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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Proceeding	91187606
Party	Plaintiff Educational Testing Service
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

In the Matter of Application Serial No. 77/411,075

-----X	:	
EDUCATIONAL TESTING SERVICE	:	
	:	
Opposer,	:	Opposition No. 91187606
	:	
v.	:	STIPULATED PROTECTIVE ORDER
	:	
DURAN RUBERO BEAUTY CENTER, INC.	:	
	:	
Applicant.	:	
	:	
-----X		

**TERMS OF ORDER**

**1) Classes of Protected Information.**

The Rules of Practice in Trademark Cases provide that all inter partes proceeding files, as well as the involved registration and application files, are open to public inspection. The terms of this order are not to be used to undermine public access to files. When appropriate, however, a party or witness, on its own or through its attorney, may seek to protect the confidentiality of information by employing one of the following designations.

**Confidential**—Material that the disclosing party in good faith believes (i) is not in the public domain and (ii) contains proprietary or confidential research, development, marketing, financial, technical or commercial information, including business plans, pricing information, financial statements and accounting reports, license agreements, minutes of board director meetings, marketing and advertising plans, product development information and market research or other commercial information. Such material is to be shielded by the Board from public access, and available for review only by outside and in-house counsel for the parties and the following designated representatives of each of the parties as follows: Aurora Hill, Senior Intellectual Property Administrator; Sheree Johnson-Gregory, Associate General Counsel and Patricia Santiago, Executive Director Teacher Licensure and Certification Program, for Educational Testing Service and Henry Rodriguez, Amaury Cruz, and Ricardo Ruz for Duran Rubero Beauty Center, Inc.

**Highly Confidential – Attorneys’ Eyes Only** – Material that the disclosing party in good faith believes would cause direct harm to the competitive position of the disclosing party. Such material is to be shielded by the Board from public access, restricted from any access by the parties, and available for review by outside counsel, in-house counsel and legal paraprofessionals for the parties and, subject to the provisions of paragraph 4, by independent experts or consultants for the parties.

**2) Information Not to Be Designated as Protected.**

Information may not be designated as subject to any form of protection if it (a) is, or becomes, public knowledge, as shown by publicly available writings, other than through violation of the terms of this document; (b) is acquired by a non-designating party or non-party witness from a third party lawfully possessing such information and having no obligation to the owner of the information; (c) was lawfully possessed by a non-designating party or non-party witness prior to the opening of discovery in this proceeding, and for which there is written evidence of the lawful possession; (d) is disclosed by a non-designating party or non-party witness legally compelled to disclose the information; or (e) is disclosed by a non-designating party with the approval of the designating party.

**3) Access to Protected Information.**

The provisions of this order regarding access to protected information are subject to modification by written agreement of the parties or their attorneys, or by motion filed with and approved by the Board.

Judges, attorneys, and other employees of the Board are bound to honor the parties’ designations of information as protected but are not required to sign forms acknowledging the terms and existence of this order. Court reporters, stenographers, video technicians or others who may be employed by the parties or their attorneys to perform services incidental to this proceeding are also bound to honor the parties’ designations of information as protected but are not required to sign forms acknowledging the terms and existence of this order.

- **Parties** are defined as including individuals, officers of corporations, partners of partnerships, and management employees of any type of business organization.
- **Attorneys** for parties are defined as including **in-house counsel** and **outside counsel**, including support staff operating under in-house counsel’s and outside counsel’s direction, such as paralegals or legal assistants, secretaries, and any other employees or independent contractors operating under counsel’s instruction.
- **Independent experts or consultants** include individuals retained by a party for purposes related to prosecution or defense of the proceeding but who are not otherwise employees of either the party or its attorneys.

- **Non-party witnesses** include any individuals to be deposed during discovery or trial, whether willingly or under subpoena issued by a court of competent jurisdiction over the witness.

**Parties** and their **attorneys** shall have access to information designated as **confidential**, subject to any agreed exceptions.

**Outside counsel and in-house counsel**, shall have access to information designated as **highly confidential – attorneys’ eyes only**.

**Independent experts or consultants** may be afforded access to **confidential or highly confidential-attorneys’ eyes only** information in accordance with the terms that follow in paragraph 4.

#### **4) Disclosure to Independent Experts or Consultants.**

Prior to disclosure of protected information by any party or its attorney to an independent expert or consultant, the individual shall be informed of the existence of this order and provided with a copy to read. The individual will then be required to certify in writing that the order has been read and understood and that the terms shall be binding on the individual. Further, the independent expert or consultant shall certify that he or she is not a competitor or employee of a competitor of either of the parties, defined as a manufacturer, distributor or seller of the educational products and services that are at issue in this proceeding. No individual shall receive any protected information until the party or attorney proposing to disclose the information has received the signed certification from the individual. A form for such certification is attached to this order. The party or attorney receiving the completed form shall retain a copy of the certification.

#### **5) Responses to Written Discovery.**

Responses to interrogatories under Federal Rule 33 and requests for admissions under Federal Rule 36, and which the responding party reasonably believes to contain protected information shall be prominently stamped or marked with the appropriate designation from paragraph 1. Any inadvertent disclosure without appropriate designation shall be remedied as soon as the disclosing party learns of its error, by informing all adverse parties, in writing, of the error and the appropriate designation. The information shall thereafter be treated, disclosed to, and/or copies retained by authorized persons according to such designation. The parties should inform the Board only if necessary because of the filing of protected information not in accordance with the provisions of paragraph 11.

#### **6) Production of Documents.**

If a party responds to requests for production under Federal Rule 34 by making copies and forwarding the copies to the inquiring party, then the copies shall be prominently stamped or marked, as necessary, with the appropriate designation from paragraph 1. If the responding party makes documents available for inspection and copying by the

inquiring party, all documents shall be considered protected during the course of inspection. After the inquiring party informs the responding party what documents are to be copied, the responding party will be responsible for prominently stamping or marking the copies with the appropriate designation from paragraph 1. Any inadvertent disclosure of any privileged or otherwise protected materials shall be remedied as soon as the disclosing party learns of its error, by informing all adverse parties, in writing, of the error and the appropriate designation. The information shall thereafter be treated, disclosed to, and or copies retained by authorized persons according to such designation. Such inadvertent disclosure shall not be deemed a waiver or impairment of any claim of privilege or protection including, but not limited to, the attorney-client privilege and the protection afforded to work-product materials, or the subject matter thereof. Upon receiving written notice from the disclosing party that materials asserted to be privileged have been inadvertently produced, the receiving party shall promptly return or destroy all such material to the disclosing party within five business days of receipt of such notice. The same shall apply to any non-party who voluntarily or under subpoena produces documents in this action. The parties should inform the Board only if necessary because of the filing of protected information not in accordance with the provisions of paragraph 11.

#### **7) Depositions.**

Protected documents produced during a discovery deposition, or offered into evidence during a testimony deposition shall be orally noted as such by the producing or offering party at the outset of any discussion of the document or information contained in the document. In addition, the documents must be prominently stamped or marked with the appropriate designation.

During discussion of any non-documentary protected information, the interested party shall make oral note of the protected nature of the information.

The transcript of any deposition and all exhibits or attachments shall be considered protected as highly confidential – attorneys' eyes only for 30 days following the date of service of the transcript by the party that took the deposition. During that 30-day period, either party may designate the portions of the transcript, and any specific exhibits or attachments that are to be treated as protected, by electing the appropriate designation from paragraph 1. Appropriate stampings or markings should be made during this time. At the end of the 30-day period, any or all portions of the transcript, exhibits and attachments shall be treated, disclosed to and/or copies retained by authorized persons according to such designation, if any. If no such designations are made, then the entire transcript and exhibits will be considered unprotected.

#### **8) Filing Notices of Reliance.**

When a party or its attorney files a notice of reliance during the party's testimony period, the party or attorney is bound to honor designations made by the adverse party or

attorney, or non-party witness, who disclosed the information, so as to maintain the protected status of the information.

**9) Briefs.**

When filing briefs, memoranda, or declarations in support of a motion, or briefs at final hearing, the portions of these filings that discuss protected information, whether information of the filing party, or any adverse party, or any non-party witness, should be redacted. The rule of reasonableness for redaction is discussed in paragraph 11 of this order.

**10) Handling of Protected Information.**

All documents and information disclosed during this proceeding, including documents and information protected under the terms of this order, are intended only to facilitate the prosecution or defense of this case and/or cases related to the subject matter thereof between the parties. The recipient of any protected information disclosed in accordance with the terms of this order is obligated to maintain the confidentiality of the information and shall exercise reasonable care in handling, storing, using or disseminating the information.

**11) Redaction; Filing Material With the Board.**

When a party or attorney must file protected information with the Board, or a brief that discusses such information, the protected information or portion of the brief discussing the same should be redacted from the remainder. A rule of reasonableness should dictate how redaction is effected.

Redaction can entail merely covering a portion of a page of material when it is copied in anticipation of filing but can also entail the more extreme measure of simply filing the entire page under seal as one that contains primarily confidential material. If only a sentence or short paragraph of a page of material is confidential, covering that material when the page is copied would be appropriate. In contrast, if most of the material on the page is confidential, then filing the entire page under seal would be more reasonable, even if some small quantity of non-confidential material is then withheld from the public record. Likewise, when a multi-page document is in issue, reasonableness would dictate that redaction of the portions or pages containing confidential material be affected when only some small number of pages contain such material. In contrast, if almost every page of the document contains some confidential material, it may be more reasonable to simply submit the entire document under seal. **Occasions when a whole document or brief must be submitted under seal should be very rare.**

Protected information, and pleadings, briefs or memoranda that reproduce, discuss or paraphrase such information, shall be filed with the Board under seal. The envelopes or containers shall be prominently stamped or marked with a legend in substantially the following form:

## CONFIDENTIAL

*This envelope contains documents or information that are subject to a protective order or agreement. The confidentiality of the material is to be maintained and the envelope is not to be opened, or the contents revealed to any individual, except by order of the Board.*

### **12) Acceptance of Information; Inadvertent Disclosure.**

Acceptance by a party or its attorney of information disclosed under designation as protected shall not constitute an admission that the information is, in fact, entitled to protection. Inadvertent disclosure of information which the disclosing party intended to designate as protected shall not constitute waiver of any right to claim the information as protected or privileged, including but not limited to the attorney-client privilege and the protection afforded to work product materials, upon discovery of the error.

### **13) Challenges to Designations of Information as Protected.**

If the parties or their attorneys disagree as to whether certain information should be protected, they are obligated to negotiate in good faith regarding the designation by the disclosing party. If the parties are unable to resolve their differences, the party challenging the designation may make a motion before the Board seeking a determination of the status of the information.

A challenge to the designation of information as protected must be made within a reasonable period of time after the basis for challenge is known. When a challenge is made long after a designation of information as protected, the challenging party will be expected to show why it could not have made the challenge at an earlier time.

The party designating information as protected will, when its designation is timely challenged, bear the ultimate burden of proving that the information should be protected.

### **14) Board's Jurisdiction; Handling of Materials After Termination.**

The Board's jurisdiction over the parties and their attorneys ends when this proceeding is terminated. A proceeding is terminated only after a final order is entered and either all appellate proceedings have been resolved or the time for filing an appeal has passed without filing of any appeal.

The parties may agree that archival copies of evidence and briefs may be retained, subject to compliance with agreed safeguards. Otherwise, within 30 days after the final termination of this proceeding, the parties and their attorneys shall return to each disclosing party the protected information disclosed during the proceeding, and shall include any briefs, memoranda, summaries, and the like, which discuss or in any way refer to such information. In the alternative, the disclosing party or its attorney may make a written request that such materials be destroyed rather than returned.

**15) Other Rights of the Parties and Attorneys.**

This order shall not preclude the parties or their attorneys from making any applicable claims of privilege during discovery or at trial. Nor shall the order preclude the filing of any motion with the Board for relief from a particular provision of this order or for additional protections not provided by this order.

**By Agreement of the Following, effective**

March 2, 2009

**DORSEY & WHITNEY, LLP**

**AMAURY, CRUZ & ASSOCIATES, P.A.**

By: Lile H. Deinard

Lile H. Deinard, Esq.  
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Attorneys for Opposer  
Educational Testing Service

Attorneys for Applicant  
Duran Rubero Beauty Center, Inc.

**By Order of the Board, effective**

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**ACKNOWLEDGMENT OF  
AGREEMENT OR ORDER PROTECTING  
CONFIDENTIALITY OF INFORMATION  
REVEALED DURING BOARD PROCEEDING**

I, \_\_\_\_\_, declare that I have been provided with a copy of the Agreement or Order regarding the disclosure of, and protection of, certain types of information and documents during and after the above-captioned opposition or cancellation proceeding before the Trademark Trial and Appeal Board.

I have read the Agreement or Order and understand its terms and provisions, by which I agree to be bound. Specifically, I agree to hold in confidence any information or documents disclosed to me in conjunction with any part I take in this proceeding.

I also hereby certify that I am not a competitor or an employee of a competitor of either of the parties, defined as a manufacturer, distributor or seller of the educational products and services that are at issue in this proceeding.

I declare under the penalty of perjury that these statements are true and correct.

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_