

PTO Form 1930 (Rev 9/2007)

OMB No. 0651-0050 (Exp. 4/30/2009)

Request for Reconsideration after Final Action

The table below presents the data as entered.

Input Field	Entered
SERIAL NUMBER	77413375
LAW OFFICE ASSIGNED	LAW OFFICE 117
MARK SECTION (no change)	
GOODS AND/OR SERVICES SECTION (current)	
INTERNATIONAL CLASS	036
DESCRIPTION	
FINANCIAL SERVICES OF ALL TYPES; MANAGEMENT, FINANCIAL AND INVESTMENT SERVICES, NAMELY, PROVIDING PRICING, MARKET AND TRADING INFORMATION FOR SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA VOICE BROKERS, DOMESTIC AND GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS; EXECUTION OF TRANSACTIONS INVOLVING SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS	
FILING BASIS	Section 1(b)
GOODS AND/OR SERVICES SECTION (proposed)	
INTERNATIONAL CLASS	036
DESCRIPTION	
FINANCIAL MANAGEMENT; INVESTMENT MANAGEMENT; FINANCIAL AND INVESTMENT SERVICES, NAMELY, PROVIDING PRICING, MARKET AND TRADING INFORMATION FOR SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA VOICE BROKERS, DOMESTIC AND GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS; EXECUTION OF TRANSACTIONS INVOLVING SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS	
FILING BASIS	Section 1(b)
SIGNATURE SECTION	
The filing Attorney has elected not to submit the signed	

DECLARATION SIGNATURE	declaration, believing no supporting declaration is required under the <i>Trademark Rules of Practice</i> .
RESPONSE SIGNATURE	/MMM/
SIGNATORY'S NAME	Michelle Mancino Marsh
SIGNATORY'S POSITION	Attorney of Record
DATE SIGNED	07/29/2009
AUTHORIZED SIGNATORY	YES
CONCURRENT APPEAL NOTICE FILED	YES
FILING INFORMATION SECTION	
SUBMIT DATE	Wed Jul 29 17:36:25 EDT 2009
TEAS STAMP	USPTO/RFR-65.246.216.100- 20090729173625634159-7741 3375-430ff9a3d71d15f67151 c8547ec6e82898-N/A-N/A-20 090729172709113336

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To the Commissioner for Trademarks:

Application serial no. 77413375 has been amended as follows:

CLASSIFICATION AND LISTING OF GOODS/SERVICES

Applicant proposes to amend the following class of goods/services in the application:

Current: Class 036 for FINANCIAL SERVICES OF ALL TYPES; MANAGEMENT, FINANCIAL AND INVESTMENT SERVICES, NAMELY, PROVIDING PRICING, MARKET AND TRADING INFORMATION FOR SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA VOICE BROKERS, DOMESTIC AND GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS; EXECUTION OF TRANSACTIONS INVOLVING SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS

Original Filing Basis:

Filing Basis: Section 1(b), Intent to Use: The applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. (15 U.S.C. Section 1051(b)).

Proposed: Class 036 for FINANCIAL MANAGEMENT; INVESTMENT MANAGEMENT; FINANCIAL AND INVESTMENT SERVICES, NAMELY, PROVIDING PRICING, MARKET AND TRADING INFORMATION FOR SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA VOICE BROKERS, DOMESTIC AND GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS; EXECUTION OF TRANSACTIONS INVOLVING SECURITIES, DERIVATIVES OR SIMILAR INSTRUMENTS BY ELECTRONIC MEANS VIA GLOBAL COMPUTER NETWORK FOR USE BY FINANCIAL INSTITUTIONS, PROFESSIONALS, AND TRADERS

Filing Basis: Section 1(b), Intent to Use: The applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. (15 U.S.C. Section 1051(b)).

SIGNATURE(S)

Declaration Signature

I hereby elect to bypass the submission of a signed declaration, because I believe a declaration is not required by the rules of practice. I understand that the examining attorney could still, upon later review, require a signed declaration.

Request for Reconsideration Signature

Signature: /MMM/ Date: 07/29/2009

Signatory's Name: Michelle Mancino Marsh

Signatory's Position: Attorney of Record

The signatory has confirmed that he/she is an attorney who is a member in good standing of the bar of the highest court of a U.S. state, which includes the District of Columbia, Puerto Rico, and other federal territories and possessions; and he/she is currently the applicant's attorney or an associate thereof; and to the best of his/her knowledge, if prior to his/her appointment another U.S. attorney or a Canadian attorney/agent not currently associated with his/her company/firm previously represented the applicant in this matter: (1) the applicant has filed or is concurrently filing a signed revocation of or substitute power of attorney with the USPTO; (2) the USPTO has granted the request of the prior representative to withdraw; (3) the applicant has filed a power of attorney appointing him/her in this matter; or (4) the applicant's appointed U.S. attorney or Canadian attorney/agent has filed a power of attorney appointing him/her as an associate attorney in this matter.

The applicant is filing a Notice of Appeal in conjunction with this Request for Reconsideration.

Serial Number: 77413375

Internet Transmission Date: Wed Jul 29 17:36:25 EDT 2009

TEAS Stamp: USPTO/RFR-65.246.216.100-200907291736256

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