

ESTTA Tracking number: **ESTTA772895**

Filing date: **09/26/2016**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

Opposer Information

Name	Caterpillar Inc.
Granted to Date of previous extension	09/25/2016
Address	100 Northeast Adams Street Peoria, IL 61629 UNITED STATES

Attorney information	Christopher P. Foley Finnegan, Henderson, Farabow, Garrett & Dunner 901 New York Avenue NW Washington, DC 20001 UNITED STATES christopher.foley@finnegan.com, whitney.cooke@finnegan.com, ttab-legal-assistants@finnegan.com, docketing@finnegan.com Phone:202-408-4000
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Applicant Information

Application No	86418415	Publication date	03/29/2016
Opposition Filing Date	09/26/2016	Opposition Period Ends	09/25/2016
Applicant	Li & Fung (BVI) Limited P.O. Box 957 Road Town, VIRGIN ISLANDS, BRITISH		

Goods/Services Affected by Opposition

<p>Class 009. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: computer hardware; computer software for stock inventory automation, alteration and processes; computer software for identification and detection of product location; electronic tags for goods and electronic labels for goods, all for use in connection with inventory control, product identification, product location, product monitoring, product tracking, product tracking and product management; computer software for use in real-time communication and data processing; computer software for use in network management; computer software for use in controlling processes; antennas, antenna boosters and tracking antennas for inventory; database management software for recording, reporting and analysing stock and retail shelf management; microchips; antennas; process controlling software; electronic security and surveillance devices, namely, electronic security tags and labels, acoustic tags, magnetic tags; electronic tags for goods; radio frequency identification (rfid) tags; radio frequency identification (rfid) tag readers; radio frequency identification (rfid) credentials, namely, cards and tags, and readers for radio frequency identification credentials</p>
<p>Class 035. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: business management, business management consulting, and business administration assistance in relation to retail transactions and displays</p>

of goods at retail outlets, retail stores and department stores; inventory management; inventory control; monitoring of inventory; inventorying merchandise, namely, tracking and tracing of inventory and inventory automation and management services; marketing services

Applicant Information

Application No	86418427	Publication date	03/29/2016
Opposition Filing Date	09/26/2016	Opposition Period Ends	
Applicant	Li & Fung (BVI) Limited P.O. Box 957 Road Town, VIRGIN ISLANDS, BRITISH		

Goods/Services Affected by Opposition

Class 009. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: computer hardware; computer software for stock inventory automation, alteration and processes; computer software for identification and detection of product location; electronic tags for goods and electronic labels for goods, all for use in connection with inventory control, product identification, product location, product monitoring, product tracing, product tracking and product management; computer software for use in real-time communication and data processing; computer software for use in network management; computer software for use in controlling processes; antennas, antenna boosters and tracking antennas for inventory; database management software for recording, reporting and analysing stock and retail shelf management; microchips; antennas; process controlling software; electronic security and surveillance devices, namely, electronic security tags and labels, acoustic tags, magnetic tags; electronic tags for goods; radio frequency identification (rfid) tags; radio frequency identification (rfid) tag readers; radio frequency identification (rfid) credentials, namely, cards and tags, and readers for radio frequency identification credentials

Class 035. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: business management, business management consulting, and business administration assistance in relation to retail transactions and displays of goods at retail outlets, retail stores and department stores; inventory management; inventory control; monitoring of inventory; inventorying merchandise, namely, tracking and tracing of inventory and inventory automation and management services; marketing services

Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
Dilution by blurring	Trademark Act Sections 2 and 43(c)
Dilution by tarnishment	Trademark Act Sections 2 and 43(c)

Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	564272	Application Date	03/10/1949
Registration Date	09/23/1952	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS DIESEL AND OTHER IN-		

	<p>INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS AND SERVICE TOOLS FURNISHED WITH SAID PRODUCTS</p> <p>Class 012. First use: First Use: 1948/12/09 First Use In Commerce: 1948/12/09</p> <p>ELECTRIC GENERATORS AND DIESEL ELECTRIC [ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS</p>
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U.S. Registration No.	770639	Application Date	07/31/1963
Registration Date	06/02/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor		

U.S. Registration No.	778638	Application Date	03/12/1963
Registration Date	10/13/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 037. First use: First Use: 1951/00/00 First Use In Commerce: 1951/00/00 Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment		

U.S. Registration No.	984444	Application Date	03/29/1973
Registration Date	05/21/1974	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			

Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 1973/01/30 First Use In Commerce: 1973/01/30 LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR

U.S. Registration No.	2140606	Application Date	02/05/1997
Registration Date	03/03/1998	Foreign Priority Date	NONE

Word Mark	CAT
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Design Mark	
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Description of Mark	NONE
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Goods/Services	Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 vehicles for earth and material hauling and handling, namely, tractors and engines therefor
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U.S. Registration No.	1579437	Application Date	11/07/1988
Registration Date	01/23/1990	Foreign Priority Date	NONE

Word Mark	CAT
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Design Mark	
Description of Mark	NONE
Goods/Services	Class 037. First use: First Use: 1988/10/20 First Use In Commerce: 1988/10/20 MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED

U.S. Registration No.	2364592	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others		

	<p>Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 warehousing services</p> <p>Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Design of computerized information systems for managing logistics and product distribution processes for others</p>
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U.S. Registration No.	2364591	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE

Word Mark	CAT
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Design Mark	
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Description of Mark	NONE
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Goods/Services	<p>Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LO- GISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LO- GISTICS SERVICES AND CONSULTING IN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WARE- HOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT</p> <p>Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 warehousing services</p> <p>Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS</p>
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U.S. Registration No.	2421077	Application Date	11/12/1999
Registration Date	01/16/2001	Foreign Priority Date	NONE

Word Mark	CAT
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Design Mark	
Description of Mark	NONE
Goods/Services	<p>Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE</p> <p>Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above</p>

U.S. Registration No.	3539374	Application Date	05/17/2007
Registration Date	12/02/2008	Foreign Priority Date	NONE
Word Mark	SAFETY.CAT.COM		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 041. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course material in connection therewith		

	Class 045. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Providing information in the field of safety and safety awareness in the construction industry		
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U.S. Registration No.	3525811	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling		

U.S. Registration No.	3525812	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders		

U.S. Registration No.	3541939	Application Date	02/15/2006
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Registration Date	12/02/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/08/20 First Use In Commerce: 2007/08/20 Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling		

U.S. Registration No.	4045652	Application Date	09/26/2007
Registration Date	10/25/2011	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 001. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, ad-		

hesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins

Class 002. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings

Class 004. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings

Class 006. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings

Class 007. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earthmoving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machine parts; steam rollers, being parts of machines; power-op-

erated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches

Class 008. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Hand tools, namely, taps and dies; handjacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices

Class 009. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors

Class 011. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators

Class 012. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps,

and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor

Class 017. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31
 Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings

Class 020. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31
 Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts

U.S. Registration No.	2358605	Application Date	09/09/1998
Registration Date	06/13/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 1999/10/30 First Use In Commerce: 1999/10/30 CD-ROM games		

U.S. Registration No.	5022710	Application Date	05/10/2012
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Registration Date	08/16/2016	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".		
Goods/Services	Class 009. First use: First Use: 2015/01/31 First Use In Commerce: 2015/01/31 Mobile phone accessories, namely, cell phone mounts for automobiles, cell phonebattery chargers		

Attachments	72452924#TMSN.png(bytes) 75236985#TMSN.png(bytes) 73762371#TMSN.png(bytes) 75788387#TMSN.png(bytes) 75788362#TMSN.png(bytes) 75830492#TMSN.png(bytes) 77183562#TMSN.png(bytes) 78815915#TMSN.png(bytes) 78815922#TMSN.png(bytes) 78815917#TMSN.png(bytes) 77289081#TMSN.png(bytes) 75550438#TMSN.png(bytes) 85621723#TMSN.png(bytes) 2016.09.26 Notice of Opposition - CATLOOK with Exhibits.pdf(500442 bytes)
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Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/Christopher P. Foley/
Name	Christopher P. Foley
Date	09/26/2016

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD**

<p>CATERPILLAR INC.,</p> <p style="text-align: right;">Opposer,</p> <p style="text-align: center;">v.</p> <p>LI & FUNG (BVI) LIMITED,</p> <p style="text-align: right;">Applicant.</p>	<p>Opposition No. _____</p> <p>Application Serial No. 86/418,415 Mark: CATLOOK Classes 9 and 35</p> <p style="text-align: center;">and</p> <p>Application Serial No. 86/418,427 Mark: CATLOOK ENHANCING RETAIL and Design Classes 9 and 35 Filing date: October 8, 2014</p>
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CONSOLIDATED NOTICE OF OPPOSITION

Caterpillar Inc. (“Opposer”), a Delaware corporation having its principal place of business at 100 Northeast Adams Street, Peoria, Illinois, 61629-9240, believes that it will be damaged by the registration of Li & Fung (BVI) Limited’s (“Applicant’s”) Application Serial Nos. 86/418,415 for the mark CATLOOK and 86/418,427 for the mark CATLOOK ENHANCING RETAIL and Design (“CATLOOK Applications” or “CATLOOK marks”) for

Class 9: computer hardware; computer software for stock inventory automation, alteration and processes; computer software for identification and detection of product location; electronic tags for goods and electronic labels for goods, all for use in connection with inventory control, product identification, product location, product monitoring, product tracing, product tracking and product management; computer software for use in real-time communication and data processing; computer software for use in network management; computer software for use in controlling processes; antennas, antenna boosters and tracking antennas for inventory; database management software for recording, reporting and analysing stock and retail shelf management; microchips; antennas; process controlling software; electronic security and surveillance devices, namely, electronic security tags and labels, acoustic tags, magnetic tags; electronic tags for goods; radio frequency identification (rfid) tags; radio frequency identification

(rfid) tag readers; radio frequency identification (rfid) credentials, namely, cards and tags, and readers for radio frequency identification credentials

Class 35: business management, business management consulting, and business administration assistance in relation to retail transactions and displays of goods at retail outlets, retail stores and department stores; inventory management; inventory control; monitoring of inventory; inventorying merchandise, namely, tracking and tracing of inventory and inventory automation and management services; marketing services

and hereby opposes registration of the same.

As grounds for this opposition, Opposer alleges that, upon actual knowledge with respect to itself and its own acts, and upon information and belief as to Applicant and Applicant's marks:

Opposer's CAT Name and Mark

1. Opposer is a Delaware corporation with its principal place of business at 100 Northeast Adams Street, Peoria, Illinois, 61629-9240.
2. Opposer is a long-established, multi-national company engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of machinery, equipment, vehicles, and engines including, but not limited to, construction, mining and forestry machinery such as draglines, highwall miners, drills, drilling tools, mining loaders, mining trucks, belt systems and belt products, mining longwall systems and equipment, room and pillar products, rope shovels, hydraulic shovels, open bowl scrapers, track and wheel tractors, track and wheel loaders, pipelayers, motor graders, wheel tractor-scrappers, track and wheel excavators, backhoe loaders, log skidders, log loaders, off-highway trucks, articulated trucks (including water trucks), asphalt pavers, asphalt reclaimers, asphalt compactors, telehandlers, skid steer loaders, related parts

and engines, and related computer and software equipment therefor, all under the CAT name and mark.

3. Opposer also uses the CAT mark to identify a wide range of goods and services for use in various industries, including engines for oil and gas drilling and production, well servicing, pumps, and compression applications, generators, engine driven generator sets (gensets), pipelayers, track-type tractors, hydraulic excavators, motor graders, trucks, GPS (“global positioning system”) and laser based guidance systems for automated rock recognition to ensure accurate drill management, related computer and software equipment, and onsite support repair and maintenance services.

4. Caterpillar is actively involved in the design, development and testing of electronic components and systems for its machinery and engines through its wholly owned subsidiary, CAT Electronics. Through CAT Electronics, Caterpillar is able to provide CAT-branded electronic or electrical communication solutions for various products, including displays and instrument clusters, mobile phones, electronic control units, remote monitoring, simulators, sensors and gauges. Caterpillar also has extensive involvement in developing computer hardware and software solutions to enable customers, among other things, to operate control and communicate with Opposer’s CAT-branded products and systems.

5. Opposer is engaged in the advertising and rendering of maintenance, repair, and support services for its products in connection with its CAT name and mark. Opposer also offers consulting services, including technical consulting, related to its wide range of products and services under the CAT name and mark.

6. Opposer's parts and equipment are provided to customers by a global retail network of CAT dealers. In the United States, there are fifty (50) CAT dealers, each having many parts and service centers within their respective geographic regions. The dealer network is identified to the public by names such as EMPIRE CAT, LOUISIANA CAT, MICHIGAN CAT, NMC CAT, OHIO CAT, WESTERN STATES CAT, and WYOMING CAT.

7. Opposer is engaged in providing maintenance, repair, and support services for its products, including its computers, communications equipment, trucks, mining and construction machinery, other earthmoving equipment, material handling equipment, engines, pumps, compressors, generators and ancillary equipment. These services are provided by Opposer's network of CAT dealers.

8. Opposer leases a variety of other products through Opposer's network of CAT Rental Stores. There are hundreds of CAT Rental Stores around the country. CAT Rental equipment includes, among other things, trucks, lift equipment, electrical products, and lights. CAT Rental Stores lease equipment manufactured by Caterpillar, as well as equipment from other manufacturers. Details are provided at catrentalstore.com.

9. Opposer also provides CAT Auction Services as a service to enable customers to auction or trade used equipment. Customers can bid in person or online. Details are provided at catauctions.com.

10. Opposer also sells and distributes a wide range of merchandise and apparel through retail outlets, including Caterpillar-sponsored retail outlets, through catalogs, on its website, and through other Internet outlets. The apparel and

merchandise includes various types of clothing and footwear, accessories, and commemorative items or gifts, such as key chains, wallets, toys, models, caps and tote bags. Opposer's CAT-branded apparel and footwear is immensely popular. Indeed, the CAT Footwear division has partnered with Mike Rowe, host of Discovery Channel's popular television show "Dirty Jobs" to produce a signature series of work boots and casual shoes sold at retail stores. Examples of Opposer's apparel, footwear, and gift items are available at shopcaterpillar.com.

11. Opposer also provides business management and consultation services related to product distribution to others with respect to its wide range of products, including its merchandise and apparel.

12. Opposer also manufactures and distributes computer hardware and software for identification and detection of product location.

13. Opposer licenses others to use the CAT name in connection with a wide range of goods which support Caterpillar machines and equipment. These goods include vehicle batteries, hoses and couplings, lubricants, coolants, filtration products, repair kits, hand tools, communication equipment, hardware, and vehicle tires.

14. Opposer provides purchasers of its products and services with financing and insurance options through CAT Financial, the financial arm of Caterpillar's business.

15. Opposer also provides its customers with safety guidance for use of all of its products and services, including its machinery and equipment for the oil and gas industry. Through safety.cat.com, Caterpillar provides safety tips and CAT-branded

safety brochures, videos and checklists related to products and services offered by Caterpillar to the oil and gas industry.

16. Opposer has enjoyed immense sales and has expended substantial resources advertising and promoting its CAT name and mark throughout the United States. Opposer has also received extensive unsolicited publicity and media attention. For example, President Obama chose Caterpillar's headquarters in Peoria, Illinois to address the Nation on the stimulus package presented to Congress in his first term. In addition, as part of its efforts to promote the CAT brand to the public, Opposer has for many years sponsored a team in the NASCAR Sprint Cup Series. The CAT team is led by Jeff Burton, who was NASCAR Illustrated's Person of the Year a few years ago.

17. Opposer, through its predecessors-in-interest, related companies, and licensees, has at least as early as 1948 used and been commonly referred to by the CAT name and mark. Indeed, as a result of the distinctive nature, and thus inherent strength, of the CAT mark, the widespread advertising, publicity, and promotion, and substantial sales of Opposer's Products and Services; and Opposer's longstanding and extensive use of the CAT name and mark, the CAT name and mark has been well known and famous for many years.

18. The Trademark Trial and Appeal Board has expressly acknowledged the fame of the CAT mark. *See Caterpillar Inc. v. Rodney C. Kelly* (PETRACAT Opposition No. 91210124 (TTAB September 30, 2015)).

19. Opposer owns valid and subsisting federal trademark registrations and applications for its CAT mark in both word and logo format for a wide variety of products and services including, but not limited to, the registrations listed below. Copies of the

TESS/TSDR printouts and Assignment records for each of the following registrations and applications are attached as Exhibit A.

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
CAT	564272	09/23/52	02/17/49 12/09/48 12/06/48	Dump-wagons, wheel tractor-dump-wagon combinations, and structural parts for such products. Electric generators and diesel electric generator sets, and parts furnished with said products. Diesel and other internal combustion engines adapted for employment as the source of power for self-propelled vehicles and as stationary or portable power units for industrial, marine and agricultural uses; scraping, carrying and dumping units adapted to be employed for scraping and collecting earth, rock, or like materials and transporting and dumping said materials; power and manually controlled graders, scarifiers, scrapers, and rippers adapted to be employed for the construction and maintenance of roads, for moving and removing of earth, rock, snow and like materials, for preventing soil erosion and for other industrial and agricultural uses; tractors for industrial and agricultural purposes; and parts and service tools furnished with said products.
CAT	770639	06/02/64	02/17/49	Motor trucks, dump wagons, wheel tractor-dump wagon combinations, wheel tractors, and parts therefor
CAT	778638	10/13/64	00/00/51	Service, maintenance and repair of trucks, tractors, engines, earthmoving equipment and control units therefor, generators and agricultural equipment.
CAT	984444	05/21/74	01/30/73	Lift trucks, and engines, attachments and parts therefor.

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
	1579437	01/23/90	10/20/88	Maintenance and repair services in the field of internal combustion engines, vehicles and power equipment; namely trucks, tractors, engines, earthmoving equipment, material handling equipment, paving equipment, agricultural equipment, generators, and control units for the aforementioned.
	2140606	03/03/98	10/20/88	Machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines; vehicles for earth and material hauling and handling, namely, tractors and engines therefor.
CAT	2364592	7/4/2000	12/1996	Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others. Warehousing services Design of computerized information systems for managing logistics and product distribution processes for others

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
	2364591	7/4/2000	12/1996	<p>Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management freight transportation management, warehouse and product distribution operations management.</p> <p>Warehousing services.</p> <p>Design of computerized information systems for managing logistics and product distribution processes for others.</p>
	2421077	01/16/01	10/20/88	<p>Hydraulic excavators; mini hydraulic excavators; wheeled excavators; front shovels; backhoe loaders; skid steer loaders; compact wheel loaders; wheel loaders; integrated toolcarriers; telescopic handlers; track loaders; wheel tractor-scrapers; track-type tractors; wheel dozers; motor graders; soil compactors; cold planers; road reclaimers; asphalt pavers; vibratory compactors; marine engines; industrial engines; diesel generator sets; gas generator sets; demolition machines and scrap material handlers for use therewith namely blades, buckets, crushers, grapplers, hammers, hydraulic brooms, mobile shears, pallet forks; pulverizers, and rakes; log loaders; combines; pipelayers; mining shovels; waste handling machines; and parts for all the above.</p> <p>Off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above.</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
SAFETY.CAT.COM	3539374	12/2/08	04/18/07	Educational services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course materials in connection therewith. Providing information in the field of safety and safety awareness in the construction industry.
	3525811	10/28/08	01/02/07	Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
	3525812	10/28/08	01/02/07	Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders
	3541939	12/2/2008	08/20/07	Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
	4045652	10/25/11	12/31/08	Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps,

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
			12/31/08	<p>metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings.</p> <p>Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely, loaders; feller bunchers; earth moving machines,</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
				<p>namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
				<p>for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines;</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
			12/31/08	<p>threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches.</p> <p>Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
				<p>apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
				communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors.
			12/31/08	Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators.
			12/31/08	Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles;

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
			12/31/08	<p>horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor.</p> <p>Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic</p>

MARK	REG./ APPLN. NO.	REG./ APPLN. DATE	FIRST USE	PRODUCTS/SERVICES
			12/31/08	hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings. Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts.
	75550438	9/9/1998	10/30/99	CD-ROM games.
	85621723	5/14/2012		Mobile phones; mobile phone accessories, namely, cases, covers, armband cell phone holders, screen protectors, speakers, headphones, headsets, wireless routers, cell phone mounts for automobiles and bicycles, batteries, power supplies, cell phone battery chargers.

20. Each of the above-noted registrations is based upon a trademark application filed well before either of the applications to register Applicant's CATLOOK marks. The existence of these valid and subsisting federal trademark registrations is *prima facie* evidence of the ownership and validity of the marks. These registrations also evidence the wide scope of protection to which the CAT name and mark are entitled. Moreover, many of the registrations listed above are incontestable pursuant to 15 U.S.C. § 1065(b), and constitute conclusive evidence of Opposer's exclusive right to use the marks for the products and services specified in the registrations pursuant to 15 U.S.C. §§ 1065 and 1115(b).

21. Opposer, itself or through its predecessors-in-interest, related companies, or licensees, has continuously and extensively used the CAT name and mark in commerce, and the filing dates for the applications that matured into Opposer's pleaded registrations are prior to the October 8, 2014, filing date of Applicant's CATLOOK Applications, and/or any dates of first use that may be established by Applicant for its CATLOOK marks, and thus has priority over Applicant.

22. Opposer, itself or through its predecessors-in-interest, related companies, or licensees, has continuously and extensively used the CAT name and mark in commerce in connection with the sale and advertising of the goods and services covered by the registrations listed above, as well as with a wide variety of other goods and services, including but not limited to, those products and services listed in Paragraphs 2 through 19 above, (cumulatively, "Opposer's Products and Services"), still well before the filing date of the application for Applicant's CATLOOK marks, and/or any dates of first use that may be established by Applicant for its CATLOOK marks.

23. Opposer has enjoyed substantial sales and has spent vast sums in advertising and promoting Opposer's Products and Services in connection with its CAT name and mark throughout the United States.

24. Opposer, itself or through its related companies or licensees, continues to naturally expand use of the CAT name and mark to similar and/or related products and services.

Applicant's CATLOOK Applications

25. Applicant is a foreign company with a post office address in the British Virgin Islands of P.O. Box 957 Offshore Incorporations Centre Road Town, British Virgin Islands.

26. Applicant is the listed owner of Application Serial Nos. 86/418,415 for the mark CATLOOK and 86/418,427 for the mark CATLOOK ENHANCING RETAIL and Design for

Class 9: computer hardware; computer software for stock inventory automation, alteration and processes; computer software for identification and detection of product location; electronic tags for goods and electronic labels for goods, all for use in connection with inventory control, product identification, product location, product monitoring, product tracing, product tracking and product management; computer software for use in real-time communication and data processing; computer software for use in network management; computer software for use in controlling processes; antennas, antenna boosters and tracking antennas for inventory; database management software for recording, reporting and analysing stock and retail shelf management; microchips; antennas; process controlling software; electronic security and surveillance devices, namely, electronic security tags and labels, acoustic tags, magnetic tags; electronic tags for goods; radio frequency identification (rfid) tags; radio frequency identification (rfid) tag readers; radio frequency identification (rfid) credentials, namely, cards and tags, and readers for radio frequency identification credentials

Class 35: business management, business management consulting, and business administration assistance in relation to retail transactions and displays of goods at retail outlets, retail stores and department stores; inventory management; inventory control; monitoring of inventory; inventorying merchandise, namely, tracking and tracing of inventory and inventory automation and management services; marketing services

The CATLOOK applications were filed on October 8, 2014, based on intent to use the marks.

Likelihood of Confusion, 15 U.S.C. § 1052(d)

27. Opposer repeats and realleges each and every allegation set forth in Paragraphs 1 through 26.

28. Since well prior to the October 8, 2014, filing date of Applicant's CATLOOK applications , and/or any date of first use that may be established by Applicant, Opposer, itself or through its predecessors-in-interest, related companies, or licensees, has used the CAT mark and name in commerce in the United States in connection with the advertising, promotion, and sale of Opposer's Products and Services.

29. Opposer's pleaded registrations issued and/or were filed before the priority date of Applicant's Applications for the CATLOOK marks, and any date of first use that may be established by Applicant.

30. The goods and services identified in Applicant's CATLOOK applications are closely related to Opposer's registered goods and services and/or Opposer's Products and Services that are advertised and sold in connection with Opposer's CAT name and mark, or are within the natural zone of expansion of the CAT name and mark.

31. Applicant's CATLOOK marks so resemble Opposer's previously used CAT name and mark, and its registered and prior used CAT mark, as to be likely, when applied to the identified products, to cause confusion, or to cause mistake, or to deceive under Section 2(d) of the Lanham Act, as amended, 15 U.S.C. § 1052(d).

Dilution, 15 U.S.C. § 1125(c)(1)

32. Opposer repeats and realleges each and every allegation set forth in Paragraphs 1 through 31.

33. Opposer's CAT name and mark became famous well prior to the October 8, 2014, filing date of Applicant's Applications for the CATLOOK marks, and/or any date of first use that may be established by Applicant.

34. Applicant's CATLOOK marks so closely resembles Opposer's previously used and registered CAT name and mark, as to dilute or be likely to cause dilution of the distinctive quality of Opposer's famous mark and name in violation of Section 43(c), 15 U.S.C. § 1125(c).

WHEREFORE, for the foregoing reasons, Opposer is being and will be damaged by the registration of Applicant's Applications for the CATLOOK marks. Opposer respectfully requests that registration of the CATLOOK marks as shown in Application Serial Nos. 86/418,415 and 86/418,427 be refused and that this Notice of Opposition be sustained in favor of Opposer.

Respectfully submitted,

CATERPILLAR INC.

Dated: September 26, 2016

By: /Christopher P. Foley/

Christopher P. Foley
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Facsimile: 202-408-4400

Attorneys for Opposer
Caterpillar Inc.

CERTIFICATE OF SERVICE

I certify that a true and accurate copy of the foregoing CONSOLIDATED NOTICE OF OPPOSITION was served by email and first class mail, postage prepaid, on September 26, 2016, upon counsel for Applicant:

Kevin Costanza
Seed IP Law Group PLLC
701 5th Avenue, Suite 5400
Seattle, Washington 98104

Email: KevinCo@SeedIP.com

/Jenny Macioge Reilly/
Jenny Macioge Reilly
Case Manager

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

<p>CATERPILLAR INC., Opposer, v. LI & FUNG (BVI) LIMITED, Applicant.</p>	<p>Opposition No. _____ Application Serial No. 86/418,415 Mark: CATLOOK Classes 9 and 35 and Application Serial No. 86/418,427 Mark: CATLOOK ENHANCING RETAIL and Design Classes 9 and 35 Filing date: October 8, 2014</p>
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EXHIBIT A

Generated on: This page was generated by TSDR on 2016-09-26 12:06:08 EDT

Mark: CAT

US Serial Number: 71575190

Application Filing Date: Mar. 10, 1949

US Registration Number: 564272

Registration Date: Sep. 23, 1952

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Sep. 23, 2012

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 0079056, 0085816, 0120744, 0131491, 0134971, 0137035, 0277417, 0345499, 0504829, 0506258 and others

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS

International Class(es): 007

U.S Class(es): 019 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: Feb. 17, 1949

Use in Commerce: Feb. 17, 1949

For: ELECTRIC GENERATORS AND DIESEL ELECTRIC [ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS

International Class(es): 012

U.S Class(es): 021 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 09, 1948

Use in Commerce: Dec. 09, 1948

For: DIESEL AND OTHER INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND

AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS AND SERVICE TOOLS FURNISHED WITH SAID PRODUCTS

International Class(es): 007

U.S Class(es): 023 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 06, 1948

Use in Commerce: Dec. 06, 1948

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: CATERPILLAR INC.

Owner Address: 100 N.E. ADAMS STREET
PEORIA, ILLINOIS 616299620
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky

Docket Number: 8407.5129-14

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Jonathan M. Gelchinsky
Finnegan, Henderson, Farabow, Garrett & Dunner, L.
901 New York Avenue NW
WASHINGTON, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 24, 2012	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Sep. 23, 2012	REGISTERED AND RENEWED (FOURTH RENEWAL - 10 YRS)	76293
Sep. 23, 2012	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	76293
Sep. 23, 2012	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76293
Sep. 10, 2012	TEAS SECTION 8 & 9 RECEIVED	
Oct. 16, 2008	CASE FILE IN TICRS	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Oct. 18, 2006	REVIEW OF CORRESPONDENCE COMPLETE	59315

Apr. 20, 2006 PAPER RECEIVED
May 04, 2002 REGISTERED AND RENEWED (THIRD RENEWAL - 10 YRS)
May 04, 2002 REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED
Oct. 05, 2001 REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED
Feb. 28, 1995 REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)
Jul. 07, 1992 REGISTERED - SEC. 9 FILED/CHECK RECORD FOR SEC. 8
Sep. 23, 1972 REGISTERED AND RENEWED (FIRST RENEWAL - 20 YRS)

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Sep. 23, 2012

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Sep. 23, 2012

Assignment Abstract Of Title Information

Summary

Total Assignments: 1

Registrant: CATERPILLAR TRACTOR CO.

Assignment 1 of 1

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Reel/Frame: [0531/0979](#)

Pages: 2

Date Recorded: Jul. 10, 1986

Supporting Documents: No Supporting Documents Available

Assignor

Name: [CATERPILLAR TRACTOR CO.](#)

Execution Date: May 22, 1986

Legal Entity Type: CORPORATION

State or Country Where Organized: CALIFORNIA

Assignee

Name: [CATERPILLAR INC.](#)

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent

Correspondent Name: CATERPILLAR, INC.

Correspondent Address: C/O EUGENE C. GOODALE
PEORIA, IL 61629

Domestic Representative - Not Found

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Mark: CAT

US Serial Number: 72164427

Application Filing Date: Mar. 12, 1963

US Registration Number: 778638

Registration Date: Oct. 13, 1964

Register: Principal

Mark Type: Service Mark

Status: The registration has been renewed.

Status Date: Sep. 20, 2014

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 00564272

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment

International Class(es): 037

U.S Class(es): 103 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: 1951

Use in Commerce: 1951

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: CATERPILLAR INC.

Owner Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS 616299620
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christopher P. Foley

Docket Number: 8407.5129-12

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Christopher P. Foley
Finnegan Henderson Farabow Garrett & Dunner LLP
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 20, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Sep. 20, 2014	REGISTERED AND RENEWED (THIRD RENEWAL - 10 YRS)	70131
Sep. 20, 2014	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	70131
Sep. 20, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	70131
Sep. 10, 2014	TEAS SECTION 8 & 9 RECEIVED	
Oct. 31, 2008	CASE FILE IN TICRS	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Jan. 30, 2004	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	
Jan. 30, 2004	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Nov. 02, 2003	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Nov. 12, 2003	TEAS SECTION 8 & 9 RECEIVED	
Oct. 13, 1984	REGISTERED AND RENEWED (FIRST RENEWAL - 20 YRS)	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Oct. 13, 2014

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Sep. 20, 2014

Assignment Abstract Of Title Information

Summary

Total Assignments: 2

Registrant: CATERPILLAR TRACTOR CO.

Assignment 1 of 2**Conveyance:** ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL**Reel/Frame:** [0531/0979](#)**Pages:** 2**Date Recorded:** Jul. 10, 1986**Supporting Documents:** No Supporting Documents Available**Assignor****Name:** [CATERPILLAR TRACTOR CO.](#)**Execution Date:** May 22, 1986**Legal Entity Type:** CORPORATION**State or Country Where Organized:** CALIFORNIA**Assignee****Name:** [CATERPILLAR INC.](#)**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Address:** 100 N.E. ADAMS ST.
PEORIA, ILLINOIS**Correspondent****Correspondent Name:** CATERPILLAR, INC.**Correspondent Address:** C/O EUGENE C. GOODALE
PEORIA, IL 61629**Domestic Representative - Not Found****Assignment 2 of 2****Conveyance:** CHANGE OF NAME**Reel/Frame:** [2794/0516](#)**Pages:** 3**Date Recorded:** Jul. 17, 2003**Supporting Documents:** [assignment-tm-2794-0516.pdf](#)**Assignor****Name:** [CATERPILLAR TRACTOR CO.](#)**Execution Date:** Jul. 02, 2003**Legal Entity Type:** CORPORATION**State or Country Where Organized:** No Place Where Organized Found**Assignee****Name:** [CATERPILLAR INC.](#)**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Address:** 100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620**Correspondent****Correspondent Name:** GENE BOLMARCICH**Correspondent Address:** 100 N.E. ADAMS ST.
PEORIA, IL 61629-9620**Domestic Representative - Not Found**

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Mark: CAT

US Serial Number: 72174081

Application Filing Date: Jul. 31, 1963

US Registration Number: 770639

Registration Date: Jun. 02, 1964

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: May 05, 2014

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0742294

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor

International Class(es): 012

U.S Class(es): 019 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: Feb. 17, 1949

Use in Commerce: Feb. 17, 1949

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently 44D: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Amended 44D: No
Amended 44E: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS UNITED STATES 61629
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Whitney Devin Cooke
Attorney Primary Email Address: docketing@finnegan.com
Docket Number: 8407.5129-11
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Whitney Devin Cooke
Finnegan, Henderson, Farabow, Garrett & Dunner LLP
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA UNITED STATES 20001-4413
Phone: 202.408.4000
Fax: 202.408.4400
Correspondent e-mail: docketing@finnegan.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 05, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
May 05, 2014	REGISTERED AND RENEWED (THIRD RENEWAL - 10 YRS)	68502
May 05, 2014	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	68502
Apr. 23, 2014	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	68502
May 05, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68502
Apr. 23, 2014	TEAS SECTION 8 & 9 RECEIVED	
Nov. 14, 2008	CASE FILE IN TICRS	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Oct. 19, 2006	REVIEW OF CORRESPONDENCE COMPLETE	59315
Apr. 20, 2006	PAPER RECEIVED	
Nov. 28, 2003	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	
Nov. 28, 2003	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 24, 2003	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Sep. 24, 2003	TEAS SECTION 8 & 9 RECEIVED	
Jul. 17, 2003	PAPER RECEIVED	
Jun. 02, 1984	REGISTERED AND RENEWED (FIRST RENEWAL - 20 YRS)	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted
Affidavit of Incontestability: Section 15 - Accepted
Renewal Date: Jun. 02, 2014

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: May 05, 2014

Assignment Abstract Of Title Information

Summary

Total Assignments: 2

Registrant: Caterpillar Tractor Co.

Assignment 1 of 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Reel/Frame: [0531/0979](#)

Pages: 2

Date Recorded: Jul. 10, 1986

Supporting Documents: No Supporting Documents Available

Assignor

Name: [CATERPILLAR TRACTOR CO.](#)

Execution Date: May 22, 1986

Legal Entity Type: CORPORATION

State or Country: CALIFORNIA
Where Organized:

Assignee

Name: [CATERPILLAR INC.](#)

Legal Entity Type: CORPORATION

State or Country: DELAWARE
Where Organized:

Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent

Correspondent Name: CATERPILLAR, INC.

Correspondent Address: C/O EUGENE C. GOODALE
PEORIA, IL 61629

Domestic Representative - Not Found

Assignment 2 of 2

Conveyance: CHANGE OF NAME

Reel/Frame: [2794/0516](#)

Pages: 3

Date Recorded: Jul. 17, 2003

Supporting Documents: [assignment-tm-2794-0516.pdf](#)

Assignor

Name: [CATERPILLAR TRACTOR CO.](#)

Execution Date: Jul. 02, 2003

Legal Entity Type: CORPORATION

State or Country: No Place Where Organized Found
Where Organized:

Assignee

Name: [CATERPILLAR INC.](#)

Legal Entity Type: CORPORATION

State or Country: DELAWARE
Where Organized:

Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620

Correspondent

Correspondent Name: GENE BOLMARCICH

Correspondent Address: 100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

Domestic Representative - Not Found

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Mark: CAT

CAT

US Serial Number: 72452924

Application Filing Date: Mar. 29, 1973

US Registration Number: 984444

Registration Date: May 21, 1974

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Apr. 08, 2014

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0770639

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR

International Class(es): 007

U.S Class(es): 023 - Primary Class

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 30, 1973

Use in Commerce: Jan. 30, 1973

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: CATERPILLAR INC.

Owner Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS UNITED STATES 61629

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Whitney Devin Cooke

Docket Number: 8407.5129-08

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Whitney Devin Cooke
Finnegan, Henderson, Farabow, Garrett & Dunner, LL
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA UNITED STATES 20001-4413

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
May 20, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Apr. 08, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Apr. 08, 2014	REGISTERED AND RENEWED (THIRD RENEWAL - 10 YRS)	76985
Apr. 08, 2014	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	76985
Mar. 12, 2014	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	76985
Apr. 08, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76985
Mar. 12, 2014	TEAS SECTION 8 & 9 RECEIVED	
Aug. 06, 2008	CASE FILE IN TICRS	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Oct. 18, 2006	REVIEW OF CORRESPONDENCE COMPLETE	59315
Apr. 20, 2006	PAPER RECEIVED	
Sep. 30, 2003	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	
Sep. 30, 2003	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Jul. 02, 2003	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Jul. 02, 2003	TEAS SECTION 8 & 9 RECEIVED	
Jul. 20, 1994	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Apr. 26, 1994	REGISTERED - SEC. 9 FILED/CHECK RECORD FOR SEC. 8	
Apr. 21, 1980	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: May 21, 2014

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: POST REGISTRATION

Date in Location: May 20, 2014

Assignment Abstract Of Title Information

Summary

Total Assignments: 1

Registrant: CATERPILLAR TRACTOR CO.

Assignment 1 of 1

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Reel/Frame: [0531/0979](#)

Pages: 2

Date Recorded: Jul. 10, 1986

Supporting Documents: No Supporting Documents Available

Assignor

Name: [CATERPILLAR TRACTOR CO.](#)

Execution Date: May 22, 1986

Legal Entity Type: CORPORATION

State or Country Where Organized: CALIFORNIA

Assignee

Name: [CATERPILLAR INC.](#)

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Address: 100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent

Correspondent Name: CATERPILLAR, INC.

Correspondent Address: C/O EUGENE C. GOODALE
PEORIA, IL 61629

Domestic Representative - Not Found

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Mark: CAT



US Serial Number: 73762371

Application Filing Date: Nov. 07, 1988

US Registration Number: 1579437

Registration Date: Jan. 23, 1990

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Oct. 06, 2009

Publication Date: Oct. 31, 1989

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

27.03.01 - Geometric figures forming letters, numerals or punctuation

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0778638 and others

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED

International Class(es): 037 - Primary Class

U.S Class(es): 103

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 20, 1988

Use in Commerce: Oct. 20, 1988

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

Current Owner(s) Information

Owner Name: CATERPILLAR INC.
Owner Address: 100 N. E. ADAMS STREET
 PEORIA, ILLINOIS UNITED STATES 61629
Legal Entity Type: CORPORATION **State or Country** DELAWARE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christie Baty Heinze **Docket Number:** 8407.5131-12
Attorney Primary docketing@finnegan.com **Attorney Email** Yes
Email Address: **Authorized:**

Correspondent

Correspondent Name/Address: CHRISTIE BATY HEINZE
 FINNEGAN, HENDERSON, FARABOW, ETAL
 901 NEW YORK AVENUE NW
 WASHINGTON, DISTRICT OF COLUMBIA UNITED STATES 20001-4413
Phone: 202-408-4000 **Fax:** 202.408.4400
Correspondent e-mail: docketing@finnegan.com **Correspondent e-mail** Yes
Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 06, 2009	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	59807
Oct. 06, 2009	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 10, 2009	ASSIGNED TO PARALEGAL	59807
Sep. 01, 2009	TEAS SECTION 8 & 9 RECEIVED	
Dec. 11, 2008	CASE FILE IN TICRS	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Jun. 19, 2000	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Jun. 19, 2000	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Nov. 24, 1999	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Jul. 03, 1996	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Jan. 18, 1996	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jan. 23, 1990	REGISTERED-PRINCIPAL REGISTER	
Oct. 31, 1989	PUBLISHED FOR OPPOSITION	
Sep. 30, 1989	NOTICE OF PUBLICATION	
Aug. 30, 1989	APPROVED FOR PUB - PRINCIPAL REGISTER	
Aug. 18, 1989	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Feb. 21, 1989	NON-FINAL ACTION MAILED	
Jan. 27, 1989	ASSIGNED TO EXAMINER	63031
Jan. 10, 1989	ASSIGNED TO EXAMINER	62841

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jan. 23, 2010

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Oct. 06, 2009

Generated on: This page was generated by TSDR on 2016-09-26 12:14:50 EDT

Mark: CAT



US Serial Number: 75236985

Application Filing Date: Feb. 05, 1997

US Registration Number: 2140606

Registration Date: Mar. 03, 1998

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Mar. 31, 2008

Publication Date: Dec. 09, 1997

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines

International Class(es): 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class Status: ACTIVE

Basis: 1(a)

First Use: Jul. 13, 1988

Use in Commerce: Oct. 20, 1988

For: vehicles for earth and material hauling and handling, namely, tractors and engines therefor

International Class(es): 012 - Primary Class

U.S Class(es): 019, 021, 023, 031, 035, 044

Class Status: ACTIVE

Basis: 1(a)

First Use: Jul. 13, 1988

Use in Commerce: Oct. 20, 1988

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No
Filed No Basis: No

Currently 66A: No
Currently No Basis: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS 616296490
UNITED STATES
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Kevin C. Earle
Attorney Primary Email Address: earle_kevin_c@cat.com
Docket Number: 540022E
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Kevin C. Earle
Caterpillar Inc.
100 N.E. Adams Street
Peoria, ILLINOIS 61629
UNITED STATES
Phone: 309/636-1977
Fax: 309/675-1711
Correspondent e-mail: earle_kevin_c@cat.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 31, 2008	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	73376
Mar. 31, 2008	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Mar. 03, 2008	ASSIGNED TO PARALEGAL	73376
Feb. 29, 2008	TEAS SECTION 8 & 9 RECEIVED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Mar. 28, 2007	CASE FILE IN TICRS	
Oct. 18, 2006	REVIEW OF CORRESPONDENCE COMPLETE	59315
Apr. 20, 2006	PAPER RECEIVED	
Sep. 10, 2003	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Aug. 05, 2003	POST REGISTRATION ACTION MAILED - SEC. 8 & 15	
Mar. 28, 2003	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Mar. 28, 2003	TEAS SECTION 8 & 15 RECEIVED	
Mar. 03, 1998	REGISTERED-PRINCIPAL REGISTER	
Dec. 09, 1997	PUBLISHED FOR OPPOSITION	
Nov. 07, 1997	NOTICE OF PUBLICATION	
Sep. 25, 1997	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 12, 1997	EXAMINER'S AMENDMENT MAILED	
Sep. 04, 1997	ASSIGNED TO EXAMINER	74289

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted
Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Mar. 03, 2008

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: POST REGISTRATION

Date in Location: Mar. 31, 2008

Generated on: This page was generated by TSDR on 2016-09-26 12:24:40 EDT

Mark: CAT



US Serial Number: 75550438

Application Filing Date: Sep. 09, 1998

US Registration Number: 2358605

Registration Date: Jun. 13, 2000

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Oct. 16, 2009

Publication Date: Jun. 08, 1999

Notice of Allowance Date: Aug. 31, 1999

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: CD-ROM games

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 30, 1999

Use in Commerce: Oct. 30, 1999

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: CATERPILLAR INC.

Owner Address: 100 N. E. Adams St.
Peoria, ILLINOIS UNITED STATES 616296480

Legal Entity Type: CORPORATION

State or Country DELAWARE
Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christie Baty Heinze

Docket Number: 8407.5131-06

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Christie Baty Heinze
Finnegan, Henderson, Farabow, Garrett & Dunner, LL
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA UNITED STATES 20001

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 16, 2009	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	60234
Oct. 16, 2009	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Oct. 07, 2009	TEAS SECTION 8 & 9 RECEIVED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Aug. 30, 2006	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	60234
Aug. 24, 2006	ASSIGNED TO PARALEGAL	60234
Jun. 05, 2006	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jun. 05, 2006	TEAS SECTION 8 & 15 RECEIVED	
Mar. 27, 2006	CASE FILE IN TICRS	
Jun. 13, 2000	REGISTERED-PRINCIPAL REGISTER	
Mar. 30, 2000	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Feb. 24, 2000	STATEMENT OF USE PROCESSING COMPLETE	
Dec. 16, 1999	USE AMENDMENT FILED	
Dec. 13, 1999	EXTENSION 1 GRANTED	
Nov. 05, 1999	EXTENSION 1 FILED	
Aug. 31, 1999	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Jun. 08, 1999	PUBLISHED FOR OPPOSITION	
May 07, 1999	NOTICE OF PUBLICATION	
Feb. 25, 1999	APPROVED FOR PUB - PRINCIPAL REGISTER	
Feb. 16, 1999	ASSIGNED TO EXAMINER	74309

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jun. 13, 2010

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Oct. 16, 2009

Generated on: This page was generated by TSDR on 2016-09-26 12:16:31 EDT

Mark: CAT



US Serial Number: 75788362

Application Filing Date: Aug. 30, 1999

US Registration Number: 2364591

Registration Date: Jul. 04, 2000

Register: Principal

Mark Type: Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Sep. 11, 2009

Publication Date: Apr. 11, 2000

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0770639, 0778638 and others

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LOGISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LOGISTICS SERVICES AND CONSULTING IN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WAREHOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

For: warehousing services

International Class(es): 039 - Primary Class

U.S Class(es): 100, 105

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

For: DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.

Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS UNITED STATES 616299620

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christie Baty Heinze

Docket Number: 8407.5131-05

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: CHRISTIE BATY HEINZE
FINNEGAN HENDERSON FARABOW GARRETT ETAL
901 NEW YORK AVENUE NW
WASHINGTON, DISTRICT OF COLUMBIA UNITED STATES 20001-4413

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 11, 2009	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	59807
Sep. 11, 2009	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 10, 2009	ASSIGNED TO PARALEGAL	59807
Sep. 01, 2009	TEAS SECTION 8 & 9 RECEIVED	
Jan. 28, 2009	NOTICE OF DESIGN SEARCH CODE MAILED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Sep. 02, 2006	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	70132
Jun. 26, 2006	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jun. 26, 2006	TEAS SECTION 8 & 15 RECEIVED	

Mar. 15, 2006	CASE FILE IN TICRS	
Jul. 04, 2000	REGISTERED-PRINCIPAL REGISTER	
Apr. 11, 2000	PUBLISHED FOR OPPOSITION	
Mar. 10, 2000	NOTICE OF PUBLICATION	
Jan. 14, 2000	APPROVED FOR PUB - PRINCIPAL REGISTER	
Dec. 22, 1999	EXAMINER'S AMENDMENT MAILED	
Dec. 20, 1999	ASSIGNED TO EXAMINER	73708
Dec. 14, 1999	ASSIGNED TO EXAMINER	76612

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jul. 04, 2010

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Sep. 11, 2009

Generated on: This page was generated by TSDR on 2016-09-26 12:15:24 EDT

Mark: CAT

CAT

US Serial Number: 75788387

Application Filing Date: Aug. 30, 1999

US Registration Number: 2364592

Registration Date: Jul. 04, 2000

Register: Principal

Mark Type: Service Mark

Status: The registration has been renewed.

Status Date: Sep. 22, 2009

Publication Date: Apr. 11, 2000

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0770639, 0778638 and others

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others

International Class(es): 035 - Primary Class

U.S Class(es): 100, 101, 102

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

For: warehousing services

International Class(es): 039 - Primary Class

U.S Class(es): 100, 105

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

For: Design of computerized information systems for managing logistics and product distribution processes for others

International Class(es): 042 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 1996

Use in Commerce: Dec. 1996

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.

Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS 61629
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christie Baty Heinze

Docket Number: 8407.5129

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Christie Baty Heinze
Finnegan, Henderson, Farabow, Garrett & Dunner, LL
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Sep. 22, 2009	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	65765
Sep. 22, 2009	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 10, 2009	ASSIGNED TO PARALEGAL	65765
Sep. 02, 2009	TEAS SECTION 8 & 9 RECEIVED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Sep. 08, 2006	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	60132
Sep. 07, 2006	ASSIGNED TO PARALEGAL	60132
Jun. 28, 2006	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jun. 28, 2006	TEAS SECTION 8 & 15 RECEIVED	
Mar. 15, 2006	CASE FILE IN TICRS	
Jul. 04, 2000	REGISTERED-PRINCIPAL REGISTER	
Apr. 11, 2000	PUBLISHED FOR OPPOSITION	
Mar. 10, 2000	NOTICE OF PUBLICATION	
Jan. 14, 2000	APPROVED FOR PUB - PRINCIPAL REGISTER	

Dec. 22, 1999 EXAMINER'S AMENDMENT MAILED
Dec. 20, 1999 ASSIGNED TO EXAMINER
Dec. 14, 1999 ASSIGNED TO EXAMINER

73708
76612

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted
Affidavit of Incontestability: Section 15 - Accepted
Renewal Date: Jul. 04, 2010

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Sep. 22, 2009

Generated on: This page was generated by TSDR on 2016-09-26 12:17:37 EDT

Mark: CAT



US Serial Number: 75830492

Application Filing Date: Nov. 12, 1999

US Registration Number: 2421077

Registration Date: Jan. 16, 2001

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Dec. 08, 2010

Publication Date: Oct. 24, 2000

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

Related Properties Information

Claimed Ownership of US Registrations: 2140605, 2140606

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (()) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE

International Class(es): 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class Status: ACTIVE

Basis: 1(a)

First Use: Jul. 13, 1988

Use in Commerce: Oct. 20, 1988

For: off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above

International Class(es): 012 - Primary Class

U.S Class(es): 019, 021, 023, 031, 035, 044

Class Status: ACTIVE

Basis: 1(a)

First Use: Jul. 13, 1988

Use in Commerce: Oct. 20, 1988

Basis Information (Case Level)

Filed Use: Yes	Currently Use: Yes	Amended Use: No
Filed ITU: No	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 N.E. Adams St.
Peoria, ILLINOIS 61629
UNITED STATES
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky
Attorney Primary Email Address: docketing@finnegan.com
Docket Number: 8407.5131-03
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Jonathan M. Gelchinsky
Finnegan, Henderson, Farabow, Garrett & Dunner, LL
901 New York Avenue NW
WASHINGTON, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES
Phone: 202.408.4000
Fax: 202.408.4400
Correspondent e-mail: docketing@finnegan.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 08, 2010	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	67603
Dec. 08, 2010	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Nov. 22, 2010	TEAS SECTION 8 & 9 RECEIVED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Mar. 08, 2007	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	67603
Mar. 06, 2007	ASSIGNED TO PARALEGAL	67603
Jan. 16, 2007	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jan. 16, 2007	TEAS SECTION 8 & 15 RECEIVED	
Jul. 17, 2006	CASE FILE IN TICRS	
Apr. 20, 2006	PAPER RECEIVED	
Jan. 16, 2001	REGISTERED-PRINCIPAL REGISTER	
Oct. 24, 2000	PUBLISHED FOR OPPOSITION	
Sep. 22, 2000	NOTICE OF PUBLICATION	
Aug. 01, 2000	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 31, 2000	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Mar. 08, 2000	NON-FINAL ACTION MAILED	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

Renewal Date: Jan. 16, 2011

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE

Date in Location: Dec. 08, 2010

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Mark: SAFETY.CAT.COM

SAFETY.CAT.COM

US Serial Number: 77183562

Application Filing Date: May 17, 2007

US Registration Number: 3539374

Registration Date: Dec. 02, 2008

Register: Principal

Mark Type: Service Mark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 28, 2013

Publication Date: Sep. 16, 2008

Mark Information

Mark Literal Elements: SAFETY.CAT.COM

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 0770639, 0778638 and others

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course material in connection therewith

International Class(es): 041 - Primary Class

U.S Class(es): 100, 101, 107

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 18, 2007

Use in Commerce: Apr. 18, 2007

For: Providing information in the field of safety and safety awareness in the construction industry

International Class(es): 045 - Primary Class

U.S Class(es): 100, 101

Class Status: ACTIVE

Basis: 1(a)

First Use: Apr. 18, 2007

Use in Commerce: Apr. 18, 2007

Basis Information (Case Level)

Filed Use: Yes

Currently Use: Yes

Amended Use: No

Filed ITU: No

Currently ITU: No

Amended ITU: No

Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently 44D: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Amended 44D: No
Amended 44E: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 NE Adams St.
Peoria, ILLINOIS 616299240
UNITED STATES
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky
Attorney Primary Email Address: docketing@finnegan.com
Docket Number: 8407.0338
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Jonathan M. Gelchinsky
Finnegan, Henderson, Farabow, Garrett & Dunner, L.
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES
Phone: 202.408.4000
Fax: 202.408.4400
Correspondent e-mail: docketing@finnegan.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 28, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 28, 2013	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	77315
Dec. 16, 2013	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	77315
Dec. 28, 2013	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	77315
Dec. 16, 2013	TEAS SECTION 8 & 15 RECEIVED	
Dec. 02, 2008	REGISTERED-PRINCIPAL REGISTER	
Sep. 16, 2008	PUBLISHED FOR OPPOSITION	
Aug. 27, 2008	NOTICE OF PUBLICATION	
Aug. 11, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	76539
Aug. 09, 2008	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jul. 22, 2008	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jul. 22, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jul. 22, 2008	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 27, 2008	NON-FINAL ACTION MAILED	
May 24, 2008	NON-FINAL ACTION WRITTEN	82427
Apr. 02, 2008	TEAS/EMAIL CORRESPONDENCE ENTERED	76539
Apr. 02, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	76539
Apr. 02, 2008	ASSIGNED TO LIE	76539
Mar. 27, 2008	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Mar. 27, 2008	PETITION TO REVIVE-GRANTED	88889
Mar. 27, 2008	TEAS PETITION TO REVIVE RECEIVED	
Mar. 27, 2008	ABANDONMENT NOTICE MAILED - FAILURE TO RESPOND	
Mar. 27, 2008	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	

Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Aug. 09, 2007	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Aug. 09, 2007	NON-FINAL ACTION E-MAILED	6325
Aug. 09, 2007	NON-FINAL ACTION WRITTEN	82427
Aug. 08, 2007	ASSIGNED TO EXAMINER	82427
May 23, 2007	NOTICE OF PSEUDO MARK MAILED	
May 22, 2007	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMEG LAW OFFICE 103

Date in Location: Dec. 28, 2013

Generated on: This page was generated by TSDR on 2016-09-26 12:23:52 EDT

Mark: CAT



US Serial Number: 77289081

Application Filing Date: Sep. 26, 2007

US Registration Number: 4045652

Registration Date: Oct. 25, 2011

Register: Principal

Mark Type: Trademark

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Oct. 25, 2011

Publication Date: Jan. 11, 2011

Notice of Allowance Date: Mar. 08, 2011

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 26.05.02 - Plain single line triangles; Triangles, plain single line
26.11.21 - Rectangles that are completely or partially shaded

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 2140606

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paratocides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins

International Class(es): 001 - Primary Class

U.S Class(es): 001, 005, 006, 010, 026, 046

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings

International Class(es): 002 - Primary Class

U.S Class(es): 006, 011, 016

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings

International Class(es): 004 - Primary Class

U.S Class(es): 001, 006, 015

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings

International Class(es): 006 - Primary Class

U.S Class(es): 002, 012, 013, 014, 023, 025, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches

International Class(es): 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Hand tools, namely, taps and dies; hand jacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices

International Class(es): 008 - Primary Class

U.S Class(es): 023, 028, 044

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators

International Class(es): 011 - Primary Class

U.S Class(es): 013, 021, 023, 031, 034

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor

International Class(es): 012 - Primary Class

U.S Class(es): 019, 021, 023, 031, 035, 044

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings

International Class(es): 017 - Primary Class

U.S Class(es): 001, 005, 012, 013, 035, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

For: Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts

International Class(es): 020 - Primary Class

U.S Class(es): 002, 013, 022, 025, 032, 050

Class Status: ACTIVE

Basis: 1(a)

First Use: Dec. 31, 2008

Use in Commerce: Dec. 31, 2008

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.

Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS 61629
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Christie Baty Heinze

Docket Number: 8407.0235

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: No

Correspondent

Correspondent Name/Address: CHRISTIE BATY HEINZE
FINNegan, Henderson, Farabow, Garrett & Dunner LLP
901 NEW YORK AVE NW
WASHINGTON, DISTRICT OF COLUMBIA 20001-4432
UNITED STATES

Phone: 404-653-6440

Fax: 202-408-4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 25, 2011	REGISTERED-PRINCIPAL REGISTER	
Sep. 20, 2011	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Sep. 19, 2011	LAW OFFICE REGISTRATION REVIEW COMPLETED	70629
Sep. 10, 2011	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 09, 2011	STATEMENT OF USE PROCESSING COMPLETE	66230
Sep. 07, 2011	USE AMENDMENT FILED	66230
Sep. 08, 2011	CASE ASSIGNED TO INTENT TO USE PARALEGAL	66230
Sep. 07, 2011	TEAS STATEMENT OF USE RECEIVED	
Mar. 08, 2011	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Jan. 11, 2011	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jan. 11, 2011	PUBLISHED FOR OPPOSITION	
Dec. 07, 2010	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
Dec. 03, 2010	APPROVED FOR PUB - PRINCIPAL REGISTER	
Nov. 24, 2010	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Nov. 24, 2010	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Nov. 24, 2010	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jun. 19, 2010	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jun. 19, 2010	NON-FINAL ACTION E-MAILED	6325
Jun. 19, 2010	NON-FINAL ACTION WRITTEN	80815
Jun. 11, 2010	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Mar. 29, 2010	WITHDRAWN FROM PUB - OG REVIEW QUERY	60756
Mar. 17, 2010	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
Mar. 12, 2010	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 08, 2010	TEAS/EMAIL CORRESPONDENCE ENTERED	70629
Mar. 08, 2010	CORRESPONDENCE RECEIVED IN LAW OFFICE	70629
Mar. 08, 2010	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Sep. 12, 2009	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Sep. 12, 2009	NON-FINAL ACTION E-MAILED	6325
Sep. 12, 2009	NON-FINAL ACTION WRITTEN	80815
Sep. 04, 2009	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Jul. 27, 2009	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Jun. 15, 2009	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Jun. 02, 2009	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
May 28, 2009	APPROVED FOR PUB - PRINCIPAL REGISTER	
May 28, 2009	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
May 28, 2009	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
May 28, 2009	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 04, 2009	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
May 04, 2009	NON-FINAL ACTION E-MAILED	6325
May 04, 2009	NON-FINAL ACTION WRITTEN	80815
Apr. 27, 2009	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Apr. 17, 2009	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Apr. 03, 2009	LAW OFFICE PUBLICATION REVIEW COMPLETED	70629
Apr. 01, 2009	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 01, 2009	EXAMINER'S AMENDMENT ENTERED	88888
Apr. 01, 2009	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Apr. 01, 2009	EXAMINERS AMENDMENT E-MAILED	6328
Apr. 01, 2009	EXAMINERS AMENDMENT -WRITTEN	80815
Mar. 09, 2009	NOTIFICATION OF ACTION DENYING REQ FOR RECON E-MAILED	
Mar. 09, 2009	ACTION DENYING REQ FOR RECON E-MAILED	
Mar. 09, 2009	ACTION CONTINUING FINAL - COMPLETED	80815

Feb. 05, 2009	TEAS/EMAIL CORRESPONDENCE ENTERED	70629
Feb. 05, 2009	CORRESPONDENCE RECEIVED IN LAW OFFICE	70629
Feb. 05, 2009	ASSIGNED TO LIE	70629
Feb. 04, 2009	TEAS REQUEST FOR RECONSIDERATION RECEIVED	
Feb. 04, 2009	EX PARTE APPEAL-INSTITUTED	289081
Feb. 04, 2009	JURISDICTION RESTORED TO EXAMINING ATTORNEY	289081
Feb. 04, 2009	EXPARTE APPEAL RECEIVED AT TTAB	
Aug. 05, 2008	NOTIFICATION OF FINAL REFUSAL EMAILED	
Aug. 05, 2008	FINAL REFUSAL E-MAILED	
Aug. 05, 2008	FINAL REFUSAL WRITTEN	80815
Jul. 08, 2008	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jul. 08, 2008	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jul. 08, 2008	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jan. 09, 2008	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Jan. 09, 2008	NON-FINAL ACTION E-MAILED	6325
Jan. 09, 2008	NON-FINAL ACTION WRITTEN	80815
Dec. 30, 2007	ASSIGNED TO EXAMINER	80815
Oct. 02, 2007	NOTICE OF DESIGN SEARCH CODE MAILED	
Oct. 01, 2007	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Sep. 19, 2011

Generated on: This page was generated by TSDR on 2016-09-26 12:21:27 EDT

Mark: CAT



US Serial Number: 78815915

Application Filing Date: Feb. 15, 2006

US Registration Number: 3525811

Registration Date: Oct. 28, 2008

Register: Principal

Mark Type: Trademark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 04, 2013

Publication Date: Aug. 22, 2006

Notice of Allowance Date: Mar. 06, 2007

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Color Drawing: Yes

Color(s) Claimed: The color(s) yellow, black, red and white is/are claimed as a feature of the mark.

Design Search Code(s): 26.05.12 - Triangles with bars, bands and lines

26.05.21 - Triangles that are completely or partially shaded

26.11.21 - Rectangles that are completely or partially shaded

26.11.25 - Rectangles with one or more curved sides

Related Properties Information

Claimed Ownership of US Registrations: 2140606, 2421077

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *...* identify additional (new) wording in the goods/services.

For: Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling

International Class(es): 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 02, 2007

Use in Commerce: Jan. 02, 2007

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes

Currently ITU: No

Amended ITU: No

Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently 44D: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Amended 44D: No
Amended 44E: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.

Owner Address: 100 N. E. Adams Street
Peoria, ILLINOIS 616299240
UNITED STATES

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky

Docket Number: 8407.0071

Attorney Primary Email Address: docketing@finnegan.com

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Jonathan M. Gelchinsky
Finnegan Henderson Farabow Garrett & Dunner LLP
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA 20001-4413
UNITED STATES

Phone: 202.408.4000

Fax: 202.408.4400

Correspondent e-mail: docketing@finnegan.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 04, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 04, 2013	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	76985
Nov. 21, 2013	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	76985
Dec. 04, 2013	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76985
Nov. 21, 2013	TEAS SECTION 8 & 15 RECEIVED	
Oct. 28, 2008	REGISTERED-PRINCIPAL REGISTER	
Sep. 23, 2008	LAW OFFICE REGISTRATION REVIEW COMPLETED	70633
Sep. 23, 2008	ASSIGNED TO LIE	70633
Sep. 15, 2008	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 11, 2008	STATEMENT OF USE PROCESSING COMPLETE	70565
Sep. 05, 2008	USE AMENDMENT FILED	70565
Sep. 11, 2008	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Sep. 05, 2008	TEAS STATEMENT OF USE RECEIVED	
Mar. 05, 2008	EXTENSION 2 GRANTED	98765
Mar. 05, 2008	EXTENSION 2 FILED	98765
Mar. 05, 2008	TEAS EXTENSION RECEIVED	
Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Jul. 05, 2007	EXTENSION 1 GRANTED	98765
Jul. 05, 2007	EXTENSION 1 FILED	98765
Jul. 05, 2007	TEAS EXTENSION RECEIVED	
May 17, 2007	ASSIGNED TO EXAMINER	78352
Mar. 06, 2007	NOA MAILED - SOU REQUIRED FROM APPLICANT	

Jan. 05, 2007	EXTENSION OF TIME TO OPPOSE PROCESS - TERMINATED	
Sep. 21, 2006	EXTENSION OF TIME TO OPPOSE RECEIVED	
Aug. 22, 2006	PUBLISHED FOR OPPOSITION	
Aug. 02, 2006	NOTICE OF PUBLICATION	
Jun. 27, 2006	LAW OFFICE PUBLICATION REVIEW COMPLETED	71466
Jun. 23, 2006	ASSIGNED TO LIE	71466
Jun. 21, 2006	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 21, 2006	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 21, 2006	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 21, 2006	EXAMINERS AMENDMENT -WRITTEN	81857
Jun. 06, 2006	ASSIGNED TO EXAMINER	81857
Feb. 23, 2006	NOTICE OF DESIGN SEARCH CODE MAILED	
Feb. 22, 2006	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMO LAW OFFICE 115

Date in Location: Dec. 04, 2013

Generated on: This page was generated by TSDR on 2016-09-26 12:23:03 EDT

Mark: CAT



US Serial Number: 78815917

Application Filing Date: Feb. 15, 2006

US Registration Number: 3541939

Registration Date: Dec. 02, 2008

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 28, 2013

Publication Date: Aug. 22, 2006

Notice of Allowance Date: Mar. 06, 2007

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Color Drawing: Yes

Color(s) Claimed: The color(s) yellow, black, red and white is/are claimed as a feature of the mark.

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded
26.09.12 - Squares with bars, bands and lines
26.09.21 - Squares that are completely or partially shaded
26.09.25 - Squares with curved sides

Related Properties Information

Claimed Ownership of US Registrations: 2140606, 2421077

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (()) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling

International 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class(es):**Class Status:** ACTIVE**Basis:** 1(a)**First Use:** Aug. 20, 2007**Use in Commerce:** Aug. 20, 2007**Basis Information (Case Level)****Filed Use:** No**Currently Use:** Yes**Amended Use:** No**Filed ITU:** Yes**Currently ITU:** No**Amended ITU:** No**Filed 44D:** No**Currently 44D:** No**Amended 44D:** No**Filed 44E:** No**Currently 44E:** No**Amended 44E:** No**Filed 66A:** No**Currently 66A:** No**Filed No Basis:** No**Currently No Basis:** No**Current Owner(s) Information****Owner Name:** Caterpillar Inc.**Owner Address:** 100 N. E. Adams Street
Peoria, ILLINOIS UNITED STATES 616299240**Legal Entity Type:** CORPORATION**State or Country Where Organized:** DELAWARE**Attorney/Correspondence Information****Attorney of Record****Attorney Name:** Jonathan M. Gelchinsky**Docket Number:** 8407.0072**Attorney Primary Email Address:** docketing@finnegan.com**Attorney Email Authorized:** Yes**Correspondent****Correspondent Name/Address:** Jonathan M. GelchinskyFinnegan, Henderson, Farabow, Garrett & Dunner, L.
901 New York Avenue NW
Washington, DISTRICT OF COLUMBIA UNITED STATES 20001-4413**Phone:** 202.408.4000**Fax:** 202.408.4400**Correspondent e-mail:** docketing@finnegan.com**Correspondent e-mail Authorized:** Yes**Domestic Representative - Not Found****Prosecution History**

Date	Description	Proceeding Number
Dec. 28, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 28, 2013	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	68335
Dec. 16, 2013	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	68335
Dec. 28, 2013	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68335
Dec. 16, 2013	TEAS SECTION 8 & 15 RECEIVED	
Dec. 02, 2008	REGISTERED-PRINCIPAL REGISTER	
Oct. 27, 2008	LAW OFFICE REGISTRATION REVIEW COMPLETED	78145
Oct. 27, 2008	ASSIGNED TO LIE	78145
Oct. 17, 2008	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 11, 2008	STATEMENT OF USE PROCESSING COMPLETE	70565
Sep. 05, 2008	USE AMENDMENT FILED	70565
Sep. 11, 2008	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Sep. 05, 2008	TEAS STATEMENT OF USE RECEIVED	
Mar. 05, 2008	EXTENSION 2 GRANTED	98765
Mar. 05, 2008	EXTENSION 2 FILED	98765
Mar. 05, 2008	TEAS EXTENSION RECEIVED	

Feb. 27, 2008	ATTORNEY REVOKED AND/OR APPOINTED	
Feb. 27, 2008	TEAS REVOKE/APPOINT ATTORNEY RECEIVED	
Jul. 05, 2007	EXTENSION 1 GRANTED	98765
Jul. 05, 2007	EXTENSION 1 FILED	98765
Jul. 05, 2007	TEAS EXTENSION RECEIVED	
May 17, 2007	ASSIGNED TO EXAMINER	75516
Mar. 06, 2007	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Jan. 05, 2007	EXTENSION OF TIME TO OPPOSE PROCESS - TERMINATED	
Sep. 21, 2006	EXTENSION OF TIME TO OPPOSE RECEIVED	
Aug. 22, 2006	PUBLISHED FOR OPPOSITION	
Aug. 02, 2006	NOTICE OF PUBLICATION	
Jun. 27, 2006	LAW OFFICE PUBLICATION REVIEW COMPLETED	71466
Jun. 23, 2006	ASSIGNED TO LIE	71466
Jun. 21, 2006	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 21, 2006	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 21, 2006	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 21, 2006	EXAMINERS AMENDMENT -WRITTEN	81857
Jun. 06, 2006	ASSIGNED TO EXAMINER	81857
Feb. 23, 2006	NOTICE OF DESIGN SEARCH CODE MAILED	
Feb. 22, 2006	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMO LAW OFFICE 115

Date in Location: Dec. 28, 2013

Generated on: This page was generated by TSDR on 2016-09-26 12:22:21 EDT

Mark: CAT



US Serial Number: 78815922

Application Filing Date: Feb. 15, 2006

US Registration Number: 3525812

Registration Date: Oct. 28, 2008

Register: Principal

Mark Type: Trademark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 05, 2013

Publication Date: Aug. 22, 2006

Notice of Allowance Date: Mar. 06, 2007

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Color Drawing: Yes

Color(s) Claimed: The color(s) yellow, black, red and white is/are claimed as a feature of the mark.

Design Search Code(s): 26.05.13 - Triangles, exactly two triangles; Two triangles
26.05.21 - Triangles that are completely or partially shaded
26.05.28 - Miscellaneous designs with overall triangular shape; Triangular shape (miscellaneous overall shape)

Related Properties Information

Claimed Ownership of US Registrations: 2140606, 2421077

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis ((...)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders

International Class(es): 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 02, 2007

Use in Commerce: Jan. 02, 2007

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes

Currently ITU: No

Amended ITU: No

Filed 44D: No
Filed 44E: No
Filed 66A: No
Filed No Basis: No

Currently 44D: No
Currently 44E: No
Currently 66A: No
Currently No Basis: No

Amended 44D: No
Amended 44E: No

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 N. E. Adams Street
Peoria, ILLINOIS 616299240
UNITED STATES
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky
Attorney Primary Email Address: docketing@finnegan.com
Docket Number: 08407.0068
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: Jonathan M. Gelchinsky
Finnegan, Henderson, Farabow, Garrett & Dunner, L.
901 NEW YORK AVE NW
WASHINGTON, DISTRICT OF COLUMBIA 20001-4432
UNITED STATES
Phone: 202-408-4000
Fax: 202-408-4400
Correspondent e-mail: docketing@finnegan.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 05, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 05, 2013	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	66607
Nov. 22, 2013	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	66607
Dec. 05, 2013	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	66607
Nov. 22, 2013	TEAS SECTION 8 & 15 RECEIVED	
Oct. 28, 2008	REGISTERED-PRINCIPAL REGISTER	
Sep. 22, 2008	LAW OFFICE REGISTRATION REVIEW COMPLETED	76537
Sep. 18, 2008	ASSIGNED TO LIE	76537
Sep. 11, 2008	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Sep. 11, 2008	STATEMENT OF USE PROCESSING COMPLETE	70565
Sep. 05, 2008	USE AMENDMENT FILED	70565
Sep. 11, 2008	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Sep. 05, 2008	TEAS STATEMENT OF USE RECEIVED	
Mar. 05, 2008	EXTENSION 2 GRANTED	98765
Mar. 05, 2008	EXTENSION 2 FILED	98765
Mar. 05, 2008	TEAS EXTENSION RECEIVED	
Sep. 06, 2007	EXTENSION 1 GRANTED	98765
Sep. 06, 2007	EXTENSION 1 FILED	98765
Sep. 06, 2007	TEAS EXTENSION RECEIVED	
May 17, 2007	ASSIGNED TO EXAMINER	82425
Mar. 06, 2007	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Jan. 05, 2007	EXTENSION OF TIME TO OPPOSE PROCESS - TERMINATED	
Sep. 21, 2006	EXTENSION OF TIME TO OPPOSE RECEIVED	
Aug. 22, 2006	PUBLISHED FOR OPPOSITION	

Aug. 02, 2006	NOTICE OF PUBLICATION	
Jun. 27, 2006	LAW OFFICE PUBLICATION REVIEW COMPLETED	71466
Jun. 23, 2006	ASSIGNED TO LIE	71466
Jun. 21, 2006	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 21, 2006	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 21, 2006	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 21, 2006	EXAMINERS AMENDMENT -WRITTEN	81857
Jun. 06, 2006	ASSIGNED TO EXAMINER	81857
Feb. 23, 2006	NOTICE OF DESIGN SEARCH CODE MAILED	
Feb. 22, 2006	NEW APPLICATION ENTERED IN TRAM	

Maintenance Filings or Post Registration Information

Affidavit of Continued Use: Section 8 - Accepted

Affidavit of Incontestability: Section 15 - Accepted

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: TMO LAW OFFICE 115

Date in Location: Dec. 05, 2013

Generated on: This page was generated by TSDR on 2016-09-26 12:25:35 EDT

Mark: CAT



US Serial Number: 85621723

Application Filing Date: May 10, 2012

US Registration Number: 5022710

Registration Date: Aug. 16, 2016

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Aug. 16, 2016

Publication Date: May 28, 2013

Notice of Allowance Date: Jul. 23, 2013

Mark Information

Mark Literal Elements: CAT

Standard Character Claim: No

Mark Drawing Type: 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark consists of the word "CAT" with a triangle below the letter "A".

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 26.05.21 - Triangles that are completely or partially shaded

Related Properties Information

Claimed Ownership of US Registrations: 0564272, 1023137, 2358605 and others

Parent Of: 85981370

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *.* identify additional (new) wording in the goods/services.

For: Mobile phone accessories, namely, cell phone mounts for automobiles, cell phone battery chargers

International Class(es): 009 - Primary Class

U.S Class(es): 021, 023, 026, 036, 038

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 31, 2015

Use in Commerce: Jan. 31, 2015

Basis Information (Case Level)

Filed Use: No	Currently Use: Yes	Amended Use: No
Filed ITU: Yes	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

Current Owner(s) Information

Owner Name: Caterpillar Inc.
Owner Address: 100 N.E. Adams Street
Peoria, ILLINOIS UNITED STATES 61629
Legal Entity Type: CORPORATION
State or Country Where Organized: DELAWARE

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Jonathan M. Gelchinsky
Attorney Primary Email Address: docketing@finnegan.com
Docket Number: 8407.4131-01
Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: JONATHAN M. GELCHINSKY
FINNEGAN, HENDERSON, FARABOW, GARRETT &
901 NEW YORK AVE NW
WASHINGTON, DISTRICT OF COLUMBIA UNITED STATES 20001-4413
Phone: 202-408-4000
Fax: 202-408-4400
Correspondent e-mail: docketing@finnegan.com
Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Aug. 16, 2016	REGISTERED-PRINCIPAL REGISTER	
Jul. 15, 2016	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Jul. 14, 2016	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jul. 14, 2016	STATEMENT OF USE PROCESSING COMPLETE	76538
Jun. 28, 2016	USE AMENDMENT FILED	76538
Jun. 28, 2016	TEAS STATEMENT OF USE RECEIVED	
Feb. 13, 2016	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Feb. 12, 2016	EXTENSION 5 GRANTED	76538
Jan. 21, 2016	EXTENSION 5 FILED	76538
Jan. 21, 2016	TEAS EXTENSION RECEIVED	
Jul. 07, 2015	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jul. 06, 2015	EXTENSION 4 GRANTED	76538
Jun. 24, 2015	EXTENSION 4 FILED	76538
Jun. 24, 2015	TEAS EXTENSION RECEIVED	
Jan. 28, 2015	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jan. 27, 2015	EXTENSION 3 GRANTED	76538
Jan. 07, 2015	EXTENSION 3 FILED	76538
Jan. 07, 2015	TEAS EXTENSION RECEIVED	
Jul. 29, 2014	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Jul. 28, 2014	EXTENSION 2 GRANTED	76538

Jul. 16, 2014	EXTENSION 2 FILED	76538
Jul. 16, 2014	TEAS EXTENSION RECEIVED	
Mar. 14, 2014	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Mar. 14, 2014	CORRECTED NOA E-MAILED	
Mar. 13, 2014	EXTENSION 1 GRANTED	76538
Jan. 23, 2014	EXTENSION 1 FILED	76538
Mar. 13, 2014	DIVISIONAL PROCESSING COMPLETE	
Jan. 23, 2014	DIVISIONAL REQUEST RECEIVED	
Mar. 05, 2014	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Feb. 27, 2014	ITU OFFICE ACTION ISSUED FOR DIVISIONAL REQUEST	76538
Feb. 26, 2014	CASE ASSIGNED TO INTENT TO USE PARALEGAL	76538
Jan. 23, 2014	TEAS REQUEST TO DIVIDE RECEIVED	
Jan. 23, 2014	TEAS EXTENSION RECEIVED	
Jul. 23, 2013	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
May 28, 2013	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
May 28, 2013	PUBLISHED FOR OPPOSITION	
May 08, 2013	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Apr. 23, 2013	LAW OFFICE PUBLICATION REVIEW COMPLETED	76568
Apr. 20, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 20, 2013	EXAMINER'S AMENDMENT ENTERED	88888
Apr. 20, 2013	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Apr. 20, 2013	EXAMINERS AMENDMENT E-MAILED	6328
Apr. 20, 2013	EXAMINERS AMENDMENT -WRITTEN	63030
Apr. 19, 2013	PREVIOUS ALLOWANCE COUNT WITHDRAWN	
Mar. 17, 2013	WITHDRAWN FROM PUB - OG REVIEW QUERY	76621
Mar. 06, 2013	LAW OFFICE PUBLICATION REVIEW COMPLETED	76568
Mar. 04, 2013	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 04, 2013	EXAMINER'S AMENDMENT ENTERED	88888
Mar. 04, 2013	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Mar. 04, 2013	EXAMINERS AMENDMENT E-MAILED	6328
Mar. 04, 2013	EXAMINERS AMENDMENT -WRITTEN	63030
Feb. 27, 2013	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Feb. 27, 2013	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Feb. 27, 2013	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Aug. 31, 2012	COMBINED EXAMINER'S AMENDMENT/PRIORITY ACTION ENTERED	76568
Aug. 31, 2012	ASSIGNED TO LIE	76568
Aug. 31, 2012	NOTIFICATION OF EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Aug. 31, 2012	EXAMINER'S AMENDMENT/PRIORITY ACTION E-MAILED	6326
Aug. 31, 2012	EXAMINERS AMENDMENT AND/OR PRIORITY ACTION - COMPLETED	63030
Aug. 31, 2012	ASSIGNED TO EXAMINER	63030
May 17, 2012	NOTICE OF DESIGN SEARCH CODE AND PSEUDO MARK MAILED	
May 16, 2012	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
May 14, 2012	NEW APPLICATION ENTERED IN TRAM	

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Jul. 14, 2016

Proceedings

Summary

**Number of 2
Proceedings:**

Type of Proceeding: Opposition

Proceeding [91226853](#)
Number:

Filing Date: Mar 02, 2016

Status: Terminated

Status Date: Jun 10, 2016

Interlocutory Attorney: ANDREW P BAXLEY

Defendant

Name: John Leonhardt

Correspondent Address: STEVEN M WEINBERG
HOLMES WEINBERG PC
30765 PACIFIC COAST HWY STE 411
MALIBU CA UNITED STATES , 90265-3646

Correspondent e-mail: smweinberg@holmesweinberg.com , hwtrademarks@gmail.com

Associated marks

Mark	Application Status	Serial Number	Registration Number
ANGRY CAT	Abandoned - After Inter-Partes Decision	86441638	

Plaintiff(s)

Name: Caterpillar Inc.

Correspondent Address: CHRISTOPER P FOLEY
FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP
901 NEW YORK AVENUE NW
WASHINGTON DC UNITED STATES , 20001-4413

Correspondent e-mail: docketing@finnegan.com , christopher.foley@finnegan.com , ttab-legal-assistants@finnegan.com , laura.johnson@finnegan.com

Associated marks

Mark	Application Status	Serial Number	Registration Number
CATERPILLAR	Renewed	71637111	581016
CATERPILLAR	Renewed	73392834	1280488
CATERPILLAR	Renewed	73392832	1280686
CAT	Renewed	73392831	1298364
CAT	Renewed	74451720	1908556
CATERPILLAR	Renewed	74451721	1911472
CAT	Renewed	75455116	2234260
CATERPILLAR	Renewed	75455117	2234261
CAT	Renewed	75788387	2364592
CAT	Renewed	75645252	2423986
CATERPILLAR	Renewed	75551642	2439011
CAT	Renewed	75621863	2488264
CAT ENGINEERED DURABILITY	Renewed	76384020	2674815
CAT	Section 8 and 15 - Accepted and Acknowledged	77184659	3365449
CATERPILLAR	Section 8 and 15 - Accepted and Acknowledged	77184678	3365450
CATERPILLAR	Section 8 and 15 - Accepted and Acknowledged	85020678	3907101
CAT	Registered	85981370	4519310
CAT	Registered	85621723	5022710
CAT	Registered	86976331	4804265

Prosecution History

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Mar 02, 2016	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Mar 14, 2016	Apr 23, 2016
3	PENDING, INSTITUTED	Mar 14, 2016	
4	NOTICE OF DEFAULT	May 09, 2016	
5	BD DECISION: SUSTAINED	Jun 10, 2016	
6	TERMINATED	Jun 10, 2016	

Type of Proceeding: Opposition

Proceeding [91211418](#)
Number:

Filing Date: Aug 28, 2014

Status: Terminated

Status Date: Jan 12, 2016

Interlocutory Attorney: BENJAMIN U OKEKE

Defendant

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Associated marks

Mark	Application Status	Serial Number	Registration Number
BCAT	Registered	79120585	4899767

Plaintiff(s)

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Associated marks

Mark	Application Status	Serial Number	Registration Number
CAT	Renewed	71575190	564272
CAT	Renewed	72174081	770639
CAT	Renewed	72164427	778638
CAT	Renewed	72452924	984444
CAT	Renewed	73762371	1579437
CAT	Renewed	75236985	2140606
CAT	Renewed	75788387	2364592
CAT	Renewed	75788362	2364591
CAT	Renewed	75830492	2421077
SAFETY.CAT.COM	Section 8 and 15 - Accepted and Acknowledged	77183562	3539374
CAT	Section 8 and 15 - Accepted and Acknowledged	78815915	3525811
CAT	Section 8 and 15 - Accepted and Acknowledged	78815922	3525812
CAT	Section 8 and 15 - Accepted and Acknowledged	78815917	3541939
CAT	Registered	77289081	4045652
CAT	Renewed	75550438	2358605
CAT	Registered	85621723	5022710

Prosecution History

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Jun 27, 2013	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jul 03, 2013	Aug 12, 2013
3	PENDING, INSTITUTED	Jul 03, 2013	
4	CHANGE OF CORRESP ADDRESS	Jul 30, 2013	
5	STIP TO SUSP PEND SETTL NEGOTIATIONS	Jul 31, 2013	
6	SUSPENDED	Jul 31, 2013	
7	STIP TO SUSP PEND SETTL NEGOTIATIONS	Sep 03, 2013	
8	SUSPENDED	Sep 03, 2013	
9	STIP TO SUSP PEND SETTL NEGOTIATIONS	Oct 03, 2013	
10	SUSPENDED	Oct 03, 2013	

11	STIP TO SUSP PEND SETTL NEGOTIATIONS	Nov 07, 2013
12	SUSPENDED	Nov 07, 2013
13	STIP TO SUSP PEND SETTL NEGOTIATIONS	Jan 07, 2014
14	SUSPENDED	Jan 07, 2014
15	STIP TO SUSP PEND SETTL NEGOTIATIONS	Mar 05, 2014
16	SUSPENDED	Mar 05, 2014
17	STIP TO SUSP PEND SETTL NEGOTIATIONS	May 05, 2014
18	SUSPENDED	May 05, 2014
19	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Jul 01, 2014
20	SUSPENDED	Jul 01, 2014
21	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Aug 28, 2014
22	SUSPENDED	Sep 10, 2014
23	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Nov 04, 2014
24	SUSPENDED	Nov 24, 2014
25	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Jan 02, 2015
26	SUSPENDED	Jan 20, 2015
27	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Mar 02, 2015
28	SUSPENDED	Mar 19, 2015
29	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	May 01, 2015
30	SUSPENDED	May 15, 2015
31	SUSPENDED	May 15, 2015
32	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Jul 02, 2015
33	SUSPENDED	Jul 13, 2015
34	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Aug 27, 2015
35	SUSPENDED	Sep 18, 2015
36	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Oct 30, 2015
37	SUSPENDED	Nov 20, 2015
38	D MOT TO SUSP W/ CONSENT PEND SETTL NEGOTIATIONS	Dec 28, 2015
39	W/DRAW OF OPPOSITION	Jan 05, 2016
40	BD DECISION: DISMISSED W/O PREJ	Jan 12, 2016
41	TERMINATED	Jan 12, 2016