

ESTTA Tracking number: **ESTTA394841**

Filing date: **02/23/2011**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

## Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

### Opposer Information

Name	Smart & Final Stores LLC
Granted to Date of previous extension	02/23/2011
Address	600 Citadel Drive Commerce, CA 90040 UNITED STATES
Attorney information	David A. Plumley CHRISTIE, PARKER & HALE, LLP P. O. Box 7068 Pasadena, CA 91109-7068 UNITED STATES pto@cph.com Phone:626-795-9900

### Applicant Information

Application No	85010849	Publication date	10/26/2010
Opposition Filing Date	02/23/2011	Opposition Period Ends	02/23/2011
Applicant	BruceAndTom (BAT) 522 2nd Street San Francisco, CA 94107 UNITED STATES		

### Goods/Services Affected by Opposition

Class 035.


All goods and services in the class are opposed, namely: Computerized on-line retail store services in the field of food; Concession stands featuring food; Drive-through retail store services featuring coffee and related goods; Food kiosk services; Gift and sundries retail store services located in hotels; Institutional retail pharmacy services; Marketing, advertising, and promoting the retail goods and services of others to wireless electronic devices; Mobile food kiosk services; Mobile retail store services featuring food; Mobile street vendor services featuring food and drink; Mobile vending in the field of food; On-line retail store services featuring food; On-line wholesale and retail store services featuring food; Presentation of goods on communication media for retail purposes; Promoting and showcasing the goods of others in the field of food by means of an on-line shopping site with links to the retail advertisements of others; Promoting the goods and services of others by means of operating an on-line shopping mall with links to the retail web sites of others; Promoting the goods and services of others by providing a community-driven web site featuring user-submitted content in the nature of coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount information; Promoting the goods and services of others by providing a web site featuring coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount information; Promoting the goods and services of others by providing a website featuring coupons, rebates, price-comparison information, product reviews, links

to the retail websites of others, and discount information; Promoting the goods and services of others through infomercials played on customer point of purchase television monitors in retail stores; Providing a website featuring information for consumers in the field of pet food, pet treats and pet toys for particular pet breeds; Providing information about and making referrals in the field of consumer products and services for retail services concerning products, services, events, activities, attractions and facilities in particular geographic locations; Retail bakery shops; Retail convenience stores; Retail delicatessen services; Retail discount store services in the field of snack food; Retail drug store services; Retail drug stores; Retail florist shops; Retail fruit stands; Retail grocery stores; Retail grocery stores featuring phone-in orders; Retail ice cream store services; Retail outlets featuring food; Retail pet stores; Retail pharmacy services; Retail shops featuring snack food; Retail store and on-line retail store services featuring snack food; Retail store services featuring a wide variety of consumer goods of others; Retail store services featuring convenience store items and gasoline; Retail store services featuring food; Retail store services in the field of food featuring a bonus incentive program for customers; Retail store services, available through computer communications and interactive television, featuring food; Retail stores featuring food; Retail taco stands; Retail snack food stores; Street vendor services featuring food; Vending in the field of snack food; Wholesale and retail store services and on-line retail and wholesale store services featuring food; Wholesale and retail store services featuring snack food; Wholesale food distributorship services

## Grounds for Opposition


Priority and likelihood of confusion	Trademark Act section 2(d)
Other	Applicant did not have a good faith intent to use the mark; Applicant is not the owner of the mark


## Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	3884314	Application Date	02/12/2010
Registration Date	11/30/2010	Foreign Priority Date	NONE
Word Mark	SMARTCO		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 2010/06/23 First Use In Commerce: 2010/06/23 retail store services featuring groceries and restaurant and janitorial supplies		


U.S. Registration No.	3881592	Application Date	02/12/2010
Registration Date	11/23/2010	Foreign Priority Date	NONE
Word Mark	SMARTCO FOODS		


Design Mark	SMARTCO FOODS
Description of Mark	NONE
Goods/Services	Class 035. First use: First Use: 2010/06/23 First Use In Commerce: 2010/06/23 retail store services featuring groceries and restaurant and janitorial supplies

U.S. Registration No.	1797359	Application Date	06/30/1992
Registration Date	10/05/1993	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 042. First use: First Use: 1988/10/00 First Use In Commerce: 1988/10/00 grocery store services featuring food, janitorial products, paper products, cigarettes, automotive products, restaurant supplies and small appliances		

U.S. Registration No.	1797358	Application Date	06/30/1992
Registration Date	10/05/1993	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	NONE		


Goods/Services	Class 042. First use: First Use: 1988/10/00 First Use In Commerce: 1988/10/00 grocery store services featuring food, janitorial products, paper products, cigarettes, automotive products, restaurant supplies and small appliances		
----------------	--	--	--


U.S. Registration No.	3303539	Application Date	01/19/2005
Registration Date	10/02/2007	Foreign Priority Date	NONE
Word Mark	SMART VALUE		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 2005/03/16 First Use In Commerce: 2005/03/16 retail stores featuring groceries and janitorial and restaurant supplies		

U.S. Registration No.	3690269	Application Date	06/05/2008
Registration Date	09/29/2009	Foreign Priority Date	NONE
Word Mark	SMART & FINAL EXTRA!		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 2008/08/06 First Use In Commerce: 2008/08/06 Retail grocery stores		

U.S. Registration No.	3579362	Application Date	06/05/2008
Registration Date	02/24/2009	Foreign Priority Date	NONE
Word Mark	SMART & FINAL EXTRA!		

Design Mark		
Description of Mark	The mark consists of a red rectangle banner containing the stylized words "SMART & FINAL" in white and "EXTRA!" in yellow with a black outer border.	
Goods/Services	Class 035. First use: First Use: 2008/08/06 First Use In Commerce: 2008/08/06 Retail grocery stores	


U.S. Registration No.	3850071	Application Date	02/23/2010
Registration Date	09/21/2010	Foreign Priority Date	NONE
Word Mark	SMARTADVANTAGE		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1997/12/31 First Use In Commerce: 1997/12/31 Retail grocery stores services featuring a customer loyalty rewards program		


U.S. Registration No.	2327520	Application Date	11/01/1996
Registration Date	03/14/2000	Foreign Priority Date	NONE
Word Mark	SMARTADVANTAGE		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1996/09/16 First Use In Commerce: 1996/09/16 providing resale privileges and other membership benefits at participating establishments through use of a membership card issued at the time of registration as a resale customer		


U.S. Registration No.	2038746	Application Date	03/04/1996
Registration Date	02/18/1997	Foreign Priority Date	NONE
Word Mark	SMART ALLIANCE		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 042. First use: First Use: 1996/02/26 First Use In Commerce: 1996/02/26 retail discount grocery and office supply store services		

U.S. Registration No.	1260298	Application Date	09/30/1982
Registration Date	12/06/1983	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 042. First use: First Use: 1915/00/00 First Use In Commerce: 1936/00/00 Grocery Store Services Featuring Food, Janitorial Products, Paper Products, Cigarettes, Automotive Products, Restaurant Supplies and Small Appliances		


U.S. Registration No.	1260297	Application Date	09/30/1982
Registration Date	12/06/1983	Foreign Priority Date	NONE
Word Mark	SMART & FINAL IRIS CO.		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 042. First use: First Use: 1953/00/00 First Use In Commerce: 1953/00/00 Grocery Store Services Featuring Food, Janitorial Products, Paper Products, Cigarettes, Automotive Products, Restaurant Supplies and Small Appliances		


U.S. Registration No.	2070012	Application Date	08/27/1996
Registration Date	06/10/1997	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1927/00/00 First Use In Commerce: 1927/00/00 charcoal starter, charcoal lighter, charcoal briquets, [candles]		

U.S. Registration No.	3658004	Application Date	01/27/2009
Registration Date	07/21/2009	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	The mark consists of the words "SMART & FINAL" in stylized form.		
Goods/Services	Class 016. First use: First Use: 1927/00/00 First Use In Commerce: 1927/00/00 paper and paper articles, namely, facial tissue, bathroom tissue, paper towels, paper napkins, menu tissue used to wrap hamburgers, hot dogs, sandwiches and other food items, plastic wrap; wax paper in sheets or rolls; sandwich bags; disposable diapers		

U.S. Registration No.	2199487	Application Date	04/25/1997
Registration Date	10/27/1998	Foreign Priority Date	NONE
Word Mark	SMART&FINAL		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 021. First use: First Use: 1927/00/00 First Use In Commerce: 1927/00/00 SMALL DOMESTIC UTENSILS AND CONTAINERS, NAMELY, CUPS, SALT SHAKERS, SUGAR CANSISTERS, [ COFFEE CARAFES,] OIL AND VINEGAR SWHAKERS, CHEESE SHAKERS, CHEESE GRATERS, SUGAR POURERS, SYRUP DISPENSERS, CREAMERS, BOWLS, PLATE, THERMAL INSULATED CONTAINERS FOR FOOD OR BEVERAGES, PAPER PLATES, PAPER TRAYS, SERVING PLATTERS, GRIDDLE SCREENS, NAPKIN DISPENSERS, SQUEEZE DISPENSERS, DISPOSABLE LATEX GLOVES FOR GENERAL USE, BROOMS, MOPS, DUST BINS OR PANS, SCOURING PADS AND SPONGES FOR HOUSEHOLD PURPOSES		

U.S. Registration No.	2187207	Application Date	01/21/1997
Registration Date	09/08/1998	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		

Design Mark	<p>1</p> 
Description of Mark	NONE
Goods/Services	Class 030. First use: First Use: 1927/00/00 First Use In Commerce: 1927/00/00 [coffee, tea, cocoa, sugar, rice, tapioca, coffee substitutes; flour, ready to eat cereal; derived food bars; bread, biscuits, cakes, pastry, namely, pies, donuts, cupcakes and confectionery chips for baking; flavored ices, food colors for domestic use; honey, yeast, baking powder; salt, mustard, pepper, vinegar, sauces, spices; ice, relish, ketchup, breakfast cereals, granola, chocolate syrup, salsa, seasonings, pasta, cookies, crackers, pancake mix, baking soda, food starch, maple syrup, boysenberry syrup, semi-sweet chocolate chips, puddings, processed herbs, meat tenderizers, horseradish, macaroni salad, pasta salad, macaroni and cheese, enchiladas, lasagna, muffins, corn dogs, ice cream, edible decorations for cakes, ] candy, [ chocolate covered nuts, chocolate topping, butterscotch topping, extracts used as flavoring, food flavorings, tortilla chips, coffee beans, parfaits, namely, gelatin parfaits, flavoring syrups for making shaved ice confections]

U.S. Registration No.	2069962	Application Date	08/09/1996
Registration Date	06/10/1997	Foreign Priority Date	NONE
Word Mark	SMART & FINAL		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 032. First use: First Use: 1927/00/00 First Use In Commerce: 1927/00/00 [beer, ale and porter; mineral and aerated waters, soft drinks (carbonated), drinking water and purified water,] apple cider, fruit juices, fruit juice concentrates, [club soda,] fruit punch concentrates; syrups, powders, concentrates for making beverages		

U.S. Registration No.	1393707	Application Date	05/06/1985
Registration Date	05/20/1986	Foreign Priority Date	NONE
Word Mark	SMART BUY		
Design Mark			
Description of Mark	NONE		



Goods/Services	<p>Class 003. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 [ HAIR PRODUCTS, NAMELY-- SHAMPOO AND CONDITIONER; BABY POWDER; BABY OIL; FACE CREAM; TOOTHPASTE; ] [ LAUNDRY DETERGENT; DISH WASHING DETERGENT] [ ; ALL PURPOSE HOUSEHOLD CLEANING PREPARATIONS; LAUNDRY BEACH ]</p> <p>Class 005. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 HYDROGEN PEROXIDE SOLUTION FOR MEDICAL PURPOSES; RUBBING ALCOHOL; ASPIRIN IN TABLET FORM, MINERAL OIL; MERTHIOLATE; EPSOM SALT</p> <p>Class 006. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 ALUMINUM FOIL</p> <p>Class 008. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 [PLASTIC CUTLERY; NAMELY, KNIVES, FORKS, AND SPOONS]</p> <p>Class 016. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 PAPER TOWELS AND BATHROOM TISSUE; FACIAL TISSUE; PLASTIC FOOD WRAP</p> <p>Class 029. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 CANNED FRUIT; CANNED VEGETABLES</p> <p>Class 030. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 TOMATO CATSUP</p> <p>Class 031. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 PET FOOD</p> <p>Class 032. First use: First Use: 1982/03/15 First Use In Commerce: 1982/03/15 FRUIT JUICES</p>
----------------	---

U.S. Registration No.	1840778	Application Date	08/27/1993
Registration Date	06/21/1994	Foreign Priority Date	NONE
Word Mark	SMARTY		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 031. First use: First Use: 1980/00/00 First Use In Commerce: 1980/00/00 wet and dry dog and cat food, dog biscuits and cat litter		

U.S. Registration No.	2743863	Application Date	08/10/2001
Registration Date	07/29/2003	Foreign Priority Date	NONE
Word Mark	SMART CASH		

Design Mark	<b>SMART CASH</b>
Description of Mark	NONE
Goods/Services	Class 009. First use: First Use: 2002/11/00 First Use In Commerce: 2003/02/00 ELECTRONIC GIFT CARDS

Attachments	77935072#TMSN.jpeg ( 1 page )( bytes ) 77935073#TMSN.jpeg ( 1 page )( bytes ) 74290046#TMSN.gif ( 1 page )( bytes ) 74290045#TMSN.gif ( 1 page )( bytes ) 78550360#TMSN.jpeg ( 1 page )( bytes ) 77492179#TMSN.jpeg ( 1 page )( bytes ) 77492174#TMSN.jpeg ( 1 page )( bytes ) 77942539#TMSN.jpeg ( 1 page )( bytes ) 75191219#TMSN.gif ( 1 page )( bytes ) 75156279#TMSN.gif ( 1 page )( bytes ) 77657815#TMSN.jpeg ( 1 page )( bytes ) 75281472#TMSN.gif ( 1 page )( bytes ) 75228185#TMSN.gif ( 1 page )( bytes ) 75147696#TMSN.gif ( 1 page )( bytes ) 76300244#TMSN.gif ( 1 page )( bytes ) NoticeofOppo_S655.PDF ( 128 pages )(2105271 bytes )
-------------	---

### Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/David A. Plumley/
Name	David A. Plumley
Date	02/23/2011

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

In the matter of trademark application **Serial No. 85/010,849**

For the mark SMART

Published in the Trademark *Official Gazette* on October 26, 2010 (the term for opposition having been extended to February 23, 2011)

SMART & FINAL STORES LLC	Opposition No.
Opposer,	
v.	NOTICE OF OPPOSITION
BruceAndTom (BAT)	
Applicant.	

Smart & Final Stores LLC, a limited liability company whose address is 600 Citadel Drive, Commerce, California 90040, believes that it will be damaged by registration of the mark shown in application Serial No. 85/010,849 in International Class 35 and hereby opposes its registration.

As grounds for opposition, Opposer alleges that:

1. Applicant BruceAndTom (BAT) seeks to register SMART (in standard characters) as a trademark for use with a broad range of services in International Class 35, claiming an intent to use the mark in commerce as evidenced by the filing of an application for registration of the trademark on April 9, 2010 and the publication of the mark in the October 26, 2010 issue of the *Official Gazette*.

2. As originally filed, Applicant's application identified the intended services as "Computerized on-line retail store services in the field of food; Concession stands featuring food; Drive-through retail store services featuring coffee and related goods; Food kiosk services; Gift

and sundries retail store services located in hotels; Institutional retail pharmacy services; Marketing, advertising, and promoting the retail goods and services of others to wireless electronic devices; Mobile food kiosk services; Mobile retail store services featuring food; Mobile street vendor services featuring food and drink; Mobile vending in the field of food; On-line retail store services featuring food; On-line wholesale and retail store services featuring food; Presentation of goods on communication media for retail purposes; Promoting and showcasing the goods of others in the field of food by means of an on-line shopping site with links to the retail advertisements of others; Promoting the goods and services of others by means of operating an on-line shopping mall with links to the retail web sites of others; Promoting the goods and services of others by providing a community-driven web site featuring user-submitted content in the nature of coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount information; Promoting the goods and services of others by providing a web site featuring coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount information; Promoting the goods and services of others by providing a website featuring coupons, rebates, price-comparison information, product reviews, links to the retail websites of others, and discount information; Promoting the goods and services of others through infomercials played on customer point of purchase television monitors in retail stores; Providing a website featuring information for consumers in the field of pet food, pet treats and pet toys for particular pet breeds; Providing information about and making referrals in the field of consumer products and services for retail services concerning products, services, events, activities, attractions and facilities in particular geographic locations; Retail bakery shops; Retail convenience stores; Retail delicatessen services; Retail discount store services in the field of snack food; Retail drug store services; Retail drug stores; Retail florist shops; Retail fruit stands; Retail grocery stores; Retail grocery stores featuring phone-in orders; Retail ice cream store services; Retail outlets featuring food; Retail pet stores; Retail pharmacy services; Retail shops featuring snack food; Retail store and on-line retail store services featuring snack food; Retail store services featuring a wide variety of consumer goods of others; Retail store services featuring convenience store items and gasoline; Retail store services featuring food; Retail store services in the field of food featuring a bonus

incentive program for customers; Retail store services, available through computer communications and interactive television, featuring food; Retail stores featuring food; Retail taco stands; Retail snack food stores; Street vendor services featuring food; Vending in the field of snack food; Wholesale and retail store services and on-line retail and wholesale store services featuring food; Wholesale and retail store services featuring snack food; Wholesale food distributorship services" (referred to as "Applicant's Original Services.")

3. On information and belief, in filing the application, one or more representatives of Applicant electronically signed a statement attesting to Applicant's "bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services."

4. On information and belief, pursuant to amendments filed on November 2, 2010 and December 23 2010, Applicant amended the services of Applicant's application such that the services are presently identified as "Computerized on-line retail store services in the field of food; Concession stands featuring food; Drive-through retail store services featuring coffee and related goods; Food kiosk services; Gift and sundries retail store services located in hotels; Institutional retail pharmacy services; Marketing, advertising, and promoting the retail goods and services of others to wireless electronic devices; Mobile food kiosk services; Mobile retail store services featuring food; Mobile street vendor services featuring food and drink; Mobile vending in the field of food; On-line retail store services featuring food; On-line wholesale and retail store services featuring food; Presentation of goods on communication media for retail purposes; Promoting and showcasing the goods of others in the field of food by means of an on-line shopping site with links to the retail advertisements of others; Promoting the goods and services of others by means of operating an on-line shopping mall with links to the retail web sites of others; Promoting the goods and services of others by providing a community-driven web site featuring user-submitted content in the nature of coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount information; Promoting the goods and services of others by providing a web site featuring coupons, rebates, price-comparison information, product reviews, links to the retail web sites of others, and discount

information; Promoting the goods and services of others by providing a website featuring coupons, rebates, price-comparison information, product reviews, links to the retail websites of others, and discount information; Promoting the goods and services of others through infomercials played on customer point of purchase television monitors in retail stores; Providing a website featuring information for consumers in the field of pet food, pet treats and pet toys for particular pet breeds; Providing information about and making referrals in the field of consumer products and services for retail services concerning products, services, events, activities, attractions and facilities in particular geographic locations; Retail bakery shops; Retail convenience stores; Retail delicatessen services; Retail discount store services in the field of snack food; Retail drug store services; Retail drug stores; Retail florist shops; Retail fruit stands; Retail grocery stores; Retail grocery stores featuring phone-in orders; Retail ice cream store services; Retail outlets featuring food; Retail pet stores; Retail pharmacy services; Retail shops featuring snack food; Retail store and on-line retail store services featuring snack food; Retail store services featuring a wide variety of consumer goods of others; Retail store services featuring convenience store items and gasoline; Retail store services featuring food; Retail store services in the field of food featuring a bonus incentive program for customers; Retail store services, available through computer communications and interactive television, featuring food; Retail stores featuring food; Retail taco stands; Retail snack food stores; Street vendor services featuring food; Vending in the field of snack food; Wholesale and retail store services and on-line retail and wholesale store services featuring food; Wholesale and retail store services featuring snack food; Wholesale food distributorship services" (referred to as Applicant's Current Services.")

5. On information and belief, in a response filed in Applicant's application on August 3, 2010, a representative for Applicant submitted information which appears to indicate that the mark of Applicant's application is not owned by Applicant, but rather, is owned by "Simply Smart Foods, LP" of San Francisco, California, or "smart, inc."

6. Opposer is the owner of the registrations identified in the following table, each of which is directed to a mark that includes the word "SMART," and each of which is directed to use with grocery store or related services. These registrations are together referred to as the "SMART Grocery Service Registrations."

<b>Mark</b>	<b>Reg. No.</b>	<b>Reg. Date</b>	<b>Class</b>
SMARTCO	3884314	11/30/2010	035
SMARTCO FOODS	3881592	11/23/2010	035
SMART & FINAL (and design)	1797359	10/5/1993 09/30/2003	035
SMART & FINAL (stylized)	1797358	10/5/1993 09/30/2003	035
SMART VALUE	3303539	10/2/2007	035
SMART & FINAL EXTRA!	3690269	9/29/2009	035
SMART & FINAL EXTRA! (and design)	3579362	2/24/2009	035
SMARTADVANTAGE	3850071	9/21/2010	035
SMART ADVANTAGE	2327520	3/14/2000 02/12/2010	035
SMART ALLIANCE	2038746	2/18/1997 2/16/2007	035
SMART & FINAL	1260298	12/6/1983 12/2/2003	042
SMART AND FINAL IRIS CO.	1260297	12/6/1983 12/2/2003	035

7. Opposer is the owner of the registrations identified in the following table, each of which is directed to a mark that includes the word "SMART," and each of which is directed to items of the type sold in grocery or related stores. These registrations are together referred to as the "SMART Grocery Item Registrations."

<b>Mark</b>	<b>Reg. No.</b>	<b>Reg. Date</b>	<b>Class</b>
SMART & FINAL (stylized)	2070012	6/10/1997 6/8/2007	004
SMART & FINAL (stylized)	3658004	7/21/2009	016
SMART & FINAL (stylized)	2199487	10/27/1998 2/6/2009	021
SMART & FINAL (stylized)	2187207	9/8/1998 7/21/2008	030
SMART & FINAL (stylized)	2069962	6/10/1997 6/8/2007	32
SMART BUY	1393707	5/20/1986 7/18/2006	016 031
SMARTY	1840778	6/21/1994 7/30/2004	031
SMART CASH	2743863	7/29/2003 7/30/2009	009

8. Attached as Exhibit A are printouts from the Patent and Trademark Office's online databases showing the specific goods and services associated with Opposer's SMART Grocery Service Registrations and SMART Grocery Item Registrations, and also showing that Opposer's SMART Grocery Service Registrations and SMART Grocery Item Registrations are in good standing and owned by Opposer.



**FIRST COUNT: LIKELIHOOD OF CONFUSION WITH  
REGISTERED TRADEMARKS AND SERVICE MARKS**

9. Opposer incorporates the allegations of paragraphs 1, 4, and 6-8 above.

10. Applicant's Current Services overlap with the services identified in Opposer's SMART Grocery Service Registrations, and are related to the goods identified in Opposer's Grocery Item Registrations in that such goods are the type of goods commonly sold in connection with at least some of Applicant's Current Services.

11. The mark which Applicant seeks to register so resembles the marks of Opposer's prior SMART Grocery Service Registrations and SMART Grocery Item Registrations as to be likely to cause confusion, or to cause mistake, or to deceive when used in connection with Applicant's Current Services, and therefore, registration of Applicant's mark by Applicant will cause injury to Opposer.

**SECOND COUNT: FALSE DECLARATION OF GOOD FAITH  
INTENT TO USE THE TRADEMARK IN U.S. COMMERCE**

12. Opposer incorporates the allegations of paragraphs 1-3 above.

13. On information and belief, as of the filing date of Applicant's application, Applicant did not have a good faith intent to use the mark of Applicant's application with all of Applicant's Original Services, and therefore, Applicant failed to satisfy the statutory requirements of 15 U.S.C. §1051(b) in filing the application.

**THIRD COUNT: APPLICANT IS NOT THE  
OWNER OF THE MARK AT ISSUE**

14. Opposer incorporates the allegations of paragraphs 1 and 5.

15. On information and belief, Applicant is not now, and was not at the time of filing, the owner of the mark of Applicant's application, and therefore, Applicant failed to satisfy the statutory requirements of 15 U.S.C. §1051(b) in filing the application.


**PRAYER FOR RELIEF**

WHEREFORE, Opposer prays that the mark of Applicant's application Serial No. 85/010,849 be refused registration.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

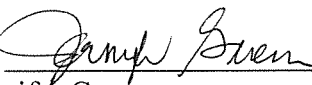
Date Feb. 23, 2011

By   
David A. Plumley  
Attorneys for Opposer  
P.O. Box 7068  
Pasadena, California 91109-7068  
626/795-9900

**CERTIFICATE OF SERVICE**

I certify that on February 23, 2011, the foregoing **NOTICE OF OPPOSITION** is being served by mailing a copy thereof by first-class mail addressed to:

BRUCEANDTOM (BAT)  
522 2nd Street  
San Francisco, CA 94107

By   
Jennifer Guerra  
Christie, Parker & Hale, LLP  
P.O. Box 7068  
Pasadena, California 91109-7068  
626/795-9900

DAP/jhg

JHG PAS944744.1-\* -02/23/11 10:55 AM

SMART & FINAL STORES LLC

v.

BRUCEANDTOM (BAT)

OPPOSITION TO 85/010,849

# **EXHIBIT A**

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-02-22 15:16:12 ET

Serial Number: 77935072 Assignment Information

Trademark Document Retrieval

Registration Number: 3884314

Mark

# SMARTCO

(words only): SMARTCO

Standard Character claim: Yes

Current Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Date of Status: 2010-11-30

Filing Date: 2010-02-12

Transformed into a National Application: No

Registration Date: 2010-11-30

Register: Principal

Law Office Assigned: LAW OFFICE 110

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)

Current Location: 650 -Publication And Issue Section

Date In Location: 2010-10-22

---

## LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. SMART & FINAL STORES LLC

**Address:**

SMART & FINAL STORES LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035**Class Status:** Active

retail store services featuring groceries and restaurant and janitorial supplies

**Basis:** 1(a)**First Use Date:** 2010-06-23**First Use in Commerce Date:** 2010-06-23

---

**ADDITIONAL INFORMATION**

---

(NOT AVAILABLE)

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE:** To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2010-11-30 - Registered - Principal Register

2010-10-23 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-10-22 - Law Office Registration Review Completed

2010-10-21 - Allowed for Registration - Principal Register (SOU accepted)

2010-10-05 - Statement Of Use Processing Complete

2010-09-13 - Use Amendment Filed

2010-10-05 - Case Assigned To Intent To Use Paralegal

2010-09-13 - TEAS Statement of Use Received

2010-08-31 - NOA E-Mailed - SOU Required From Applicant  
2010-07-06 - Notice Of Publication E-Mailed  
2010-07-06 - Published for opposition  
2010-06-01 - Law Office Publication Review Completed  
2010-06-01 - Assigned To LIE  
2010-05-18 - Approved For Pub - Principal Register  
2010-05-14 - Assigned To Examiner  
2010-02-18 - New Application Office Supplied Data Entered In Tram  
2010-02-16 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
PO BOX 7068  
PASADENA, CA 91109-7068  
Phone Number: 626-795-9900  
Fax Number: 626-577-8800

---

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:16:23 ET**

**Serial Number:** 77935073 [Assignment Information](#)

[Trademark Document Retrieval](#)

**Registration Number:** 3881592

**Mark**

SMARTCO FOODS

**(words only):** SMARTCO FOODS

**Standard Character claim:** Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2010-11-23

**Filing Date:** 2010-02-12

**Transformed into a National Application:** No

**Registration Date:** 2010-11-23

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 110

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2010-10-21

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. SMART & FINAL STORES LLC

**Address:**

SMART & FINAL STORES LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035**Class Status:** Active

retail store services featuring groceries and restaurant and janitorial supplies

**Basis:** 1(a)**First Use Date:** 2010-06-23**First Use in Commerce Date:** 2010-06-23

---

**ADDITIONAL INFORMATION**

---

**Disclaimer:** "FOODS"

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE:** To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2010-11-23 - Registered - Principal Register

2010-10-22 - Notice Of Acceptance Of Statement Of Use E-Mailed

2010-10-21 - Law Office Registration Review Completed

2010-10-21 - Allowed for Registration - Principal Register (SOU accepted)

2010-10-05 - Statement Of Use Processing Complete

2010-09-13 - Use Amendment Filed

2010-10-05 - Case Assigned To Intent To Use Paralegal

2010-09-13 - TEAS Statement of Use Received



2010-08-31 - NOA E-Mailed - SOU Required From Applicant

2010-07-06 - Notice Of Publication E-Mailed

2010-07-06 - Published for opposition

2010-06-02 - Law Office Publication Review Completed

2010-06-02 - Assigned To LIE

2010-05-19 - Approved For Pub - Principal Register

2010-05-19 - Examiner's Amendment Entered

2010-05-19 - Notification Of Examiners Amendment E-Mailed

2010-05-19 - Examiners amendment e-mailed

2010-05-19 - Examiners Amendment -Written

2010-05-14 - Assigned To Examiner

2010-02-18 - New Application Office Supplied Data Entered In Tram

2010-02-16 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE, PARKER &amp; HALE, LLP

PO BOX 7068

PASADENA, CA 91109-7068

Phone Number: 626-795-9900

Fax Number: 626-577-8800

---

**Thank you for your request. Here are the latest results from the TARR web server.**

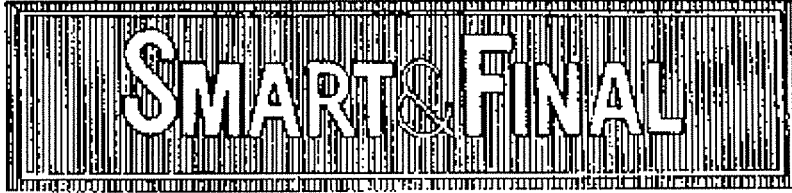
**This page was generated by the TARR system on 2011-02-22 15:16:34 ET**

**Serial Number:** 74290046 [Assignment Information](#)

[Trademark Document Retrieval](#)

**Registration Number:** 1797359

**Mark**



**(words only):** SMART & FINAL

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2003-12-14

**Filing Date:** 1992-06-30

**Transformed into a National Application:** No

**Registration Date:** 1993-10-05

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 4

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 40S -Scanning On Demand

**Date In Location:** 2007-09-14

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. SMART & FINAL STORES CORPORATION

**Address:**

SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
CITY OF COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 042**Class Status:** Active

grocery store services featuring food, janitorial products, paper products, cigarettes, automotive products, restaurant supplies and small appliances

**Basis:** 1(a)**First Use Date:** 1988-10-00**First Use in Commerce Date:** 1988-10-00

---

**ADDITIONAL INFORMATION**

---

**Lining and Stippling:** The drawing is lined for the color red.**Design Search Code(s):****26.11.20** - Rectangles inside one another**26.11.21** - Rectangles that are completely or partially shaded**Prior Registration Number(s):**

653151

1260297

1260298

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-09-14 - Case File In TICRS

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2003-12-14 - First renewal 10 year  
2003-12-14 - Section 8 (10-year) accepted/ Section 9 granted  
2003-09-30 - Combined Section 8 (10-year)/Section 9 filed  
2003-09-30 - TEAS Section 8 & 9 Received  
2000-02-11 - Section 8 (6-year) accepted & Section 15 acknowledged  
1999-10-08 - Section 8 (6-year) and Section 15 Filed  
1993-10-05 - Registered - Principal Register  
1993-07-14 - Allowed for Registration - Principal Register (SOU accepted)  
1993-07-14 - Assigned To Examiner  
1993-07-01 - Statement Of Use Processing Complete  
1993-05-17 - Use Amendment Filed  
1993-03-02 - NOA Mailed - SOU Required From Applicant  
1992-12-08 - Published for opposition  
1992-11-06 - Notice of publication  
1992-09-17 - Approved For Pub - Principal Register  
1992-09-04 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

DAVID A. PLUMLEY

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

---

**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**Assignments on the Web > Trademark Query****Trademark Assignment Abstract of Title****Total Assignments: 8****Serial #:** 74290046**Filing Dt:** 06/30/1992**Reg #:** 1797359**Reg. Dt:** 10/05/1993**Registrant:** SMART & FINAL STORES CORPORATION**Mark:** SMART & FINAL**Assignment: 1****Reel/Frame:** 1816/0659**Received:** 11/20/1998**Recorded:** 11/17/1998**Pages:** 38**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 11/13/1998**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANKING CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

**Assignment: 2****Reel/Frame:** 2383/0769**Received:** 12/17/2001**Recorded:** 12/17/2001**Pages:** 14**Conveyance:** RELEASE OF LIEN IN TRADEMARKS**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION**Citizenship:** NONE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** OREGON**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Entity Type:** FRENCH BANK

**Citizenship:** NONE

SMART & FINAL STORES CORPORATION

SMART & FINAL OREGON, INC.

PORT STOCKTON FOOD DISTRIBUTORS, INC.

HENRY LEE COMPANY

CASINO FROZEN FOODS, INC.

AMERIFOODS TRADING COMPANY

FOODSERVICE SPECIALISTS.COM

OKUN PRODUCE INTERNATIONAL, INC.

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS

725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 4**

**Reel/Frame:** 3661/0048      **Received:** 11/15/2007

**Recorded:** 11/15/2007

**Pages:** 4

**Conveyance:** CONVERSION

**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

**Correspondent:** CHRISTIE, PARKER & HALE, LLP

P.O. BOX 7068

PASADENA, CA 91109-7068

**Assignment: 5****Reel/Frame:** 3554/0180**Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

**Correspondent:** CATHERINE R. HOWELL, PARALEGAL

1111 PENNSYLVANIA AVE., N.W.

WASHINGTON, DC 20004

**Assignment: 6****Reel/Frame:** 3563/0237**Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT

100 FEDERAL STREET

BOSTON, MASSACHUSETTS 02110

**Correspondent:** CHRISTOPHER E. KONDRACKI

2001 JEFFERSON DAVIS, HWY., SUITE 1007

ARLINGTON, VA 22202

**Assignment: 7****Reel/Frame:** 3570/0451**Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANK**Citizenship:** NONE**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT

11 MADISON AVENUE

NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.

21 TADCASTER CIRCLE

ATTN: PENELOPE J.A. AGODOA

WALDORF, MD 20602

**Assignment: 8****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANK**Citizenship:** NONE**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT

11 MADISON AVENUE

NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:47 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)



**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:16:50 ET**

**Serial Number:** 74290045 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 1797358

**Mark**



**(words only):** SMART & FINAL

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2003-12-14

**Filing Date:** 1992-06-30

**Transformed into a National Application:** No

**Registration Date:** 1993-10-05

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 4

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 40S -Scanning On Demand

**Date In Location:** 2007-09-14

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. SMART & FINAL STORES CORPORATION

**Address:**

SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
CITY OF COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 042**Class Status:** Active

grocery store services featuring food, janitorial products, paper products, cigarettes, automotive products, restaurant supplies and small appliances

**Basis:** 1(a)**First Use Date:** 1988-10-00**First Use in Commerce Date:** 1988-10-00

---

**ADDITIONAL INFORMATION**

---

**Lining and Stippling:** The drawing is lined for the color red.**Prior Registration Number(s):**

653151

1260297

1260298

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-09-14 - Case File In TICRS

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2003-12-14 - First renewal 10 year

2003-12-14 - Section 8 (10-year) accepted/ Section 9 granted

2003-09-30 - Combined Section 8 (10-year)/Section 9 filed  
2003-09-30 - TEAS Section 8 & 9 Received  
2000-02-11 - Section 8 (6-year) accepted & Section 15 acknowledged  
1999-10-08 - Section 8 (6-year) and Section 15 Filed  
1993-10-05 - Registered - Principal Register  
1993-07-12 - Allowed for Registration - Principal Register (SOU accepted)  
1993-07-12 - Assigned To Examiner  
1993-07-01 - Statement Of Use Processing Complete  
1993-05-17 - Use Amendment Filed  
1993-03-02 - NOA Mailed - SOU Required From Applicant  
1992-12-08 - Published for opposition  
1992-11-06 - Notice of publication  
1992-09-17 - Approved For Pub - Principal Register  
1992-09-04 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

---



# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 9

**Serial #:** 74290045

**Filing Dt:** 06/30/1992

**Reg #:** 1797358

**Reg. Dt:** 10/05/1993

**Registrant:** SMART & FINAL STORES CORPORATION

**Mark:** SMART & FINAL

#### Assignment: 1

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

#### Assignment: 2

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** OREGON

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA



**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180      **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Assignment: 9**

**Reel/Frame:** 3571/0123

**Received:** 06/29/2007

**Recorded:** 06/29/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:47 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1

Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:17:02 ET**

**Serial Number:** 78550360 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 3303539

**Mark**

**SMART VALUE**

**(words only):** SMART VALUE

**Standard Character claim:** Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2007-10-02

**Filing Date:** 2005-01-19

**Transformed into a National Application:** No

**Registration Date:** 2007-10-02

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 102

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2007-08-29

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores Corporation



**Address:**

Smart & Final Stores Corporation  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Corporation**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035**Class Status:** Active

retail stores featuring groceries and janitorial and restaurant supplies

**Basis:** 1(a)**First Use Date:** 2005-03-16**First Use in Commerce Date:** 2005-03-16

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

1393707

1797358

1797359

2743863

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-10-02 - Registered - Principal Register

2007-08-29 - Law Office Registration Review Completed

2007-08-29 - Assigned To LIE

2007-07-19 - Allowed for Registration - Principal Register (SOU accepted)

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2007-04-25 - Statement Of Use Processing Complete  
2007-01-31 - Use Amendment Filed  
2007-01-31 - TEAS Statement of Use Received  
2006-08-29 - NOA Mailed - SOU Required From Applicant  
2006-06-06 - Published for opposition  
2006-05-17 - Notice of publication  
2006-04-14 - Law Office Publication Review Completed  
2006-04-07 - Assigned To LIE  
2006-04-06 - Approved For Pub - Principal Register  
2006-04-06 - Examiner's Amendment Entered  
2006-04-06 - EXAMINERS AMENDMENT E-MAILED  
2006-04-06 - Examiners Amendment -Written  
2006-02-22 - Teas/Email Correspondence Entered  
2006-02-16 - Communication received from applicant  
2006-02-16 - TEAS Response to Office Action Received  
2005-08-22 - Non-final action e-mailed  
2005-08-22 - Non-Final Action Written  
2005-08-17 - Assigned To Examiner  
2005-01-28 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A PLUMLEY

CHRISTIE, PARKER &amp; HALE LLP

PO BOX 7068

PASADENA, CA 91109-7068  
Phone Number: (626) 795-9900  
Fax Number: (626) 577-8800

---

**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**Assignments on the Web > Trademark Query****Trademark Assignment Abstract of Title****Total Assignments: 4****Serial #:** 78550360**Filing Dt:** 01/19/2005**Reg #:** 3303539**Reg. Dt:** 10/02/2007**Registrant:** Smart & Final Stores Corporation**Mark:** SMART VALUE**Assignment: 1****Reel/Frame:** 3661/0048**Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

**Correspondent:** CHRISTIE, PARKER & HALE, LLP

P.O. BOX 7068

PASADENA, CA 91109-7068

**Assignment: 2****Reel/Frame:** 3563/0237**Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT

100 FEDERAL STREET

BOSTON, MASSACHUSETTS 02110

**Correspondent:** CHRISTOPHER E. KONDRACKI

2001 JEFFERSON DAVIS, HWY., SUITE 1007

ARLINGTON, VA 22202

**Assignment: 3****Reel/Frame:** 3570/0451**Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANK**Citizenship:** NONE**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT

11 MADISON AVENUE

NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.

21 TADCASTER CIRCLE

ATTN: PENELOPE J.A. AGODOA

WALDORF, MD 20602

**Assignment: 4****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT

11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK

**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:48 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:17:25 ET**

**Serial Number:** 77492179 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 3690269

**Mark**

**SMART & FINAL EXTRA!**

**(words only):** SMART & FINAL EXTRA!

**Standard Character claim:** Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2009-09-29

**Filing Date:** 2008-06-05

**Filed as TEAS Plus Application:** Yes

**Currently TEAS Plus Application:** Yes

**Transformed into a National Application:** No

**Registration Date:** 2009-09-29

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 114

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2009-08-25

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores, LLC

**Address:**

Smart & Final Stores, LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035**Class Status:** Active

Retail grocery stores

**Basis:** 1(a)**First Use Date:** 2008-08-06**First Use in Commerce Date:** 2008-08-06

---

**ADDITIONAL INFORMATION**

---

(NOT AVAILABLE)

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE:** To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2009-09-29 - Registered - Principal Register

2009-08-25 - Law Office Registration Review Completed

2009-08-18 - Allowed for Registration - Principal Register (SOU accepted)

2009-08-11 - Statement Of Use Processing Complete

2009-07-14 - Use Amendment Filed

2009-08-11 - Case Assigned To Intent To Use Paralegal

2009-07-14 - TEAS Statement of Use Received  
2009-01-27 - NOA Mailed - SOU Required From Applicant  
2008-11-04 - Published for opposition  
2008-10-15 - Notice of publication  
2008-09-26 - Law Office Publication Review Completed  
2008-09-25 - Assigned To LIE  
2008-09-16 - Approved For Pub - Principal Register  
2008-09-15 - Assigned To Examiner  
2008-06-10 - Notice Of Pseudo Mark Mailed  
2008-06-09 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
PO BOX 7068  
PASADENA, CA 91109-7068  
Phone Number: 626-795-9900

---



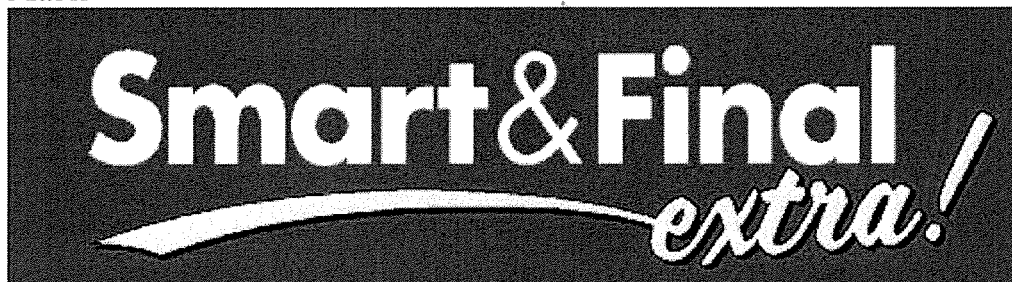
**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:17:38 ET**

**Serial Number:** 77492174 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 3579362

**Mark**



**(words only):** SMART & FINAL EXTRA!

**Standard Character claim:** No

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2009-02-24

**Filing Date:** 2008-06-05

**Filed as TEAS Plus Application:** Yes

**Currently TEAS Plus Application:** Yes

**Transformed into a National Application:** No

**Registration Date:** 2009-02-24

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 114

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2009-02-24

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores, LLC

**Address:**

Smart & Final Stores, LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035**Class Status:** Active

Retail grocery stores

**Basis:** 1(a)**First Use Date:** 2008-08-06**First Use in Commerce Date:** 2008-08-06

---

**ADDITIONAL INFORMATION**

---

**Color(s) Claimed:** The color(s) red, white, yellow and black is/are claimed as a feature of the mark.**Description of Mark:** The mark consists of a red rectangle banner containing the stylized words "SMART & FINAL" in white and "EXTRA!" in yellow with a black outer border.**Design Search Code(s):****24.09.07** - Advertising, banners; Banners**26.11.21** - Rectangles that are completely or partially shaded**26.17.09** - Bands, curved; Bars, curved; Curved line(s), band(s) or bar(s); Lines, curved**26.17.13** - Letters or words underlined and/or overlined by one or more strokes or lines; Overlined words or letters; Underlined words or letters**Prior Registration Number(s):**

1260297

1797358

1797359

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

## PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2009-02-24 - Registered - Principal Register

2008-12-09 - Published for opposition

2008-11-19 - Notice of publication

2008-11-03 - Law Office Publication Review Completed

2008-10-30 - Approved for Pub - Principal Register (Initial exam)

2008-10-30 - Amendment to Use approved

2008-10-09 - Teas/Email Correspondence Entered

2008-10-09 - Communication received from applicant

2008-10-09 - Assigned To LIE

2008-09-25 - TEAS Response to Office Action Received

2008-09-26 - Amendment To Use Processing Complete

2008-09-26 - Use Amendment Filed

2008-09-25 - TEAS Amendment of Use Received

2008-09-16 - Notification Of Non-Final Action E-Mailed

2008-09-16 - Non-final action e-mailed

2008-09-16 - Non-Final Action Written

2008-09-15 - Assigned To Examiner

2008-06-10 - Notice Of Design Search Code And Pseudo Mark Mailed

2008-06-09 - New Application Entered In Tram

---

## ATTORNEY/CORRESPONDENT INFORMATION

---

### Attorney of Record

David A. Plumley

### Correspondent

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
PO BOX 7068  
PASADENA, CA 91109-7068  
Phone Number: 626-795-9900

---

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:17:57 ET**

**Serial Number:** 77942539 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 3850071

**Mark**

SMARTADVANTAGE

**(words only):** SMARTADVANTAGE

**Standard Character claim:** Yes

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2010-09-21

**Filing Date:** 2010-02-23

**Transformed into a National Application:** No

**Registration Date:** 2010-09-21

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 110

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2010-09-21

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. SMART & FINAL STORES LLC

**Address:**

SMART & FINAL STORES LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company

**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 035

**Class Status:** Active

Retail grocery stores services featuring a customer loyalty rewards program

**Basis:** 1(a)

**First Use Date:** 1997-12-31

**First Use in Commerce Date:** 1997-12-31

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

2327520

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2010-09-21 - Registered - Principal Register

2010-07-06 - Notice Of Publication E-Mailed

2010-07-06 - Published for opposition

2010-06-01 - Law Office Publication Review Completed

2010-06-01 - Assigned To LIE

2010-05-18 - Approved for Pub - Principal Register (Initial exam)

2010-05-14 - Assigned To Examiner

2010-03-02 - Notice Of Pseudo Mark Mailed

2010-03-01 - New Application Office Supplied Data Entered In Tram

2010-02-26 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE, PARKER & HALE, LLP

PO BOX 7068

PASADENA, CA 91109-7068

Phone Number: 626-795-9900

Fax Number: 626-577-8800

---

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:18:19 ET**

**Serial Number:** 75191219 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 2327520

**Mark (words only):** SMARTADVANTAGE

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2010-02-12

**Filing Date:** 1996-11-01

**Transformed into a National Application:** No

**Registration Date:** 2000-03-14

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 107

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** (NOT AVAILABLE)

**Date In Location:** 2010-02-12

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. SMART & FINAL STORES LLC

**Address:**

SMART & FINAL STORES LLC  
600 CITADEL DRIVE  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company  
**State or Country Where Organized:** California

---

#### GOODS AND/OR SERVICES



---

**International Class:** 035

**Class Status:** Active

providing resale privileges and other membership benefits at participating establishments through use of a membership card issued at the time of registration as a resale customer

**Basis:** 1(a)

**First Use Date:** 1996-09-16

**First Use in Commerce Date:** 1996-09-16

---

#### ADDITIONAL INFORMATION

---

**Prior Registration Number(s):**

653151  
1260297  
1260298  
1393707  
1797358  
1797359  
1811195  
1811196  
1840778  
1927296  
1982658

---

#### MADRID PROTOCOL INFORMATION

---

(NOT AVAILABLE)

---

#### PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2010-02-12 - First renewal 10 year

2010-02-12 - Section 8 (10-year) accepted/ Section 9 granted

2010-02-12 - Case Assigned To Post Registration Paralegal

2010-02-11 - TEAS Section 8 & 9 Received

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2005-04-23 - Section 8 (6-year) accepted & Section 15 acknowledged

2005-04-23 - Assigned To Paralegal

2005-03-17 - Section 8 (6-year) and Section 15 Filed

2005-03-17 - PAPER RECEIVED

2000-03-14 - Registered - Principal Register

1998-04-22 - Extension Of Time To Oppose Received

1998-04-07 - Published for opposition

1998-03-07 - Notice of publication

1997-12-23 - Published for opposition

1997-11-21 - Notice of publication

1997-10-17 - Approved for Pub - Principal Register (Initial exam)

1997-09-23 - Communication received from applicant

1997-07-08 - Non-final action mailed

1997-06-11 - Assigned To Examiner

1997-06-02 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

DAVID A. PLUMLEY

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE, PARKER, &amp; HALE, LLP

PO BOX 7068

PASADENA CA 91109-7068

Phone Number: 626-795-9900

Fax Number: 626-577-8800

---



## United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > Trademark Query

## Trademark Assignment Abstract of Title

## Total Assignments: 9

Serial #: 75191219

Filing Dt: 11/01/1996

Reg #: 2327520

Reg. Dt: 03/14/2000

Registrant: Smart &amp; Final Stores Corporation

Mark: SMARTADVANTAGE

## Assignment: 1

Reel/Frame: 1816/0659

Received: 11/20/1998

Recorded: 11/17/1998

Pages: 38

Conveyance: SECURITY AGREEMENT

Assignor: SMART & FINAL STORES CORPORATION

Exec Dt: 11/13/1998

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: BANKING CORPORATION

Citizenship: CALIFORNIA

Assignee: CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

Correspondent: LATHAM &amp; WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

## Assignment: 2

Reel/Frame: 2383/0769

Received: 12/17/2001

Recorded: 12/17/2001

Pages: 14

Conveyance: RELEASE OF LIEN IN TRADEMARKS

Assignor: CREDIT LYONNAIS LOS ANGELES BRANCH

Exec Dt: 11/30/2001

Entity Type: BANKING CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignees: SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: OREGON

Entity Type: CORPORATION

Citizenship: FLORIDA

Entity Type: CORPORATION

Citizenship: FLORIDA

Entity Type: CORPORATION

Citizenship: FLORIDA



**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180      **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 9****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:49 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:18:31 ET**

**Serial Number:** 75067459 Assignment Information      Trademark Document Retrieval

**Registration Number:** 2038746

**Mark (words only):** SMART ALLIANCE

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2007-05-31

**Filing Date:** 1996-03-04

**Transformed into a National Application:** No

**Registration Date:** 1997-02-18

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 106

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at TrademarkAssistanceCenter@uspto.gov**

**Current Location:** 830 -Post Registration

**Date In Location:** 2007-05-31

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores Corporation

**Address:**

Smart & Final Stores Corporation  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Corporation

**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 042

**Class Status:** Active

retail discount grocery and office supply store services

**Basis:** 1(a)

**First Use Date:** 1996-02-26

**First Use in Commerce Date:** 1996-02-26

---

#### ADDITIONAL INFORMATION

---

**Disclaimer:** "ALLIANCE"

---

#### MADRID PROTOCOL INFORMATION

---

(NOT AVAILABLE)

---

#### PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2007-05-31 - First renewal 10 year

2007-05-31 - Section 8 (10-year) accepted/ Section 9 granted

2007-05-31 - Assigned To Paralegal

2007-02-16 - TEAS Section 8 & 9 Received

2006-12-21 - Case File In TIGRS

2003-05-14 - Section 8 (6-year) accepted & Section 15 acknowledged

2003-02-21 - Section 8 (6-year) and Section 15 Filed

2003-02-21 - PAPER RECEIVED

1997-02-18 - Registered - Principal Register

1996-11-26 - Published for opposition

1996-10-25 - Notice of publication



1996-09-19 - Approved for Pub - Principal Register (Initial exam)

1996-09-16 - Examiner's amendment mailed

1996-09-11 - Assigned To Examiner

1996-08-28 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

ELIZABETH L ZEPEDA

**Correspondent**

CHRISTIE PARKER & HALE LLP

P.O. BOX 7068

PASADENA, CA 91109-7068

---



## United States Patent and Trademark Office

Home | Site Index | Search | Guides | Contacts | eBusiness | eBiz alerts | News | Help

Assignments on the Web > Trademark Query

## Trademark Assignment Abstract of Title

## Total Assignments: 9

Serial #: 75067459

Filing Dt: 03/04/1996

Reg #: 2038746

Reg. Dt: 02/18/1997

Registrant: Smart &amp; Final Stores Corporation

Mark: SMART ALLIANCE

## Assignment: 1

Reel/Frame: 1816/0659

Received: 11/20/1998

Recorded: 11/17/1998

Pages: 38

Conveyance: SECURITY AGREEMENT

Assignor: SMART & FINAL STORES CORPORATION

Exec Dt: 11/13/1998

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: BANKING CORPORATION

Citizenship: CALIFORNIA

Assignee: CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

Correspondent: LATHAM &amp; WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

## Assignment: 2

Reel/Frame: 2383/0769

Received: 12/17/2001

Recorded: 12/17/2001

Pages: 14

Conveyance: RELEASE OF LIEN IN TRADEMARKS

Assignor: CREDIT LYONNAIS LOS ANGELES BRANCH

Exec Dt: 11/30/2001

Entity Type: BANKING CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignees: SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: OREGON

Entity Type: CORPORATION

Citizenship: FLORIDA

Entity Type: CORPORATION

Citizenship: FLORIDA

Entity Type: CORPORATION

Citizenship: FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2390/0576 **Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Entity Type:** FRENCH BANK

**Citizenship:** NONE

AMERICAN FOODSERVICE DISTRIBUTORS

SMART & FINAL STORES CORPORATION

SMART & FINAL OREGON, INC.

PORT STOCKTON FOOD DISTRIBUTORS, INC.

HENRY LEE COMPANY

CASINO FROZEN FOODS, INC.

AMERIFOODS TRADING COMPANY

FOODSERVICE SPECIALISTS.COM

OKUN PRODUCE INTERNATIONAL, INC.

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS

725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 4**

**Reel/Frame:** 2685/0001 **Received:** 02/27/2003

**Recorded:** 02/24/2003

**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180      **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 9****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:50 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1

Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:18:44 ET**

**Serial Number:** 73396652 Assignment Information Trademark Document Retrieval

**Registration Number:** 1260298

**Mark (words only):** SMART & FINAL

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2004-02-05

**Filing Date:** 1982-09-30

**Transformed into a National Application:** No

**Registration Date:** 1983-12-06

**Register:** Principal

**Law Office Assigned:** (NOT AVAILABLE)

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 40S -Scanning On Demand

**Date In Location:** 2008-05-06

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. SMART & FINAL STORES CORPOATION

**Address:**

SMART & FINAL STORES CORPOATION  
600 CITADEL DRIVE  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation

**State or Country of Incorporation:** California

---

#### GOODS AND/OR SERVICES

---

**International Class:** 042

**Class Status:** Active

Grocery Store Services Featuring Food, Janitorial Products, Paper Products, Cigarettes, Automotive Products, Restaurant Supplies and Small Appliances

**Basis:** 1(a)

**First Use Date:** 1915-00-00

**First Use in Commerce Date:** 1936-00-00

---

### ADDITIONAL INFORMATION

---

**Prior Registration Number(s):**

6531510

---

### MADRID PROTOCOL INFORMATION

---

(NOT AVAILABLE)

---

### PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2008-05-06 - Case File In TICRS

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2004-02-05 - First renewal 10 year

2004-02-05 - Section 8 (10-year) accepted/ Section 9 granted

2003-12-02 - Combined Section 8 (10-year)/Section 9 filed

2003-12-02 - TEAS Section 8 & 9 Received

1990-03-06 - Section 8 (6-year) accepted & Section 15 acknowledged

1989-12-01 - Section 8 (6-year) and Section 15 Filed

1983-12-06 - Registered - Principal Register

1983-09-13 - Published for opposition

1983-12-06 - Registered - Principal Register

1983-09-13 - Published for opposition

1983-08-15 - Notice of publication

1983-08-12 - Notice of publication

1983-08-11 - Notice of publication

1983-06-28 - Approved for Pub - Principal Register (Initial exam)

1983-06-23 - Examiner's amendment mailed

1983-06-13 - Assigned To Examiner

1983-06-13 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE,PARKER & HALE, LLP

P.O. BOX 7068

PASADENA, CA 91109-7068

---



**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**Assignments on the Web > Trademark Query****Trademark Assignment Abstract of Title****Total Assignments: 14****Serial #:** 73396652**Filing Dt:** 09/30/1982**Reg #:** 1260298**Reg. Dt:** 12/06/1983**Registrant:** Thriftmart, Inc.**Mark:** SMART & FINAL**Assignment: 1****Reel/Frame:** 0655/0926**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** CHANGE OF NAME**Assignor:** THRIFTMART, INC., (MERGED INTO)**Exec Dt:** 07/19/1984**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignee:** SMART & FINAL IRIS CORPORATION

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 2****Reel/Frame:** 0482/0102**Received:****Recorded:** 11/19/1984**Pages:** 2**Conveyance:** CHANGE OF NAME**Assignor:** THRIFTMART, INC.**Exec Dt:** 10/30/1984**Entity Type:** UNKNOWN**Citizenship:** NONE**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignee:** SMART & FINAL IRIS CORPORATION

**Correspondent:** BRYLAWSKI AND CLEARY  
224 EAST CAPITOL ST.  
WASHINGTON, DC 20003

**Assignment: 3****Reel/Frame:** 0655/0928**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** CHANGE OF NAME**Assignor:** SMART & FINAL IRIS CORPORATION, (CHANGED TO)**Exec Dt:** 03/24/1987**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignee:** SMART & FINAL IRIS REALTY CORPORATION

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 4****Reel/Frame:** 0655/0920**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** MERGER AND CHANGE OF NAME**Assignors:** CASINO USA, INC., (MERGED INTO)**Exec Dt:** 07/15/1988**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Exec Dt:** 00/00/0000**Assignee:** SMART & FINAL IRIS REALTY CORPORATION, (CHANGED TO)

**Assignees:** SMART & FINAL IRIS REALTY CORPORATION, (CHANGED TO)

CASINO USA, INC.

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 5**

**Reel/Frame:** 0804/0554

**Received:**

**Recorded:** 08/01/1991

**Pages:** 6

**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

**Assignor:** CASINO USA, INC.

**Exec Dt:** 07/11/1991

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES CORPORATION  
4700 S. BOYLE AVE.  
LOS ANGELES, CALIFORNIA 90058

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
2121 AVENUE OF THE STARS  
SUITE 1400  
LOS ANGELES, CA 90067-5010

**Assignment: 6**

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH  
SUITE 2200  
515 SOUTH FLORWER STREET  
LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS  
A. BRIDGET SORENSON  
633 WEST 5TH STREET  
SUITE 4000  
LOS ANGELES, CALIFORNIA 90071-2007

**Assignment: 7**

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
AMERICAN FOODSERVICE DISTRIBUTORS  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

SMART & FINAL OREGON, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
AMERIFOODS TRADING COMPANY  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
CASINO FROZEN FOODS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
HENRY LEE COMPANY  
3301 NW 125TH STREET  
MIAMI, FLORIDA 33167  
PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 8**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002  
**Conveyance:** SECURITY INTEREST  
**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS  
  
SMART & FINAL STORES CORPORATION  
  
SMART & FINAL OREGON, INC.  
  
PORT STOCKTON FOOD DISTRIBUTORS, INC.  
  
HENRY LEE COMPANY  
  
CASINO FROZEN FOODS, INC.  
  
AMERIFOODS TRADING COMPANY  
  
FOODSERVICE SPECIALISTS.COM  
  
OKUN PRODUCE INTERNATIONAL, INC.  
  
H.L. HOLDING CORPORATION

**Entity Type:** CORPORATION  
**Citizenship:** OREGON  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Recorded:** 01/04/2002      **Pages:** 31

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Assignee:** BNP PARIBAS  
725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 9**

**Reel/Frame:** 2685/0001      **Received:** 02/27/2003      **Recorded:** 02/24/2003      **Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA

**Citizenship:** NONE

**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA

**Citizenship:** NONE

**Assignee:** SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 10**

**Reel/Frame:** 3661/0048      **Received:** 11/15/2007      **Recorded:** 11/15/2007      **Pages:** 4

**Conveyance:** CONVERSION

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 05/29/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES LLC  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 11**

**Reel/Frame:** 3554/0180      **Received:** 06/04/2007      **Recorded:** 06/04/2007      **Pages:** 11

**Conveyance:** RELEASE BY SECURED PARTY

**Assignor:** BNP PARIBAS

**Exec Dt:** 05/31/2007

**Entity Type:** FRENCH BANK

**Citizenship:** FRANCE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004

**Assignment: 12**

**Reel/Frame:** 3563/0237      **Received:** 06/18/2007      **Recorded:** 06/18/2007      **Pages:** 14

**Conveyance:** SECURITY INTEREST

**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** CALIFORNIA

**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT  
100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110

**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202

**Assignment: 13**

**Reel/Frame:** 3570/0451      **Received:** 06/28/2007

**Recorded:** 06/28/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANK

**Citizenship:** NONE

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Assignment: 14**

**Reel/Frame:** 3571/0123      **Received:** 06/29/2007

**Recorded:** 06/29/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANK

**Citizenship:** NONE

**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:51 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:18:57 ET**

**Serial Number:** 73396650 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 1260297

**Mark (words only):** SMART & FINAL IRIS CO.

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2004-02-05

**Filing Date:** 1982-09-30

**Transformed into a National Application:** No

**Registration Date:** 1983-12-06

**Register:** Principal

**Law Office Assigned:** (NOT AVAILABLE)

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 40S -Scanning On Demand

**Date In Location:** 2008-05-06

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. SMART & FINAL STORES CORPORATION

**Address:**

SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation

**State or Country of Incorporation:** California

---

#### GOODS AND/OR SERVICES

---

**International Class:** 042

**Class Status:** Active

Grocery Store Services Featuring Food, Janitorial Products, Paper Products, Cigarettes, Automotive Products, Restaurant Supplies and Small Appliances

**Basis:** 1(a)

**First Use Date:** 1953-00-00

**First Use in Commerce Date:** 1953-00-00

---

### ADDITIONAL INFORMATION

---

**Disclaimer:** No claim is made to the exclusive right to use the word "Co.", apart from the mark as shown.

**Prior Registration Number(s):**

1128144

---

### MADRID PROTOCOL INFORMATION

---

(NOT AVAILABLE)

---

### PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2008-05-06 - Case File In TICRS

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2004-02-05 - First renewal 10 year

2004-02-05 - Section 8 (10-year) accepted/ Section 9 granted

2003-12-02 - Combined Section 8 (10-year)/Section 9 filed

2003-12-02 - TEAS Section 8 & 9 Received

1990-05-12 - Section 8 (6-year) accepted & Section 15 acknowledged

1990-04-26 - Response received for Post Registration action

1990-03-08 - Post Registration action mailed Section 8 & 15

1989-12-01 - Section 8 (6-year) and Section 15 Filed

1983-12-06 - Registered - Principal Register  
1983-09-13 - Published for opposition  
1983-12-06 - Registered - Principal Register  
1983-09-13 - Published for opposition  
1983-08-15 - Notice of publication  
1983-08-12 - Notice of publication  
1983-08-11 - Notice of publication  
1983-06-28 - Approved for Pub - Principal Register (Initial exam)  
1983-06-23 - Examiner's amendment mailed  
1983-06-13 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

---





## United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > Trademark Query

## Trademark Assignment Abstract of Title

## Total Assignments: 15

Serial #: 73396650

Filing Dt: 09/30/1982

Reg #: 1260297

Reg. Dt: 12/06/1983

Registrant: Thriftmart, Inc.

Mark: SMART &amp; FINAL IRIS CO.

## Assignment: 1

Reel/Frame: 0655/0926

Received:

Recorded: 06/26/1989

Pages: 0

Conveyance: CHANGE OF NAME

Assignor: THRIFTMART, INC., (MERGED INTO)

Exec Dt: 07/19/1984

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: SMART & FINAL IRIS CORPORATION

Correspondent: GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

## Assignment: 2

Reel/Frame: 0482/0102

Received:

Recorded: 11/19/1984

Pages: 2

Conveyance: CHANGE OF NAME

Assignor: THRIFTMART, INC.

Exec Dt: 10/30/1984

Entity Type: UNKNOWN

Citizenship: NONE

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: SMART & FINAL IRIS CORPORATION

Correspondent: BRYLAWSKI AND CLEARY  
224 EAST CAPITOL ST.  
WASHINGTON, DC 20003

## Assignment: 3

Reel/Frame: 0655/0928

Received:

Recorded: 06/26/1989

Pages: 0

Conveyance: CHANGE OF NAME

Assignor: SMART & FINAL IRIS CORPORATION, (CHANGED TO)

Exec Dt: 03/24/1987

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: UNKNOWN

Citizenship: NONE

Assignee: SMART & FINAL IRIS REALTY CORPORATION

Correspondent: GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

## Assignment: 4

Reel/Frame: 0655/0920

Received:

Recorded: 06/26/1989

Pages: 0

Conveyance: MERGER AND CHANGE OF NAME

Assignors: CASINO USA, INC., (MERGED INTO)

Exec Dt: 07/15/1988

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Exec Dt: 00/00/0000

SMART &amp; FINAL IRIS REALTY CORPORATION, (CHANGED TO)

**Assignees:** SMART & FINAL IRIS REALTY CORPORATION, (CHANGED TO)

CASINO USA, INC.

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 5**

**Reel/Frame:** 0804/0554

**Received:**

**Recorded:** 08/01/1991

**Pages:** 6

**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

**Assignor:** CASINO USA, INC.

**Exec Dt:** 07/11/1991

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES CORPORATION

4700 S. BOYLE AVE.

LOS ANGELES, CALIFORNIA 90058

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
2121 AVENUE OF THE STARS  
SUITE 1400  
LOS ANGELES, CA 90067-5010

**Assignment: 6**

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS  
A. BRIDGET SORENSON  
633 WEST 5TH STREET  
SUITE 4000  
LOS ANGELES, CALIFORNIA 90071-2007

**Assignment: 7**

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

SMART & FINAL OREGON, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
AMERIFOODS TRADING COMPANY  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
CASINO FROZEN FOODS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040  
HENRY LEE COMPANY  
3301 NW 125TH STREET  
MIAMI, FLORIDA 33167  
PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 8**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002  
**Conveyance:** SECURITY INTEREST  
**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS  
  
SMART & FINAL STORES CORPORATION  
  
SMART & FINAL OREGON, INC.  
  
PORT STOCKTON FOOD DISTRIBUTORS, INC.  
  
HENRY LEE COMPANY  
  
CASINO FROZEN FOODS, INC.  
  
AMERIFOODS TRADING COMPANY  
  
FOODSERVICE SPECIALISTS.COM  
  
OKUN PRODUCE INTERNATIONAL, INC.  
  
H.L. HOLDING CORPORATION

**Entity Type:** CORPORATION  
**Citizenship:** OREGON  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** FLORIDA  
  
**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Recorded:** 01/04/2002      **Pages:** 31

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE  
  
**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Assignee:** BNP PARIBAS725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522**Entity Type:** FRENCH BANK**Citizenship:** NONE**Assignment: 9****Reel/Frame:** 2461/0198**Received:** 03/14/2002**Recorded:** 03/14/2002**Pages:** 42**Conveyance:** CORRECTIVE ASSIGNMENT TO CORRECT SERIAL NUMBER 75/046,958 AND TO ADD REGISTRATION NUMBER 1,260,297. PREVIOUSLY RECORDED AT REEL 002390 FRAME 0576.**Assignors:** SMART & FINAL INC.AMERICAN FOODSERVICE DISTRIBUTORSSMART & FINAL STORES CORPORATIONSMART & FINAL OREGON, INC.PORT STOCKTON FOOD DISTRIBUTORS, INC.HENRY LEE COMPANYCASINO FROZEN FOODS, INC.AMERIFOODS TRADING COMAPNYFOODSERVICE SPICALISTS.COMOKUN PRODUCE INTERNATIONAL, INC.H.L. HOLDING CORPORATION**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Entity Type:** FRENCH BANK**Citizenship:** NONE**Assignee:** BNP PARIBAS725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP  
ELAINE D. ZIFF, ESQ.  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522**Assignment: 10****Reel/Frame:** 2685/0001**Received:** 02/27/2003**Recorded:** 02/24/2003**Pages:** 12**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 11****Reel/Frame:** 3661/0048**Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 12****Reel/Frame:** 3554/0180**Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 13****Reel/Frame:** 3563/0237**Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 14****Reel/Frame:** 3570/0451**Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 15****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:51 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:19:10 ET**

**Serial Number:** 75156279 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 2070012

**Mark**

# Smart & Final

**(words only):** SMART & FINAL

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2007-07-17

**Filing Date:** 1996-08-27

**Transformed into a National Application:** No

**Registration Date:** 1997-06-10

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 107

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 830 -Post Registration

**Date In Location:** 2007-07-17

---

## LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. Smart & Final Stores Corporation

**Address:**

Smart & Final Stores Corporation  
600 CITIDEL DRIVE  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 004**Class Status:** Active

charcoal starter, charcoal lighter, charcoal briquets

**Basis:** 1(a)**First Use Date:** 1927-00-00**First Use in Commerce Date:** 1927-00-00

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

653151

1260297

1260298

1797358

1797359

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-17 - First renewal 10 year

2007-07-17 - Section 8 (10-year) accepted/ Section 9 granted

2007-07-17 - Assigned To Paralegal

2007-06-08 - Combined Section 8 (10-year)/Section 9 filed

2007-07-04 - Assignment Of Ownership Not Updated Automatically



2007-06-08 - TEAS Section 8 & 9 Received  
2006-12-11 - Case File In TICS  
2003-08-13 - Section 8 (6-year) accepted & Section 15 acknowledged  
2003-06-10 - Section 8 (6-year) and Section 15 Filed  
2003-06-09 - TEAS Section 8 & 15 Received  
1997-06-10 - Registered - Principal Register  
1997-03-18 - Published for opposition  
1997-02-14 - Notice of publication  
1997-01-21 - Approved for Pub - Principal Register (Initial exam)  
1997-01-17 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

DAVID A. PLUMLEY

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE, PARKER &amp; HALE, LLP

P.O. BOX 7068

PASADENA CA 91109-7068

Phone Number: (626) 795-9900

Fax Number: (626) 577-8800

---

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

SMART & FINAL STORES CORPORATION

SMART & FINAL OREGON, INC.

PORT STOCKTON FOOD DISTRIBUTORS, INC.

HENRY LEE COMPANY

CASINO FROZEN FOODS, INC.

AMERIFOODS TRADING COMPANY

FOODSERVICE SPECIALISTS.COM

OKUN PRODUCE INTERNATIONAL, INC.

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS  
725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 4**

**Reel/Frame:** 2685/0001      **Received:** 02/27/2003

**Recorded:** 02/24/2003

**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048 **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180 **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237 **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451 **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 9****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:51 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:19:22 ET**

**Serial Number:** 77657815 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 3658004

**Mark**

# Smart & Final

**(words only):** SMART & FINAL

**Standard Character claim:** No

**Current Status:** Registered. The registration date is used to determine when post-registration maintenance documents are due.

**Date of Status:** 2009-07-21

**Filing Date:** 2009-01-27

**Transformed into a National Application:** No

**Registration Date:** 2009-07-21

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 110

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 650 -Publication And Issue Section

**Date In Location:** 2009-07-21

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores LLC

**Address:**

Smart & Final Stores LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 016**Class Status:** Active

paper and paper articles, namely, facial tissue, bathroom tissue, paper towels, paper napkins, menu tissue used to wrap hamburgers, hot dogs, sandwiches and other food items, plastic wrap; wax paper in sheets or rolls; sandwich bags; disposable diapers

**Basis:** 1(a)**First Use Date:** 1927-00-00**First Use in Commerce Date:** 1927-00-00

---

**ADDITIONAL INFORMATION**

---

**Color(s) Claimed:** Color is not claimed as a feature of the mark.**Description of Mark:** The mark consists of the words "SMART & FINAL" in stylized form.**Prior Registration Number(s):**

1797359

2180066

2199487

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE:** To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2009-07-21 - Registered - Principal Register

2009-05-05 - Published for opposition

2009-04-15 - Notice of publication

2009-03-31 - Law Office Publication Review Completed

2009-03-31 - Assigned To LIE

2009-03-31 - Approved for Pub - Principal Register (Initial exam)

2009-03-31 - Examiner's Amendment Entered

2009-03-31 - Notification Of Examiners Amendment E-Mailed

2009-03-31 - Examiners amendment e-mailed

2009-03-31 - Examiners Amendment -Written

2009-03-30 - Assigned To Examiner

2009-01-31 - Notice Of Pseudo Mark Mailed

2009-01-30 - New Application Office Supplied Data Entered In Tram

2009-01-30 - New Application Entered In Tram

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
PO BOX 7068  
PASADENA, CA 91109-7068  
Phone Number: 626/795-9900  
Fax Number: 626/577-8800

---

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:19:36 ET**

**Serial Number:** 75281472 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 2199487

**Mark**

# Smart & Final

**(words only):** SMART&FINAL

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2009-02-06

**Filing Date:** 1997-04-25

**Transformed into a National Application:** No

**Registration Date:** 1998-10-27

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 107

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 830 -Post Registration

**Date In Location:** 2009-02-06

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. Smart & Final Stores LLC



**Address:**

Smart & Final Stores LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 021**Class Status:** Active

SMALL DOMESTIC UTENSILS AND CONTAINERS, NAMELY, CUPS, SALT SHAKERS, SUGAR CANSISTERS, OIL AND VINEGAR SWHAKERS, CHEESE SHAKERS, CHEESE GRATERS, SUGAR POURERS, SYRUP DISPENSERS, CREAMERS, BOWLS, PLATE, THERMAL INSULATED CONTAINERS FOR FOOD OR BEVERAGES, PAPER PLATES, PAPER TRAYS, SERVING PLATTERS, GRIDDLE SCREENS, NAPKIN DISPENSERS, SQUEEZE DISPENSERS, DISPOSABLE LATEX GLOVES FOR GENERAL USE, BROOMS, MOPS, DUST BINS OR PANS, SCOURING PADS AND SPONGES FOR HOUSEHOLD PURPOSES

**Basis:** 1(a)**First Use Date:** 1927-00-00**First Use in Commerce Date:** 1927-00-00

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

653151

1260297

1260298

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE:** To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.

2009-02-06 - First renewal 10 year

2009-02-06 - Section 8 (10-year) accepted/ Section 9 granted

2009-02-06 - Assigned To Paralegal

2009-01-23 - TEAS Section 8 &amp; 9 Received

2007-11-21 - Assignment Of Ownership Not Updated Automatically  
2007-08-03 - Case File In TICRS  
2007-07-04 - Assignment Of Ownership Not Updated Automatically  
2004-03-10 - Section 8 (6-year) accepted & Section 15 acknowledged  
2004-01-22 - Section 8 (6-year) and Section 15 Filed  
2004-01-22 - TEAS Section 8 & 15 Received  
1998-12-22 - Section 7 amendment filed  
1998-10-27 - Registered - Principal Register  
1998-08-04 - Published for opposition  
1998-07-03 - Notice of publication  
1998-03-17 - Approved for Pub - Principal Register (Initial exam)  
1998-03-12 - Examiner's amendment mailed  
1998-03-10 - Previous Allowance Count Withdrawn  
1998-02-05 - Approved for Pub - Principal Register (Initial exam)  
1998-02-05 - Assigned To Examiner  
1998-01-27 - Examiner's amendment mailed  
1998-01-26 - Assigned To Examiner  
1997-07-28 - Non-final action mailed  
1997-07-25 - Assigned To Examiner

---

#### ATTORNEY/CORRESPONDENT INFORMATION

---

**Attorney of Record**

DAVID A. PLUMLEY

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE PARKER &amp; HALE LLP

PO BOX 7068

PASADENA CA 91109-7068  
Phone Number: 626/795-9900  
Fax Number: 626/577-8800

---



# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 9

**Serial #:** 75156279

**Filing Dt:** 08/27/1996

**Reg #:** 2070012

**Reg. Dt:** 06/10/1997

**Registrant:** Smart & Final Stores Corporation

**Mark:** SMART & FINAL

#### Assignment: 1

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

#### Assignment: 2

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** OREGON

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA



# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 9

**Serial #:** 75281472

**Filing Dt:** 04/25/1997

**Reg #:** 2199487

**Reg. Dt:** 10/27/1998

**Registrant:** Smart & Final Stores Corporation

**Mark:** SMART&FINAL

#### Assignment: 1

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

#### Assignment: 2

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** OREGON

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

SMART & FINAL OREGON, INC.

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

PORT STOCKTON FOOD DISTRIBUTORS, INC.

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

HENRY LEE COMPANY

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

CASINO FROZEN FOODS, INC.

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

AMERIFOODS TRADING COMPANY

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

FOODSERVICE SPECIALISTS.COM

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

OKUN PRODUCE INTERNATIONAL, INC.

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

H.L. HOLDING CORPORATION

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Assignee:** BNP PARIBAS  
725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Exec Dt:** 11/30/2001  
**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

**Assignment: 4**

**Reel/Frame:** 2685/0001      **Received:** 02/27/2003

**Recorded:** 02/24/2003

**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180      **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 9****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:52 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)



Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-02-22 15:19:51 ET

Serial Number: 75228185 Assignment Information Trademark Document Retrieval

Registration Number: 2187207

Mark

**Smart & Final**

(words only): SMART & FINAL

Standard Character claim: No

Current Status: The registration has been renewed.

Date of Status: 2008-11-18

Filing Date: 1997-01-21

Transformed into a National Application: No

Registration Date: 1998-09-08

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)

Current Location: 830 -Post Registration

Date In Location: 2008-11-18

---

**LAST APPLICANT(S)/OWNER(S) OF RECORD**

---

1. Smart & Final Stores LLC

**Address:**

Smart & Final Stores LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company**State or Country Where Organized:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 030**Class Status:** Active

candy

**Basis:** 1(a)**First Use Date:** 1927-00-00**First Use in Commerce Date:** 1927-00-00

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

653151

1260297

1260298

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2008-11-18 - First renewal 10 year

2008-11-18 - Section 8 (10-year) accepted/ Section 9 granted

2008-11-18 - Assigned To Paralegal

2008-07-21 - TEAS Section 8 &amp; 9 Received

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-08-07 - Case File In TICRS

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2004-03-10 - Section 8 (6-year) accepted & Section 15 acknowledged

2004-01-22 - Section 8 (6-year) and Section 15 Filed

2004-01-22 - TEAS Section 8 & 15 Received

1998-09-08 - Registered - Principal Register

1998-05-19 - Published for opposition

1998-04-17 - Notice of publication

1998-03-17 - Approved for Pub - Principal Register (Initial exam)

1998-03-12 - Examiner's amendment mailed

1998-03-10 - Assigned To Examiner

1998-03-10 - Assigned To Examiner

1998-02-02 - Communication received from applicant

1997-07-28 - Non-final action mailed

1997-07-25 - Assigned To Examiner

1997-07-18 - Assigned To Examiner

1997-07-14 - Assigned To Examiner

---

#### ATTORNEY/CORRESPONDENT INFORMATION

---

##### **Attorney of Record**

DAVID A. PLUMLEY

##### **Correspondent**

DAVID A. PLUMLEY

CHRISTIE PARKER & HALE LLP

PO BOX 7068

PASADENA CA 91109-7068

Phone Number: 626.795.9900

Fax Number: 626.577.8800

---

**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**Assignments on the Web > Trademark Query****Trademark Assignment Abstract of Title****Total Assignments: 9****Serial #:** 75228185**Filing Dt:** 01/21/1997**Reg #:** 2187207**Reg. Dt:** 09/08/1998**Registrant:** Smart & Final Stores Corporation**Mark:** SMART & FINAL**Assignment: 1****Reel/Frame:** 1816/0659**Received:** 11/20/1998**Recorded:** 11/17/1998**Pages:** 38**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 11/13/1998**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANKING CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

**Assignment: 2****Reel/Frame:** 2383/0769**Received:** 12/17/2001**Recorded:** 12/17/2001**Pages:** 14**Conveyance:** RELEASE OF LIEN IN TRADEMARKS**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION**Citizenship:** NONE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** OREGON**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2390/0576      **Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION  
**Citizenship:** NONE

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

AMERICAN FOODSERVICE DISTRIBUTORS

SMART & FINAL STORES CORPORATION

SMART & FINAL OREGON, INC.

PORT STOCKTON FOOD DISTRIBUTORS, INC.

HENRY LEE COMPANY

CASINO FROZEN FOODS, INC.

AMERIFOODS TRADING COMPANY

FOODSERVICE SPECIALISTS.COM

OKIJN PRODUCE INTERNATIONAL, INC.

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS  
725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 4**

**Reel/Frame:** 2685/0001      **Received:** 02/27/2003

**Recorded:** 02/24/2003

**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 5****Reel/Frame:** 3661/0048 **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 6****Reel/Frame:** 3554/0180 **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 7****Reel/Frame:** 3563/0237 **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 8****Reel/Frame:** 3570/0451 **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Assignment: 9**

**Reel/Frame:** 3571/0123

**Received:** 06/29/2007

**Recorded:** 06/29/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:53 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

Thank you for your request. Here are the latest results from the TARR web server.

This page was generated by the TARR system on 2011-02-22 15:20:03 ET

Serial Number: 75147696 Assignment Information

Trademark Document Retrieval

Registration Number: 2069962

Mark

# Smart & Final

(words only): SMART & FINAL

Standard Character claim: No

Current Status: The registration has been renewed.

Date of Status: 2007-07-17

Filing Date: 1996-08-09

Transformed into a National Application: No

Registration Date: 1997-06-10

Register: Principal

Law Office Assigned: LAW OFFICE 107

If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)

Current Location: 830 -Post Registration

Date In Location: 2007-07-17

---

## LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. Smart & Final Stores Corporation



**Address:**

Smart & Final Stores Corporation  
600 CITIDEL DRIVE  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation**State or Country of Incorporation:** California

---

**GOODS AND/OR SERVICES**

---

**International Class:** 032**Class Status:** Active

apple cider, fruit juices, fruit juice concentrates, fruit punch concentrates; syrups, powders, concentrates for making beverages

**Basis:** 1(a)**First Use Date:** 1927-00-00**First Use in Commerce Date:** 1927-00-00

---

**ADDITIONAL INFORMATION**

---

**Prior Registration Number(s):**

653151

1260297

1260298

1797358

1797359

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-17 - First renewal 10 year

2007-07-17 - Section 8 (10-year) accepted/ Section 9 granted

2007-07-17 - Assigned To Paralegal

2007-06-08 - Combined Section 8 (10-year)/Section 9 filed

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2007-06-08 - TEAS Section 8 & 9 Received

2006-12-19 - Case File In TIGRS

2003-08-13 - Section 8 (6-year) accepted & Section 15 acknowledged

2003-06-10 - Section 8 (6-year) and Section 15 Filed

2003-06-09 - TEAS Section 8 & 15 Received

1997-06-10 - Registered - Principal Register

1997-03-18 - Published for opposition

1997-02-14 - Notice of publication

1997-01-21 - Approved for Pub - Principal Register (Initial exam)

1997-01-17 - Assigned To Examiner

---

#### ATTORNEY/CORRESPONDENT INFORMATION

---

**Attorney of Record**

DAVID A. PLUMLEY

**Correspondent**

DAVID A. PLUMLEY

CHRISTIE, PARKER &amp; HALE, LLP

P.O. BOX 7068

PASADENA CA 91109-7068

Phone Number: (626) 795-9900

Fax Number: (626) 577-8800



# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 7

**Serial #:** 75147696

**Filing Dt:** 08/09/1996

**Reg #:** 2069962

**Reg. Dt:** 06/10/1997

**Registrant:** Smart & Final Stores Corporation

**Mark:** SMART & FINAL

#### Assignment: 1

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

#### Assignment: 2

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** OREGON

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3**

**Reel/Frame:** 2685/0001      **Received:** 02/27/2003      **Recorded:** 02/24/2003      **Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA

**Citizenship:** NONE

**Assignee:** SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA

**Citizenship:** NONE

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 4**

**Reel/Frame:** 3661/0048      **Received:** 11/15/2007      **Recorded:** 11/15/2007      **Pages:** 4

**Conveyance:** CONVERSION

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 05/29/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES LLC

600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 5**

**Reel/Frame:** 3563/0237      **Received:** 06/18/2007      **Recorded:** 06/18/2007      **Pages:** 14

**Conveyance:** SECURITY INTEREST

**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT

100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** CALIFORNIA

**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202

**Assignment: 6**

**Reel/Frame:** 3570/0451      **Received:** 06/28/2007      **Recorded:** 06/28/2007      **Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT

11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK

**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Assignment: 7****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:53 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

| [.HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-23 13:40:34 ET**

**Serial Number:** 73535967 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 1393707

**Mark (words only):** SMART BUY

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2006-07-18

**Filing Date:** 1985-05-06

**Transformed into a National Application:** No

**Registration Date:** 1986-05-20

**Register:** Principal

**Law Office Assigned:** (NOT AVAILABLE)

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 40S -Scanning On Demand

**Date In Location:** 2008-06-20

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. SMART & FINAL STORES CORPORATION

**Address:**

SMART & FINAL STORES CORPORATION  
600 CITADEL DR  
COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation

**State or Country of Incorporation:** California

---

#### GOODS AND/OR SERVICES

---

**International Class:** 003  
**Class Status:** Section 8 - Cancelled  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 005  
**Class Status:** Section 8 - Cancelled  
HYDROGEN PEROXIDE SOLUTION FOR MEDICAL PURPOSES; RUBBING ALCOHOL;  
ASPIRIN IN TABLET FORM, MINERAL OIL; MERTHIOLATE; EPSOM SALT  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 006  
**Class Status:** Section 8 - Cancelled  
ALUMINUM FOIL  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 008  
**Class Status:** Section 8 - Cancelled  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 016  
**Class Status:** Active  
PAPER TOWELS AND BATHROOM TISSUE; FACIAL TISSUE; PLASTIC FOOD WRAP  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 029  
**Class Status:** Section 8 - Cancelled  
CANNED FRUIT; CANNED VEGETABLES  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 030  
**Class Status:** Section 8 - Cancelled  
TOMATO CATSUP  
**Basis:** 1(a)  
**First Use Date:** 1982-03-15  
**First Use in Commerce Date:** 1982-03-15

**International Class:** 031

**Class Status:** Active

PET FOOD

**Basis:** 1(a)

**First Use Date:** 1982-03-15

**First Use in Commerce Date:** 1982-03-15

**International Class:** 032

**Class Status:** Section 8 - Cancelled

FRUIT JUICES

**Basis:** 1(a)

**First Use Date:** 1982-03-15

**First Use in Commerce Date:** 1982-03-15

---

#### ADDITIONAL INFORMATION

---

(NOT AVAILABLE)

---

#### MADRID PROTOCOL INFORMATION

---

(NOT AVAILABLE)

---

#### PROSECUTION HISTORY

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2008-06-20 - Case File In TIGRS

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2006-07-18 - First renewal 10 year

2006-07-18 - Section 9 granted/check record for Section 8

2006-07-18 - Partial Section 8 ( 10-Year) accepted/checked record for section

2006-04-14 - Section 15 affidavit received

2006-07-07 - Assigned To Paralegal

2006-04-14 - Combined Section 8 (10-year)/Section 9 filed

2006-04-14 - TEAS Section 8 & 9 Received

2006-04-14 - TEAS Section 15 Received



1993-04-30 - Partial Section 8 (6-year) accepted  
1993-04-30 - Post Registration action correction  
1993-04-07 - Response received for Post Registration action  
1992-10-07 - Post Registration action mailed - Section 8  
1992-05-20 - Section 8 (6-year) filed  
1986-05-20 - Registered - Principal Register  
1986-02-25 - Published for opposition  
1986-01-26 - Notice of publication  
1985-12-31 - Approved for Pub - Principal Register (Initial exam)  
1985-12-27 - Communication received from applicant  
1985-06-28 - Non-final action mailed  
1985-06-26 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

David A. Plumley

**Correspondent**

DAVID A. PLUMLEY  
CHRISTIE, PARKER & HALE, LLP  
PO BOX 7068  
PASADENA, CA 91109-7068

---

**United States Patent and Trademark Office**[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)**Assignments on the Web > Trademark Query****Trademark Assignment Abstract of Title****Total Assignments: 13****Serial #:** 73535967**Filing Dt:** 05/06/1985**Reg #:** 1393707**Reg. Dt:** 05/20/1986**Registrant:** SMART & FINAL IRIS CORPORATION**Mark:** SMART BUY**Assignment: 1****Reel/Frame:** 0655/0926**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** CHANGE OF NAME**Assignor:** THRIFTYMART, INC., (MERGED INTO)**Exec Dt:** 07/19/1984**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignee:** SMART & FINAL IRIS CORPORATION

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 2****Reel/Frame:** 0655/0928**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** CHANGE OF NAME**Assignor:** SMART & FINAL IRIS CORPORATION, (CHANGED TO)**Exec Dt:** 03/24/1987**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignee:** SMART & FINAL IRIS REALTY CORPORATION

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 3****Reel/Frame:** 0655/0920**Received:****Recorded:** 06/26/1989**Pages:** 0**Conveyance:** MERGER AND CHANGE OF NAME**Assignors:** CASINO USA, INC., (MERGED INTO)**Exec Dt:** 07/15/1988**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Exec Dt:** 00/00/0000**Entity Type:** UNKNOWN**Citizenship:** NONE**Entity Type:** UNKNOWN**Citizenship:** NONE**Entity Type:** UNKNOWN**Citizenship:** NONE**Assignees:** SMART & FINAL IRIS REALTY CORPORATION, (CHANGED TO)CASINO USA, INC.

**Correspondent:** GUY PORTER SMITH  
POMS, SMITH, LANDE & ROSE  
STE. 1400, 2121 AVENUE OF THE STARS  
LOS ANGELES, CA 90067

**Assignment: 4**

**Reel/Frame:** 0804/0554**Received:****Recorded:** 08/01/1991**Pages:** 6**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL**Assignor:** CASINO USA, INC.**Exec Dt:** 07/11/1991**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION

4700 S. BOYLE AVE.

LOS ANGELES, CALIFORNIA 90058

**Correspondent:** GUY PORTER SMITH

POMS, SMITH, LANDE &amp; ROSE

2121 AVENUE OF THE STARS

SUITE 1400

LOS ANGELES, CA 90067-5010

**Assignment: 5****Reel/Frame:** 1816/0659**Received:** 11/20/1998**Recorded:** 11/17/1998**Pages:** 38**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 11/13/1998**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANKING CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

**Assignment: 6****Reel/Frame:** 2383/0769**Received:** 12/17/2001**Recorded:** 12/17/2001**Pages:** 14**Conveyance:** RELEASE OF LIEN IN TRADEMARKS**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION**Citizenship:** NONE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** CORPORATION**Citizenship:** OREGON**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA**Entity Type:** CORPORATION**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 7**

**Reel/Frame:** 2390/0576

**Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

SMART & FINAL OREGON, INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

PORT STOCKTON FOOD DISTRIBUTORS, INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

HENRY LEE COMPANY

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

CASINO FROZEN FOODS, INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

AMERIFOODS TRADING COMPANY

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

FOODSERVICE SPECIALISTS.COM

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

OKUN PRODUCE INTERNATIONAL, INC.

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS

725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 8**

**Reel/Frame:** 2685/0001

**Received:** 02/27/2003

**Recorded:** 02/24/2003

**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH**Exec Dt:** 11/30/2001**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA**Citizenship:** NONE**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA**Citizenship:** NONE**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 9****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068**Assignment: 10****Reel/Frame:** 3554/0180      **Received:** 06/04/2007**Recorded:** 06/04/2007**Pages:** 11**Conveyance:** RELEASE BY SECURED PARTY**Assignor:** BNP PARIBAS**Exec Dt:** 05/31/2007**Entity Type:** FRENCH BANK**Citizenship:** FRANCE**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES CORPORATION600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004**Assignment: 11****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202**Assignment: 12****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

**Assignment: 13**

**Reel/Frame:** 3571/0123

**Received:** 06/29/2007

**Recorded:** 06/29/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT  
11 MADISON AVENUE  
NEW YORK, NEW YORK 10010

**Entity Type:** BANK  
**Citizenship:** NONE

**Correspondent:** IP RESEARCH PLUS, INC.  
21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:41 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1

| [.HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:20:32 ET**

**Serial Number:** 74430367 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 1840778

**Mark (words only):** SMARTY

**Standard Character claim:** No

**Current Status:** The registration has been renewed.

**Date of Status:** 2004-07-30

**Filing Date:** 1993-08-27

**Transformed into a National Application:** No

**Registration Date:** 1994-06-21

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 14

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 900 -File Repository (Franconia)

**Date In Location:** 2004-08-02

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

##### 1. SMART & FINAL STORES CORPORATION

**Address:**

SMART & FINAL STORES CORPORATION  
600 CITADEL DRIVE  
CITY OF COMMERCE, CA 90040  
United States

**Legal Entity Type:** Corporation

**State or Country of Incorporation:** California

---

#### GOODS AND/OR SERVICES

---

**International Class:** 031

**Class Status:** Active

wet and dry dog and cat food, dog biscuits and cat litter

**Basis:** 1(a)

**First Use Date:** 1980-00-00

**First Use in Commerce Date:** 1980-00-00

---

**ADDITIONAL INFORMATION**

---

(NOT AVAILABLE)

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2004-07-30 - First renewal 10 year

2004-07-30 - Section 8 (10-year) accepted/ Section 9 granted

2004-05-21 - Combined Section 8 (10-year)/Section 9 filed

2004-05-21 - TEAS Section 8 & 9 Received

1999-11-17 - Section 8 (6-year) accepted & Section 15 acknowledged

1999-08-02 - Section 8 (6-year) and Section 15 Filed

1994-06-21 - Registered - Principal Register

1994-03-29 - Published for opposition

1994-02-25 - Notice of publication

1993-12-20 - Approved for Pub - Principal Register (Initial exam)

1993-12-15 - Assigned To Examiner

---



## ATTORNEY/CORRESPONDENT INFORMATION

---

**Attorney of Record**

DAVID A PLUMLEY

**Correspondent**

DAVID A PLUMLEY

CHRISTIE PARKER & HALE, LLP

PO BOX 7068

PASADENA CA 91109-7068

---



# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 9

**Serial #:** 74430367

**Filing Dt:** 08/27/1993

**Reg #:** 1840778

**Reg. Dt:** 06/21/1994

**Registrant:** SMART & FINAL STORES CORPORATION

**Mark:** SMARTY

#### Assignment: 1

**Reel/Frame:** 1816/0659

**Received:** 11/20/1998

**Recorded:** 11/17/1998

**Pages:** 38

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/13/1998

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** BANKING CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT LYONNAIS LOS ANGELES BRANCH

SUITE 2200

515 SOUTH FLORWER STREET

LOS ANGELES, CALIFORNIA 90071

**Correspondent:** LATHAM & WATKINS

A. BRIDGET SORENSON

633 WEST 5TH STREET

SUITE 4000

LOS ANGELES, CALIFORNIA 90071-2007

#### Assignment: 2

**Reel/Frame:** 2383/0769

**Received:** 12/17/2001

**Recorded:** 12/17/2001

**Pages:** 14

**Conveyance:** RELEASE OF LIEN IN TRADEMARKS

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION

**Citizenship:** NONE

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignees:** SMART & FINAL INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERICAN FOODSERVICE DISTRIBUTORS

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

SMART & FINAL OREGON, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

AMERIFOODS TRADING COMPANY

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

CASINO FROZEN FOODS, INC.

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

HENRY LEE COMPANY

3301 NW 125TH STREET

MIAMI, FLORIDA 33167

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Entity Type:** CORPORATION

**Citizenship:** OREGON

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

**Entity Type:** CORPORATION

**Citizenship:** FLORIDA

PORT STOCKTON FOOD DISTRIBUTORS, INC.  
600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION  
**Citizenship:** CALIFORNIA

**Correspondent:** SKADDEN, ARPS, SLATE MEAGHER & FLOM LLP  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 3****Reel/Frame:** 2390/0576**Received:** 01/04/2002**Recorded:** 01/04/2002**Pages:** 31**Conveyance:** SECURITY INTEREST**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

SMART & FINAL STORES CORPORATION

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

SMART & FINAL OREGON, INC.

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

PORT STOCKTON FOOD DISTRIBUTORS, INC.

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

HENRY LEE COMPANY

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

CASINO FROZEN FOODS, INC.

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

AMERIFOODS TRADING COMPANY

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

FOODSERVICE SPECIALISTS.COM

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

OKUN PRODUCE INTERNATIONAL, INC.

**Exec Dt:** 11/30/2001**Entity Type:** CORPORATION**Citizenship:** NONE

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS

725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Entity Type:** FRENCH BANK  
**Citizenship:** NONE

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Assignment: 4****Reel/Frame:** 2685/0001**Received:** 02/27/2003**Recorded:** 02/24/2003**Pages:** 12

**Conveyance:** RECORDING TO CORRECT THE RECEIVING PARTY'S NAME IN A RELEASE OF A SECURITY AGREEMENT PREVIOUSLY  
RECORDED AT REEL 2383, FRAME 0769

**Assignor:** CREDIT LYONNAIS LOS ANGELES BRANCH

**Exec Dt:** 11/30/2001

**Entity Type:** BANKING CORPORATION -  
EXISTS UNDER LAWS OF  
CALIFORNIA

**Citizenship:** NONE

**Assignee:** SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION - EXISTS  
UNDER LAWS OF CALIFORNIA

**Citizenship:** NONE

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
DAVID A. PLUMLEY  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 5**

**Reel/Frame:** 3661/0048      **Received:** 11/15/2007

**Recorded:** 11/15/2007

**Pages:** 4

**Conveyance:** CONVERSION

**Assignor:** SMART & FINAL STORES CORPORATION

**Exec Dt:** 05/29/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** SMART & FINAL STORES LLC

600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Correspondent:** CHRISTIE, PARKER & HALE, LLP  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 6**

**Reel/Frame:** 3554/0180      **Received:** 06/04/2007

**Recorded:** 06/04/2007

**Pages:** 11

**Conveyance:** RELEASE BY SECURED PARTY

**Assignor:** BNP PARIBAS

**Exec Dt:** 05/31/2007

**Entity Type:** FRENCH BANK

**Citizenship:** FRANCE

**Assignee:** SMART & FINAL STORES CORPORATION

600 CITADEL DRIVE  
COMMERCE, CALIFORNIA 90040

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Correspondent:** CATHERINE R. HOWELL, PARALEGAL  
1111 PENNSYLVANIA AVE., N.W.  
WASHINGTON, DC 20004

**Assignment: 7**

**Reel/Frame:** 3563/0237      **Received:** 06/18/2007

**Recorded:** 06/18/2007

**Pages:** 14

**Conveyance:** SECURITY INTEREST

**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** LIMITED LIABILITY COMPANY

**Citizenship:** CALIFORNIA

**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT

100 FEDERAL STREET  
BOSTON, MASSACHUSETTS 02110

**Entity Type:** NATIONAL ASSOCIATION

**Citizenship:** CALIFORNIA

**Correspondent:** CHRISTOPHER E. KONDRACKI  
2001 JEFFERSON DAVIS, HWY., SUITE 1007  
ARLINGTON, VA 22202

**Assignment: 8**

**Reel/Frame:** 3570/0451      **Received:** 06/28/2007

**Recorded:** 06/28/2007

**Pages:** 14

**Conveyance:** SECURITY AGREEMENT

**Assignor:** SMART & FINAL STORES LLC

**Exec Dt:** 05/31/2007

**Entity Type:** CORPORATION

**Citizenship:** CALIFORNIA

**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602**Assignment: 9****Reel/Frame:** 3571/0123**Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT11 MADISON AVENUE  
NEW YORK, NEW YORK 10010**Entity Type:** BANK**Citizenship:** NONE**Correspondent:** IP RESEARCH PLUS, INC.21 TADCASTER CIRCLE  
ATTN: PENELOPE J.A. AGODOA  
WALDORF, MD 20602

Search Results as of: 02/23/2011 01:42 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**Thank you for your request. Here are the latest results from the TARR web server.**

**This page was generated by the TARR system on 2011-02-22 15:20:44 ET**

**Serial Number:** 76300244 [Assignment Information](#) [Trademark Document Retrieval](#)

**Registration Number:** 2743863

**Mark (words only):** SMART CASH

**Standard Character claim:** No

**Current Status:** A Sections 8 and 15 combined declaration has been accepted and acknowledged.

**Date of Status:** 2009-07-30

**Filing Date:** 2001-08-10

**Transformed into a National Application:** No

**Registration Date:** 2003-07-29

**Register:** Principal

**Law Office Assigned:** LAW OFFICE 106

**If you are the applicant or applicant's attorney and have questions about this file, please contact the Trademark Assistance Center at [TrademarkAssistanceCenter@uspto.gov](mailto:TrademarkAssistanceCenter@uspto.gov)**

**Current Location:** 830 -Post Registration

**Date In Location:** 2009-07-30

---

#### LAST APPLICANT(S)/OWNER(S) OF RECORD

---

1. Smart & Final Stores LLC

**Address:**

Smart & Final Stores LLC  
600 Citadel Drive  
Commerce, CA 90040  
United States

**Legal Entity Type:** Limited Liability Company

**State or Country Where Organized:** California

---

#### GOODS AND/OR SERVICES

---

**International Class:** 009

**Class Status:** Active

ELECTRONIC GIFT CARDS

**Basis:** 1(a)

**First Use Date:** 2002-11-00

**First Use in Commerce Date:** 2003-02-00

---

**ADDITIONAL INFORMATION**

---

(NOT AVAILABLE)

---

**MADRID PROTOCOL INFORMATION**

---

(NOT AVAILABLE)

---

**PROSECUTION HISTORY**

---

**NOTE: To view any document referenced below, click on the link to "Trademark Document Retrieval" shown near the top of this page.**

2009-07-30 - Section 8 (6-year) accepted & Section 15 acknowledged

2009-07-21 - Assigned To Paralegal

2009-07-14 - TEAS Section 8 & 15 Received

2008-05-06 - Case File In TICRS

2007-11-21 - Assignment Of Ownership Not Updated Automatically

2007-07-04 - Assignment Of Ownership Not Updated Automatically

2003-07-29 - Registered - Principal Register

2003-05-21 - Allowed for Registration - Principal Register (SOU accepted)

2003-05-19 - Statement Of Use Processing Complete

2003-03-10 - Use Amendment Filed

2003-03-13 - PAPER RECEIVED

2002-09-10 - NOA Mailed - SOU Required From Applicant

2002-06-18 - Published for opposition

2002-05-29 - Notice of publication

2002-01-12 - Approved For Pub - Principal Register

2001-10-04 - Assigned To Examiner

2001-09-29 - Assigned To Examiner

---

**ATTORNEY/CORRESPONDENT INFORMATION**

---

**Attorney of Record**

DAVID A PLUMLEY

**Correspondent**

DAVID A PLUMLEY

CHRISTIE PARKER & HALE LLP

PO BOX 7068

PASADENA CA 91109-7068

Phone Number: (626) 795-9900

Fax Number: (626) 577-8800

---





# United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)



## Assignments on the Web > Trademark Query

### Trademark Assignment Abstract of Title

#### Total Assignments: 5

**Serial #:** 76300244

**Filing Dt:** 08/10/2001

**Reg #:** 2743863

**Reg. Dt:** 07/29/2003

**Registrant:** Smart & Final Stores Corporation

**Mark:** SMART CASH

#### Assignment: 1

**Reel/Frame:** 2390/0576

**Received:** 01/04/2002

**Recorded:** 01/04/2002

**Pages:** 31

**Conveyance:** SECURITY INTEREST

**Assignors:** SMART & FINAL INC.

AMERICAN FOODSERVICE DISTRIBUTORS

SMART & FINAL STORES CORPORATION

SMART & FINAL OREGON, INC.

PORT STOCKTON FOOD DISTRIBUTORS, INC.

HENRY LEE COMPANY

CASINO FROZEN FOODS, INC.

AMERIFOODS TRADING COMPANY

FOODSERVICE SPECIALISTS.COM

OKUN PRODUCE INTERNATIONAL, INC.

H.L. HOLDING CORPORATION

**Assignee:** BNP PARIBAS

725 FIGUEROA STREET, SUITE 2090  
LOS ANGELES, CALIFORNIA 90017

**Correspondent:** SKADDEN, ARPS, SLATE, MEAGHER ET AL  
ELAINE D. ZIFF  
FOUR TIMES SQUARE  
NEW YORK, NY 10036-6522

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Exec Dt:** 11/30/2001

**Entity Type:** CORPORATION

**Citizenship:** NONE

**Entity Type:** FRENCH BANK

**Citizenship:** NONE

**Assignment: 2****Reel/Frame:** 3661/0048      **Received:** 11/15/2007**Recorded:** 11/15/2007**Pages:** 4**Conveyance:** CONVERSION**Assignor:** SMART & FINAL STORES CORPORATION**Exec Dt:** 05/29/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Assignee:** SMART & FINAL STORES LLC

600 CITADEL DRIVE

COMMERCE, CALIFORNIA 90040

**Correspondent:** CHRISTIE, PARKER & HALE, LLP

P.O. BOX 7068

PASADENA, CA 91109-7068

**Assignment: 3****Reel/Frame:** 3563/0237      **Received:** 06/18/2007**Recorded:** 06/18/2007**Pages:** 14**Conveyance:** SECURITY INTEREST**Assignor:** S&F STORES MERGER SUB LLC TO BE MERGED WITH AND INTO  
SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** LIMITED LIABILITY COMPANY**Citizenship:** CALIFORNIA**Entity Type:** NATIONAL ASSOCIATION**Citizenship:** CALIFORNIA**Assignee:** BANK OF AMERICA, N.A., AS COLLATERAL AGENT

100 FEDERAL STREET

BOSTON, MASSACHUSETTS 02110

**Correspondent:** CHRISTOPHER E. KONDRACKI

2001 JEFFERSON DAVIS, HWY., SUITE 1007

ARLINGTON, VA 22202

**Assignment: 4****Reel/Frame:** 3570/0451      **Received:** 06/28/2007**Recorded:** 06/28/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANK**Citizenship:** NONE**Assignee:** CREDIT SUISSE, AS FIRST LIEN COLLATERAL AGENT

11 MADISON AVENUE

NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.

21 TADCASTER CIRCLE

ATTN: PENELOPE J.A. AGODOA

WALDORF, MD 20602

**Assignment: 5****Reel/Frame:** 3571/0123      **Received:** 06/29/2007**Recorded:** 06/29/2007**Pages:** 14**Conveyance:** SECURITY AGREEMENT**Assignor:** SMART & FINAL STORES LLC**Exec Dt:** 05/31/2007**Entity Type:** CORPORATION**Citizenship:** CALIFORNIA**Entity Type:** BANK**Citizenship:** NONE**Assignee:** CREDIT SUISSE, AS SECOND LIEN COLLATERAL AGENT

11 MADISON AVENUE

NEW YORK, NEW YORK 10010

**Correspondent:** IP RESEARCH PLUS, INC.

21 TADCASTER CIRCLE

ATTN: PENELOPE J.A. AGODOA

WALDORF, MD 20602

Search Results as of: 02/23/2011 01:43 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.1  
Web interface last modified: Apr 30, 2009 v.2.1