ESTTA Tracking number: **ESTTA788273**
Filing date: **12/09/2016**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

Opposer Information

Name	Caterpillar Inc.
Granted to Date of previous extension	12/11/2016
Address	100 Northeast Adams Street Peoria, IL 61629-9240 UNITED STATES

Attorney information	RICHARD J MCKENNA FOLEY & LARDNER LLP 777 E WISCONSIN AVE MILWAUKEE, WI 53202-5306 UNITED STATES ipdocketing@foley.com, rmckenna@foley.com,jsimmons@foley.com,jolsen@foley.com Phone:414-271-2400

Applicant Information

Application No	79182299	Publication date	06/14/2016
Opposition Filing Date	12/09/2016	Opposition Period Ends	12/11/2016
International Registration No.	1287155	International Registration Date	11/18/2015
Applicants	FLSmidth A/S Vigerslev AllÃ© 77 DENMARK Haldor TopsÃ¸e A/S Haldor TopsÃ¸e AllÃ© 1 DENMARK		

Goods/Services Affected by Opposition

Class 007. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Air filters being parts of machines andengines
Class 011. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Air filtering apparatus, namely, air filters for industrial use; air filtering installations; air purifying machines
Class 024. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Filtering materials of textile, namely,woven fiberglass fabrics and expanded polytetrafluoroethylene (ePTFE) membranes, for use in the manu-

facture of filters and filter bags

Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
Dilution by blurring	Trademark Act Sections 2 and 43(c)
Dilution by tarnishment	Trademark Act Sections 2 and 43(c)

Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	564272	Application Date	03/10/1949
Registration Date	09/23/1952	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTSDIESEL AND OTHER INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPINGAND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID-MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS ANDSERVICE TOOLS FURNISHED WITH SAID PRODUCTS Class 012. First use: First Use: 1948/12/09 First Use In Commerce: 1948/12/09 ELECTRIC GENERATORS AND DIESEL ELECTRIC[ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS		

U.S. Registration No.	742294	Application Date	02/12/1962
Registration Date	12/18/1962	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 028. First use: First Use: 1955/09/00 First Use In Commerce: 1955/09/00 Toy Replicas of [Wheel Tractors,] Track-Type Tractors, Tractor Loaders, Bulldozers, [Dump Wagons,] Motor Graders [,Scrapers and Rippers]		

U.S. Registration No.	770639	Application Date	07/31/1963
Registration Date	06/02/1964	Foreign Priority	NONE

		Date	
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor		

U.S. Registration No.	777703	Application Date	11/20/1963
Registration Date	09/29/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1962/11/27 First Use In Commerce: 1962/11/27 Anti-Seize and Sealing Lubricant		

U.S. Registration No.	778638	Application Date	03/12/1963
Registration Date	10/13/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 037. First use: First Use: 1951/00/00 First Use In Commerce: 1951/00/00 Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment		

U.S. Registration No.	871146	Application Date	06/03/1968
Registration Date	06/17/1969	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 006. First use: First Use: 1967/04/12 First Use In Commerce: 1967/04/27 HOSE COUPLINGS Class 017. First use: First Use: 1967/04/12 First Use In Commerce: 1967/04/27 HYDRAULIC HOSE; SEALS FOR VEHICLES AND MACHINERY		

U.S. Registration No.	984444	Application Date	03/29/1973
Registration Date	05/21/1974	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	CAT
Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 1973/01/30 First Use In Commerce: 1973/01/30 LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR

U.S. Registration No.	1023137	Application Date	09/24/1973
Registration Date	10/21/1975	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 1966/08/01 First Use In Commerce: 1966/08/01 BATTERIES, FUSES, ELECTRICAL CABLES, CONDUITS, FASTENERS AND TERMINALS; SWITCHES, ENGINE STARTING SYSTEMS, SPARK PLUGS,SPARK PLUG FIRING INDICATORS, LOAD SIGNAL SYSTEMS, FLASHERS, BRAKE ACCUMULATOR BUZZERS, LOW AIR PRESSURE ALARMS, TURN SIGNAL FLASHERS, SPEEDOMETERS, ODOMETERS,TIRE WEAR GAUGES, TIRE PRESSURE GAUGES,HYDRAULIC SYSTEM FILTER INDICATORS, AIRCLEANER SERVICE INDICATORS, FUEL PRESSURE GAUGES, ENGINE OIL PRESSURE GAUGES, TORQUE CONVERTER TEM-PERATURE GAUGES, WATER TEMPERATURE GAUGES, AND SERVICE METERS		

U.S. Registration No.	1265041	Application Date	09/29/1982
Registration Date	01/24/1984	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 021. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Glasses, Cups and Coasters Class 026. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches		

U.S. Registration No.	1275103	Application Date	09/29/1982
Registration Date	04/24/1984	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of	NONE		

Mark	
Goods/Services	Class 016. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Note Pads, Stationery Type Portfolios, Pencils, Pens, and Decals Class 028. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Golf Balls, Tees and Tennis Balls

U.S. Registration No.	1298364	Application Date	09/29/1982
Registration Date	10/02/1984	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 006. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Articles of Nonprecious Metal-Namely, Key Tags, Key Chains [and Name Plates] Class 014. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Articles of Precious Metal-Namely, [Tie Tacks,] Belt Buckles, Lapel Pins, Watches [and Watch Fobs] Class 018. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Leather and Imitation Leather Goods-Namely, Business Card Cases, Credit Card Cases, Key Holders, Coin Holders, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags, Umbrellas, Traveling Bags, Purses and Wallets Class 025. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Work, Sport and Casual Clothing-Namely,Caps, Belts, Ties, Scarves, Head-wear, T-Shirts, Shorts, Sweat Pants, Sweat Shirts, Jackets, Vests, Gloves, Mit-tens, Rain Wear, One-Piece Jump Suits and Socks Class 034. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Cigarette Lighters [and Ash Trays]		

U.S. Registration No.	1579437	Application Date	11/07/1988
Registration Date	01/23/1990	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	CAT
Description of Mark	NONE
Goods/Services	Class 037. First use: First Use: 1988/10/20 First Use In Commerce: 1988/10/20 MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATER IAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED

U.S. Registration No.	1908556	Application Date	10/28/1993
Registration Date	08/01/1995	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark	CAT		
Description of Mark	NONE		
Goods/Services	Class 025. First use: First Use: 1988/06/00 First Use In Commerce: 1989/06/00 footwear		

U.S. Registration No.	2121121	Application Date	07/24/1996
Registration Date	12/16/1997	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 036. First use: First Use: 1981/00/00 First Use In Commerce: 1981/00/00 financing; issuance of debt securities;owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independentdealerships, life insurance for machineowners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative riskfinancing options and disaster recoveryplanning		

U.S. Registration No.	2137147	Application Date	02/05/1997
Registration Date	02/17/1998	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1987/02/02 First Use In Commerce: 1987/02/02 industrial oils for engines, transmissions, hydraulic systems, and drive train compartments		

U.S. Registration No.	2140606	Application Date	02/05/1997
Registration Date	03/03/1998	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			

Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 vehicles for earth and material haulingand handling, namely, tractors and engines therefor

U.S. Registration No.	2158375	Application Date	11/29/1996
Registration Date	05/19/1998	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 014. First use: First Use: 1990/04/00 First Use In Commerce: 1990/04/00 watches, clocks, cuff links, tie tacks,tie bars, [bracelets, pendants,] beltbuckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases,[jewelry chains,watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches		

U.S. Registration No.	2172769	Application Date	05/22/1997
Registration Date	07/14/1998	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	CAT
Description of Mark	NONE
Goods/Services	Class 018. First use: First Use: 1991/00/00 First Use In Commerce: 1991/00/00 leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses,briefcases, garment bags for travel, back packs, duffel bags, flight bags, totebags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,]school book bags [, shoulder hand bags, purses]

U.S. Registration No.	2209700	Application Date	04/03/1997
Registration Date	12/08/1998	Foreign Priority Date	NONE
Word Mark	CAT THE RENTAL STORE		
Design Mark	CAT Rental THE STORE		
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1997/10/01 First Use In Commerce: 1998/01/29 retail rental store services in the field of construction equipment, mining equipment and generators		

U.S. Registration No.	2234260	Application Date	03/23/1998
Registration Date	03/23/1999	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	NONE
Goods/Services	Class 025. First use: First Use: 1990/01/00 First Use In Commerce: 1990/01/00 Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, [one-piece jump suits] and socks, men's and women's shirts and jeans

U.S. Registration No.	2293192	Application Date	05/22/1997
Registration Date	11/16/1999	Foreign Priority Date	NONE
Word Mark	THE CAT RENTAL STORE		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 037. First use: First Use: 1997/10/01 First Use In Commerce: 1998/01/29 rental services, namely, the rental of construction equipment, mining equipment, and generators		

U.S. Registration	2358605	Application Date	09/09/1998

No.			
Registration Date	06/13/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 1999/10/30 First Use In Commerce: 1999/10/30 CD-ROM games		

U.S. Registration No.	2364591	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LOGISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LOGISTICS SERVICES AND CONSULTINGIN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WAREHOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00		

	warehousing services Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS

U.S. Registration No.	2364592	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark	CAT		
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consultingin the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 warehousing services Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Design of computerized information systems for managing logistics and product distribution processes for others		

U.S. Registration No.	2384000	Application Date	09/10/1998
Registration Date	09/05/2000	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	CAT
Description of Mark	NONE
Goods/Services	Class 016. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 children's books

U.S. Registration No.	2421077	Application Date	11/12/1999
Registration Date	01/16/2001	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark	CAT		
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 off-highway trucks; articulated trucks;truck engines; agricultural tractors; and parts for all the above		

U.S. Registration No.	2423986	Application Date	02/22/1999
Registration Date	01/23/2001	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 028. First use: First Use: 1987/12/01 First Use In Commerce: 1987/12/01 [jigsaw puzzles, board games, hand-held electronic games involving computer generated images of applicant's earth-moving vehicles, battery operated ride on toys,] toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys		

U.S. Registration No.	2488264	Application Date	01/22/1999
Registration Date	09/11/2001	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1999/09/30 First Use In Commerce: 1999/09/30		

	Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items

U.S. Registration No.	2561988	Application Date	04/02/2001
Registration Date	04/16/2002	Foreign Priority Date	NONE
Word Mark	CATUSED.COM		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 2000/12/01 First Use In Commerce: 2000/12/01 PROVIDING A SEARCHABLE DATABASE, VIA THE INTERNET, OF USED CONSTRUCTION, AGRICULTURAL, PAVING, AND FORESTRY MACHINERY FOR SALE OR RENT		

U.S. Registration No.	2674815	Application Date	03/15/2002
Registration Date	01/14/2003	Foreign Priority Date	NONE
Word Mark	CAT ENGINEERED DURABILITY		
Design Mark	CAT ENGINEERED DURABILITY		
Description of Mark	NONE		
Goods/Services	Class 025. First use: First Use: 2001/11/27 First Use In Commerce: 2001/11/27 FOOTWEAR		

U.S. Registration No.	3162544	Application Date	12/05/2005
Registration Date	10/24/2006	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of a triangle below the letter A in CAT.
Goods/Services	Class 004. First use: First Use: 1988/01/01 First Use In Commerce: 1988/01/01 Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants

U.S. Registration No.	3365449	Application Date	05/18/2007
Registration Date	01/08/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 025. First use: First Use: 1988/06/00 First Use In Commerce: 1988/06/00 Footwear		

U.S. Registration No.	3525811	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of yellow trim aroundthe perimeter of the mark, a yellow triangle at the bottom of the word "CAT", ablack background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling

U.S. Registration No.	3525812	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a yellow triangle at the bottom of the word "CAT", a black-background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders		

U.S. Registration No.	3529358	Application Date	02/15/2006
Registration Date	11/04/2008	Foreign Priority Date	NONE
Word Mark	CAT		

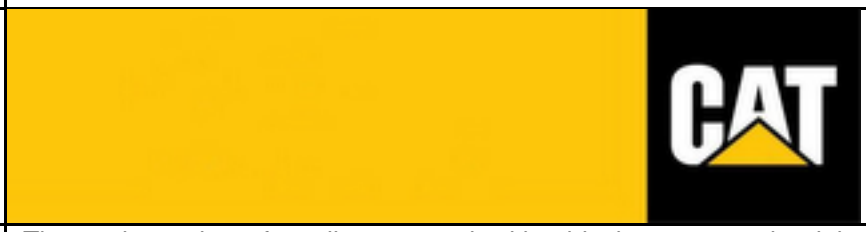
Design Mark	
Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30 Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders

U.S. Registration No.	3539374	Application Date	05/17/2007
Registration Date	12/02/2008	Foreign Priority Date	NONE
Word Mark	SAFETY.CAT.COM		
Design Mark	SAFETY.CAT.COM		
Description of Mark	NONE		
Goods/Services	Class 041. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field ofsafety and safety awareness in the construction industry and distribution of course material in connection therewith Class 045. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Providing information in the field of safety and safety awareness in the construction industry		

U.S. Registration No.	3541939	Application Date	02/15/2006
Registration Date	12/02/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of yellow trim aroundthe perimeter of the mark, a yellow triangle at the bottom of the word "CAT", ablack background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/08/20 First Use In Commerce: 2007/08/20 Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers,stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling		

U.S. Registration No.	3750812	Application Date	02/15/2006
Registration Date	02/16/2010	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30 Machinery for earth moving, earth conditioning, and material handling, namely,		

	integrated tool carriers, wheel loaders,telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor-graders, industrial tractors, wheel tractor-scrapers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers;electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers Class 012. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30 Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks

U.S. Registration No.	3756598	Application Date	09/02/2008
Registration Date	03/09/2010	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a yellow rectanglewith a black square on the right-hand side, containing the word "CAT" in white letters with a yellow triangle beneath the letter "A".		
Goods/Services	Class 035. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00 Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuringengines and power generation equipment,and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, materialhandling, construction, mining, paving,agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment Class 036. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00 Financing services; credit and loan services; lease-purchase loans Class 037. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00 Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines andpower generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, andpaving Class 039. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00 Rental of vehicles Class 044. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00 rental of equipment and machinery for use in agriculture and forestry		

U.S. Registration No.	4045652	Application Date	09/26/2007

Registration Date	10/25/2011	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 001. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives usedin industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditionersfor enhancing the performance of enginecooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrialuse; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicletires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins Class 002. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in themanufacture of vehicles and on parts ofvehicles; fireproof cement coatings Class 004. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Industrial oils and greases; all-purpose lubricants; dust absorbing compositionfor use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings Class 006. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Non-electric cables and wires of commonmetals; pipes and tubes of metal; metalplugs, metal straps for handling loads,metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers,metal pipe collars, metal clamps, metalcouplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins,metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinfor-		

	cing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressedgas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings Class 007. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earthmoving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and looseobjects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines;fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; aircondensers; alternators for land vehicles; anti-friction bearings for machines;anti-friction pads for machines; anti-pollution devices for motors and engines;axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps;compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; controlcables being parts of machines, engines, or motors; current generators; millingcutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmissiongears for machines, other than for landvehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings fortransmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations;vulcanization ma-

	chines; waste disposersbeing parts of machines; watering machines for agricultural purposes; parts andfittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks;crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators andradiator caps for vehicles; exhausts for engines; handheld gas welding apparatus, namely, heat welding guns; electric cutting torches Class 008. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Hand tools, namely, taps and dies; handjacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glassinstallation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; handdrills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittingsfor all the aforesaid goods sold as a unit with the goods; vices Class 009. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Apparatus for recording, transmission or reproduction of sound or images; blankmagnetic data carriers; blank recordingdiscs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cableand wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers,battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checkingapparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goodssold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers,and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely,optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems,laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of onboard computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors Class 011. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors

	for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators Class 012. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons;vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting,pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps forvehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives,hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for allthe aforesaid goods sold as a unit withthe goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor Class 017. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings;cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold asa unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hosesfor air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisturewicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings Class 020. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Bins, not of metal; cable or pipe clipsof plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; toolhandles of wood or plastic; transport pallets, not of metal; valves of plastic,other than machine parts

U.S. Registration No.	4124547	Application Date	05/11/2011
Registration Date	04/10/2012	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".
Goods/Services	Class 012. First use: First Use: 2011/09/09 First Use In Commerce: 2011/09/09 Trucks; vocational trucks for construction, earth moving, agriculture, log-ging,mining, oil and gas, quarry, paving, transportation, and waste management applications

U.S. Registration No.	4124548	Application Date	05/11/2011
Registration Date	04/10/2012	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark	CAT		
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 2011/09/09 First Use In Commerce: 2011/09/09 Trucks; vocational trucks for construction, earth moving, agriculture, log-ging,mining, oil and gas, quarry, paving, transportation, and waste management applications		

U.S. Registration No.	4519310	Application Date	05/10/2012
Registration Date	04/22/2014	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".
Goods/Services	Class 009. First use: First Use: 2012/03/00 First Use In Commerce: 2012/03/00 Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies

U.S. Registration No.	4804273	Application Date	06/09/2014
Registration Date	09/01/2015	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 042. First use: First Use: 1997/06/00 First Use In Commerce: 1997/06/00 Engineering services in the field of work environment safety, fleet productivity and utilization, job site efficiency, capturing new revenue streams, reducing waste, extending equipment life, conserving capital, improving cash flow, and regulatory compliance; providing online non-downloadable software for statistical analysis, data analysis, predictive analysis, remote monitoring of machinery, fleet productivity and utilization, extending equipment life, job site efficiency and job site office management and planning; design of computerized information systems; testing and inspection of engines and machinery; testing, control, dia-		

	gnosis, calibration, and monitoring of vehicles, equipment, and machines used foragriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; testing, control, diagnosis, calibration, and monitoring of engines, power generation equipment, equipment on jobsites, machine fleets, trucks, trucking fleets, and the operation of machines via computer networks and the Internet; remote control and operation of vehicles, equipment, and machines via temporary use of non-downloadable software used for testing, control, diagnosis, calibration, and monitoring of equipment used in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, materialhandling, mining, mulching, oil and gasdistribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management via computer networks and the Internet; remote control and operation of engines and power generation equipment via temporary use of non-downloadable computersoftware by way of computer networks and the Internet; troubleshooting of computer hardware and software; rental of equipment, and machines for use in oil and gas exploration and mining exploration; rental of equipment, machines, and machine tools for use in oil and gas exploration; rental services featuring equipment, machines, and machine tools for use inmining exploration; providing searchable computer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor for use in mining exploration and oil and gas exploration

U.S. Registration No.	4804267	Application Date	06/09/2014
Registration Date	09/01/2015	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Business management and consultation; product distribution for advertising purposes and operations management services;logistics consulting services, namely, order management and fulfillment services, warehouse management, inventory management, records accuracy management, managing manufactur-		

	ing logistics, managing reverse logistics, supply chain design logistics and management, transportation scheduling and planning management; marketing services; compilation and systemization of information into computer databases; management and compilation of computerized databases; retail stores featuring vehicles, equipment, and machines foruse in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry,landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; retail stores featuring power generation equipment, generators, and tools; online retail store services and retail store services featuring clothing and clothing accessories, footwear, headwear, toys, games, leather goods, bags, glasses, cups, keychains, watches, computer software, eyewear, pictures, and books; providing searchable computer databases, websites, and online informationservices relating to purchasing of equipment, machines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, forest management landscaping, lifting for use in construction, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; providingsearchable computer databases, websites, and online information services relating to purchasing of engines and power generation equipment; providing searchablecomputer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor, for use in forest management; business data acquisition and analysis via computer networks and theInternet; technical business consultingservices in the field of capturing new revenue streams, reducing waste, conserving capital, and improving cash flow

U.S. Registration No.	4804265	Application Date	06/09/2014
Registration Date	09/01/2015	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 009. First use: First Use: 1999/10/30 First Use In Commerce: 1999/10/30 Computer hardware; computer software for testing, monitoring, and operating vehicles, engines, equipment, machines, machine tools, and parts therefor, for		

	use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road buildingand repair, site preparation and remediation, tunnel boring, and vegetation management; computer software for database management, statistical analysis, data analysis, predictive analysis, remote monitoring of machinery, and job site office management and planning; computer software for selecting delivery location andtracking delivery status of packages, freight, and job site materials; equipment, namely, electronic devices and parts therefor for locating, positioning, and controlling machines, engines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation,tunnel boring, and vegetation management; electronic equipment for remote operation, control, and monitoring of engines, machine tools, and parts therefor, foruse in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry,landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management

U.S. Registration No.	85748	Application Date	08/19/1911
Registration Date	03/12/1912	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark	CATERPILLAR		
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1910/01/00 First Use In Commerce: 1910/01/00 LUBRICATING-OILS		

U.S. Registration No.	85816	Application Date	11/18/1910
Registration Date	03/19/1912	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark	CATERPILLAR		
Description of Mark	NONE		
Goods/Services	Class U023 (International Class 006, 007, 008, 009, 011, 012, 016, 021, 028). First use: First Use: 1904/09/01 First Use In Commerce: 1904/09/01 GASOLENE [, STEAM,] [AND TRACTION] ENGINES, [HARVESTERS,] AND ROAD-WORKING MACHINES		

U.S. Registration No.	277417	Application Date	03/24/1930

Registration Date	11/11/1930	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark	CATERPILLAR		
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 1904/09/00 First Use In Commerce: 1904/09/00 TRACTORS, TRACTOR ENGINES, TRACK LINKS,TRACK SHOES, GROUSERS, GREASE GUNS; [AGRICULTURAL MACHINERY TOOLS, AND EQUIPMENT- NAMELY, COMBINED HARVESTERS, WINDROWERS, PICK-UP MACHINERY GRAIN CLEANERS, GRAIN GRADERS, AND THRESHING MACHINES;] ROAD CONSTRUCTION AND MAINTENANCE MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, GRADERS, [ELEVATING GRADERS, ROAD PLANERS,] SCARIFIERS, PLOWS, LOGGING MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, LOGGING ENGINES, [WINCHES, LOG HOISTS, AND BUMMERS;] AND THE PARTS FOR ALL SAID GOODS		

Attachments	72452924#TMSN.png(bytes) 73762371#TMSN.png(bytes) 74451720#TMSN.png(bytes) 75236985#TMSN.png(bytes) 75205498#TMSN.png(bytes) 75296705#TMSN.png(bytes) 75270839#TMSN.png(bytes) 75455116#TMSN.png(bytes) 75296724#TMSN.png(bytes) 75550438#TMSN.png(bytes) 75788362#TMSN.png(bytes) 75788387#TMSN.png(bytes) 75552003#TMSN.png(bytes) 75830492#TMSN.png(bytes) 75645252#TMSN.png(bytes) 75621863#TMSN.png(bytes) 76384020#TMSN.png(bytes) 78766626#TMSN.png(bytes) 77184659#TMSN.png(bytes) 78815915#TMSN.png(bytes) 78815922#TMSN.png(bytes) 78980626#TMSN.png(bytes) 77183562#TMSN.png(bytes) 78815917#TMSN.png(bytes) 78981305#TMSN.png(bytes) 77560128#TMSN.png(bytes) 77289081#TMSN.png(bytes) 85318067#TMSN.png(bytes) 85318073#TMSN.png(bytes) 85981370#TMSN.png(bytes) 86976343#TMSN.png(bytes) 86976333#TMSN.png(bytes) 86976331#TMSN.png(bytes) 71058278#TMSN.png(bytes) 71052847#TMSN.png(bytes) 71297791#TMSN.png(bytes)

	CATAFLEX Notice of Opposition.pdf(698171 bytes) Exhibit A-1.pdf(2039851 bytes) Exhibit A-2.pdf(2491181 bytes) Exhibit A-3.pdf(2331590 bytes) Exhibit A-4.pdf(2169864 bytes) Exhibit B-1.pdf(2131646 bytes) Exhibit B-2.pdf(1987156 bytes) Exhibit B-3.pdf(2323514 bytes)

Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/R.J. McKenna/
Name	RICHARD J MCKENNA
Date	12/09/2016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of App. Serial No.:	79182299
Mark:	CATAFLEX
Class:	7, 11, 24
Published:	June 14, 2016

CATERPILLAR INC.,	)	
Opposer,	)	
	)	
v.	)	Opposition No. _____
	)	
FLSMIDTH A/S and HALDOR TOPSØE A/S,	)	
Applicants.	)	
	)	

UNITED STATES PATENT AND TRADEMARK OFFICE
Trademark Trial and Appeal Board
P.O. Box 1451
Alexandria, VA 22313-1451

NOTICE OF OPPOSITION

Opposer, Caterpillar Inc., a Delaware corporation having its principal place of business at 100 Northeast Adams Street, Peoria, Illinois, 61629-9240 ("Opposer"), believes that it is and will be damaged by, and hereby opposes registration of the mark CATAFLEX that is the subject of Application Serial No. 79182299 (the "Application") filed by FLSmidth A/S and Haldor Topsøe A/S ("Applicants"). The Application was filed on November 18, 2015, and published in the *Official Gazette* on June 14, 2016. Opposer timely filed a Request for an Extension of Time to Oppose the Application on July 11, 2016. The request was granted, extending the time to oppose the Application to October 12, 2016. Opposer timely filed a Request for Extension of Time to Oppose Upon Consent of the Applicants on October 11, 2016. The request was granted, further extending the time to oppose the Application to December 11, 2016. This Notice of Opposition is therefore timely with respect to the Application.

As grounds in support of its opposition, Opposer asserts as follows:

Opposer's CAT and CATERPILLAR Marks

1. Opposer is a long-established multi-national company engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of machinery, equipment, vehicles, and engines, including, but not limited to, earth moving machinery, such as track and wheel tractors, track and wheel loaders, track and wheel excavators, and backhoe loaders, as well as pipeline products for clearing, grading, ditching, high production stringing, boring holes for pipes, bending pipes, welding, coating pipes, lifting and lowering pipes, backfilling, and cleanup and restoration. All of these earth moving machines and pipeline products, as well as parts and engines therefor, are identified by the CAT and CATERPILLAR marks.

2. In the field of earth moving machinery and vehicles, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of attachments for its earth moving machinery, including augers, backhoes, blades, brooms, brushcutters, buckets, cold planners, compactors, couplers, delimbers, rock and track drills, felling heads, forks, grapples, hammers, harvester heads, highway miners, lift groups, material handling arms, mulchers, multi-processors, pulverizers, rakes, reclaimers, rippers, saws, scarifiers, shears, shovels, snow blowers, snow plows, snow wings, stump grinders, thumbs, tillers, trenchers, truss booms, tunnel boring machines, and underground mining machines, all under the CAT and CATERPILLAR marks.

3. Also in the field of equipment, vehicles and machinery, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of machinery, equipment, and vehicles principally for other uses, such as articulated trucks, asphalt pavers, cold planers, feller bunches, forest machines, forwarders, knuckleboom

loaders, material handlers, motor graders, off-highway trucks, vocational trucks, and wheel-tractor scrapers, and a wide assortment of work tool attachments therefor, all under the CAT and CATERPILLAR marks.

4. Opposer is currently and has long been engaged in the development and manufacture of engines. In fact, Opposer is one of the world's largest manufacturers of engines. Opposer's engines have a wide range of applications, including industrial/OEM, marine, oil and gas, power generation, on and off-highway vehicle engines and transmissions, as well as residential and commercial lawn care equipment, all under the CAT and CATERPILLAR marks.

5. Further, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising and sale of electric power generation systems, including industrial gas turbines, generators, and generator sets. Opposer is the world's largest manufacturer of high-speed generator sets, all under the CAT and CATERPILLAR marks.

6. A more recent expansion of the use of the CAT and CATERPILLAR marks by Opposer has been in the field of mining and excavation equipment and vehicles, including cranes, buckets, drag-lines, mining shovels, power shovels, excavators, drilling machines, boring machines, bulk material conveyors, aggregate loaders, trucks, cargo trailers, highwall miners, and longwall miners.

7. Opposer is currently and has for many years been in the business of developing, manufacturing, distributing and selling parts and equipment for use with CAT and CATERPILLAR branded machinery, equipment, vehicles, and engines. These CAT and CATERPILLAR branded parts and equipment are typically provided to customers by a global retail network of CAT dealers. In particular, Opposer offers a variety of filter products for its engine, drive train, and hydraulic systems, including air filters, oil filters, hydraulic filters, and fuel filters, all under the CAT and CATERPILLAR marks.

8. Opposer is currently and has long been engaged in providing maintenance, repair, and support services for the CAT and CATERPILLAR branded machinery, equipment, vehicles, and engines. These services are provided by Opposer's network of CAT dealers.

9. Opposer is currently and for many years has leased a variety of products through Opposer's network of retail stores operating under the mark CAT RENTAL STORES. There are hundreds of CAT RENTAL STORES outlets around the country. Equipment rented at the CAT RENTAL STORES outlets includes, among other things, vehicles, trucks, lift equipment, earth moving equipment, electrical products, and lights. CAT RENTAL STORES locations lease equipment bearing the CAT and CATERPILLAR marks manufactured by Caterpillar Inc., as well as equipment from other manufacturers. Details are provided at www.catrentalstore.com.

10. Opposer also develops, acquires, provides, sells and licenses to customers a wide range of computer software products and software as a service based services utilizing the CAT and CATERPILLAR marks, the software being used in the operation, maintenance and optimization of its CAT and CATERPILLAR branded equipment and machinery.

11. An appreciable part of Opposer's business is the promotion, sale, and distribution of a wide range of CAT branded merchandise and apparel under the CAT and CATERPILLAR marks through retail outlets, including Opposer's sponsored retail outlets, through catalogs, on its website, and through other Internet outlets. A key product line of licensed merchandise are mobile phones and accessories for mobile phones such as batteries, protective covers, chargers, mounts, belt clips and the like. Examples of Opposer's CAT branded mobile phones and accessories are available at www.catphones.com. The licensed apparel and merchandise includes various types of clothing and footwear, accessories, and commemorative items or gifts, such as key chains, wallets, lighters, caps, and tote bags. Opposer's CAT branded apparel and footwear is immensely popular. Examples of Opposer's apparel, footwear, and gift items are available at

www.shopcaterpillar.com.

12. Opposer licenses others to use the CAT and CATERPILLAR marks in connection with a wide range of goods which support Opposer's machines and equipment. These goods include vehicle batteries, hoses and couplings, lubricants, coolants, filtration products, repair kits, hand tools, communication equipment, hardware, computer software and vehicle tires.

13. Opposer uses the CAT and CATERPILLAR marks in conjunction with providing purchasers of its products and services with financing and insurance options through CAT Financial, the financial arm of Opposer's business.

14. Opposer uses the CAT and CATERPILLAR marks in conjunction with safety guidance for its customers in the use of its products and services through www.safety.cat.com. On this site, Opposer provides safety tips and CAT and CATERPILLAR branded safety brochures, videos, and checklists related to products and services offered by Opposer.

15. Opposer has enjoyed immense sales and has expended substantial resources advertising and promoting its CAT and CATERPILLAR marks throughout the United States and the World. As part of its efforts to promote the CAT and CATERPILLAR marks to the public, for example, Opposer has, for many years, sponsored a team in the NASCAR race series. Opposer has also received extensive unsolicited publicity and media attention.

16. As a result of the distinctive nature, and thus inherent strength, of the CAT and CATERPILLAR marks; the widespread advertising, publicity, promotion, and substantial sales of Opposer's Products and Services; and Opposer's longstanding and extensive use of the CAT and CATERPILLAR marks, the CAT and CATERPILLAR marks are now, and for many years have been well known and famous.

17. On three separate occasions, the Trademark Trial and Appeal Board has

expressly acknowledged the fame of the CAT mark. *See Caterpillar Inc. v. Pave Tech, Inc.*, Cancellation No. 92041776 (TTAB Mar. 12, 2007), *Caterpillar Inc. v. Big Cat Energy Corporation*, Opposition No. 91193704 (TTAB Sept. 3, 2014) and *Caterpillar Inc. v. Rodney C. Kelly*, Opposition No. 91210124 (TTAB Sept. 30, 2015).

18. Opposer owns valid and subsisting federal trademark registrations for its CAT marks in both word and logo format for the products and services listed below, including but not limited to the following:

MARK	REG. NO.	REG. DATE	FIRST USE DATE	GOODS/SERVICES
CAT	564,272	09/23/1952	12/06/1948	(Int'l Class: 7) Dump-wagons, wheel tractor-dump-wagon combinations, and structural parts for such products (Int'l Class: 7) Diesel and other internal combustion engines adapted for employment as the source of power for self-propelled vehicles and as stationary or portable power units for industrial, marine and agricultural uses; scraping, carrying and dumping units adapted to be employed for scraping and collecting earth, rock, or like materials and transporting and dumping said materials; power and manually controlled graders, scarifiers, scrapers, and rippers adapted to be employed for the construction and maintenance of roads, for moving and removing of earth, rock, snow and like materials, for preventing soil erosion and for other industrial and agricultural uses; tractors for industrial and agricultural purposes; and parts and service tools furnished with said products (Int'l Class: 12) Electric generators and diesel electric generator sets, and parts furnished with said products
CAT	742,294	12/18/1962	09/1955	(Int'l Class: 28) Toy replicas of track-type tractors, tractor loaders, bulldozers, motor graders
CAT	770,639	06/02/1964	02/17/1949	(Int'l Class: 12) Motor trucks, dump wagons, wheel tractor-dump wagon combinations, wheel tractors, and parts therefor
CAT	777,703	09/29/1964	11/27/1962	(Int'l Class: 4) Anti-seize and sealing lubricant
CAT	778,638	10/13/1964	1951	(Int'l Class: 37) Service, maintenance and repair of trucks, tractors, engines, earthmoving equipment and control units therefor, generators and

				agricultural equipment
CAT	871,146	06/17/1969	04/12/1967	(Int'l Class: 6) Hose couplings (Int'l Class: 17) Hydraulic hose; seals for vehicles and machinery
CAT	984,444	05/21/1974	01/30/1973	(Int'l Class: 7) Lift trucks, and engines, attachments and parts therefor
CAT	1,023,137	10/21/1975	08/01/1966	(Int'l Class: 9) Batteries, fuses, electrical cables, conduits, fasteners and terminals; switches, engine starting systems, spark plugs, spark plug firing indicators, load signal systems, flashers, brake accumulator buzzers, low air pressure alarms, turn signal flashers, speedometers, odometers, tire wear gauges, tire pressure gauges, hydraulic system filter indicators, air cleaner service indicators, fuel pressure gauges, engine oil pressure gauges, torque converter temperature gauges, water temperature gauges, and service meters
CAT	1,265,041	01/24/1984	01/1970	(Int'l Class: 21) Glasses, cups and coasters (Int'l Class: 26) Cloth, iron-on, sew-on and pressure-sensitive patches
CAT	1,275,103	04/24/1984	01/1970	(Int'l Class: 16) Note pads, stationery type portfolios, pencils, pens, and decals (Int'l Class: 28) Golf balls, tees and tennis balls
CAT	1,298,364	10/02/1984	01/1970	(Int'l Class: 6) Articles of nonprecious metal-namely, key tags, key chains and name plates (Int'l Class: 14) Articles of precious metal-namely, tie tacks, belt buckles, lapel pins, watches and watch fobs (Int'l Class: 18) Leather and imitation leather goods-namely, business card cases, credit card cases, key holders, coin holders, briefcases, garment bags for travel, duffel bags, flight bags, tote bags, umbrellas, traveling bags, purses and wallets (Int'l Class: 25) Work, sport and casual clothing-namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, mittens, rain wear, one-piece jump suits and socks (Int'l Class: 34) Cigarette lighters and ash trays
CAT and Design	1,579,437	01/23/1990	10/20/1988	(Int'l Class: 37) Maintenance and repair services in the field of internal combustion engines, vehicles and power equipment; namely trucks, tractors, engines, earthmoving equipment, material handling equipment, paving equipment, agricultural equipment, generators, and control units for the aforementioned
CAT and Design	1,908,556	08/01/1995	06/1988	(Int'l Class: 25) Footwear

CAT				
CAT	2,121,121	12/16/1997	1981	(Int'l Class: 36) Financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning
CAT	2,137,147	02/17/1998	02/02/1987	(Int'l Class: 4) Industrial oils for engines, transmissions, hydraulic systems, and drive train compartments
CAT and Design CAT	2,140,606	03/03/1998	07/13/1988	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines (Int'l Class: 12) Vehicles for earth and material hauling and handling, namely, tractors and engines therefor
CAT and Design CAT	2,158,375	05/19/1998	04/1990	(Int'l Class: 14) Watches, clocks, cuff links, tie tacks, tie bars, belt buckles of precious metal, key chains, lapel pins, alarm clocks, wall clocks, watch bands, belt buckles, watch cases, chronographs for use as watches, chronometers, tie clips, jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, stop watches, watch straps, tie fasteners, tie pins, parts for watches
CAT and Design CAT	2,172,769	07/14/1998	1991	(Int'l Class: 18) Leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, traveling bags, school book bags
CAT THE RENTAL STORE and Design	2,209,700	12/08/1998	10/01/1997	(Int'l Class: 35) Retail rental store services in the field of construction equipment, mining equipment and generators

CAT and Design	2,234,260	03/23/1999	01/1990	(Int'l Class: 25) Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, and socks, men's and women's shirts and jeans
THE CAT RENTAL STORE and Design	2,293,192	11/16/1999	10/01/1997	(Int'l Class: 37) Rental services, namely, the rental of construction equipment, mining equipment, and generators
CAT and Design	2,358,605	06/13/2000	10/30/1999	(Int'l Class: 9) Cd-rom games
CAT and Design	2,364,591	07/04/2000	12/1996	(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management freight transportation management, warehouse and product distribution operations management (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processes for others
CAT	2,364,592	07/04/2000	12/1996	(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processes for others
CAT and Design	2,384,000	09/05/2000	12/1996	(Int'l Class: 16) Children's books

CAT and Design	2,421,077	01/23/2001	12/01/1987	(Int'l Class: 28) toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys
CAT and Design	2,423,986	01/23/2001	12/01/1987	(Int'l Class: 28) toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys
CAT	2,488,264	09/11/2001	09/30/1999	(Int'l Class: 35) Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items
CATUSED.COM	2,561,988	04/16/2002	12/01/2000	(Int'l Class: 35) Providing a searchable database, via the internet, of used construction, agricultural, paving, and forestry machinery for sale or rent
CAT ENGINEERED DURABILITY	2,674,815	01/14/2003	11/27/2001	(Int'l Class: 25) Footwear
CAT and Design	3,162,544	01/13/2012	01/01/1988	(Int'l Class: 4) Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants
CAT	3,365,449	01/31/2013	06/1988	(Int'l Class: 25) Footwear
CAT and Design	3,525,811	12/04/2013; 10/28/2008	01/02/2007	(Int'l Class: 7) Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
CAT and Design	3,525,812	12/05/2013;	01/02/2007	(Int'l Class: 7) Machinery for earth moving, earth

		10/28/2008		conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders
CAT and Design	3,529,358	12/02/2013; 11/04/2008	03/30/2006	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders
SAFETY.CAT.COM	3,539,374	12/28/2013; 12/02/2008	08/20/2007	(Int'l Class: 7) Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
CAT and Design	3,541,939	12/28/2013; 12/02/2008	08/20/2007	(Int'l Class: 7) Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
CAT and Design	3,750,812	02/16/2010	03/30/2006	(Int'l Class: 7) Machinery for earth moving, earth conditioning, and material handling, namely, integrated tool carriers, wheel loaders, telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor graders, industrial tractors, wheel tractor-scrapers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers; electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers (Int'l Class: 12) Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks
CAT and Design	3,756,598	03/09/2010	11/2010	(Int'l Class: 35) Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuring engines and power generation

				equipment, and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment (Int'l Class: 36) Financing services; credit and loan services; lease-purchase loans (Int'l Class: 37) Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines and power generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, and paving (Int'l Class: 39) Rental of vehicles (Int'l Class: 44) Rental of equipment and machinery for use in agriculture and forestry
CAT and Design	4,045,652	10/25/2011	12/31/2008	(Int'l Class: 1) Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins (Int'l Class: 2) Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles;

				fireproof cement coatings (Int'l Class: 4) Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings (Int'l Class: 6) Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signaling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings (Int'l Class: 7) Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely,

				loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines;

				hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches (Int'l Class: 8) Hand tools, namely, taps and dies; hand jacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices (Int'l Class: 9) Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the

				performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors

				(Int'l Class: 11) Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, led light bulbs, rear lights for vehicles, and valves as part of radiators (Int'l Class: 12) Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor (Int'l Class: 17) Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and

				fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings (Int'l Class: 20) Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts
CAT and Design	4,124,547	04/10/2012	09/09/2011	(Int'l Class: 12) Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications
CAT CAT	4,124,548	04/10/2012	09/09/2011	(Int'l Class: 12) Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications
CAT & Design	4,519,310	04/22/2014	03/31/2012	(Int'l Class: 9) Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies
CAT & Design	4,804,273	09/01/2015	06/00/1997	(Int'l Class: 42) Engineering services in the field of work environment safety, fleet productivity and utilization, job site efficiency, capturing new revenue streams, reducing waste, extending equipment life, conserving capital, improving cash flow, and regulatory compliance; providing online non-downloadable software for statistical analysis, data analysis, predictive analysis, remote monitoring of machinery, fleet productivity and utilization, extending equipment life, job site efficiency and job site office management and planning; design of computerized information systems; testing and inspection of engines and machinery; testing, control, diagnosis, calibration, and monitoring of vehicles, equipment, and machines used for agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and

				gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; testing, control, diagnosis, calibration, and monitoring of engines, power generation equipment, equipment on jobsites, machine fleets, trucks, trucking fleets, and the operation of machines via computer networks and the Internet. remote control and operation of vehicles, equipment, and machines via temporary use of non-downloadable software used for testing, control, diagnosis, calibration, and monitoring of equipment used in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management via computer networks and the Internet; remote control and operation of engines and power generation equipment via temporary use of non-downloadable computer software by way of computer networks and the Internet; troubleshooting of computer hardware and software; rental of equipment, and machines for use in oil and gas exploration and mining exploration; rental of equipment, machines, and machine tools for use in oil and gas exploration; rental services featuring equipment, machines, and machine tools for use in mining exploration; providing searchable computer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor for use in mining exploration and oil and gas exploration
CAT & Design	4,804,267	9/1/2015	12/00/1996	(Int'l Class: 35) Business management and consultation; product distribution for advertising purposes and operations management services; logistics consulting services, namely, order management and fulfillment services, warehouse management, inventory management, records accuracy management, managing manufacturing logistics, managing reverse logistics, supply chain design logistics and management, transportation scheduling and planning management; marketing services; compilation and systemization of information into computer databases; management and compilation of computerized databases; retail stores featuring vehicles, equipment, and machines for use in agriculture, compaction, construction, demolition, earth

				conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; retail stores featuring power generation equipment, generators, and tools; online retail store services and retail store services featuring clothing and clothing accessories, footwear, headwear, toys, games, leather goods, bags, glasses, cups, keychains, watches, computer software, eyewear, pictures, and books; providing searchable computer databases, websites, and online information services relating to purchasing of equipment, machines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, forest management landscaping, lifting for use in construction, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management. providing searchable computer databases, websites, and online information services relating to purchasing of engines and power generation equipment; providing searchable computer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor, for use in forest management; business data acquisition and analysis via computer networks and the Internet; technical business consulting services in the field of capturing new revenue streams, reducing waste, conserving capital, and improving cash flow.
CAT & Design	4,804,265	9/1/2015	10/30/1999	(Int'l Class: 9) Computer hardware; computer software for testing, monitoring, and operating vehicles, engines, equipment, machines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; computer software for database management, statistical analysis, data analysis, predictive analysis, remote monitoring of

				machinery, and job site office management and planning; computer software for selecting delivery location and tracking delivery status of packages, freight, and job site materials; equipment, namely, electronic devices and parts therefor for locating, positioning, and controlling machines, engines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; electronic equipment for remote operation, control, and monitoring of engines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management.

Attached as Exhibit A are printouts of the TESS/TARR and assignment records from the U.S. Patent and Trademark Office database showing the particulars of the CAT marks listed above.

19. Opposer owns valid and subsisting federal trademark registrations for its CATERPILLAR marks in both word and logo format for the products and services listed below, including but not limited to the following:

MARK	REG. NO.	REG. DATE	FIRST USE DATE	GOODS/SERVICES
CATERPILLAR	85,748	03/12/1912	01/1910	(Int'l Class: 4) Lubricating-oils
CATERPILLAR	85,816	03/19/1912	09/01/1904	(Int'l Class: 6, 7, 8, 9, 11, 12, 16, 21, 28) Gasoline engines, and road-working machines
CATERPILLAR	277,417	11/11/1930	09/1904	(Int'l Class: 12) Tractors, tractor engines, track links, track shoes, grousers, grease guns; road construction and maintenance machinery, tools, and equipment-

				namely, graders, scarifiers, plows, logging machinery, tools, and equipment-namely, logging engines, and the parts for all said goods
CATERPILLAR	345,499	04/27/1937	09/1904	(Int'l Class: 7, 12) Tractors adapted to be employed in farming operations, road building, mining, logging, earth moving, hauling, and for other industrial and agricultural purposes; internal combustion engines adapted for employment as the source of power for self-propelled vehicles, and as stationary or portable power units for industrial and agricultural uses; power and manually controlled graders, terracers, planers, scarifiers, leaning wheel graders, elevating graders, scrapers, bulldozers, levelers, plows, ditchers, bank cutters , and back slopers adapted to be employed for the construction and maintenance of roads, for moving and removing of earth, snow, and like materials, for preventing soil erosion and for other industrial and agricultural uses; harvesters, combine harvesters, mowers, push rakes, windrow headers and windrow pick-ups; implement hitches; parts and tools for all of said products and attachments, accessories and equipment associable with all of said products.
CATERPILLAR	506,258	02/01/1949	1941	(Int'l Class: 12) Dump-wagons, wheel tractor-dump-wagon combinations, and structural parts for such products
CATERPILLAR	531,626	10/10/1950	01/1939	(Int'l Class: 7, 8, 9) Diesel engines for marine purposes; cable-control units for controlling cable actuated equipment associated with tractors and the like; hydraulic control units for controlling hydraulically actuated equipment associated with tractors and the like; earth rippers; scraping, carrying, and dumping units adapted to be employed for scraping and collecting earth or like materials and transporting and dumping said materials; parts for all of said products; and service tools-namely, pliers, screw drivers, wrenches, hammers, grease guns, rim tools, pinch bars, and cable cutters
CATERPILLAR	551,623	12/04/1951	12/1948	(Int'l Class: 1) Rust inhibitor fluid for preventing formation of rust in the cooling system of internal combustion systems
CATERPILLAR	552,361	12/18/1951	1925	(Int'l Class: 37) Service, maintenance, and repair of internal combustion engines, tractors, scrapers, wagons, motor graders, scarifiers, bulldozers, rippers, cable-control units, hydraulic-control units, electric generator sets; plows, tool bars, and parts, tools, attachments, accessories and equipment associated with all of said products; and installation of repair parts for said products
CATERPILLAR	581,016	10/13/1953	12/1932	(Int'l Class: 28) Toy replicas of wheel tractors, track-type tractors, bulldozers, dump wagons, motor graders, scrapers, and rippers
CATERPILLAR	770,267	05/26/1964	1941	(Int'l Class: 12) Motor trucks, dump wagons, wheel tractor-dump wagon combinations, wheel tractors,

				and parts therefor
CATERPILLAR	832,440	07/25/1967	12/1934	(Int'l Class: 9) Electric storage batteries, electric generators and diesel electric generator sets, and parts for these products
CATERPILLAR	955,141	03/13/1973	09/30/1970	(Int'l Class: 12) Tires
CATERPILLAR	985,439	06/04/1974	09/1970	(Int'l Class: 7) Lift trucks, and engines, attachments and parts therefor
CATERPILLAR	1,267,209	02/14/1984	02/1962	(Int'l Class: 21) Glasses, cups and coasters (Int'l Class: 26) Cloth, iron-on, sew-on and pressure-sensitive patches
CATERPILLAR	1,280,488	06/05/1984	01/1970	(Int'l Class: 6) Articles of nonprecious metal-namely, key tags, key chains, money clips and name plates (Int'l Class: 14) Articles of precious metal-namely, belt buckles, key chains and lapel pins; clocks; jewelry watch fobs of leather (Int'l Class: 18) Leather and imitation leather goods-namely, wallets, key holders, business card cases, credit card cases, coin purses, briefcases, garment bags for travel, duffel bags, flight bags, tote bags and purses (Int'l Class: 25) Caps, ties, scarves, headwear and wrist bands (Int'l Class: 34) Matches, cigarette lighters and ash trays
CATERPILLAR	1,280,686	06/05/1984	02/1962	(Int'l Class: 16) Note pads, binders, stationery-type portfolios, calendars, pencils, pens, decals, coloring and game books, company magazines, newsletters, bulletins, playing cards, paper coasters, photo albums and check book holders
CATERPILLAR and Design CATERPILLAR	1,579,438	01/23/1990	10/20/1988	(Int'l Class: 37) Maintenance and repair services in the field of internal combustion engines, vehicles and power equipment; namely trucks, tractors, engines, earthmoving equipment, material handling equipment, paving equipment agricultural equipment, generators, and control units for the aforementioned
CATERPILLAR and Design CATERPILLAR	1,911,472	08/15/1995	06/1988	(Int'l Class: 25) Footwear
CATERPILLAR	2,121,122	12/16/1997	1981	(Int'l Class: 36) Financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning

CATERPILLAR and Design CATERPILLAR	2,140,605	03/03/1998	07/13/1988	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines (Int'l Class: 12) Vehicles for earth and material hauling and handling, namely, tractors and engines therefor
CATERPILLAR and Design CATERPILLAR	2,156,340	05/12/1998	04/1990	(Int'l Class: 14) Watches, clocks, cuff links, tie tacks, tie bars, belt buckles of precious metal, key chains, lapel pins, alarm clocks, wall clocks, watch bands, belt buckles, watch cases, chronographs for use as watches, chronometers, tie clips, jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, stop watches, watch straps, tie fasteners, tie pins, parts for watches
CATERPILLAR and Design CATERPILLAR	2,182,134	08/18/1998	1991	(Int'l Class: 18) Leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, traveling bags, school book bags
CATERPILLAR and Design CATERPILLAR	2,234,261	03/23/1999	01/1990	(Int'l Class: 25) Work, sport and casual clothing namely, caps, belts, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, one-piece jump suits and socks, men's and women's shirts and jeans
CATERPILLAR and Design CATERPILLAR	2,364,588	07/04/2000	12/1996	(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services logistics consulting service, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processed for others
CATERPILLAR and Design CATERPILLAR	2,439,011	03/27/2001	12/01/1987	(Int'l Class: 28) Puzzles, namely, jigsaw; games, namely, board; and toys, namely, electric action toys, toy vehicles and construction-site themed playsets, radio-controlled toy vehicles, and construction toys; all relating to earthmoving equipment and none relating to caterpillars
CATERPILLAR and Design CATERPILLAR	2,448,848	05/08/2001	07/13/1988	(Int'l Class: 7) Hydraulic excavators; mini hydraulic excavators; wheeled excavators; front shovels; backhoe loaders; skid steer loaders; compact wheel loaders; wheel loaders; integrated toolcarriers; telescopic handlers; track loaders; wheel tractor-scrapers; track-type tractors; wheel dozers; motor graders; soil compactors; cold planers; road reclaimers; asphalt pavers; vibratory compactors; marine engines; industrial engines; diesel generator

				sets; gas generator sets; demolition machines and scrap material handlers for use therewith namely, blades, buckets, crushers, grapples, hammers, hydraulic brooms, mobile shears, pallet forks, pulverizers, and rakes; log loaders; combines; pipelayers; mining shovels; waste handling machines; and parts for all the above (Int'l Class: 12) Off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above
CATERPILLAR and Design	2,483,573	08/28/2001	06/01/1995	(Int'l Class: 9) Eyeglasses and sunglasses
CATERPILLAR	2,517,975	12/11/2001	06/01/1988	(Int'l Class: 9) Eyeglasses and sunglasses
CATERPILLAR and Design	3,165,185	10/31/2006	01/01/1988	(Int'l Class: 4) Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants
CATERPILLAR	3,365,450	01/08/2008	06/1988	(Int'l Class: 25) Footwear
CATERPILLAR	3,907,101	01/18/2011	01/1988	(Int'l Class: 25) Clothing, namely, belts, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, socks, shirts, and jeans
CATERPILLAR	4,193,027	08/21/2012	1910	(Int'l Class: 7) Engines, other than for land vehicles; engines not for land vehicles, compressors for machines, electricity generators, and pumps for machines, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; machines and machine tools for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair (Int'l Class: 12) Land vehicles for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; locomotives; engines

				for land vehicles

Attached as Exhibit B are printouts of the TESS/TARR and assignment records from the U.S. Patent and Trademark Office database showing the particulars of the CATERPILLAR Marks. The products and services identified in Paragraphs 2-17 and in the two tables of Paragraphs 18 and 19 are collectively referred to as "Opposer's Products and Services." The CAT and CATERPILLAR marks listed in paragraphs 18 and 19 are collectively the "Opposer's Marks."

20. Opposer's Marks are valid and subsisting, and constitute *prima facie* evidence and constructive notice of Opposer's rights in the CATERPILLAR and CAT names and marks. These registrations also evidence the wide scope of protection to which the CAT and CATERPILLAR marks are entitled. Moreover, many of the registrations listed above are incontestable pursuant to 15 U.S.C. § 1065(b), thus constituting conclusive evidence of Opposer's exclusive right to use the marks for the products and services specified in the registrations pursuant to 15 U.S.C. §§ 1065 and 1115(b).

Applicants' Application

21. Upon information and belief, Applicant FLSmidth A/S has an address at Vigerslev Allé 77, DK-2500 Valby, Denmark, and Applicant Haldor Topsøe A/S has an address at Haldor Topsøe Allé 1, DK-2800 Kgs. Lyngby, Denmark.

22. Applicants are the listed owners of Application No. 79182299, filed January 26, 2016, for the mark CATAFLEX ("Applicants' Mark") for the following goods in Classes 7, 11, and 24 ("Applicants' Goods"):

Class 7: Air filters being parts of machines and engines;

Class 11: Air filtering apparatus, namely, air filters for industrial use; air filtering installations; air purifying machines; and

Class 24: Filtering materials of textile, namely, woven fiberglass fabrics

> and expanded polytetrafluoroethylene (ePTFE) membranes, for use in the manufacture of filters and filter bags,

The application was filed based on the Madrid Protocol under Section 66(a), 15 U.S.C. §1141f(a), with a request for extension of protection based on International Registration No. 1287155.

Likelihood of Confusion, 15 U.S.C. § 1052(d)

23. Opposer repeats and realleges each and every allegation set forth in Paragraphs 1 through 22.

24. Applicants' Mark, as applied to Applicants' Goods, so closely resembles Opposer's previously used trade names CAT and CATERPILLAR and Opposer's Marks used with Opposer's Products and Services, that such use by Applicants in conjunction with Applicants' Goods has or will cause confusion, mistake, or deception in the trade, and relevant consumers are likely to believe that Applicants' Goods are associated with, or connected with, or approved, sponsored, or authorized by Opposer, or that Applicants' Goods otherwise originate from the same source, when such is not the case.

25. Applicants' Mark as applied to Applicants' Goods, so closely resembles Opposer's previously used trade names CAT and CATERPILLAR and registered Opposer's Marks used with Opposer's Products and Services, that Applicants' Mark, when applied to Applicants' Goods would be likely to or will cause confusion, mistake, or deception within the meaning of Section 2(d) of the Trademark Act, all to the damage of Opposer.

26. Opposer, itself or through its predecessors-in-interest and related companies, has priority through its use and registration of Opposer's Marks for Opposer's Products and Services, as noted in Paragraphs 2-20 above, long before the filing date of the opposed application, and any date of first use that may be alleged by Applicants.

27. Applicants had constructive knowledge of Opposer's CAT and CATERPILLAR marks before filing the Application. Upon information and belief, based on the renown and fame of Opposer's CAT and CATERPILLAR marks, Applicants had actual knowledge of Opposer's CAT and CATERPILLAR marks before filing the Application.

28. Opposer did not authorize Applicant's adoption, use or registration of Applicants' Mark.

Dilution, 15 U.S.C. §1125(c)(1)

29. Opposer repeats and realleges each and every allegation set forth in paragraphs 1 through 28.

30. Opposer's Marks are inherently distinctive.

31. Because of the exceptionally high quality of the products and services provided by Opposer under Opposer's Marks, and the enormous amounts of money, time, and effort devoted by Opposer to the development and promotion of its products bearing and advertised under Opposer's Marks, Opposer's Marks have become famous as designations for the source of Opposer's Products and Services.

32. Opposer's Marks have come to be widely recognized and associated exclusively with Opposer in the minds of the general consuming public of the United States.

33. Opposer's Marks are famous and became famous well prior to the filing date of the Application and any date of first use that may be alleged by Applicants.

34. Applicants' Mark as provided in the Application so closely resembles Opposer's previously used and registered Opposer's Marks as to dilute or to be likely to cause dilution by blurring of the distinctive quality of the famous Opposer's Marks in violation of Section 43(c), 15 U.S.C. § 1125(c).

35. Applicants' Mark as provided in the Application so closely resembles Opposer's previously used and registered Opposer's Marks as to dilute or to be likely to cause dilution by tarnishment, causing harm to the reputation of the famous Opposer's Marks in violation of Section 43(c), 15 U.S.C. § 1125(c).

36. Opposer, itself or through its predecessors-in-interest and related companies, has priority through its use and registration of Opposer's Marks for Opposer's Products and Services, as noted in Paragraphs 2-20 above, since long before the filing date of the Application, and any date of first use that may be alleged by Applicants.

37. Opposer did not authorize Applicant's adoption, use, or registration of Applicants' Mark.

WHEREFORE, Opposer will be damaged by registration of the Application, Opposer prays that Application Serial No. 79182299 be denied; that the Application be refused registration; and that this Opposition be sustained in Opposer's favor.

Opposer hereby gives notice under 37 C.F.R. § 2.122(d)(1) that it will rely on its registrations as seen in Exhibits A and B as evidence in Support of this Notice of Opposition. The registrations are evidenced by the attached printouts from the United States Patent and Trademark Office trademarks database, showing current status and title of the registration and application.

Caterpillar Inc. submits herewith the opposition fee in the amount of $300 per class ($900). The Commissioner is hereby authorized to charge any additional fees which may be required regarding this Opposition, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Dated: December 9th, 2016

FOLEY & LARDNER LLP

By: _____

Richard J. McKenna
Jeffrey A. Simmons
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Milwaukee, WI 53202-5367
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Fax: (414) 297-4900
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Counsel for Opposer

CERTIFICATE OF SERVICE

I certify that a true and accurate copy of the foregoing NOTICE OF OPPOSITION was served by first class mail, postage prepaid, on this 9th day of December 2016, upon Applicants' Attorney at the following address:

Garrett C. Donley
FLSmidth USA Inc.
Global IPR Management, Legal Department
7158 S. FLSmidth Drive
Midvale, UT 84047-5559

EXHIBIT A-1

TESS/TARR PRINTOUTS FOR CAT MARKS

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Typed Drawing

Word Mark	CAT
Goods and Services	IC 007. US 019. G & S: DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS. FIRST USE: 19490217. FIRST USE IN COMMERCE: 19490217 IC 012. US 021. G & S: ELECTRIC GENERATORS AND DIESEL ELECTRIC [ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS. FIRST USE: 19481209. FIRST USE IN COMMERCE: 19481209 IC 007. US 023. G & S: DIESEL AND OTHER INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS AND SERVICE TOOLS FURNISHED WITH SAID PRODUCTS. FIRST USE: 19481206. FIRST USE IN COMMERCE: 19481206
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	71575190
Filing Date	March 10, 1949
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0564272**
Registration Date	September 23, 1952
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT DELAWARE 100 N.E. ADAMS STREET PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0079056;0085816;0120744;0131491;0134971;0137035;0277417;0345499;0504829; 0506258;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20120923.
Renewal	4TH RENEWAL 20120923
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71575190 **Filing Dt:** 03/10/1949 **Reg #:** 564272 **Reg. Dt:** 09/23/1952

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Search Results as of: 11/16/2016 01:23 PM
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Web interface last modified: July 25, 2014 v.2.5

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 028. US 022. G & S: Toy Replicas of [Wheel Tractors,] Track-Type Tractors, Tractor Loaders, Bulldozers, [Dump Wagons,] Motor Graders [, Scrapers and Rippers]. FIRST USE: 19550900. FIRST USE IN COMMERCE: 19550900
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72137716
Filing Date	February 12, 1962
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0742294**
Registration Date	December 18, 1962
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20121017.
Renewal	3RD RENEWAL 20121017
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72137716 **Filing Dt:** 02/12/1962 **Reg #:** 742294 **Reg. Dt:** 12/18/1962

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Typed Drawing

Word Mark	CAT
Goods and Services	IC 012. US 019. G & S: Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor. FIRST USE: 19490217. FIRST USE IN COMMERCE: 19490217
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72174081
Filing Date	July 31, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0770639**
Registration Date	June 2, 1964
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. Washington St. East Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;0742294
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140505.
Renewal	3RD RENEWAL 20140505
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: 72174081 **Filing Dt:** 07/31/1963 **Reg #:** 770639 **Reg. Dt:** 06/02/1964

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: 2794/0516 **Recorded:** 07/17/2003 **Pages:** 3

Conveyance: CHANGE OF NAME

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 07/02/2003
Entity Type: CORPORATION
Citizenship: NONE

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: GENE BOLMARCICH
100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 004. US 015. G & S: Anti-Seize and Sealing Lubricant. FIRST USE: 19621127. FIRST USE IN COMMERCE: 19621127
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72181522
Filing Date	November 20, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0777703**
Registration Date	September 29, 1964
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. East Peoria ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. EAST PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christopher P. Foley
Prior Registrations	0056427;0074229
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140919.
Renewal	3RD RENEWAL 20140919
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: 72181522 **Filing Dt:** 11/20/1963 **Reg #:** 777703 **Reg. Dt:** 09/29/1964

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: 2794/0516 **Recorded:** 07/17/2003 **Pages:** 3

Conveyance: CHANGE OF NAME

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 07/02/2003
Entity Type: CORPORATION
Citizenship: NONE

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: GENE BOLMARCICH
100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 037. US 103. G & S: Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment. FIRST USE: 19510000. FIRST USE IN COMMERCE: 19510000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72164427
Filing Date	March 12, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0778638**
Registration Date	October 13, 1964
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. EAST PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christopher P. Foley
Prior Registrations	0056427
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140920.
Renewal	3RD RENEWAL 20140920
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: 72164427 **Filing Dt:** 03/12/1963 **Reg #:** 778638 **Reg. Dt:** 10/13/1964

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: 2794/0516 **Recorded:** 07/17/2003 **Pages:** 3

Conveyance: CHANGE OF NAME

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 07/02/2003
Entity Type: CORPORATION
Citizenship: NONE

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: GENE BOLMARCICH
100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 006. US 013. G & S: HOSE COUPLINGS. FIRST USE: 19670412. FIRST USE IN COMMERCE: 19670427
	IC 017. US 035. G & S: HYDRAULIC HOSE; SEALS FOR VEHICLES AND MACHINERY. FIRST USE: 19670412. FIRST USE IN COMMERCE: 19670427
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72299526
Filing Date	June 3, 1968
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0871146**
Registration Date	June 17, 1969
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602
	(LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 NE. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20090402.
Renewal	2ND RENEWAL 20090402
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72299526 **Filing Dt:** 06/03/1968 **Reg #:** 871146 **Reg. Dt:** 06/17/1969

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CAT

Word Mark	CAT
Goods and Services	IC 007. US 023. G & S: LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR. FIRST USE: 19730130. FIRST USE IN COMMERCE: 19730130
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72452924
Filing Date	March 29, 1973
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0984444**
Registration Date	May 21, 1974
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;0770639
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140408.
Renewal	3RD RENEWAL 20140408
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72452924 **Filing Dt:** 03/29/1973 **Reg #:** 984444 **Reg. Dt:** 05/21/1974

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 009. US 021 026. G & S: BATTERIES, FUSES, ELECTRICAL CABLES, CONDUITS, FASTENERS AND TERMINALS; SWITCHES, ENGINE STARTING SYSTEMS, SPARK PLUGS, SPARK PLUG FIRING INDICATORS, LOAD SIGNAL SYSTEMS, FLASHERS, BRAKE ACCUMULATOR BUZZERS, LOW AIR PRESSURE ALARMS, TURN SIGNAL FLASHERS, SPEEDOMETERS, ODOMETERS, TIRE WEAR GAUGES, TIRE PRESSURE GAUGES, HYDRAULIC SYSTEM FILTER INDICATORS, AIR CLEANER SERVICE INDICATORS, FUEL PRESSURE GAUGES, ENGINE OIL PRESSURE GAUGES, TORQUE CONVERTER TEMPERATURE GAUGES, WATER TEMPERATURE GAUGES, AND SERVICE METERS. FIRST USE: 19660801. FIRST USE IN COMMERCE: 19660801
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73001719
Filing Date	September 24, 1973
Current Basis	1A
Original Filing Basis	1A
Registration Number	**1023137**
Registration Date	October 21, 1975
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christopher P. Foley
Prior Registrations	0564272
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150528.
Renewal	3RD RENEWAL 20150528
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73001719 **Filing Dt:** 09/24/1973 **Reg #:** 1023137 **Reg. Dt:** 10/21/1975

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 021. US 002 033. G & S: Glasses, Cups and Coasters. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 IC 026. US 040. G & S: Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392836
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 1, 1983
Registration Number	**1265041**
Registration Date	January 24, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0742294;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140212.
Renewal	2ND RENEWAL 20140212
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392836 **Filing Dt:** 09/29/1982 **Reg #:** 1265041 **Reg. Dt:** 01/24/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 016. US 037 038. G & S: Note Pads, Stationery Type Portfolios, Pencils, Pens, and Decals. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 (CANCELLED) IC 028. US 022. G & S: Golf Balls, Tees and Tennis Balls. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392835
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	January 31, 1984
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**1275103**
Registration Date	April 24, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 NE ADAMS ST PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;0742294;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. PARTIAL SECT 8 (6-YR). SECTION 8(10-YR) 20140329.
Renewal	2ND RENEWAL 20140329
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392835 **Filing Dt:** 09/29/1982 **Reg #:** 1275103 **Reg. Dt:** 04/24/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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EXHIBIT A-2

TESS/TARR PRINTOUTS FOR CAT MARKS

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 006. US 002 012 013 014 023 025 050. G & S: Articles of Nonprecious Metal-Namely, Key Tags, Key Chains [and Name Plates]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 014. US 002 027 028 050. G & S: Articles of Precious Metal-Namely, [Tie Tacks,] Belt Buckles, Lapel Pins, Watches [and Watch Fobs]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 018. US 003 041. G & S: Leather and Imitation Leather Goods-Namely, Business Card Cases, Credit Card Cases, Key Holders, Coin Holders, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags, Umbrellas, Traveling Bags, Purses and Wallets. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 025. US 039. G & S: Work, Sport and Casual Clothing-Namely, Caps, Belts, Ties, Scarves, Headwear, T-Shirts, Shorts, Sweat Pants, Sweat Shirts, Jackets, Vests, Gloves, Mittens, Rain Wear, One-Piece Jump Suits and Socks. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 034. US 002 008 009 017. G & S: Cigarette Lighters [and Ash Trays]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392831
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	July 24, 1984
Registration Number	**1298364**
Registration Date	October 2, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PERORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christopher P. Foley
Prior Registrations	0564272;0742294;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140919.
Renewal	2ND RENEWAL 20140919
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392831 **Filing Dt:** 09/29/1982 **Reg #:** 1298364 **Reg. Dt:** 10/02/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 037. US 103. G & S: MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATER IAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED. FIRST USE: 19881020. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	73762371
Filing Date	November 7, 1988
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 31, 1989
Registration Number	**1579437**
Registration Date	January 23, 1990
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. ADAMS STREET PEORIA ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0564272;0778638;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091006.
Renewal	2ND RENEWAL 20091006
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 73762371

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Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19890600
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	74451720
Filing Date	October 28, 1993
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 9, 1995
Registration Number	**1908556**
Registration Date	August 1, 1995
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Christopher P. Foley
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150508.
Renewal	2ND RENEWAL 20150508
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 74451720

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Record 1 out of 1

Typed Drawing

Word Mark	CAT
Goods and Services	IC 036. US 100 101 102. G & S: financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning. FIRST USE: 19810000. FIRST USE IN COMMERCE: 19810000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75139425
Filing Date	July 24, 1996
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	September 23, 1997
Registration Number	**2121121**
Registration Date	December 16, 1997
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1290411;1579437;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20070509.
Renewal	1ST RENEWAL 20070509
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

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For Serial Number: 75139425

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Typed Drawing

Word Mark	CAT
Goods and Services	IC 004. US 001 006 015. G & S: industrial oils for engines, transmissions, hydraulic systems, and drive train compartments. FIRST USE: 19870202. FIRST USE IN COMMERCE: 19870202
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75236983
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 25, 1997
Registration Number	**2137147**
Registration Date	February 17, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080216.
Renewal	1ST RENEWAL 20080216
Live/Dead Indicator	LIVE

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020 IC 012. US 019 021 023 031 035 044. G & S: vehicles for earth and material hauling and handling, namely, tractors and engines therefor. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75236985
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 9, 1997
Registration Number	**2140606**
Registration Date	March 3, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Kevin C. Earle
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080331.
Renewal	1ST RENEWAL 20080331
Live/Dead Indicator	LIVE

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For Serial Number: 75236985

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 014. US 002 027 028 050. G & S: watches, clocks, cuff links, tie tacks, tie bars, [bracelets, pendants,] belt buckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases,[jewelry chains, watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches. FIRST USE: 19900400. FIRST USE IN COMMERCE: 19900400
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	75205498
Filing Date	November 29, 1996
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	February 24, 1998
Registration Number	**2158375**
Registration Date	May 19, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080802.
Renewal	1ST RENEWAL 20080802
Live/Dead Indicator	LIVE

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	CAT
Goods and Services	IC 018. US 001 002 003 022 041. G & S: leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,] school book bags [, shoulder hand bags, purses]. FIRST USE: 19910000. FIRST USE IN COMMERCE: 19910000
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75296705
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 21, 1998
Registration Number	**2172769**
Registration Date	July 14, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1265565;1298364;1908556;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080723.
Renewal	1ST RENEWAL 20080723
Live/Dead Indicator	LIVE

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Word Mark	CAT THE RENTAL STORE
Goods and Services	IC 035. US 100 101 102. G & S: retail rental store services in the field of construction equipment, mining equipment and generators. FIRST USE: 19971001. FIRST USE IN COMMERCE: 19980129
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.01.21 - Circles that are totally or partially shaded. 26.05.21 - Triangles that are completely or partially shaded 26.11.12 - Rectangles with bars, bands and lines 26.11.21 - Rectangles that are completely or partially shaded
Serial Number	75270839
Filing Date	April 3, 1997
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	February 3, 1998
Registration Number	**2209700**
Registration Date	December 8, 1998
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Christie Baty Heinze
Prior Registrations	0277417;0345499;0506258;AND OTHERS
Disclaimer	NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "THE RENTAL STORE" APART FROM THE MARK AS SHOWN
Description of Mark	The mark is lined for the color yellow.
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081121.
Renewal	1ST RENEWAL 20081121
Live/Dead Indicator	LIVE

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, [one-piece jump suits] and socks, men's and women's shirts and jeans. FIRST USE: 19900100. FIRST USE IN COMMERCE: 19900100
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75455116
Filing Date	March 23, 1998
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 29, 1998
Registration Number	**2234260**
Registration Date	March 23, 1999
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1298364;1908556;2172769;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081222.
Renewal	1ST RENEWAL 20081222
Live/Dead Indicator	LIVE

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For Serial Number: 75455116

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	THE CAT RENTAL STORE
Goods and Services	IC 037. US 100 103 106. G & S: rental services, namely, the rental of construction equipment, mining equipment, and generators. FIRST USE: 19971001. FIRST USE IN COMMERCE: 19980129
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.01.21 - Circles that are totally or partially shaded. 26.05.02 - Plain single line triangles; Triangles, plain single line 26.11.01 - Rectangles as carriers or rectangles as single or multiple line borders
Serial Number	75296724
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	May 19, 1998
Registration Number	**2293192**
Registration Date	November 16, 1999
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Christie Baty Heinze
Prior Registrations	0778638;1579437
Disclaimer	NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "THE RENTAL STORE" APART FROM THE MARK AS SHOWN
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090303.
Renewal	1ST RENEWAL 20090303
Live/Dead Indicator	LIVE

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Word Mark	CAT
Goods and Services	IC 009. US 021 023 026 036 038. G & S: CD-ROM games. FIRST USE: 19991030. FIRST USE IN COMMERCE: 19991030
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75550438
Filing Date	September 9, 1998
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	June 8, 1999
Registration Number	**2358605**
Registration Date	June 13, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 616296480
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091016.
Renewal	1ST RENEWAL 20091016
Live/Dead Indicator	LIVE

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For Serial Number: 75550438

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Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LOGISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LOGISTICS SERVICES AND CONSULTING IN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WAREHOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200 IC 039. US 100 105. G & S: warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200 IC 042. US 100 101. G & S: DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75788362
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Registration Number	**2364591**
Registration Date	July 4, 2000
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Christie Baty Heinze
Prior Registrations	0564272;0770639;0778638;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090911.
Renewal	1ST RENEWAL 20090911
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 75788362

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EXHIBIT A-3

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

CAT

Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200 IC 039. US 100 105. G & S: warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200 IC 042. US 100 101. G & S: Design of computerized information systems for managing logistics and product distribution processes for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75788387
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Registration Number	**2364592**
Registration Date	July 4, 2000
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0564272;0770639;0778638;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090922.
Renewal	1ST RENEWAL 20090922
Live/Dead Indicator	LIVE

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For Serial Number: 75788387

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CAT

Word Mark	CAT
Goods and Services	IC 016. US 002 005 022 023 029 037 038 050. G & S: children's books. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75552003
Filing Date	September 10, 1998
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	October 19, 1999
Registration Number	**2384000**
Registration Date	September 5, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 616296480
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091007.
Renewal	1ST RENEWAL 20091007
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 75552003

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020 IC 012. US 019 021 023 031 035 044. G & S: off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75830492
Filing Date	November 12, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 24, 2000
Registration Number	**2421077**
Registration Date	January 16, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140605;2140606
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20101208.
Renewal	1ST RENEWAL 20101208
Live/Dead Indicator	LIVE

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For Serial Number: 75830492

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Word Mark	CAT
Goods and Services	IC 028. US 022 023 038 050. G & S: [jigsaw puzzles, board games, hand-held electronic games involving computer generated images of applicant's earth-moving vehicles, battery operated ride on toys,] toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys. FIRST USE: 19871201. FIRST USE IN COMMERCE: 19871201
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75645252
Filing Date	February 22, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	February 1, 2000
Registration Number	**2423986**
Registration Date	January 23, 2001
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0742294
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20100521.
Renewal	1ST RENEWAL 20100521
Live/Dead Indicator	LIVE

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For Serial Number: 75645252

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CAT

Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items. FIRST USE: 19990930. FIRST USE IN COMMERCE: 19990930
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75621863
Filing Date	January 22, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	April 25, 2000
Registration Number	**2488264**
Registration Date	September 11, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296480
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0742294;1265041;1298364;1908556;2158375;2172769;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20101101.
Renewal	1ST RENEWAL 20101101
Live/Dead Indicator	LIVE

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For Serial Number: 75621863

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Typed Drawing

Word Mark	CATUSED.COM
Goods and Services	IC 035. US 100 101 102. G & S: PROVIDING A SEARCHABLE DATABASE, VIA THE INTERNET, OF USED CONSTRUCTION, AGRICULTURAL, PAVING, AND FORESTRY MACHINERY FOR SALE OR RENT. FIRST USE: 20001201. FIRST USE IN COMMERCE: 20001201
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	78056213
Filing Date	April 2, 2001
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	January 22, 2002
Registration Number	**2561988**
Registration Date	April 16, 2002
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0984444;2140606;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20120503.
Renewal	1ST RENEWAL 20120503
Live/Dead Indicator	LIVE

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CAT ENGINEERED DURABILITY

Word Mark	CAT ENGINEERED DURABILITY
Goods and Services	IC 025. US 022 039. G & S: FOOTWEAR. FIRST USE: 20011127. FIRST USE IN COMMERCE: 20011127
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	76384020
Filing Date	March 15, 2002
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 22, 2002
Registration Number	**2674815**
Registration Date	January 14, 2003
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1265565;1298364;1908556;2234260;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20130204.
Renewal	1ST RENEWAL 20130204
Live/Dead Indicator	LIVE

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For Serial Number: 76384020

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Word Mark	CAT
Goods and Services	IC 004. US 001 006 015. G & S: Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants. FIRST USE: 19880101. FIRST USE IN COMMERCE: 19880101
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	78766626
Filing Date	December 5, 2005
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	August 8, 2006
Registration Number	**3162544**
Registration Date	October 24, 2006
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christopher P. Foley
Prior Registrations	0777703;2137147
Description of Mark	The mark consists of a triangle below the letter A in CAT.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20160318.
Renewal	1ST RENEWAL 20160318
Live/Dead Indicator	LIVE

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For Serial Number: 78766626

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CAT

Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: Footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19880600
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark
Serial Number	77184659
Filing Date	May 18, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 23, 2007
Registration Number	**3365449**
Registration Date	January 8, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1908556;2488264;2674815;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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For Serial Number: 77184659

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling. FIRST USE: 20070102. FIRST USE IN COMMERCE: 20070102
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.12 - Triangles with bars, bands and lines 26.05.21 - Triangles that are completely or partially shaded 26.11.21 - Rectangles that are completely or partially shaded 26.11.25 - Rectangles with one or more curved sides
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark SHAPES-BAR-BANDS Designs with bar, bands or lines SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815915
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	**3525811**
Registration Date	October 28, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140606;2421077
Description of Mark	The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders. FIRST USE: 20070102. FIRST USE IN COMMERCE: 20070102
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.13 - Triangles, exactly two triangles; Two triangles 26.05.21 - Triangles that are completely or partially shaded 26.05.28 - Miscellaneous designs with overall triangular shape; Triangular shape (miscellaneous overall shape)
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-MISC Miscellaneous shaped designs SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815922
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	**3525812**
Registration Date	October 28, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140606;2421077
Description of Mark	The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.11.12 - Rectangles with bars, bands and lines 26.11.21 - Rectangles that are completely or partially shaded
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78980626
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	**3529358**
Registration Date	November 4, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140606;2421077
Description of Mark	The color(s) yellow, black and red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background, and a red edge at the right-hand side of the mark. The word "CAT" is white.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 78980626

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Web interface last modified: July 25, 2014 v.2.5

EXHIBIT A-4

TESS/TARR PRINTOUTS FOR CAT MARKS

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SAFETY.CAT.COM

Word Mark	SAFETY.CAT.COM
Goods and Services	IC 041. US 100 101 107. G & S: Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course material in connection therewith. FIRST USE: 20070418. FIRST USE IN COMMERCE: 20070418 IC 045. US 100 101. G & S: Providing information in the field of safety and safety awareness in the construction industry. FIRST USE: 20070418. FIRST USE IN COMMERCE: 20070418
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Trademark Search Facility Classification Code	NOTATION-SYMBOLS Notation Symbols such as Non-Latin characters,punctuation and mathematical signs,zodiac signs,prescription marks
Serial Number	77183562
Filing Date	May 17, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	September 16, 2008
Registration Number	**3539374**
Registration Date	December 2, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams St. Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0770639;0778638;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 77183562

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling. FIRST USE: 20070820. FIRST USE IN COMMERCE: 20070820
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.09.12 - Squares with bars, bands and lines 26.09.21 - Squares that are completely or partially shaded 26.09.25 - Squares with curved sides
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815917
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	**3541939**
Registration Date	December 2, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140606;2421077
Description of Mark	The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 78815917

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning, and material handling, namely, integrated tool carriers, wheel loaders, telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor graders, industrial tractors, wheel tractor-scrapers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers; electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330 IC 012. US 019 021 023 031 035 044. G & S: Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.13.21 - Quadrilaterals that are completely or partially shaded
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-MISC Miscellaneous shaped designs SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78981305
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	**3750812**
Registration Date	February 16, 2010
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christopher P. Foley
Prior Registrations	2140606;2421077
Description of Mark	The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background, and a red edge at the right-hand side of the mark. The word "CAT" is white.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

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For Serial Number: 78981305

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Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuring engines and power generation equipment, and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100 IC 036. US 100 101 102. G & S: Financing services; credit and loan services; lease-purchase loans. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100 IC 037. US 100 103 106. G & S: Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines and power generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, and paving. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100 IC 039. US 100 105. G & S: Rental of vehicles. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100 IC 044. US 100 101. G & S: rental of equipment and machinery for use in agriculture and forestry. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.09.21 - Squares that are completely or partially shaded 26.11.21 - Rectangles that are completely or partially shaded
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	77560128
Filing Date	September 2, 2008
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 22, 2009
Registration Number	**3756598**
Registration Date	March 9, 2010
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Christopher P. Foley
Prior Registrations	0778638;1579437;2488264
Description of Mark	The color(s) yellow, black, and white is/are claimed as a feature of the mark. The mark consists of a yellow rectangle with a black square on the right-hand side, containing the word "CAT" in white letters with a yellow triangle beneath the letter "A".
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 77560128

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	CAT
Goods and Services	IC 001. US 001 005 006 010 026 046. G & S: Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231 IC 002. US 006 011 016. G & S: Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231 IC 004. US 001 006 015. G & S: Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231 IC 006. US 002 012 013 014 023 025 050. G & S: Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or

liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 007. US 013 019 021 023 031 034 035. G & S: Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats. brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines. bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 008. US 023 028 044. G & S: Hand tools, namely, taps and dies; hand jacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 009. US 021 023 026 036 038. G & S: Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs;

emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus. audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 011. US 013 021 023 031 034. G & S: Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 012. US 019 021 023 031 035 044. G & S: Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 017. US 001 005 012 013 035 050. G & S: Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 020. US 002 013 022 025 032 050. G & S: Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.02 - Plain single line triangles; Triangles, plain single line 26.11.21 - Rectangles that are completely or partially shaded
Serial Number	77289081
Filing Date	September 26, 2007
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 11, 2011

Registration Number	**4045652**
Registration Date	October 25, 2011
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0564272;2140606
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 77289081

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	CAT
Goods and Services	IC 012. US 019 021 023 031 035 044. G & S: Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications. FIRST USE: 20110909. FIRST USE IN COMMERCE: 20110909
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.20 - Triangles inside one another 26.05.21 - Triangles that are completely or partially shaded
Serial Number	85318067
Filing Date	May 11, 2011
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 24, 2012
Registration Number	**4124547**
Registration Date	April 10, 2012
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;2140606;2421077;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 85318067

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Web interface last modified: July 25, 2014 v.2.5

United States Patent and Trademark Office

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

CAT

Word Mark	CAT
Goods and Services	IC 012. US 019 021 023 031 035 044. G & S: Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications. FIRST USE: 20110909. FIRST USE IN COMMERCE: 20110909
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	85318073
Filing Date	May 11, 2011
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 24, 2012
Registration Number	**4124548**
Registration Date	April 10, 2012
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0770639;0984444;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 85318073

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TSDR | ASSIGN Status | TTAB Status ***(Use the "Back" button of the Internet Browser to return to TESS)***

Word Mark	CAT
Goods and Services	IC 009. US 021 023 026 036 038. G & S: Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies. FIRST USE: 20120300. FIRST USE IN COMMERCE: 20120300
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	85981370
Filing Date	May 10, 2012
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	May 28, 2013
Registration Number	**4519310**
Registration Date	April 22, 2014
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;1023137;2358605;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 85981370

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 042. US 100 101. G & S: Engineering services in the field of work environment safety, fleet productivity and utilization, job site efficiency, capturing new revenue streams, reducing waste, extending equipment life, conserving capital, improving cash flow, and regulatory compliance; providing online non-downloadable software for statistical analysis, data analysis, predictive analysis, remote monitoring of machinery, fleet productivity and utilization, extending equipment life, job site efficiency and job site office management and planning; design of computerized information systems; testing and inspection of engines and machinery; testing, control, diagnosis, calibration, and monitoring of vehicles, equipment, and machines used for agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; testing, control, diagnosis, calibration, and monitoring of engines, power generation equipment, equipment on jobsites, machine fleets, trucks, trucking fleets, and the operation of machines via computer networks and the Internet. remote control and operation of vehicles, equipment, and machines via temporary use of non-downloadable software used for testing, control, diagnosis, calibration, and monitoring of equipment used in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management via computer networks and the Internet; remote control and operation of engines and power generation equipment via temporary use of non-downloadable computer software by way of computer networks and the Internet; troubleshooting of computer hardware and software; rental of equipment, and machines for use in oil and gas exploration and mining exploration; rental of equipment, machines, and machine tools for use in oil and gas exploration; rental services featuring equipment, machines, and machine tools for use in mining exploration; providing searchable computer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor for use in mining exploration and oil and gas exploration. FIRST USE: 19970600. FIRST USE IN COMMERCE: 19970600
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	86976343
Filing Date	June 9, 2014
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	June 16, 2015
Registration Number	**4804273**
Registration Date	September 1, 2015
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;1023137;1579437;3756598;4045652;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 86976343

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Business management and consultation; product distribution for advertising purposes and operations management services; logistics consulting services, namely, order management and fulfillment services, warehouse management, inventory management, records accuracy management, managing manufacturing logistics, managing reverse logistics, supply chain design logistics and management, transportation scheduling and planning management; marketing services; compilation and systemization of information into computer databases; management and compilation of computerized databases; retail stores featuring vehicles, equipment, and machines for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; retail stores featuring power generation equipment, generators, and tools; online retail store services and retail store services featuring clothing and clothing accessories, footwear, headwear, toys, games, leather goods, bags, glasses, cups, keychains, watches, computer software, eyewear, pictures, and books; providing searchable computer databases, websites, and online information services relating to purchasing of equipment, machines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, forest management landscaping, lifting for use in construction, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management. providing searchable computer databases, websites, and online information services relating to purchasing of engines and power generation equipment; providing searchable computer databases, websites, and online information services relating to renting of equipment, machines, machine tools, and parts therefor, for use in forest management; business data acquisition and analysis via computer networks and the Internet; technical business consulting services in the field of capturing new revenue streams, reducing waste, conserving capital, and improving cash flow. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	86976333
Filing Date	June 9, 2014
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	June 16, 2015
Registration Number	**4804267**
Registration Date	September 1, 2015
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;1023137;1579437;3756598;4045652;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 86976333

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

Word Mark	CAT
Goods and Services	IC 009. US 021 023 026 036 038. G & S: Computer hardware; computer software for testing, monitoring, and operating vehicles, engines, equipment, machines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; computer software for database management, statistical analysis, data analysis, predictive analysis, remote monitoring of machinery, and job site office management and planning; computer software for selecting delivery location and tracking delivery status of packages, freight, and job site materials; equipment, namely, electronic devices and parts therefor for locating, positioning, and controlling machines, engines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management; electronic equipment for remote operation, control, and monitoring of engines, machine tools, and parts therefor, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lifting, marine propulsion, material handling, mining, mulching, oil and gas distribution, oil and gas exploration, oil and gas production, paving, pipelaying, power generation, road building and repair, site preparation and remediation, tunnel boring, and vegetation management. FIRST USE: 19991030. FIRST USE IN COMMERCE: 19991030
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	86976331
Filing Date	June 9, 2014
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	June 16, 2015
Registration Number	**4804265**
Registration Date	September 1, 2015
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;1023137;1579437;3756598;4045652;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 86976331

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EXHIBIT B-1

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 004. US 015. G & S: LUBRICATING-OILS. FIRST USE: 19100100. FIRST USE IN COMMERCE: 19100100
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	71058278
Filing Date	August 19, 1911
Current Basis	1A
Original Filing Basis	1A
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**0085748**
Registration Date	March 12, 1912
Owner	(REGISTRANT) HOLT MANUFACTURING COMPANY, THE CORPORATION CALIFORNIA AURORA STREET STOCKTON CALIFORNIA (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE SUITE AB6D 100 N.E. ADAMS STREET PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECTION 8(10-YR) 20120327.
Renewal	6TH RENEWAL 20120327
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71058278 **Filing Dt:** 08/19/1911 **Reg #:** 85748 **Reg. Dt:** 03/12/1912

Registrant: HOLT MANUFACTURING COMPANY, THE

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 006 007 008 009 011 012 016 021 028. US 023. G & S: GASOLENE [, STEAM,] [AND TRACTION] ENGINES, [HARVESTERS,] AND ROAD-WORKING MACHINES. FIRST USE: 19040901. FIRST USE IN COMMERCE: 19040901
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	71052847
Filing Date	November 18, 1910
Current Basis	1A
Original Filing Basis	1A
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**0085816**
Registration Date	March 19, 1912
Owner	(REGISTRANT) HOLT MANUFACTURING COMPANY, THE CORPORATION CALIFORNIA AURORA STREET STOCKTON CALIFORNIA (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT, BY ASSIGNMENT DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 12C. SECT 15. SECTION 8(10-YR) 20120327.
Renewal	6TH RENEWAL 20120327
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71052847 **Filing Dt:** 11/18/1910 **Reg #:** 85816 **Reg. Dt:** 03/19/1912

Registrant: HOLT MANUFACTURING COMPANY, THE

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 012. US 023. G & S: TRACTORS, TRACTOR ENGINES, TRACK LINKS, TRACK SHOES, GROUSERS, GREASE GUNS; [AGRICULTURAL MACHINERY TOOLS, AND EQUIPMENT- NAMELY, COMBINED HARVESTERS, WINDROWERS, PICK-UP MACHINERY GRAIN CLEANERS, GRAIN GRADERS, AND THRESHING MACHINES;] ROAD CONSTRUCTION AND MAINTENANCE MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, GRADERS, [ELEVATING GRADERS, ROAD PLANERS,] SCARIFIERS, PLOWS, LOGGING MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, LOGGING ENGINES, [WINCHES, LOG HOISTS, AND BUMMERS;] AND THE PARTS FOR ALL SAID GOODS. FIRST USE: 19040900. FIRST USE IN COMMERCE: 19040900
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	71297791
Filing Date	March 24, 1930
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0277417**
Registration Date	November 11, 1930
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA SAN LEANDRO CALIFORNIA
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N. E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950; 0215160;0229711;0229712;0229714;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 12C. SECT 15. SECTION 8(10-YR) 20091204.
Renewal	5TH RENEWAL 20091204
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71297791 **Filing Dt:** 03/24/1930 **Reg #:** 277417 **Reg. Dt:** 11/11/1930

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 007 012. US 023. G & S: TRACTORS ADAPTED TO BE EMPLOYED IN FARMING OPERATIONS, ROAD BUILDING, MINING, LOGGING, EARTH MOVING, HAULING, AND FOR OTHER INDUSTRIAL AND AGRICULTURAL PURPOSES; INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES, AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL AND AGRICULTURAL USES; POWER AND MANUALLY CONTROLLED GRADERS, TERRACERS, PLANERS, SCARIFIERS, LEANING WHEEL GRADERS, ELEVATING GRADERS, SCRAPERS, BULLDOZERS, LEVELERS, PLOWS, DITCHERS, BANK CUTTERS , AND BACK SLOPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, SNOW, AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; HARVESTERS, COMBINE HARVESTERS, MOWERS, PUSH RAKES, WINDROW HEADERS AND WINDROW PICK-UPS; IMPLEMENT HITCHES; PARTS AND TOOLS FOR ALL OF SAID PRODUCTS AND ATTACHMENTS, ACCESSORIES AND EQUIPMENT ASSOCIABLE WITH ALL OF SAID PRODUCTS. FIRST USE: 19040900. FIRST USE IN COMMERCE: 19040900
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	71386675
Filing Date	December 12, 1936
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0345499**
Registration Date	April 27, 1937
Owner	(REGISTRANT) CATEPILLAR TRACTOR CO. CORPORATION CALIFORNIA SAN LEANDRO CALIFORNIA
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616296490
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0085748;0085816;0120744;0134971;0135703;0190950;0215160;0227417; 0229711;0229712;0229714;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 12C. SECT 15. SECTION 8(10-YR) 20070521.
Renewal	4TH RENEWAL 20070521
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71386675 **Filing Dt:** 12/12/1936 **Reg #:** 345499 **Reg. Dt:** 04/27/1937

Registrant: CATEPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 012. US 019. G & S: DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS. FIRST USE: 19410000. FIRST USE IN COMMERCE: 19410000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	71540644
Filing Date	November 10, 1947
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0506258**
Registration Date	February 1, 1949
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA, 8 ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616296490
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950; 0277417;0345499
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20080925.
Renewal	3RD RENEWAL 20080925
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71540644 **Filing Dt:** 11/10/1947 **Reg #:** 506258 **Reg. Dt:** 02/01/1949

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 007 008 009. US 023. G & S: DIESEL ENGINES FOR MARINE PURPOSES; CABLE-CONTROL UNITS FOR CONTROLLING CABLE ACTUATED EQUIPMENT ASSOCIATED WITH TRACTORS AND THE LIKE; HYDRAULICCONTROL UNITS FOR CONTROLLING HYDRAULICALLY ACTUATED EQUIPMENT ASSOCIATED WITH TRACTORS AND THE LIKE; EARTH RIPPERS; SCRAPING, CARRYING, AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; PARTS FOR ALL OF SAID PRODUCTS; AND SERVICE TOOLS-NAMELY, PLIERS, SCREW DRIVERS, WRENCHES, HAMMERS, GREASE GUNS, RIM TOOLS, PINCH BARS, AND CABLE CUTTERS. FIRST USE: 19390100. FIRST USE IN COMMERCE: 19390100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	71540643
Filing Date	November 10, 1947
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0531626**
Registration Date	October 10, 1950
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0215160;0229711;0229712;0229714;0277417;0345499;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20091207.
Renewal	4TH RENEWAL 20091207
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71540643 **Filing Dt:** 11/10/1947 **Reg #:** 531626 **Reg. Dt:** 10/10/1950

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 001. US 006. G & S: RUST INHIBITOR FLUID FOR PREVENTING FORMATION OF RUST IN THE COOLING SYSTEM OF INTERNAL COMBUSTION SYSTEMS [, AND LIQUID CHEMICAL COMPOSITION FOR SOAKING DECALCOMANIA IN ORDER TO SEPARATE THE TRANSPARENT PRINTED LAYER FROM ITS PAPER BACKING AND RENDER THE SURFACE OF SAID TRANSPARENT LAYER ADHESIVE]. FIRST USE: 19481200. FIRST USE IN COMMERCE: 19481200
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	71596777
Filing Date	May 3, 1950
Current Basis	1A
Original Filing Basis	1A
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**0551623**
Registration Date	December 4, 1951
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR, INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0277417;0345499;0373488;0504829;0506258
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20111201.
Renewal	4TH RENEWAL 20111201
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71596777 **Filing Dt:** 05/03/1950 **Reg #:** 551623 **Reg. Dt:** 12/04/1951

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 037. US 103. G & S: SERVICE, MAINTENANCE, AND REPAIR OF INTERNAL COMBUSTION ENGINES, TRACTORS, SCRAPERS, WAGONS, MOTOR GRADERS, SCARIFIERS, BULLDOZERS, RIPPERS, CABLE-CONTROL UNITS, HYDRAULIC-CONTROL UNITS, [ELECTRIC GENERATORS, DIESEL] ELECTRIC GENERATOR SETS; [AGRICULTURAL EQUIPMENT-NAMELY, HARVESTERS, COMBINE HARVESTERS, MOWERS, PUSH RAKES, WINDROWHEADERS AND WINDROWPICK-UPS, THRESHING MACHINES,] PLOWS, TOOL BARS, AND PARTS, TOOLS, ATTACHMENTS, ACCESSORIES AND EQUIPMENT ASSOCIATED WITH ALL OF SAID PRODUCTS; AND INSTALLATION OF REPAIR PARTS FOR SAID PRODUCTS. FIRST USE: 19250000. FIRST USE IN COMMERCE: 19250000
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	71601253
Filing Date	July 26, 1950
Current Basis	1A
Original Filing Basis	1A
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**0552361**
Registration Date	December 18, 1951
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. Adams Street, AH9510 ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0277417;0345499;0373488;0504829;0506258
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20110129.
Renewal	3RD RENEWAL 20110129
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71601253 **Filing Dt:** 07/26/1950 **Reg #:** 552361 **Reg. Dt:** 12/18/1951

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 028. US 022. G & S: TOY REPLICAS OF WHEEL TRACTORS, TRACK-TYPE TRACTORS, BULLDOZERS, DUMP WAGONS, MOTOR GRADERS, SCRAPERS, AND RIPPERS. FIRST USE: 19321200. FIRST USE IN COMMERCE: 19321200
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	71637111
Filing Date	October 24, 1952
Current Basis	1A
Original Filing Basis	1A
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**0581016**
Registration Date	October 13, 1953
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA 8 ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0079056;0085816;0120744;0134971;0137035;0190950;0277417;0345499;0506258; 0531626
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20130908.
Renewal	4TH RENEWAL 20130908
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 71637111 **Filing Dt:** 10/24/1952 **Reg #:** 581016 **Reg. Dt:** 10/13/1953

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 012. US 019. G & S: Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor. FIRST USE: 19410000. FIRST USE IN COMMERCE: 19410000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72174080
Filing Date	July 31, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0770267**
Registration Date	May 26, 1964
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. Washington St. East Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0027741;0558877;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140329.
Renewal	3RD RENEWAL 20140329
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: 72174080 **Filing Dt:** 07/31/1963 **Reg #:** 770267 **Reg. Dt:** 05/26/1964

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: 2902/0083 **Recorded:** 06/25/2003 **Pages:** 3

Conveyance: CHANGE OF NAME

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: NONE

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: GENE BOLMARCHICH
101 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 009. US 021. G & S: ELECTRIC STORAGE BATTERIES, ELECTRIC GENERATORS AND DIESEL ELECTRIC GENERATOR SETS, AND PARTS FOR THESE PRODUCTS. FIRST USE: 19341200. FIRST USE IN COMMERCE: 19341200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72244882
Filing Date	May 4, 1966
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0832440**
Registration Date	July 25, 1967
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. EAST PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0770267;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20070412.
Renewal	2ND RENEWAL 20070412
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72244882 **Filing Dt:** 05/04/1966 **Reg #:** 832440 **Reg. Dt:** 07/25/1967

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO.

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 012. US 035. G & S: TIRES. FIRST USE: 19700930. FIRST USE IN COMMERCE: 19700930
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72407912
Filing Date	November 17, 1971
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0955141**
Registration Date	March 13, 1973
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS STREET PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0345499;0871145;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20130307.
Renewal	3RD RENEWAL 20130307
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72407912 **Filing Dt:** 11/17/1971 **Reg #:** 955141 **Reg. Dt:** 03/13/1973

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 007. US 023. G & S: LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR. FIRST USE: 19700900. FIRST USE IN COMMERCE: 19700900
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72452923
Filing Date	March 29, 1973
Current Basis	1A
Original Filing Basis	1A
Registration Number	**0985439**
Registration Date	June 4, 1974
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0277417;0345499
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140409.
Renewal	3RD RENEWAL 20140409
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 72452923 **Filing Dt:** 03/29/1973 **Reg #:** 985439 **Reg. Dt:** 06/04/1974

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 021. US 002 033. G & S: Glasses, Cups and Coasters. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 IC 026. US 040. G & S: Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches. FIRST USE: 19620200. FIRST USE IN COMMERCE: 19620200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392164
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 22, 1983
Registration Number	**1267209**
Registration Date	February 14, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 NE ADAMS ST PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0079056;0581016
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140228.
Renewal	2ND RENEWAL 20140228
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392164 **Filing Dt:** 09/29/1982 **Reg #:** 1267209 **Reg. Dt:** 02/14/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986
Entity Type: CORPORATION
Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS
Entity Type: CORPORATION
Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 006. US 013 050. G & S: Articles of Nonprecious Metal-Namely, Key Tags, Key Chains, Money Clips and Name Plates. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 014. US 003 028. G & S: Articles of Precious Metal-Namely, Belt Buckles, Key Chains and Lapel Pins; Clocks; Jewelry Watch Fobs of Leather. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 018. US 003 041. G & S: Leather and Imitation Leather Goods-Namely, Wallets, Key Holders, Business Card Cases, Credit Card Cases, Coin Purses, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags and Purses. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 025. US 039. G & S: Caps, Ties, Scarves, Headwear and Wrist Bands. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	(CANCELLED) IC 034. US 002 008 009 017. G & S: [Matches, Cigarette Lighters and Ash Trays]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392834
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	March 13, 1984
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**1280488**
Registration Date	June 5, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0079056;0581016
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20031112. PARTIAL SECTION 8(10-YR) 20140411.
Renewal	2ND RENEWAL 20140411
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392834 **Filing Dt:** 09/29/1982 **Reg #:** 1280488 **Reg. Dt:** 06/05/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 016. US 002 005 022 023 029 037 038 050. G & S: Note Pads, Binders, Stationery-Type Portfolios, Calendars, Pencils, Pens, Decals, Coloring [and Game] Books, Company Magazines, Newsletters, Bulletins, Playing Cards, Paper Coasters, Photo Albums and Check Book Holders. FIRST USE: 19620200. FIRST USE IN COMMERCE: 19620200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392832
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	March 13, 1984
Registration Number	**1280686**
Registration Date	June 5, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.W. ADAMS ST PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0079056;0581016
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140321.
Renewal	2ND RENEWAL 20140321
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: 73392832 **Filing Dt:** 09/29/1982 **Reg #:** 1280686 **Reg. Dt:** 06/05/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: 0531/0979 **Recorded:** 07/10/1986 **Pages:** 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: CATERPILLAR TRACTOR CO. **Exec Dt:** 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Assignee: CATERPILLAR INC.
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Entity Type: CORPORATION

Citizenship: DELAWARE

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 037. US 100 103 106. G & S: MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED. FIRST USE: 19881020. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	73762373
Filing Date	November 7, 1988
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 31, 1989
Registration Number	**1579438**
Registration Date	January 23, 1990
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. ADAMS STREET PEORIA ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0552361;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090922.
Renewal	2ND RENEWAL 20090922
Live/Dead Indicator	LIVE

United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 73762373

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 025. US 039. G & S: footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19890600
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	74451721
Filing Date	October 28, 1993
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 23, 1995
Registration Number	**1911472**
Registration Date	August 15, 1995
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Christopher P. Foley
Prior Registrations	1280488
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150511.
Renewal	2ND RENEWAL 20150511
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 74451721

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 036. US 100 101 102. G & S: financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning. FIRST USE: 19810000. FIRST USE IN COMMERCE: 19810000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75139426
Filing Date	July 24, 1996
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	September 23, 1997
Registration Number	**2121122**
Registration Date	December 16, 1997
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;1911472;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20070511.
Renewal	1ST RENEWAL 20070511
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

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For Serial Number: 75139426

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
	IC 012. US 019 021 023 031 035 044. G & S: vehicles for earth and material hauling and handling, namely, tractors and engines therefor. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75236984
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 9, 1997
Registration Number	**2140605**
Registration Date	March 3, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Kevin C. Earle
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080331.
Renewal	1ST RENEWAL 20080331
Live/Dead Indicator	LIVE

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No assignment has been recorded at the USPTO

For Serial Number: 75236984

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TSDR | ASSIGN Status | TTAB Status *(Use the "Back" button of the Internet Browser to return to TESS)*

CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 014. US 002 027 028 050. G & S: watches, clocks, cuff links, tie tacks, tie bars, [bracelets, pendants,] belt buckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases, [jewelry chains, watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches. FIRST USE: 19900400. FIRST USE IN COMMERCE: 19900400
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	75205497
Filing Date	November 29, 1996
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	February 17, 1998
Registration Number	**2156340**
Registration Date	May 12, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080716.
Renewal	1ST RENEWAL 20080716
Live/Dead Indicator	LIVE

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United States Patent and Trademark Office

No assignment has been recorded at the USPTO

For Serial Number: 75205497

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 018. US 001 002 003 022 041. G & S: leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,] school book bags, [shoulder hand bags, purses]. FIRST USE: 19910000. FIRST USE IN COMMERCE: 19910000
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	75296699
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 26, 1998
Registration Number	**2182134**
Registration Date	August 18, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080802.
Renewal	1ST RENEWAL 20080802
Live/Dead Indicator	LIVE

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 025. US 022 039. G & S: Work, sport and casual clothing namely, caps, belts, [ties, scarves,] headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, one-piece jump suits and socks, men's and women's shirts and jeans. FIRST USE: 19900100. FIRST USE IN COMMERCE: 19900100
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75455117
Filing Date	March 23, 1998
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 29, 1998
Registration Number	**2234261**
Registration Date	March 23, 1999
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488;1911472;2182134;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081222.
Renewal	1ST RENEWAL 20081222
Live/Dead Indicator	LIVE

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 035. US 100 101 102. G & S: Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961000 IC 039. US 100 105. G & S: Warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200 IC 042. US 100 101. G & S: Design of computerized information systems for managing logistics and product distribution processes for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75788122
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	**2364588**
Registration Date	July 4, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0085816;0277417;0551623;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091119.
Renewal	1ST RENEWAL 20091119
Live/Dead Indicator	LIVE

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For Serial Number: 75788122

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 028. US 022 023 038 050. G & S: Puzzles, namely, jigsaw; games, namely, board; and toys, namely, electric action toys, [battery operated ride-ons,] toy vehicles and construction-site themed playsets, radio-controlled toy vehicles, and construction toys; all relating to earthmoving equipment and none relating to caterpillars. FIRST USE: 19871201. FIRST USE IN COMMERCE: 19871201
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75551642
Filing Date	September 11, 1998
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	July 27, 1999
Registration Number	**2439011**
Registration Date	March 27, 2001
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0085748;0085816;0277417;0345499;0506258;0531626;0551623;0552361; 0553849;0581016;0770267;1579438;1911472;2121122;2140605;2156340;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20100703.
Renewal	1ST RENEWAL 20100703
Live/Dead Indicator	LIVE

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: hydraulic excavators; mini hydraulic excavators; wheeled excavators; front shovels; backhoe loaders; skid steer loaders; compact wheel loaders; wheel loaders; integrated toolcarriers; telescopic handlers; track loaders; wheel tractor-scrapers; track-type tractors; wheel dozers; motor graders; soil compactors; cold planers; road reclaimers; asphalt pavers; vibratory compactors; marine engines; industrial engines; diesel generator sets; gas generator sets; demolition machines and scrap material handlers for use therewith namely, blades, buckets, crushers, grapples, hammers, hydraulic brooms, mobile shears, pallet forks, pulverizers, and rakes; log loaders; combines; pipelayers; mining shovels; waste handling machines; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020 IC 012. US 019 021 023 031 035 044. G & S: off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75830496
Filing Date	November 12, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	February 13, 2001
Registration Number	**2448848**
Registration Date	May 8, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	2140605;2140606
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110128.
Renewal	1ST RENEWAL 20110128
Live/Dead Indicator	LIVE

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For Serial Number: 75830496

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 009. US 021 023 026 036 038. G & S: Eyeglasses and sunglasses. FIRST USE: 19950601. FIRST USE IN COMMERCE: 19950601
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75855402
Filing Date	December 10, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	November 28, 2000
Registration Number	**2483573**
Registration Date	August 28, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1911472;2156340
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110128.
Renewal	1ST RENEWAL 20110128
Live/Dead Indicator	LIVE

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Typed Drawing

Word Mark	CATERPILLAR
Goods and Services	IC 009. US 021 023 026 036 038. G & S: Eyeglasses and sunglasses. FIRST USE: 19880601. FIRST USE IN COMMERCE: 19880601
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75621859
Filing Date	January 22, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 9, 2001
Registration Number	**2517975**
Registration Date	December 11, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296480
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0770267;0985439;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110131.
Renewal	1ST RENEWAL 20110131
Live/Dead Indicator	LIVE

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For Serial Number: 75621859

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 004. US 001 006 015. G & S: Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants. FIRST USE: 19880101. FIRST USE IN COMMERCE: 19880101
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	78766666
Filing Date	December 5, 2005
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	August 15, 2006
Registration Number	**3165185**
Registration Date	October 31, 2006
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christopher P. Foley
Prior Registrations	0085748;0551623
Description of Mark	The mark consists of a triangle below the A in CATERPILLAR.
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 025. US 022 039. G & S: Footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19880600
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	77184678
Filing Date	May 18, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 23, 2007
Registration Number	**3365450**
Registration Date	January 8, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1280488;1911472;2234261;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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For Serial Number: 77184678

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 025. US 022 039. G & S: Clothing, namely, belts, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, socks, shirts, and jeans. FIRST USE: 19880100. FIRST USE IN COMMERCE: 19880100
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	85020678
Filing Date	April 22, 2010
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 2, 2010
Registration Number	**3907101**
Registration Date	January 18, 2011
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christopher P. Foley
Prior Registrations	1280488;1911472;2234261;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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For Serial Number: 85020678

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Engines, other than for land vehicles; engines not for land vehicles, compressors for machines, electricity generators, and pumps for machines, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; machines and machine tools for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair. FIRST USE: 19100000. FIRST USE IN COMMERCE: 19100000 IC 012. US 019 021 023 031 035 044. G & S: Land vehicles for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; locomotives; engines for land vehicles. FIRST USE: 19100000. FIRST USE IN COMMERCE: 19100000
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	85354196
Filing Date	June 23, 2011
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	June 5, 2012
Registration Number	**4193027**
Registration Date	August 21, 2012
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0085748;0085816;0277417;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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