in Commerce:** Dec. 19, 2013

**For:** Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates identity federation, multi-factor and strong authentication, access control for web, mobile and application programmable interface (API)

**International Class(es):** 042 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Dec. 19, 2013

**Use in Commerce:** Dec. 19, 2013

**For:** Identity verification services, namely, providing user authentication between two or more entities in the field of digital security

**International Class(es):** 045 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Dec. 19, 2013

**Use in Commerce:** Dec. 19, 2013

## Basis Information (Case Level)

**Filed Use:** Yes

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** No

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

**Filed 44E:** No

**Currently 44E:** No

**Amended 44E:** No

**Filed 66A:** No

**Currently 66A:** No

**Filed No Basis:** No

**Currently No Basis:** No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation

**Owner Address:** Suite 100  
1001 17th Street  
Denver, COLORADO 80202  
UNITED STATES

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig Neugeboren

**Docket Number:** 1229.317.US

**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** CRAIG NEUGEBOREN  
Neugeboren O'Dowd Pc  
1227 Spruce St Ste 200  
Boulder, COLORADO 80302-4829  
UNITED STATES

**Phone:** 7205364900

**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [sarah@nodiplay.com](mailto:sarah@nodiplay.com)  
[trademarks@nodiplay.com](mailto:trademarks@nodiplay.com)

**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

## Prosecution History

Date	Description	Proceeding Number
Sep. 20, 2016	REGISTERED-PRINCIPAL REGISTER	
Jul. 05, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 05, 2016	PUBLISHED FOR OPPOSITION	
Jun. 15, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 28, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 25, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
May 24, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
May 24, 2016	TEAS REQUEST FOR RECONSIDERATION RECEIVED	
Feb. 11, 2016	NOTIFICATION OF FINAL REFUSAL EMAILED	
Feb. 11, 2016	FINAL REFUSAL E-MAILED	
Feb. 11, 2016	FINAL REFUSAL WRITTEN	76933
Jan. 21, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jan. 20, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jan. 20, 2016	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Aug. 05, 2015	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Aug. 05, 2015	NON-FINAL ACTION E-MAILED	6325
Aug. 05, 2015	NON-FINAL ACTION WRITTEN	76933
Jul. 31, 2015	ASSIGNED TO EXAMINER	76933
May 02, 2015	NOTICE OF DESIGN SEARCH CODE E-MAILED	
May 01, 2015	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 25, 2015	NEW APPLICATION ENTERED IN TRAM	

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Sep. 20, 2016

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country:** DELAWARE

**Where Organized:**

#### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country:** DELAWARE

**Where Organized:**

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

#### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

Domestic Representative - Not Found

Assignment 2 of 3

Conveyance: SECURITY INTEREST

Reel/Frame: [6257/0246](#)

Pages: 8

Date Recorded: Jan. 25, 2018

Supporting Documents: [assignment-tm-6257-0246.pdf](#)

Assignor

Name: [PING IDENTITY CORPORATION](#)

Execution Date: Jan. 25, 2018

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)

Legal Entity Type: STATE CHARTERED BANK

State or Country Where Organized: NEW YORK

Address: 200 WEST STREET  
NEW YORK, NEW YORK 10282

Correspondent

Correspondent Name: GAYLE D. GROCKE C/O LATHAM & WATKINS LLP

Correspondent Address: 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

Domestic Representative - Not Found

Assignment 3 of 3

Conveyance: RELEASE BY SECURED PARTY

Reel/Frame: [6257/0615](#)

Pages: 5

Date Recorded: Jan. 25, 2018

Supporting Documents: [assignment-tm-6257-0615.pdf](#)

Assignor

Name: [GUGGENHEIM CORPORATE FUNDING, LLC](#)

Execution Date: Jan. 25, 2018

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [PING IDENTITY CORPORATION](#)

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Address: 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

Correspondent

Correspondent Name: ROHANI MAHYERA, ESQ.

Correspondent Address: 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

Domestic Representative - Not Found

# Exhibit G

**Generated on:** This page was generated by TSDR on 2018-08-06 14:12:01 EDT

**Mark:** PINGACCESS

PingAccess

**US Serial Number:** 85879261

**Application Filing Date:** Mar. 18, 2013

**US Registration Number:** 4516137

**Registration Date:** Apr. 15, 2014

**Register:** Principal

**Mark Type:** Trademark

**Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Status Date:** Apr. 15, 2014

**Publication Date:** Jun. 18, 2013

**Notice of Allowance Date:** Aug. 13, 2013

---

## Mark Information

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**Mark Literal Elements:** PINGACCESS

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 1177682

**International Application(s) /Registration(s) Based on this Property:** A0037779/1177682

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that provides security and access control for web applications and services accessed by browsers, mobile devices, and other clients

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jun. 28, 2013

**Use in Commerce:** Jun. 28, 2013

---

## Basis Information (Case Level)

---

**Filed Use:** No

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** Yes

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

**Filed 44E:** No

**Currently 44E:** No

**Amended 44E:** No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation

**Owner Address:** Suite 100  
1001 17th Street  
Denver, COLORADO 80202  
UNITED STATES

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig Neugeboren

**Docket Number:** 1229.314.US

**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** CRAIG NEUGEBOREN  
NEUGEBOREN O'DOWD PC  
1227 SPRUCE ST STE 200  
BOULDER, COLORADO 80302-4829  
UNITED STATES

**Phone:** 720-536-4900

**Fax:** 720-536-4910

**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [docket@neugeborenlaw.com](mailto:docket@neugeborenlaw.com)

**Correspondent e-mail Authorized:** Yes

### Domestic Representative - Not Found

## Prosecution History

Date	Description	Proceeding Number
Apr. 15, 2014	REGISTERED-PRINCIPAL REGISTER	
Apr. 08, 2014	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Mar. 04, 2014	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Feb. 28, 2014	STATEMENT OF USE PROCESSING COMPLETE	65362
Jan. 29, 2014	USE AMENDMENT FILED	65362
Feb. 24, 2014	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Jan. 29, 2014	TEAS STATEMENT OF USE RECEIVED	
Aug. 13, 2013	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 18, 2013	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jun. 18, 2013	PUBLISHED FOR OPPOSITION	
May 29, 2013	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
May 13, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 10, 2013	ASSIGNED TO EXAMINER	90292
Mar. 22, 2013	NOTICE OF PSEUDO MARK E-MAILED	
Mar. 21, 2013	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Mar. 21, 2013	NEW APPLICATION ENTERED IN TRAM	

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Mar. 10, 2014

## Assignment Abstract Of Title Information

### Summary

Total Assignments: 3

Registrant: Ping Identity Corporation

**Assignment 1 of 3**

Conveyance: SECURITY INTEREST

Reel/Frame: [5822/0871](#)

Pages: 7

Date Recorded: Jun. 30, 2016

Supporting Documents: [assignment-tm-5822-0871.pdf](#)

**Assignor**

Name: [PING IDENTITY CORPORATION](#)

Execution Date: Jun. 30, 2016

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

**Assignee**

Name: [GUGGENHEIM CORPORATE FUNDING, LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

**Correspondent**

Correspondent Name: CHRISTINE DIONNE C/O PAUL HASTINGS LLP

Correspondent Address: 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

**Domestic Representative - Not Found**

**Assignment 2 of 3**

Conveyance: SECURITY INTEREST

Reel/Frame: [6257/0246](#)

Pages: 8

Date Recorded: Jan. 25, 2018

Supporting Documents: [assignment-tm-6257-0246.pdf](#)

**Assignor**

Name: [PING IDENTITY CORPORATION](#)

Execution Date: Jan. 25, 2018

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

**Assignee**

Name: [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)

Legal Entity Type: STATE CHARTERED BANK

State or Country Where Organized: NEW YORK

Address: 200 WEST STREET  
NEW YORK, NEW YORK 10282

**Correspondent**

Correspondent Name: GAYLE D. GROCKE C/O LATHAM & WATKINS LLP

Correspondent Address: 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found**

**Assignment 3 of 3**

Conveyance: RELEASE BY SECURED PARTY

Reel/Frame: [6257/0615](#)

Pages: 5

Date Recorded: Jan. 25, 2018

Supporting Documents: [assignment-tm-6257-0615.pdf](#)

**Assignor**

Name: [GUGGENHEIM CORPORATE FUNDING, LLC](#)

Execution Date: Jan. 25, 2018



**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country** DELAWARE  
**Where Organized:**

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)

**Legal Entity Type:** CORPORATION

**State or Country** DELAWARE  
**Where Organized:**

**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent** ROHANI MAHYERA, ESQ.  
**Name:**

**Correspondent** 200 PARK AVENUE, 28TH FLOOR  
**Address:** C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**

# Exhibit I

**Generated on:** This page was generated by TSDR on 2018-08-06 14:12:59 EDT

**Mark:** PINGID

# PingID

**US Serial Number:** 86605656

**Application Filing Date:** Apr. 22, 2015

**US Registration Number:** 4976216

**Registration Date:** Jun. 14, 2016

**Filed as TEAS RF:** Yes

**Currently TEAS RF:** Yes

**Register:** Principal

**Mark Type:** Trademark, Service Mark

**Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Status Date:** Jun. 14, 2016

**Publication Date:** Mar. 29, 2016

---

## Mark Information

---

**Mark Literal Elements:** PINGID

**Standard Character Claim:** Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

**Mark Drawing Type:** 4 - STANDARD CHARACTER MARK

---

## Related Properties Information

---

**International Registration Number:** 1276630

**International Application(s) /Registration(s) Based on this Property:** A0053299/1276630

**Claimed Ownership of US Registrations:** 3017959, 3127602, 3327105

---

## Goods and Services

---

**Note:** The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** Downloadable computer software that facilitates multi-factor authentication and strong authentication in the field of digital security and identity verification

**International Class(es):** 009 - Primary Class

**U.S Class(es):** 021, 023, 026, 036, 038

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jul. 20, 2014

**Use in Commerce:** Jul. 20, 2014

**For:** Software as a service (SAAS) services, namely, hosting non-downloadable computer software for use by others that facilitates multi-factor authentication and strong authentication in the field of digital security and identification

**International Class(es):** 042 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jul. 20, 2014

**Use in Commerce:** Jul. 20, 2014

**For:** Identity verification services, namely, providing user authentication between two or more entities in the field of digital security

**International Class(es):** 045 - Primary Class

**U.S Class(es):** 100, 101

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jul. 20, 2014

**Use in Commerce:** Jul. 20, 2014

## Basis Information (Case Level)

<b>Filed Use:</b> Yes	<b>Currently Use:</b> Yes	<b>Amended Use:</b> No
<b>Filed ITU:</b> No	<b>Currently ITU:</b> No	<b>Amended ITU:</b> No
<b>Filed 44D:</b> No	<b>Currently 44D:</b> No	<b>Amended 44D:</b> No
<b>Filed 44E:</b> No	<b>Currently 44E:</b> No	<b>Amended 44E:</b> No
<b>Filed 66A:</b> No	<b>Currently 66A:</b> No	
<b>Filed No Basis:</b> No	<b>Currently No Basis:</b> No	

## Current Owner(s) Information

**Owner Name:** Ping Identity Corporation

**Owner Address:** Suite 100  
1001 17th Street  
Denver, COLORADO 80202  
UNITED STATES

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

## Attorney/Correspondence Information

### Attorney of Record

**Attorney Name:** Craig Neugeboren

**Docket Number:** 1229.318.US

**Attorney Primary Email Address:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com)

**Attorney Email Authorized:** Yes

### Correspondent

**Correspondent Name/Address:** CRAIG NEUGEBOREN  
Neugeboren O'Dowd Pc  
1227 Spruce St Ste 200  
Boulder, COLORADO 80302-4829  
UNITED STATES

**Phone:** 7205364900

**Correspondent e-mail:** [craig@neugeborenlaw.com](mailto:craig@neugeborenlaw.com) [sarah@nodiplay.com](mailto:sarah@nodiplay.com)  
[trademarks@nodiplay.com](mailto:trademarks@nodiplay.com)

**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

## Prosecution History

Date	Description	Proceeding Number
Jun. 14, 2016	REGISTERED-PRINCIPAL REGISTER	
Mar. 29, 2016	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Mar. 29, 2016	PUBLISHED FOR OPPOSITION	
Mar. 09, 2016	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Feb. 23, 2016	LAW OFFICE PUBLICATION REVIEW COMPLETED	68123
Feb. 10, 2016	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 08, 2016	TEAS/EMAIL CORRESPONDENCE ENTERED	68123
Feb. 08, 2016	CORRESPONDENCE RECEIVED IN LAW OFFICE	68123

Feb. 08, 2016	ASSIGNED TO LIE	68123
Jan. 29, 2016	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Aug. 05, 2015	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Aug. 05, 2015	NON-FINAL ACTION E-MAILED	6325
Aug. 05, 2015	NON-FINAL ACTION WRITTEN	76933
Jul. 31, 2015	ASSIGNED TO EXAMINER	76933
May 02, 2015	NOTICE OF PSEUDO MARK E-MAILED	
May 01, 2015	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Apr. 25, 2015	NEW APPLICATION ENTERED IN TRAM	

## TM Staff and Location Information

### TM Staff Information - None

#### File Location

**Current Location:** PUBLICATION AND ISSUE SECTION

**Date in Location:** Jun. 14, 2016

## Assignment Abstract Of Title Information

### Summary

**Total Assignments:** 3

**Registrant:** Ping Identity Corporation

### Assignment 1 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [5822/0871](#)

**Pages:** 7

**Date Recorded:** Jun. 30, 2016

**Supporting Documents:** [assignment-tm-5822-0871.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jun. 30, 2016

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

#### Assignee

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Address:** 330 MADISON AVENUE  
10TH FLOOR  
NEW YORK, NEW YORK 10017

#### Correspondent

**Correspondent Name:** CHRISTINE DIONNE C/O PAUL HASTINGS LLP

**Correspondent Address:** 200 PARK AVENUE  
28TH FLOOR  
NEW YORK, NY 10166

### Domestic Representative - Not Found

### Assignment 2 of 3

**Conveyance:** SECURITY INTEREST

**Reel/Frame:** [6257/0246](#)

**Pages:** 8

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0246.pdf](#)

#### Assignor

**Name:** [PING IDENTITY CORPORATION](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

#### Assignee

**Name:** [GOLDMAN SACHS BANK USA, AS COLLATERAL AGENT](#)

**Legal Entity Type:** STATE CHARTERED BANK

**State or Country:** NEW YORK

Where Organized:

**Address:** 200 WEST STREET  
NEW YORK, NEW YORK 10282

**Correspondent**

**Correspondent Name:** GAYLE D. GROCKE C/O LATHAM & WATKINS LLP

**Correspondent Address:** 330 N. WABASH AVENUE  
SUITE 2800  
CHICAGO, IL 60611

**Domestic Representative - Not Found**

**Assignment 3 of 3**

**Conveyance:** RELEASE BY SECURED PARTY

**Reel/Frame:** [6257/0615](#)

**Pages:** 5

**Date Recorded:** Jan. 25, 2018

**Supporting Documents:** [assignment-tm-6257-0615.pdf](#)

**Assignor**

**Name:** [GUGGENHEIM CORPORATE FUNDING, LLC](#)

**Execution Date:** Jan. 25, 2018

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

**Assignee**

**Name:** [PING IDENTITY CORPORATION](#)

**Legal Entity Type:** CORPORATION

**State or Country Where Organized:** DELAWARE

**Address:** 1001 17TH STREET, SUITE 100  
DENVER, COLORADO 80202

**Correspondent**

**Correspondent Name:** ROHANI MAHYERA, ESQ.

**Correspondent Address:** 200 PARK AVENUE, 28TH FLOOR  
C/O PAUL HASTINGS LLP  
NEW YORK, NY 10116

**Domestic Representative - Not Found**