

ESTTA Tracking number: **ESTTA892040**

Filing date: **04/24/2018**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

Opposer Information

Name	Universal City Studios LLC
Granted to Date of previous extension	04/25/2018
Address	100 Universal City Plaza1280/6 Universal City, CA 91608 UNITED STATES

Attorney information	Michael J. Rye Cantor Colburn LLP 20 Church Street 22nd Floor Hartford, CT 06103 UNITED STATES Email: mrye@cantorcolburn.com, tperkins@cantorcolburn.com, cmahler@cantorcolburn.com Phone: 8602862929
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Applicant Information

Application No	87434692	Publication date	12/26/2017
Opposition Filing Date	04/24/2018	Opposition Period Ends	04/25/2018
Applicant	tikker technologies llc c/o 800 Delaware Ave. Wilmington, DE 19801 UNITED STATES		

Goods/Services Affected by Opposition

Class 033. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Wine

Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
Dilution by blurring	Trademark Act Sections 2 and 43(c)
Dilution by tarnishment	Trademark Act Sections 2 and 43(c)

Marks Cited by Opposer as Basis for Opposition


U.S. Registration No.	1178108	Application Date	07/22/1980
Registration Date	11/17/1981	Foreign Priority	NONE

		Date	
Word Mark	FRANKENSTEIN		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 016. First use: First Use: 1980/02/21 First Use In Commerce: 1980/02/21 POSTERS [AND IRON-ON TRANSFERS]		

U.S. Registration No.	2057740	Application Date	03/25/1994
Registration Date	04/29/1997	Foreign Priority Date	NONE
Word Mark	FRANKENSTEIN		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 016. First use: First Use: 1991/04/00 First Use In Commerce: 1991/04/00 [decorative pencil-top ornaments, binders, note pads, pencils, pens, sticker albums, stickers, trading cards, decorative pencil-top ornaments, stationery-typeportfolios]</p> <p>Class 025. First use: First Use: 1979/09/00 First Use In Commerce: 1979/09/00 [pajamas, robes, coats and jackets,] shirts and tops ([tank,] T-shirts [, sleep-shirts]), sweatshirts and [sweat pants, shorts,] caps and hats, [gloves, suspenders, skirts, ties, trousers, jeans, hosiery, dresses, rompers, overalls, shoes, boots, Halloween costumes, slippers, sweatsuits,] sleepwear, underwear [and cloth bibs]</p>		

U.S. Registration No.	3681642	Application Date	03/25/1996
Registration Date	09/08/2009	Foreign Priority Date	NONE
Word Mark	FRANKENSTEIN		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 028. First use: First Use: 1996/01/31 First Use In Commerce: 1996/01/31 toys and games, namely, action figures and accessories therefor; [balloons; bathtub toys;] toy building blocks; dolls and accessories therefor; doll clothing; board games, card games; [play cosmeticsfor children;] costume masks; miniaturedie cast vehicles, toy airplanes and helicopters, battery operated remote controlled toy vehicles; flying disks; inflatable vinyl figures; jigsaw puzzles; kites; marbles; indoor slumber and play tents; plush toys; hand held puppets; sit-inand ride-on toy vehicles; train sets;[play shaving kits;] skateboards,[rollerskates;] toy banks; water squirting toys, toy pistols, target sets; [Christmas tree ornaments;] pinball and arcade game machines, hand held unit for playing electronic games</p>		

U.S. Application No.	87513435	Application Date	06/30/2017
Registration Date	NONE	Foreign Priority Date	NONE
Word Mark	FRANKENSTEIN		

Design Mark	
Description of Mark	NONE
Goods/Services	Class 033. First use: First Use: 2016/08/08 First Use In Commerce: 2016/08/08 Wine

Attachments	87513435#TMSN.png(bytes) Notice of Opposition - Frankenstien.pdf(501023 bytes)
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Signature	/Michael J. Rye/
Name	Michael J. Rye
Date	04/24/2018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

In the Matter of Trademark Application Serial No.	87434692
Filed:	May 3, 2017
Mark:	FRANKENSTEIN
Published in the Official Gazette:	January 17, 2017

Universal City Studios LLC,

Opposer,

v.

Tikker Technologies LLC,

Applicant.

Opposition No: _____

NOTICE OF OPPOSITION

In the matter of United States Trademark Application Serial No. 87434692 (the “Application”), filed on May 3, 2017, by Tikker Technologies LLC (“Applicant”), for registration of the mark FRANKENSTEIN (the “FRANKENSTEIN Mark”) in International Class 33, and published in the United States Patent and Trademark Office’s Official Gazette on December 26, 2017, Universal City Studios LLC (“Opposer” or “Universal”) believes it will be damaged by such registration, and hereby opposes the registration of the Application.

The grounds for the opposition are as follows:

A. Opposer Universal City Studios LLC

1. Opposer, Universal City Studios LLC, is a limited liability company organized under the laws of Delaware, with a principal place of business of 100 Universal City Plaza, 1280/6, Universal City, California, 91608.
2. Opposer is one of the world’s leading media and entertainment companies.

3. Universal owns and operates a valuable portfolio of news and entertainment television networks, a premier motion picture company, significant television production operations, a leading television stations group, world-renowned theme parks, and a suite of leading Internet-based businesses.

4. Universal owns all rights in and to in and to the 1931 motion picture *Frankenstein*, as well as the numerous spin-offs and sequels prompted by *Frankenstein*'s success, such as *Bride of Frankenstein*. This includes all of the original visual elements in relation to the "Frankenstein" character, including its neck bolts, facial scars, green skin tone, and other distinctive physical features.

5. "Frankenstein" is one of the most popular and well-recognized motion picture characters ever created, and is of immeasurable value to Universal. Moreover, the public associates that character exclusively with Universal.

6. Opposer owns both common law and federally-registered rights to its well-known and famous mark, FRANKENSTEIN ("Universal's FRANKENSTEIN Mark") used on and in connection with a variety of goods and services including, but not limited to motion pictures, motion-picture related merchandising, and related services and products ("Opposer's Goods and Services"). Opposer began using the FRANKENSTEIN Mark in commerce at least as early as 1931.

7. Opposer owns United States Trademark Registration No. 1178108 for the mark FRANKENSTEIN, filed on July 22, 1980, registered on November 17, 1981, covering goods in Class 16, and with a first use in commerce date of February 21, 1980. *See* attached Exhibit A, showing a true and correct copy of a printout from the United States Patent and Trademark Office's ("USPTO") Trademark Status and Document Retrieval ("TSDR") database.

8. Opposer owns United States Trademark Registration No. 2057740 for the mark FRANKENSTEIN, filed on March 25, 1994, registered on April 29, 1997, covering goods in Class 25, and with a first use in commerce date of September 1979. *See* attached Exhibit B, showing a true and correct copy of a printout from the USPTO's TSDR database.

9. Opposer owns United States Trademark Registration No. 3681642 for the mark FRANKENSTEIN, filed on March 25, 1996, registered on September 8, 2009, covering goods in Class 28, and with a first use in commerce date of January 31, 1996. *See* attached Exhibit C, showing a true and correct copy of a printout from the USPTO's TSDR database.

10. Opposer owns United States Trademark Application Serial No. 87513435 for the mark FRANKENSTEIN, filed on June 30, 2017, covering goods in Class 33, and with a first use in commerce date of August 8, 2016. On September 27, 2017, the USPTO suspended action on the application pending the disposition of the Application for the FRANKENSTEIN Mark. *See* attached Exhibit D, showing a true and correct copy of the Suspension Notice issued by the Examining Attorney.

11. Opposer's trademark registrations are now incontestable under 15 U.S.C. § 1065.

12. Universal's FRANKENSTEIN Mark is prominently featured in Opposer's advertising, marketing, and promotional materials for Opposer's Goods and Services, and in connection with Opposer's Goods and Services that are offered for purchase throughout the United States.

13. As a result of Opposer's substantially exclusive and continuous use of its FRANKENSTEIN Mark in connection with Opposer's Goods and Services, and having expended considerable effort and expense in promoting Opposer's Goods and Services associated with the FRANKENSTEIN Mark, Universal's FRANKENSTEIN Mark and the goods

and services bearing the FRANKENSTEIN Mark have come to be recognized as associated with Opposer and the FRANKENSTEIN Mark has developed substantial goodwill and a positive reputation among the industry, the trade, the media, and consumers. Universal's FRANKENSTEIN Mark has become one of Opposer's most valuable assets.

14. Universal's FRANKENSTEIN Mark distinguishes Opposer's Goods and Services from those of its competitors. As a result, relevant consumers readily recognize, associate, identify, and distinguish FRANKENSTEIN Mark and Opposer's Goods and Services from those of others.

15. Opposer, the FRANKENSTEIN Mark, and Opposer's Goods and Services are recognized throughout the United States by consumers.

16. Further, Opposer, the FRANKENSTEIN Mark, and Opposer's Goods and Services have been the subject of widespread attention from the media and the public and have been frequently featured in third-party news articles and in other media. For example, Universal is scheduled to release its latest *Bride of Frankenstein* motion picture in February of 2019.

17. As a result of *Frankenstein's* tremendous commercial success in the United States, Universal's FRANKENSTEIN Mark has become extremely well-known and famous. Therefore, the FRANKENSTEIN Mark is famous within the industry and the meaning of 15 U.S.C. § 1125(c).

B. Applicant Tikker Technologies LLC

18. On information and belief, Applicant is a limited liability company organized under the laws of Delaware with a principal place of business of 800 Delaware Avenue, Wilmington, Delaware 19801.

19. Applicant has no relationship with Opposer.

20. On or about May 3, 2017, Applicant filed the Application (Serial No. 87434692).

21. As published, the Application covers “wine” in Class 33.

22. The Application was filed without Opposer’s authorization, consent, or prior knowledge.

23. The Application was filed based on use in commerce under Section 1(a) of Lanham Act, 15 U.S.C. § 1051(a), claiming a first use in commerce date of May 3, 2017.

24. On November 6, 2017, the filing basis was amended to intent-to-use under Section 1(b) of the Lanham Act U.S.C. § 1051(b),

25. Universal’s FRANKENSTEIN Mark, including Opposer’s common law rights in Universal’s FRANKENSTEIN Mark, predates the Application and any claimed rights in the Application. Opposer therefore has rights that are senior to any rights that may be claimed by Applicant.

C. Opposer’s Claims Against the Application

COUNT I
LIKELIHOOD OF CONFUSION

26. Opposer incorporates paragraphs 1-25 by reference and realleges the same as if originally set forth herein.

27. There is a likelihood of confusion between Opposer’s FRANKENSTEIN Mark and the goods and services Opposer offers under the FRANKENSTEIN Mark, and the Applicant’s FRANKENSTEIN Mark and the goods Applicant offers under the FRANKENSTEIN Mark.

28. In particular, the Applicant’s FRANKENSTEIN Mark is identical to Universal’s FRANKENSTEIN Mark. Therefore, the Applicant’s FRANKENSTEIN Mark is confusingly similar to Universal’s FRANKENSTEIN Mark.

29. Further, Applicant intends to use the Applicant's FRANKENSTEIN Mark in connection with goods that are similar and related to the Opposer's Goods and Services, targeted to the same class of consumers as the Opposer's Goods and Services, intended to move within the same channels of trade as the Opposer's Goods and Services, and/or likely to be associated by consumers with Opposer.

30. As a result, any minor differences between the marks do not avoid a likelihood of confusion between the marks.

31. As a result of the similarity between the Applicant's FRANKENSTEIN Mark and Universal's FRANKENSTEIN Mark and the respective goods and services, registration of the Applicant's FRANKENSTEIN Mark would be likely to cause confusion, mistake, and/or deception of purchasers as to the source of the parties' respective goods and services, and, therefore, registration of the Applicant's FRANKENSTEIN Mark should be refused.

32. As a result of the similarity between the Applicant's FRANKENSTEIN Mark and Universal's FRANKENSTEIN Mark, registration of the Applicant's FRANKENSTEIN Mark would be likely to cause confusion, mistake, and/or deception as to (a) the affiliation, connection, or association between Opposer and Applicant, and/or (b) the origin, sponsorship, or approval of Applicant's goods and services by Opposer, and, therefore, registration of the Applicant's FRANKENSTEIN Mark should be refused.

33. By reason of the foregoing, Opposer is likely to be harmed by registration of Application Serial No. 87434692 for the Applicant's FRANKENSTEIN Mark.

COUNT II
DILUTION

34. Opposer incorporates paragraphs 1-33 by reference and realleges the same as if originally set forth herein.

35. Applicant applied for the FRANKENSTEIN Mark after Universal's FRANKENSTEIN Mark became famous.

36. Applicant's use of the FRANKENSTEIN Mark is likely to dilute the distinctive quality of Universal's FRANKENSTEIN Mark through blurring and/or tarnishment.

37. Therefore, registration of the FRANKENSTEIN Mark should be refused.

38. By reason of the foregoing, Opposer is likely to be harmed by registration of Application Serial No. 87434692 for the FRANKENSTEIN Mark.

WHEREFORE, Opposer Universal City Studios LLC respectfully requests that the Trademark Trial and Appeal Board sustain this opposition and refuse registration for United States Trademark Application Serial No. 87434692.

Respectfully submitted,

Universal City Studios LLC

Dated: April 24, 2018

By: /Michael J. Rye/
Michael J. Rye, Esq.
Tasia E. Perkins, Esq.
Cantor Colburn LLP
20 Church Street, 22nd Floor
Hartford, Connecticut 06103-3207
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mrye@cantorcolburn.com
tperkins@cantorcolburn.com

Attorneys for Universal City Studios LLC

EXHIBIT A

Generated on: This page was generated by TSDR on 2018-04-23 13:47:23 EDT

Mark: FRANKENSTEIN

US Serial Number: 73271294

Application Filing Date: Jul. 22, 1980

US Registration Number: 1178108

Registration Date: Nov. 17, 1981

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Dec. 05, 2011

Publication Date: Aug. 25, 1981

Mark Information

Mark Literal Elements: FRANKENSTEIN

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 09104910, 09361650

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: POSTERS [AND IRON-ON TRANSFERS]

International Class(es): 016 - Primary Class

U.S Class(es): 002, 005, 022, 023, 029, 037, 038, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Feb. 21, 1980

Use in Commerce: Feb. 21, 1980

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: UNIVERSAL CITY STUDIOS LLC

Owner Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CALIFORNIA 91608
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: ANNE B. NIELSEN

Attorney Primary Email Address: trademarks@nbcuni.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: ANNE B. NIELSEN
DreamWorks Animation L.L.C.
100 Universal City Plaza
Bldg. 1280 (6th Floor)
Universal City, CALIFORNIA 91608
UNITED STATES

Phone: 818-777-2698

Correspondent e-mail: docketing@nbcuni.com
gernez.owens@nbcuni.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 15, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Dec. 05, 2011	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	70131
Dec. 05, 2011	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	70131
Dec. 03, 2011	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	70131
Oct. 31, 2011	FAX RECEIVED	
Oct. 18, 2011	TEAS SECTION 8 & 9 RECEIVED	
Apr. 06, 2011	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Mar. 14, 2008	CASE FILE IN TICRS	
Jan. 09, 2002	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Jan. 09, 2002	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Oct. 22, 2001	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Jun. 20, 1988	REGISTERED - SEC. 8 (6-YR) ACCEPTED	
Nov. 16, 1987	REGISTERED - SEC. 8 (6-YR) FILED	
Nov. 17, 1981	REGISTERED-PRINCIPAL REGISTER	
Aug. 25, 1981	PUBLISHED FOR OPPOSITION	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Renewal Date: Nov. 17, 2011

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Dec. 05, 2011

Assignment Abstract Of Title Information

Summary

Total Assignments: 6

Registrant: UNIVERSAL CITY STUDIOS, INC.

Assignment 1 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2612/0149](#)

Pages: 28

Date Recorded: Nov. 13, 2002

Supporting Documents: [assignment-tm-2612-0149.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS, INC.](#)

Execution Date: May 01, 2002

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)

State or Country Where Organized: DELAWARE

Legal Entity Type: LIMITED LIABILITY COMPANY

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW - 6TH FLOOR
100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 2 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2617/0197](#)

Pages: 28

Date Recorded: Nov. 19, 2002

Supporting Documents: [assignment-tm-2617-0197.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Execution Date: May 02, 2002

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LP](#)

State or Country Where Organized: DELAWARE

Legal Entity Type: LIMITED PARTNERSHIP

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW-6TH FLOOR
100 UNIVERSITY CITY PLAZA
UNIVERSITY CITY, CA 91608

Domestic Representative - Not Found

Assignment 3 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2619/0801](#)

Pages: 27

Date Recorded: Nov. 20, 2002

Supporting Documents: [assignment-tm-2619-0801.pdf](#)

Documents: _____

Assignor

Name: [UNIVERSAL CITY STUDIOS LP](#)
Legal Entity Type: LIMITED PARTNERSHIP
Execution Date: May 02, 2002
State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP
Address: 100 UNIVERSAL CITY PLAZA
UNIVERSITY CITY, CALIFORNIA 91608
State or Country Where Organized: DELAWARE

Correspondent

Correspondent Name: ANNE B. NIELSEN
Correspondent Address: LRW - 6TH FLOOR
100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 4 of 6

Conveyance: SECURITY INTEREST
Reel/Frame: [2584/0001](#) **Pages:** 224
Date Recorded: Dec. 06, 2002
Supporting Documents: [assignment-tm-2584-0001.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP
Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL HOME VIDEO LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP
Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS HOME VIDEO LLC](#)
Legal Entity Type: LIMITED LIABILITY COMPANY
Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS TELEVISION ENTERPRISES LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP
Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)
Legal Entity Type: BANK
Address: LIEN PERFECTION UNIT
P.O. BOX 2558
HOUSTON, TEXAS 77252
State or Country Where Organized: No Place Where Organized Found

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.
Correspondent Address: JACKIE LEE
1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 5 of 6

Conveyance: RELEASE OF SECURITY AGREEMENT
Reel/Frame: [3058/0163](#) **Pages:** 63
Date Recorded: Aug. 31, 2004

Supporting Documents: [assignment-tm-3058-0163.pdf](#)

Assignor

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)

Execution Date: Jul. 28, 2004

Legal Entity Type: BANK

State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP ETAL](#)

Legal Entity Type: LIMITED LIABILITY LP/LLC

State or Country Where Organized: No Place Where Organized Found

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.

Correspondent Address: JACKIE LEE
1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 6 of 6

Conveyance: MERGER

Reel/Frame: [4515/0202](#)

Pages: 13

Date Recorded: Apr. 01, 2011

Supporting Documents: [assignment-tm-4515-0202.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)

Execution Date: Jan. 21, 2011

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

EXHIBIT B

Generated on: This page was generated by TSDR on 2018-04-23 13:50:16 EDT

Mark: FRANKENSTEIN

US Serial Number: 74505230

Application Filing Date: Mar. 25, 1994

US Registration Number: 2057740

Registration Date: Apr. 29, 1997

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Apr. 22, 2017

Publication Date: Aug. 01, 1995

Notice of Allowance Date: Oct. 24, 1995

Mark Information

Mark Literal Elements: FRANKENSTEIN

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 1178108

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: [decorative pencil-top ornaments, binders, note pads, pencils, pens, sticker albums, stickers, trading cards, decorative pencil-top ornaments, stationery-type portfolios]

International Class(es): 016 - Primary Class

U.S Class(es): 002, 005, 022, 023, 029, 037, 038, 050

Class Status: SECTION 8 - CANCELLED

Basis: 1(a)

First Use: Apr. 1991

Use in Commerce: Apr. 1991

For: [pajamas, robes, coats and jackets,] shirts and tops ([tank,] T-shirts [, sleepshirts]), sweatshirts and [sweat pants, shorts,] caps and hats, [gloves, suspenders, skirts, ties, trousers, jeans, hosiery, dresses, rompers, overalls, shoes, boots, Halloween costumes, slippers, sweatsuits,] sleepwear, underwear [and cloth bibs]

International Class(es): 025 - Primary Class

U.S Class(es): 022, 039

Class Status: ACTIVE

Basis: 1(a)

First Use: Sep. 1979

Use in Commerce: Sep. 1979

Basis Information (Case Level)

Filed Use: No	Currently Use: Yes	Amended Use: No
Filed ITU: Yes	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

Current Owner(s) Information

Owner Name: UNIVERSAL CITY STUDIOS LLC
Owner Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608
UNITED STATES
Legal Entity Type: LIMITED LIABILITY COMPANY
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Monique Cheng Joe
Attorney Primary Email Address: trademarks@nbcuni.com
Docket Number: 5120.009440
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Monique Cheng Joe
DreamWorks Animation L.L.C.
100 Universal City Plaza
Bldg. 1280 (6th Floor)
Universal City, CALIFORNIA 91608
UNITED STATES
Phone: 818-777-2698
Correspondent e-mail: docketing@nbcuni.com
gemez.owens@nbcuni.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 15, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 22, 2017	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Apr. 22, 2017	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	66607
Apr. 22, 2017	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	66607
Apr. 20, 2017	TEAS SECTION 8 & 9 RECEIVED	
Apr. 06, 2011	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jun. 04, 2007	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	66607
Jun. 04, 2007	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
May 24, 2007	ASSIGNED TO PARALEGAL	66607
Apr. 30, 2007	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Apr. 30, 2007	PAPER RECEIVED	
Dec. 13, 2006	CASE FILE IN TICRS	
Sep. 30, 2002	REGISTERED - PARTIAL SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
May 13, 2002	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
May 13, 2002	PAPER RECEIVED	
Apr. 29, 1997	REGISTERED-PRINCIPAL REGISTER	
Dec. 23, 1996	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	

Dec. 05, 1996 STATEMENT OF USE PROCESSING COMPLETE
 Dec. 05, 1996 EXTENSION 2 GRANTED
 Oct. 15, 1996 USE AMENDMENT FILED
 Oct. 15, 1996 EXTENSION 2 FILED
 Jul. 27, 1996 EXTENSION 1 GRANTED
 Apr. 24, 1996 EXTENSION 1 FILED
 Oct. 24, 1995 NOA MAILED - SOU REQUIRED FROM APPLICANT
 Aug. 01, 1995 PUBLISHED FOR OPPOSITION
 Jun. 30, 1995 NOTICE OF PUBLICATION
 Apr. 14, 1995 APPROVED FOR PUB - PRINCIPAL REGISTER
 Mar. 15, 1995 CORRESPONDENCE RECEIVED IN LAW OFFICE
 Apr. 06, 1995 PREVIOUS ALLOWANCE COUNT WITHDRAWN
 Apr. 03, 1995 APPROVED FOR PUB - PRINCIPAL REGISTER
 Mar. 14, 1995 CORRESPONDENCE RECEIVED IN LAW OFFICE
 Sep. 15, 1994 NON-FINAL ACTION MAILED
 Aug. 10, 1994 ASSIGNED TO EXAMINER

66292

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted
Affidavit of Incontestability: Section 15 - Accepted
Renewal Date: Apr. 29, 2017
Change in Registration: Yes

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Apr. 22, 2017

Assignment Abstract Of Title Information

Summary

Total Assignments: 6

Registrant: Universal City Studios, Inc.

Assignment 1 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2612/0149](#)

Pages: 28

Date Recorded: Nov. 13, 2002

Supporting Documents: [assignment-tm-2612-0149.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS, INC.](#)

Execution Date: May 01, 2002

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
 UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent: LRW - 6TH FLOOR

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 2 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2617/0197](#)

Pages: 28

Date Recorded: Nov. 19, 2002

Supporting Documents: [assignment-tm-2617-0197.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Execution Date: May 02, 2002

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LP](#)

Legal Entity Type: LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW-6TH FLOOR
100 UNIVERSITY CITY PLAZA
UNIVERSITY CITY, CA 91608

Domestic Representative - Not Found

Assignment 3 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2619/0801](#)

Pages: 27

Date Recorded: Nov. 20, 2002

Supporting Documents: [assignment-tm-2619-0801.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LP](#)

Execution Date: May 02, 2002

Legal Entity Type: LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP](#)

Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSITY CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW - 6TH FLOOR
100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 4 of 6

Conveyance: SECURITY INTEREST

Reel/Frame: [2584/0001](#)

Pages: 224

Date Recorded: Dec. 06, 2002

Supporting Documents: [assignment-tm-2584-0001.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL HOME VIDEO LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS HOME VIDEO LLC](#)
Legal Entity Type: LIMITED LIABILITY COMPANY

Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS TELEVISION ENTERPRISES LLLP](#)
Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

Execution Date: Nov. 25, 2002
State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)
Legal Entity Type: BANK

State or Country Where Organized: No Place Where Organized Found

Address: LIEN PERFECTION UNIT
P.O. BOX 2558
HOUSTON, TEXAS 77252

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.

Correspondent Address: JACKIE LEE
1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 5 of 6

Conveyance: RELEASE OF SECURITY AGREEMENT

Reel/Frame: [3058/0163](#)

Pages: 63

Date Recorded: Aug. 31, 2004

Supporting Documents: [assignment-tm-3058-0163.pdf](#)

Assignor

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)
Legal Entity Type: BANK

Execution Date: Jul. 28, 2004
State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP ETAL](#)
Legal Entity Type: LIMITED LIABILITY LP/LLC

State or Country Where Organized: No Place Where Organized Found

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.

Correspondent Address: JACKIE LEE
1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 6 of 6

Conveyance: MERGER

Reel/Frame: [4515/0202](#)

Pages: 13

Date Recorded: Apr. 01, 2011

Supporting Documents: [assignment-tm-4515-0202.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)
Legal Entity Type: LIMITED LIABILITY COMPANY
Execution Date: Jan. 21, 2011
State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)
Legal Entity Type: LIMITED LIABILITY COMPANY
Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CALIFORNIA 91608
State or Country Where Organized: DELAWARE

Correspondent

Correspondent Name: ANNE B. NIELSEN
Correspondent Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

EXHIBIT C

Generated on: This page was generated by TSDR on 2018-04-23 13:51:55 EDT

Mark: FRANKENSTEIN

US Serial Number: 75077987 **Application Filing Date:** Mar. 25, 1996
US Registration Number: 3681642 **Registration Date:** Sep. 08, 2009
Register: Supplemental
Mark Type: Trademark
Amended to Principal Register: No **Date Amended to Current Register:** Jul. 15, 2009
Status: A Section 8 declaration has been accepted.
Status Date: Sep. 19, 2015

Mark Information

Mark Literal Elements: FRANKENSTEIN
Standard Character Claim: No
Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 1178108

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: toys and games, namely, action figures and accessories therefor; [balloons; bathtub toys;] toy building blocks; dolls and accessories therefor; doll clothing; board games, card games; [play cosmetics for children;] costume masks; miniature die cast vehicles, toy airplanes and helicopters, battery operated remote controlled toy vehicles; flying disks; inflatable vinyl figures; jigsaw puzzles; kites; marbles; indoor slumber and play tents; plush toys; hand held puppets; sit-in and ride-on toy vehicles; train sets;[play shaving kits;] skateboards,[rollerskates;] toy banks; water squirting toys, toy pistols, target sets; [Christmas tree ornaments;] pinball and arcade game machines, hand held unit for playing electronic games

International Class(es): 028 - Primary Class

U.S Class(es): 022, 023, 038, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 31, 1996

Use in Commerce: Jan. 31, 1996

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

Current Owner(s) Information

Owner Name: UNIVERSAL CITY STUDIOS LLC

Owner Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CALIFORNIA 91608
UNITED STATES

Legal Entity Type: LIMITED LIABILITY COMPANY **State or Country Where Organized:** DELAWARE

Attorney/Correspondence Information

**Attorney of Record - None
Correspondent**

Correspondent Name/Address: TARA RILEY
DreamWorks Animation L.L.C.
100 Universal City Plaza
Bldg. 1280 (6th Floor)
Universal City, CALIFORNIA 91608
UNITED STATES

Phone: 818-777-2698

Correspondent e-mail: docketing@nbcuni.com
germez.owens@nbcuni.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 15, 2018	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Sep. 19, 2015	NOTICE OF ACCEPTANCE OF SEC. 8 - E-MAILED	
Sep. 19, 2015	REGISTERED - SEC. 8 (6-YR) ACCEPTED	66607
Sep. 19, 2015	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	66607
Sep. 03, 2015	TEAS SECTION 8 RECEIVED	
Sep. 03, 2015	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Apr. 06, 2011	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Sep. 08, 2009	REGISTERED-SUPPLEMENTAL REGISTER	
Aug. 06, 2009	LAW OFFICE PUBLICATION REVIEW COMPLETED	77075
Aug. 06, 2009	APPROVED FOR REGISTRATION SUPPLEMENTAL REGISTER	
Aug. 06, 2009	USE AMENDMENT ACCEPTED	81097
Jul. 16, 2009	AMENDMENT TO USE PROCESSING COMPLETE	77075
Jul. 16, 2009	USE AMENDMENT FILED	77075
Jul. 15, 2009	TEAS AMENDMENT OF USE RECEIVED	
Jul. 15, 2009	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jul. 15, 2009	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jul. 15, 2009	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jan. 21, 2009	NON-FINAL ACTION MAILED	
Jan. 21, 2009	NON-FINAL ACTION WRITTEN	81097
Jan. 13, 2009	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Dec. 19, 2008	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Dec. 11, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	77075
Dec. 11, 2008	EXAMINERS AMENDMENT MAILED	
Dec. 11, 2008	APPROVED FOR PUB - PRINCIPAL REGISTER	

Dec. 11, 2008	EXAMINER'S AMENDMENT ENTERED	88888
Dec. 11, 2008	EXAMINERS AMENDMENT -WRITTEN	81097
Dec. 09, 2008	LIE CHECKED SUSP - TO ATTY FOR ACTION	77075
Aug. 22, 2008	DATA MODIFICATION COMPLETED	77075
Jun. 09, 2008	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	77075
Dec. 06, 2007	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	77075
Dec. 06, 2007	ASSIGNED TO LIE	77075
Dec. 05, 2007	ASSIGNED TO EXAMINER	81097
Jun. 05, 2007	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Dec. 05, 2006	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70138
Dec. 05, 2006	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Dec. 05, 2006	ASSIGNED TO LIE	70138
Jun. 05, 2006	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70138
Dec. 01, 2005	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Nov. 09, 2005	ASSIGNED TO EXAMINER	81101
May 27, 2005	LIE CHECKED SUSP - TO ATTY FOR ACTION	70138
Oct. 22, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Mar. 15, 2004	CASE FILE IN TICRS	
Oct. 30, 2001	ASSIGNED TO EXAMINER	77654
Aug. 07, 2000	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Nov. 09, 1999	LETTER OF SUSPENSION MAILED	
Jul. 07, 1999	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jan. 14, 1999	NON-FINAL ACTION MAILED	
Oct. 02, 1998	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Apr. 03, 1998	NON-FINAL ACTION MAILED	
Aug. 04, 1997	LETTER OF SUSPENSION MAILED	
Mar. 17, 1997	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Sep. 30, 1996	NON-FINAL ACTION MAILED	
Sep. 19, 1996	ASSIGNED TO EXAMINER	66292
Sep. 16, 1996	ASSIGNED TO EXAMINER	68356

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted
Continued Use:

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: TMEG LAW OFFICE 102

Date in Location: Sep. 19, 2015

Assignment Abstract Of Title Information

Summary

Total Assignments: 6

Registrant: Universal City Studios LLLP

Assignment 1 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2612/0149](#)

Pages: 28

Date Recorded: Nov. 13, 2002

Supporting Documents: [assignment-tm-2612-0149.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS, INC.](#)

Execution Date: May 01, 2002

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW - 6TH FLOOR
100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 2 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2617/0197](#)

Pages: 28

Date Recorded: Nov. 19, 2002

Supporting Documents: [assignment-tm-2617-0197.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Execution Date: May 02, 2002

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LP](#)

Legal Entity Type: LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW-6TH FLOOR
100 UNIVERSITY CITY PLAZA
UNIVERSITY CITY, CA 91608

Domestic Representative - Not Found

Assignment 3 of 6

Conveyance: CHANGE OF NAME

Reel/Frame: [2619/0801](#)

Pages: 27

Date Recorded: Nov. 20, 2002

Supporting Documents: [assignment-tm-2619-0801.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LP](#)

Execution Date: May 02, 2002

Legal Entity Type: LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP](#)

Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSITY CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: LRW - 6TH FLOOR
100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

Assignment 4 of 6

Conveyance: SECURITY INTEREST

Reel/Frame: [2584/0001](#)

Pages: 224

Date Recorded: Dec. 06, 2002

Supporting Documents: [assignment-tm-2584-0001.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)

Execution Date: Nov. 25, 2002

Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL HOME VIDEO LLLP](#)

Execution Date: Nov. 25, 2002

Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS HOME VIDEO LLC](#)

Execution Date: Nov. 25, 2002

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: No Place Where Organized Found

Name: [UNIVERSAL STUDIOS TELEVISION ENTERPRISES LLLP](#)

Execution Date: Nov. 25, 2002

Legal Entity Type: LIMITED LIABILITY LIMITED PARTNERSHIP

State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)

Legal Entity Type: BANK

State or Country Where Organized: No Place Where Organized Found

Address: LIEN PERFECTION UNIT
P.O. BOX 2558
HOUSTON, TEXAS 77252

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.

Correspondent Address: JACKIE LEE
1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 5 of 6

Conveyance: RELEASE OF SECURITY AGREEMENT

Reel/Frame: [3058/0163](#)

Pages: 63

Date Recorded: Aug. 31, 2004

Supporting Documents: [assignment-tm-3058-0163.pdf](#)

Assignor

Name: [JPMORGAN CHASE BANK, AS ADMINISTRATIVE AGENT](#)

Execution Date: Jul. 28, 2004

Legal Entity Type: BANK

State or Country Where Organized: No Place Where Organized Found

Assignee

Name: [UNIVERSAL CITY STUDIOS LLLP ETAL](#)

Legal Entity Type: LIMITED LIABILITY LP/LLC

State or Country Where Organized: No Place Where Organized Found

Address: 100 UNIVERSAL CITY PLAZA
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ACCESS INFORMATION SERVICES, INC.

Correspondent Address: JACKIE LEE

Address: 1773 WESTERN AVENUE
ALBANY, NY 12203

Domestic Representative - Not Found

Assignment 6 of 6

Conveyance: MERGER

Reel/Frame: [4515/0202](#)

Pages: 13

Date Recorded: Apr. 01, 2011

Supporting Documents: [assignment-tm-4515-0202.pdf](#)

Assignor

Name: [UNIVERSAL CITY STUDIOS LLLP](#)

Execution Date: Jan. 21, 2011

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Assignee

Name: [UNIVERSAL CITY STUDIOS LLC](#)

Legal Entity Type: LIMITED LIABILITY COMPANY

State or Country Where Organized: DELAWARE

Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CALIFORNIA 91608

Correspondent

Correspondent Name: ANNE B. NIELSEN

Correspondent Address: 100 UNIVERSAL CITY PLAZA
1280/6
UNIVERSAL CITY, CA 91608

Domestic Representative - Not Found

EXHIBIT D

To: Universal City Studios LLC (trademarks@nbcuni.com)
Subject: U.S. TRADEMARK APPLICATION NO. 87513435 - FRANKENSTEIN - N/A
Sent: 9/27/2017 9:09:45 AM
Sent As: ECOM111@USPTO.GOV
Attachments: [Attachment - 1](#)
[Attachment - 2](#)

**UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)
OFFICE ACTION (OFFICIAL LETTER) ABOUT APPLICANT'S TRADEMARK APPLICATION**

**U.S. APPLICATION
SERIAL NO.** 87513435

MARK:
FRANKENSTEIN

87513435

**CORRESPONDENT
ADDRESS:**
MONIQUE CHENG
JOE
NBCUNIVERSAL
100 UNIVERSAL
CITY PLAZA
BLDG. 1280/6TH
FLOOR
UNIVERSAL CITY,
CA 91608

APPLICANT: Universal
City Studios LLC

**CORRESPONDENT'S
REFERENCE/DOCKET
NO:**

N/A

**CORRESPONDENT
E-MAIL ADDRESS:**

trademarks@nbcuni.com

**GENERAL TRADEMARK
INFORMATION:**

<http://www.uspto.gov/trademarks/index.jsp>

[VIEW YOUR APPLICATION FILE](#)

SUSPENSION NOTICE: NO RESPONSE NEEDED

ISSUE/MAILING DATE: 9/27/2017

The trademark examining attorney is suspending action on the application for the reason(s) stated below. *See* 37 C.F.R. §2.67; TMEP §§716 *et seq.*

PRIOR-FILED PENDING APPLICATION(S) FOUND: The trademark examining attorney has searched the USPTO's database of registered and pending marks and has found no similar registered marks that would bar registration under Trademark Act Section 2(d). TMEP §704.02; *see* 15 U.S.C. §1052(d). However, a mark(s) in a prior-filed pending application(s) may present a bar to registration of applicant's mark.

The effective filing date of the pending application(s) identified below precedes the filing date of applicant's application. If the mark in the referenced application(s) registers, applicant's mark may be refused registration under Section 2(d) because of a likelihood of confusion with that registered mark(s). *See* 15 U.S.C. §1052(d); 37 C.F.R. §2.83; TMEP §§1208 *et seq.* Therefore, action on this application is suspended until the earlier-filed referenced application(s) is either registered or abandoned. 37 C.F.R. §2.83(c). A copy of information relevant to this referenced application(s) is attached.

- Application Serial No(s). 87434692.

The USPTO will periodically conduct a status check of the application to determine whether suspension remains appropriate, and the trademark examining attorney will issue as needed an inquiry letter to applicant regarding the status of the matter on which suspension is based. TMEP §§716.04, 716.05. Applicant will be notified when suspension is no longer appropriate. *See* TMEP §716.04.

No response to this notice is necessary; however, if applicant wants to respond, applicant should use the “Response to Suspension Inquiry or Letter of Suspension” form online at <http://teasroa.uspto.gov/rsi/rsi>.

If applicant has questions regarding this Office action, please telephone or e-mail the assigned trademark examining attorney. All relevant e-mail communications will be placed in the official application record; however, an e-mail communication will not be accepted as a response to this Office action and will not extend the deadline for filing a proper response. *See* 37 C.F.R. §§2.62(c), 2.191; TMEP §§304.01-.02, 709.04-.05. Further, although the trademark examining attorney may provide additional explanation pertaining to the refusal(s) and/or requirement(s) in this Office action, the trademark examining attorney may not provide legal advice or statements about applicant’s rights. *See* TMEP §§705.02, 709.06.

/Zachary Bello/
Attorney Advisor
Law Office 111
USPTO
571-272-9376
zack.bello@uspto.gov

PERIODICALLY CHECK THE STATUS OF THE APPLICATION: To ensure that applicant does not miss crucial deadlines or official notices, check the status of the application every three to four months using the Trademark Status and Document Retrieval (TSDR) system at <http://tsdr.uspto.gov/>. Please keep a copy of the TSDR status screen. If the status shows no change for more than six months, contact the Trademark Assistance Center by e-mail at TrademarkAssistanceCenter@uspto.gov or call 1-800-786-9199. For more information on checking status, see <http://www.uspto.gov/trademarks/process/status/>.

TO UPDATE CORRESPONDENCE/E-MAIL ADDRESS: Use the Trademark Electronic Application System (TEAS) form at <http://www.uspto.gov/trademarks/teas/correspondence.jsp>.

DESIGN MARK

Serial Number

87434692

Status

NON-FINAL ACTION - MAILED

Word Mark

FRANKENSTEIN

Standard Character Mark

Yes

Type of Mark

TRADEMARK

Register

PRINCIPAL

Mark Drawing Code

(4) STANDARD CHARACTER MARK

Owner

tikker technologies llc LIMITED LIABILITY COMPANY DELAWARE c/o 800 Delaware Ave. Wilmington DELAWARE 19801

Goods/Services

Class Status -- ACTIVE. IC 033. US 047 049. G & S: Wine. First Use: 2017/05/03. First Use In Commerce: 2017/05/03.

Filing Date

2017/05/03

Examining Attorney

BAIRD, MICHAEL

Frankenstein

To: Universal City Studios LLC (trademarks@nbcuni.com)
Subject: U.S. TRADEMARK APPLICATION NO. 87513435 - FRANKENSTEIN - N/A
Sent: 9/27/2017 9:09:46 AM
Sent As: ECOM111@USPTO.GOV
Attachments:

UNITED STATES PATENT AND TRADEMARK OFFICE (USPTO)

**IMPORTANT NOTICE REGARDING YOUR
U.S. TRADEMARK APPLICATION**

USPTO OFFICE ACTION (OFFICIAL LETTER) HAS ISSUED
ON **9/27/2017** FOR U.S. APPLICATION SERIAL NO.87513435

Please follow the instructions below:

(1) TO READ THE LETTER: Click on this [link](#) or go to <http://tsdr.uspto.gov/>, enter the U.S. application serial number, and click on "Documents."

The Office action may not be immediately viewable, to allow for necessary system updates of the application, but will be available within 24 hours of this e-mail notification.

(2) QUESTIONS: For questions about the contents of the Office action itself, please contact the assigned trademark examining attorney. For *technical* assistance in accessing or viewing the Office action in the Trademark Status and Document Retrieval (TSDR) system, please e-mail TSDR@uspto.gov.

WARNING

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