

ESTTA Tracking number: **ESTTA893430**

Filing date: **04/30/2018**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

**Notice of Opposition**

Notice is hereby given that the following party opposes registration of the indicated application.

**Opposer Information**

Name	Liggett Group LLC
Granted to Date of previous extension	04/29/2018
Address	100 Maple Lane Mebane, NC 27302 UNITED STATES

Attorney information	Charlene A. Azema Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor Irvine, CA 92614 UNITED STATES Email: <a href="mailto:efiling@knobbe.com">efiling@knobbe.com</a> Phone: (949) 760-0404
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**Applicant Information**

Application No	87494004	Publication date	10/31/2017
Opposition Filing Date	04/30/2018	Opposition Period Ends	04/29/2018
Applicant	Reginald C. Barrett, Jr. P.O. Box 914 20 Laurel Hill Lane Amagansett, NY 11930 UNITED STATES		

**Goods/Services Affected by Opposition**

Class 034. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Cigarettes; Cigars; Tobacco; Tobacco, cigars and cigarettes
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**Grounds for Opposition**

Priority and likelihood of confusion	Trademark Act Section 2(d)
Other	Common law rights as asserted in the Notice of Opposition.

**Mark Cited by Opposer as Basis for Opposition**

U.S. Registration No.	1453454	Application Date	01/23/1987
Registration Date	08/18/1987	Foreign Priority	NONE

		Date	
Word Mark	GRAND PRIX		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 034. First use: First Use: 1987/01/12 First Use In Commerce: 1987/01/12 CIGARETTES		

Attachments	Notice of Opposition LIGGETT 101M.pdf(17059 bytes ) Exhibit A LIGGETT 101M.pdf(183927 bytes )
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Signature	/charlene a. azema/
Name	Charlene A. Azema
Date	04/30/2018

LIGGETT.101M

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

Liggett Group LLC,

Opposer,

v.

Reginald C. Barrett, Jr.,

Applicant.

Application No. 87/494,004

Opposition No. \_\_\_\_\_

**NOTICE OF OPPOSITION**

Commissioner for Trademarks  
P.O. Box 1451  
Alexandria, VA 22313-1451

Liggett Group LLC, a Delaware limited liability company, located and doing business at 100 Maple Lane, Mebane, NC 27302 (“Opposer”), believes that it will be damaged by the registration of U.S. Trademark Application Serial No. 87/494,004 (“Applicant’s Application”) for the mark GRAND SLAM (hereinafter, “GRAND SLAM”) in International Class 34, filed by Reginald C. Barrett, Jr., a United States citizen, having an address at P.O. Box 914, 20 Laurel Hill Lane, Amagansett, NY (“Applicant”), and therefore opposes the same.

A description of Applicant’s Application is as follows:

Mark	:	GRAND SLAM
Serial No.	:	87/494,004
Filed	:	June 17, 2017
Published	:	October 31, 2017
Goods	:	Cigarettes; Cigars; Tobacco; Tobacco, cigars and cigarettes in International Class 34
Int’l. Class	:	34
Filing Basis	:	Intent to Use

As grounds for opposition, it is alleged:

1. Opposer manufactures and sells tobacco products under several brands and has established a strong reputation in the marketplace in connection with its high quality products.
2. Since at least as early as January 12, 1987, and long before the filing date of Applicant’s

Application, Opposer has continuously used and promoted the GRAND PRIX® mark in interstate commerce in connection with cigarettes. By virtue of Opposer's continuous and substantial use, the GRAND PRIX® mark has become a well-known identifier of Opposer and its goods, and distinguishes Opposer's goods from the goods of others. As a result, Opposer has built up, at great expense and effort, valuable goodwill in the GRAND PRIX® mark.

3. Opposer is the owner of and relies upon United States Trademark Registration No. 1,453,454 for the mark GRAND PRIX for "cigarettes" in International Class 34 (the "'454 Registration"), which was registered on the Principal Register on April 18, 1987. The '454 Registration is based on an application filed in the U.S. Patent and Trademark Office ("PTO") on January 23, 1987, and claims a date of first use in commerce at least as early as January 12, 1987. Thus, the first use date, application date, and registration date of the mark shown in the '454 Registration are all prior to the filing date of Applicant's Application. True and correct copies of the specifics of the '454 Registration obtained from the PTO's TESS, TSDR, and Assignment databases are attached hereto as Exhibit A and made of record.

4. Opposer's '454 Registration is valid, subsisting, unrevoked, and uncanceled. As such, it constitutes *prima facie* evidence of the validity of the registered mark and of the registration thereof, of Opposer's ownership of the mark shown therein, and of Opposer's exclusive right to use the mark in commerce in connection with the goods named therein, without condition or limitation. Opposer's '454 Registration also constitutes notice to Applicant of Opposer's claim of ownership of the mark shown therein, as provided in Sections 7(b), 22, and 33(a) of the Trademark Act of 1946, as amended.

5. In addition to the protection afforded to Opposer by its '454 Registration, Opposer has extensive common law rights in the GRAND PRIX® mark for its goods throughout the United States. Opposer's common law rights in the GRAND PRIX® mark were established before and predate the filing date of Applicant's Application. Opposer's rights in the GRAND PRIX® mark are prior to and superior to Applicant's Application.

6. The mark shown in Applicant's Application is highly similar to the mark shown in Opposer's '454 Registration. Additionally, the goods identified in Applicant's Application are identical and related to the goods offered by Opposer and listed in Opposer's '454 Registration. As such, when the mark shown in Applicant's Application is used in connection with the goods identified in Applicant's

Application, it is likely to cause confusion, or to cause mistake, or to deceive the trade and purchasing public into believing that Applicant's goods originate with Opposer or are otherwise authorized, licensed, or sponsored by Opposer, within the meaning of Section 2(d) of the Lanham Act, 15 U.S.C. § 1052(d).

7. In view of Opposer's prior statutory and common law trademark rights, Applicant is not entitled to registration of the mark in Applicant's Application pursuant to Section 2(d) of the Lanham Act, 15 U.S.C. § 1052(d).

8. The mark shown in Applicant's Application so resembles the mark shown in Opposer's '454 Registration, previously filed in the PTO and previously used in the United States by Opposer and not abandoned, as to be likely, when used in connection with the goods of Applicant, to cause confusion, or to cause mistake, or to deceive, and therefore, Applicant is not entitled to registration of the mark in Applicant's Application pursuant to Section 43(a) of the Lanham Act, 15 U.S.C. § 1125(a).

9. By reason of all the foregoing, Opposer believes it will be damaged by the registration of Applicant's Application.

WHEREFORE, Opposer prays that Applicant's Application, U.S. Trademark Application Serial No. 87/494,004, be rejected and stricken, that no registration be issued thereon to Applicant, and that this opposition be sustained in favor of Opposer.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: April 30, 2018

By: /charlene a. azema/  
Lori Lee Yamato  
Charlene A. Azema  
2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614  
(949) 760-0404  
Attorneys for Opposer, Liggett Group LLC

28178717

CERTIFICATE OF SERVICE

I hereby certify that a true and complete copy of the foregoing **NOTICE OF OPPOSITION** has been served on Applicant's counsel by electronic mail on April 30, 2018, to the following persons:

George Likourezos  
[glikourezos@cdfsflaw.com](mailto:glikourezos@cdfsflaw.com)  
[docket@cdfsflaw.com](mailto:docket@cdfsflaw.com)

Pina M. Campagna  
[pcampagna@cdfsflaw.com](mailto:pcampagna@cdfsflaw.com)  
Carter, Deluca, Farrell & Schmidt, LLP  
445 Broad Hollow Road  
Suite 420  
Melville, New York 11747

/cheryl brunet/  
Cheryl Brunet, Paralegal

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TTAB Opposition No. \_\_\_\_\_  
Serial No. 87/494,004  
*Liggett Group LLC v. Reginald C. Barrett, Jr.*

# EXHIBIT A



**Trademarks > Trademark Electronic Search System (TESS)**

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**Typed Drawing**

<b>Word Mark</b>	GRAND PRIX
<b>Goods and Services</b>	IC 034. US 017. G & S: CIGARETTES. FIRST USE: 19870112. FIRST USE IN COMMERCE: 19870112
<b>Mark Drawing Code</b>	(1) TYPED DRAWING
<b>Serial Number</b>	73641310
<b>Filing Date</b>	January 23, 1987
<b>Current Basis</b>	1A
<b>Original Filing Basis</b>	1A
<b>Published for Opposition</b>	May 26, 1987
<b>Registration Number</b>	<b>1453454</b>
<b>Registration Date</b>	August 18, 1987
<b>Owner</b>	(REGISTRANT) LIGGETT GROUP INC. CORPORATION DELAWARE WEST MAIN AND FULLER STREETS DURHAM NORTH CAROLINA 27702  (LAST LISTED OWNER) LIGGETT GROUP LLC LIMITED LIABILITY COMPANY DELAWARE 100 MAPLE LANE MEBANE NORTH CAROLINA 27302
<b>Assignment Recorded</b>	ASSIGNMENT RECORDED
<b>Attorney of Record</b>	Victoria Evans
<b>Prior Registrations</b>	0772688
<b>Type of Mark</b>	TRADEMARK
<b>Register</b>	PRINCIPAL
<b>Affidavit Text</b>	SECT 8 (6-YR). SECTION 8(10-YR) 20170118.
<b>Renewal</b>	2ND RENEWAL 20170118
<b>Live/Dead Indicator</b>	LIVE

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TSDR now includes a Post Registration Maintenance Tab. When viewing a Registered mark, users will now find a new 3rd tab providing Post Registration information next to the "Status" and "Document" tabs, below the search text box. The tab will not appear if the mark is not registered.

**Trademark Status & Document Retrieval (TSDR) ?**

SEARCH MULTI-SEARCH ?

US Serial, Registration, or Reference No.  [Status](#) [Documents](#)

Status results found

[STATUS](#) [DOCUMENTS](#) [MAINTENANCE ?](#) [Download](#) [Print Preview](#)

**Generated on:** This page was generated by TSDR on 2018-04-30 17:02:19 EDT

**Mark:** GRAND PRIX No Image exists for this case.

**US Serial Number:** 73641310 **Application Filing Date:** Jan. 23, 1987

**US Registration Number:** 1453454 **Registration Date:** Aug. 18, 1987

**Register:** Principal

**Mark Type:** Trademark

**TM5 Common Status Descriptor:**  LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

**Status:** The registration has been renewed.

**Status Date:** Jan. 18, 2017

**Publication Date:** May 26, 1987

**Mark Information** [Collapse All](#)

**Mark Literal Elements:** GRAND PRIX

**Standard Character Claim:** No

**Mark Drawing Type:** 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

**Related Properties Information**

**Claimed Ownership of US Registrations:** [0772688](#)

**Goods and Services**

**Note:**  
The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

• Asterisks \*..\* identify additional (new) wording in the goods/services.

**For:** CIGARETTES

**International Class(es):** 034 - Primary Class

**U.S Class(es):** 017

**Class Status:** ACTIVE

**Basis:** 1(a)

**First Use:** Jan. 12, 1987

**Use in Commerce:** Jan. 12, 1987

▼ **Basis Information (Case Level)**

**Filed Use:** Yes

**Currently Use:** Yes

**Amended Use:** No

**Filed ITU:** No

**Currently ITU:** No

**Amended ITU:** No

**Filed 44D:** No

**Currently 44D:** No

**Amended 44D:** No

**Filed 44E:** No

**Currently 44E:** No

**Amended 44E:** No

**Filed 66A:** No

**Currently 66A:** No

**Filed No Basis:** No

**Currently No Basis:** No

▼ **Current Owner(s) Information**

**Owner Name:** LIGGETT GROUP LLC

**Owner Address:** 100 MAPLE LANE  
MEBANE, NORTH CAROLINA UNITED STATES 27302

**Legal Entity Type:** LIMITED LIABILITY COMPANY

**State or Country Where Organized:** DELAWARE

▼ **Attorney/Correspondence Information**

**Attorney of Record**

**Attorney Name:** Victoria Evans

**Attorney Primary Email Address:** [vspierevans@lvbrands.com](mailto:vspierevans@lvbrands.com)

**Attorney Email Authorized:** Yes

**Correspondent**

**Correspondent Name/Address:** Victoria Evans

Liggett Vector Brands LLC  
3800 Paramount Parkway, Suite 250  
3800 Paramount Parkway, Suite 250  
Morrisville, NORTH CAROLINA UNITED STATES 27560

**Phone:** 9199903590

**Fax:** 9199903590

**Correspondent e-mail:** [vspierevans@lvbrands.com](mailto:vspierevans@lvbrands.com)

**Correspondent e-mail Authorized:** Yes

**Domestic Representative - Not Found**

▼ **Prosecution History**

Date	Description	Proceeding Number
Mar. 08, 2017	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Mar. 01, 2017	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Jan. 18, 2017	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Jan. 18, 2017	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	71359
Jan. 18, 2017	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	71359
Jan. 17, 2017	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	71359

Nov. 08, 2016	TEAS SECTION 8 & 9 RECEIVED	
Feb. 20, 2013	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Sep. 19, 2007	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Aug. 27, 2007	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	67723
Aug. 27, 2007	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Aug. 22, 2007	ASSIGNMENT OF OWNERSHIP NOT UPDATED AUTOMATICALLY	
Aug. 07, 2007	ASSIGNED TO PARALEGAL	67723
Jul. 10, 2007	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Jul. 10, 2007	PAPER RECEIVED	
Mar. 14, 2007	CASE FILE IN TICRS	
Jan. 24, 2007	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Dec. 15, 1992	REGISTERED - SEC. 8 (6-YR) ACCEPTED	
Sep. 10, 1992	REGISTERED - SEC. 8 (6-YR) FILED	
Sep. 10, 1992	POST REGISTRATION ACTION CORRECTION	
Aug. 18, 1987	REGISTERED-PRINCIPAL REGISTER	
May 26, 1987	PUBLISHED FOR OPPOSITION	
Apr. 25, 1987	NOTICE OF PUBLICATION	
Mar. 12, 1987	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 11, 1987	ASSIGNED TO EXAMINER	64034

▼ **Maintenance Filings or Post Registration Information**

**Affidavit of Continued Use:** Section 8 - Accepted

**Renewal Date:** Aug. 18, 2017

▼ **TM Staff and Location Information**

**TM Staff Information - None**

**File Location**

**Current Location:** GENERIC WEB UPDATE

**Date in Location:** Jan. 18, 2017

▼ **Assignment Abstract Of Title Information - Click to Load**

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[Assignments on the Web](#) > [Trademark Query](#)**Trademark Assignment Abstract of Title****Total Assignments: 21**Serial #: [73641310](#)

Filing Dt: 01/23/1987

Reg #: [1453454](#)

Reg. Dt: 08/18/1987

Registrant: LIGGETT GROUP INC.

Mark: GRAND PRIX

**Assignment: 1**Reel/ Frame: [0679/0925](#)

Recorded: 11/08/1989

Pages: 0

Conveyance: SECURITY INTEREST

Assignor: [LIGGETT GROUP INC.](#)

Exec Dt: 10/27/1989

Entity Type: CORPORATION

Citizenship: DELAWARE

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: [UNITED STATES TRUST COMPANY OF NEW YORK](#)

Correspondent: PENNIE &amp; EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 2**Reel/ Frame: [0726/0050](#)

Recorded: 07/05/1990

Pages: 0

Conveyance: SECURITY INTEREST

Assignor: [CHESTERFIELD ASSETS INC.](#)

Exec Dt: 06/29/1990

Entity Type: CORPORATION

Citizenship: DELAWARE

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: [UNITED STATES TRUST COMPANY OF NEW YORK](#)

Correspondent: PENNIE &amp; EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 3**Reel/ Frame: [0726/0135](#)

Recorded: 07/05/1990

Pages: 0

Conveyance: SECURITY INTEREST

Assignor: [LIGGETT & MYERS TOBACCO COMPANY](#)

Exec Dt: 06/29/1990

Entity Type: CORPORATION

Citizenship: DELAWARE

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: [UNITED STATES TRUST COMPANY OF NEW YORK](#)

Correspondent: PENNIE &amp; EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 4**Reel/ Frame: [0739/0986](#)

Recorded: 07/05/1990

Pages: 0

**Conveyance:** SECURITY INTEREST**Assignor:** [EVE HOLDINGS INC.](#)**Exec Dt:** 06/29/1990**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [UNITED STATES TRUST COMPANY OF NEW YORK](#)**Entity Type:** UNKNOWN**Citizenship:** NONE**Correspondent:** PENNIE & EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 5****Reel/ Frame:** [0864/0523](#)**Recorded:** 04/08/1992**Pages:** 9**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** [UNITED STATES TRUST COMPANY OF NEW YORK](#)**Exec Dt:** 02/14/1991**Entity Type:** SEE DOCUMENT FOR DETAILS**Citizenship:** NONE**Assignee:** [LIGGETT & MYERS TOBACCO COMPANY](#)**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Formerly:** FORMERLY LIGGETT GROUP INC.

300 NORTH DUKE ST.

DURHAM, NORTH CAROLINA

**Correspondent:** PENNIE & EDMONDS

ATTN: DARREN SAUNDERS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 6****Reel/ Frame:** [0794/0562](#)**Recorded:** 06/12/1991**Pages:** 19**Conveyance:** AMENDED AND RESTATED CONFIRMATION AND GRANT OF SECURITY INTEREST. SEE DOCUMENT FOR DETAILS**Assignors:** [EVE HOLDINGS INC.](#)**Exec Dt:** 06/12/1991**Entity Type:** CORPORATION**Citizenship:** DELAWARE[BROOKE GROUP LIMITED](#)**Exec Dt:** 06/12/1991**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [UNITED STATES TRUST COMPANY OF NEW YORK](#)**Entity Type:** SEE DOCUMENT FOR DETAILS**Citizenship:** NONE**Correspondent:** PENNIE & EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036-2711

**Assignment: 7****Reel/ Frame:** [0864/0503](#)**Recorded:** 04/08/1992**Pages:** 11**Conveyance:** SECURITY INTEREST**Assignor:** [EVE HOLDINGS INC.](#)**Exec Dt:** 02/14/1992**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignees:** [BANKERS TRUST COMPANY](#)**Entity Type:** COMPANY**Citizenship:** NONE[FIRST UNION NATIONAL BANK OF NORTH CAROLINA](#)**Entity Type:** SEE DOCUMENT FOR DETAILS**Citizenship:** NONE**Correspondent:** PENNIE & EDMONDS

ATTN: DARREN SAUNDERS

1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 10036

**Assignment: 8****Reel/ Frame:** [0864/0514](#)**Recorded:** 04/08/1992**Pages:** 9**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** [UNITED STATES TRUST COMPANY OF NEW YORK](#)**Exec Dt:** 02/14/1992**Entity Type:** SEE DOCUMENT FOR DETAILS**Citizenship:** NONE**Assignee:** [EVE HOLDINGS INC.](#)**Entity Type:** CORPORATION**Citizenship:** DELAWARE

3411 SILVERSIDE RD.

WILMINGTON, DELAWARE

**Correspondent:** PENNIE & EDMONDS

ATTN: DARREN SAUNDERS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 9****Reel/ Frame:** [0864/0532](#)**Recorded:** 04/08/1992**Pages:** 10**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** [UNITED STATES TRUST COMPANY OF NEW YORK](#)**Exec Dt:** 02/14/1992**Entity Type:** SEE DOCUMENT FOR DETAILS**Citizenship:** NONE**Assignee:** [CHESTERFIELD ASSETS, INC.](#)**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Correspondent:** PENNIE & EDMONDS

ATTN: DARREN SAUNDERS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 10****Reel/ Frame:** [1876/0620](#)**Recorded:** 03/19/1999**Pages:** 11**Conveyance:** TERMINATION AND RELEASE OF SECURITY**Assignor:** [BANKERS TRUST COMPANY](#)**Exec Dt:** 03/01/1999**Entity Type:** CORPORATION**Citizenship:** NEW YORK**Assignee:** [EVE HOLDINGS, INC.](#)**Entity Type:** CORPORATION**Citizenship:** DELAWARE

100 S.E. SECOND STREET, 32ND FLOOR

MIAMI, FLORIDA 33131

**Correspondent:** MILBANK, TWEED, HADLEY MCCLOY

KERI A. CHRIST

1 CHASE MANHATTAN PLAZA, 47TH FLOOR

NEW YORK, NY 10005

**Assignment: 11****Reel/ Frame:** [3464/0826](#)**Recorded:** 01/03/2007**Pages:** 18**Conveyance:** ASSIGNS THE ENTIRE INTEREST**Assignor:** [EVE HOLDINGS INC.](#)**Exec Dt:** 01/01/2007**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [LIGGETT GROUP LLC](#)**Entity Type:** LTD. LIABILITY CO.



100 MAPLE LANE  
MEBANE, NORTH CAROLINA 27302

**Citizenship:** DELAWARE

**Correspondent:** VICTORIA SPIER EVANS  
SUITE 150  
ONE PARK DRIVE, P.O. BOX 13818  
RESEARCH TRIANGLE PARK, NORTH CAROLINA  
27709

### Assignment: 12

**Reel/ Frame:** [3603/0409](#) **Recorded:** 08/16/2007

**Pages:** 18

**Conveyance:** TRADEMARK SECURITY AND PLEDGE AGREEMENT

**Assignor:** [LIGGETT GROUP LLC](#)

**Exec Dt:** 08/16/2007

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Assignee:** [U.S. BANK NATIONAL ASSOCIATION](#)

**Entity Type:** NATIONAL BANKING  
ORGANIZATION

**Citizenship:** NONE

60 LIVINGSTON AVENUE  
ST. PAUL, MINNESOTA 55107

**Correspondent:** LATHAM & WATKINS LLP  
650 TOWN CENTER DRIVE, SUITE 2000  
COSTA MESA, CA 92626

### Assignment: 13

**Reel/ Frame:** [3618/0788](#) **Recorded:** 09/11/2007

**Pages:** 16

**Conveyance:** SECURITY AGREEMENT

**Assignor:** [LIGGETT GROUP LLC](#)

**Exec Dt:** 08/16/2007

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Assignee:** [WACHOVIA BANK, NATIONAL ASSOCIATION](#)

**Entity Type:** ASSOCIATION

**Citizenship:** UNITED STATES

1133 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036

**Correspondent:** HELEN M. LINEHAN  
230 PARK AVENUE  
OTTENBOURG, STEINDLER, HOUSTON & ROSEN P  
NEW YORK, NY 10169

### Assignment: 14

**Reel/ Frame:** [3619/0365](#) **Recorded:** 09/12/2007

**Pages:** 7

**Conveyance:** SECURITY INTEREST

**Assignor:** [EVE HOLDINGS, INC.](#)

**Exec Dt:** 08/16/2007

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Assignee:** [FIRST UNION NATIONAL BANK OF NORTH CAROLINA](#)

**Entity Type:** FEDERAL AGENCY

**Citizenship:** NONE

1133 AVENUE OF THE AMERICAS  
NEW YORK, NEW YORK 10036

**Correspondent:** ERIC REIMER  
340 MADISON AVENUE  
NEW YORK, NY 10173-1922

### Assignment: 15

**Reel/ Frame:** [4960/0099](#) **Recorded:** 02/12/2013

**Pages:** 13

**Conveyance:** RELEASE OF TRADEMARK SECURITY AGREEMENT [ AT REEL/ FRAME NO. 3603/0409]

**Assignor:** [U.S. BANK NATIONAL ASSOCIATION](#)

**Exec Dt:** 02/12/2013

**Entity Type:** NATIONAL BANKING  
ORGANIZATION

**Citizenship:** UNITED STATES

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Assignee:** [LIGGETT GROUP LLC](#)

100 MAPLE LANE

MEBANE, NORTH CAROLINA 27302

**Correspondent:** LATHAM & WATKINS LLP

650 TOWN CENTER DRIVE

SUITE 2000

COSTA MESA, CA 92626

## Assignment: 16

**Reel/ Frame:** [4960/0853](#)

**Recorded:** 02/12/2013

**Pages:** 12

**Conveyance:** TRADEMARK SECURITY AND PLEDGE AGREEMENT

**Assignor:** [LIGGETT GROUP LLC](#)

**Exec Dt:** 02/12/2013

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** UNITED STATES

**Assignee:** [U.S. BANK NATIONAL ASSOCIATION, AS COLLATERAL AGENT](#)

60 LIVINGSTON AVENUE

ST. PAUL, MINNESOTA 55107

**Correspondent:** LATHAM & WATKINS LLP

650 TOWN CENTER DRIVE, SUITE 2000

COSTA MESA, CA 92626

## Assignment: 17

**Reel/ Frame:** [5988/0299](#)

**Recorded:** 02/14/2017

**Pages:** 7

**Conveyance:** RELEASE OF TRADEMARK SECURITY AND PLEDGE AGREEMENT

**Assignor:** [U.S. BANK NATIONAL ASSOCIATION](#)

**Exec Dt:** 01/27/2017

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** NONE

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Assignee:** [LIGGETT GROUP LLC](#)

100 MAPLE LANE

MEBANE, NORTH CAROLINA 27302

**Correspondent:** LATHAM & WATKINS LLP

650 TOWN CENTER DRIVE, SUITE 2000

COSTA MESA, CA 92626

## Assignment: 18

**Reel/ Frame:** [5991/0255](#)

**Recorded:** 02/15/2017

**Pages:** 10

**Conveyance:** TRADEMARK SECURITY AND PLEDGE AGREEMENT

**Assignor:** [LIGGETT GROUP LLC](#)

**Exec Dt:** 01/27/2017

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** DELAWARE

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** UNITED STATES

**Assignee:** [U.S. BANK NATIONAL ASSOCIATION, AS COLLATERAL AGENT](#)

60 LIVINGSTON AVE.

EP-MN-ES3C

ST. PAUL, MINNESOTA 55107

**Correspondent:** LATHAM & WATKINS LLP

650 TOWN CENTER DRIVE, SUITE 2000

COSTA MESA, CA 92626

**Assignment: 19****Reel/ Frame:** [0726/0090](#)**Recorded:** 07/05/1990**Pages:** 0**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL**Assignor:** [LIGGETT GROUP INC.](#)**Exec Dt:** 00/00/0000**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [CHESTERFIELD ASSETS INC.](#)**Correspondent:** ELIZABETH LONG - PENNIE & EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 20****Reel/ Frame:** [0726/0100](#)**Recorded:** 07/05/1990**Pages:** 0**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL**Assignor:** [CHESTERFIELD ASSETS INC.](#)**Exec Dt:** 00/00/0000**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [LIGGETT & MYERS TOBACCO COMPANY](#)**Correspondent:** PENNIE & EDMONDS - ELIZABETH LONG

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

**Assignment: 21****Reel/ Frame:** [0726/0108](#)**Recorded:** 07/05/1990**Pages:** 0**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL**Assignor:** [LIGGETT & MYERS TOBACCO COMPANY](#)**Exec Dt:** 00/00/0000**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Entity Type:** CORPORATION**Citizenship:** DELAWARE**Assignee:** [EVE HOLDINGS INC.](#)

3411 SILVERSIDE ROAD

WILMINGTON, DELAWARE 19810

**Correspondent:** PENNIE & EDMONDS

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

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