

ESTTA Tracking number: **ESTTA770871**

Filing date: **09/15/2016**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Notice of Opposition

Notice is hereby given that the following party opposes registration of the indicated application.

Opposer Information

Name	Caterpillar Inc.
Granted to Date of previous extension	11/09/2016
Address	100 Northeast Adams Street Peoria, IL 61629-9240 UNITED STATES

Attorney information	Richard J. McKenna Foley & Lardner LLP 777 E. Wisconsin Ave Milwaukee, WI 53202-5306 UNITED STATES ipdocketing@foley.com, rmckenna@foley.com, jmcginnis@foley.com, jolsen@foley.com Phone:414-271-2400
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Applicant Information

Application No	86727182	Publication date	07/12/2016
Opposition Filing Date	09/15/2016	Opposition Period Ends	11/09/2016
Applicant	Clean Air-Engineering - Maritime, Inc. 2500 Via Cabrillo Marina, Suite 300 San Pedro, CA 90731 UNITED STATES		

Goods/Services Affected by Opposition

Class 007. First Use: 0 First Use In Commerce: 0 All goods and services in the class are opposed, namely: Emissions control system in the nature of a hot gas filtration device comprised primarily of a ceramic catalytic filter for motors, engines, or exhausts for use by marine vessels to control and treat emissions
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Applicant Information

Application No	86923668	Publication date	08/16/2016
Opposition Filing Date	09/15/2016	Opposition Period Ends	09/15/2016
Applicant	Clean Air-Engineering - Maritime, Inc. 2500 Via Cabrillo Marina, Suite 300 San Pedro, CA 90731 UNITED STATES		

Goods/Services Affected by Opposition

Class 007. First Use: 0 First Use In Commerce: 0

All goods and services in the class are opposed, namely: Emissions control system in the nature of a hot gas filtration device comprised primarily of a ceramic catalytic filter for motors, engines and exhausts for use by marine vessels to control and treat emissions

Grounds for Opposition

Priority and likelihood of confusion	Trademark Act Section 2(d)
Dilution by blurring	Trademark Act Sections 2 and 43(c)
Dilution by tarnishment	Trademark Act Sections 2 and 43(c)

Marks Cited by Opposer as Basis for Opposition

U.S. Registration No.	564272	Application Date	03/10/1949
Registration Date	09/23/1952	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS DIESEL AND OTHER INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS AND SERVICE TOOLS FURNISHED WITH SAID PRODUCTS Class 012. First use: First Use: 1948/12/09 First Use In Commerce: 1948/12/09 ELECTRIC GENERATORS AND DIESEL ELECTRIC [ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS		

U.S. Registration No.	742294	Application Date	02/12/1962
Registration Date	12/18/1962	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 028. First use: First Use: 1955/09/00 First Use In Commerce: 1955/09/00 Toy Replicas of [Wheel Tractors,] Track-Type Tractors, Tractor Loaders, Bulldozers, [Dump Wagons,] Motor Graders [, Scrapers and Rippers]		

U.S. Registration	770639	Application Date	07/31/1963
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No.			
Registration Date	06/02/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 1949/02/17 First Use In Commerce: 1949/02/17 Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor		

U.S. Registration No.	777703	Application Date	11/20/1963
Registration Date	09/29/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1962/11/27 First Use In Commerce: 1962/11/27 Anti-Seize and Sealing Lubricant		

U.S. Registration No.	778638	Application Date	03/12/1963
Registration Date	10/13/1964	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 037. First use: First Use: 1951/00/00 First Use In Commerce: 1951/00/00 Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment		

U.S. Registration No.	871146	Application Date	06/03/1968
Registration Date	06/17/1969	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 006. First use: First Use: 1967/04/12 First Use In Commerce: 1967/04/27 HOSE COUPLINGS Class 017. First use: First Use: 1967/04/12 First Use In Commerce: 1967/04/27 HYDRAULIC HOSE; SEALS FOR VEHICLES AND MACHINERY		

U.S. Registration No.	984444	Application Date	03/29/1973
Registration Date	05/21/1974	Foreign Priority	NONE

		Date	
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 1973/01/30 First Use In Commerce: 1973/01/30 LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR		

U.S. Registration No.	1023137	Application Date	09/24/1973
Registration Date	10/21/1975	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 1966/08/01 First Use In Commerce: 1966/08/01 BATTERIES, FUSES, ELECTRICAL CABLES, CONDUITS, FASTENERS AND TERMINALS; SWITCHES, ENGINE STARTING SYSTEMS, SPARK PLUGS, SPARK PLUG FIRING INDICATORS, LOAD SIGNAL SYSTEMS, FLASHERS, BRAKE ACCUMULATOR BUZZERS, LOW AIR PRESSURE ALARMS, TURN SIGNAL FLASHERS, SPEEDOMETERS, ODOMETERS, TIRE WEAR GAUGES, TIRE PRESSURE GAUGES, HYDRAULIC SYSTEM FILTER INDICATORS, AIR CLEANER SERVICE INDICATORS, FUEL PRESSURE GAUGES, ENGINE OIL PRESSURE GAUGES, TORQUE CONVERTER TEMPERATURE GAUGES, WATER TEMPERATURE GAUGES, AND SERVICE METERS		

U.S. Registration No.	1265041	Application Date	09/29/1982
Registration Date	01/24/1984	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 021. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Glasses, Cups and Coasters Class 026. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches		

U.S. Registration No.	1275103	Application Date	09/29/1982
Registration Date	04/24/1984	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	NONE
Goods/Services	Class 016. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Note Pads, Stationery Type Portfolios, Pencils, Pens, and Decals Class 028. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Golf Balls, Tees and Tennis Balls

U.S. Registration No.	1298364	Application Date	09/29/1982
Registration Date	10/02/1984	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 006. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Articles of Nonprecious Metal-Namely, Key Tags, Key Chains [and Name Plates] Class 014. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Articles of Precious Metal-Namely, [Tie Tacks,] Belt Buckles, Lapel Pins, Watches [and Watch Fobs] Class 018. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Leather and Imitation Leather Goods-Namely, Business Card Cases, Credit Card Cases, Key Holders, Coin Holders, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags, Umbrellas, Traveling Bags, Purses and Wallets Class 025. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Work, Sport and Casual Clothing-Namely,Caps, Belts, Ties, Scarves, Headwear, T-Shirts, Shorts, Sweat Pants, Sweat Shirts, Jackets, Vests, Gloves, Mittens, Rain Wear, One-Piece Jump Suits and Socks Class 034. First use: First Use: 1970/01/00 First Use In Commerce: 1970/01/00 Cigarette Lighters [and Ash Trays]		

U.S. Registration No.	1579437	Application Date	11/07/1988
Registration Date	01/23/1990	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	NONE
Goods/Services	<p>Class 037. First use: First Use: 1988/10/20 First Use In Commerce: 1988/10/20 MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED</p>

U.S. Registration No.	1908556	Application Date	10/28/1993
Registration Date	08/01/1995	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 025. First use: First Use: 1988/06/00 First Use In Commerce: 1989/06/00 footwear</p>		

U.S. Registration No.	2121121	Application Date	07/24/1996
Registration Date	12/16/1997	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 036. First use: First Use: 1981/00/00 First Use In Commerce: 1981/00/00 financing; issuance of debt securities;owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independentdealerships, life insurance for machineowners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative riskfinancing options and disaster recoveryplanning		

U.S. Registration No.	2137147	Application Date	02/05/1997
Registration Date	02/17/1998	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1987/02/02 First Use In Commerce: 1987/02/02 industrial oils for engines, transmissions, hydraulic systems, and drive train compartments		

U.S. Registration No.	2140606	Application Date	02/05/1997
Registration Date	03/03/1998	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			

Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 vehicles for earth and material hauling and handling, namely, tractors and engines therefor

U.S. Registration No.	2158375	Application Date	11/29/1996
Registration Date	05/19/1998	Foreign Priority Date	NONE

Word Mark	CAT
Design Mark	

Description of Mark	NONE
Goods/Services	Class 014. First use: First Use: 1990/04/00 First Use In Commerce: 1990/04/00 watches, clocks, cuff links, tie tacks, tie bars, [bracelets, pendants,] belt buckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases, [jewelry chains, watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches

U.S. Registration No.	2172769	Application Date	05/22/1997
Registration Date	07/14/1998	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	NONE
Goods/Services	Class 018. First use: First Use: 1991/00/00 First Use In Commerce: 1991/00/00 leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, totebags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,] school book bags [, shoulder hand bags, purses]

U.S. Registration No.	2209700	Application Date	04/03/1997
Registration Date	12/08/1998	Foreign Priority Date	NONE

Word Mark CAT THE RENTAL STORE

Design Mark	
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Description of Mark	NONE
Goods/Services	Class 035. First use: First Use: 1997/10/01 First Use In Commerce: 1998/01/29 retail rental store services in the field of construction equipment, mining equipment and generators

U.S. Registration No.	2234260	Application Date	03/23/1998
Registration Date	03/23/1999	Foreign Priority Date	NONE

Word Mark CAT

Design Mark	
Description of Mark	NONE
Goods/Services	Class 025. First use: First Use: 1990/01/00 First Use In Commerce: 1990/01/00 Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, [one-piece jump suits] and socks, men's and women's shirts and jeans

U.S. Registration No.	2293192	Application Date	05/22/1997
Registration Date	11/16/1999	Foreign Priority Date	NONE

Word Mark	THE CAT RENTAL STORE
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Design Mark	
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Description of Mark	NONE
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Goods/Services	Class 037. First use: First Use: 1997/10/01 First Use In Commerce: 1998/01/29 rental services, namely, the rental of construction equipment, mining equipment, and generators
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U.S. Registration	2358605	Application Date	09/09/1998
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No.			
Registration Date	06/13/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 009. First use: First Use: 1999/10/30 First Use In Commerce: 1999/10/30 CD-ROM games		

U.S. Registration No.	2364591	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LOGISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LOGISTICS SERVICES AND CONSULTING IN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WAREHOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00		

	warehousing services Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS
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U.S. Registration No.	2364592	Application Date	08/30/1999
Registration Date	07/04/2000	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark	CAT		
Description of Mark	NONE		
Goods/Services	<p>Class 035. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others</p> <p>Class 039. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 warehousing services</p> <p>Class 042. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 Design of computerized information systems for managing logistics and product distribution processes for others</p>		

U.S. Registration No.	2384000	Application Date	09/10/1998
Registration Date	09/05/2000	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	NONE
Goods/Services	Class 016. First use: First Use: 1996/12/00 First Use In Commerce: 1996/12/00 children's books

U.S. Registration No.	2421077	Application Date	11/12/1999
Registration Date	01/16/2001	Foreign Priority Date	NONE

Word Mark	CAT
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Design Mark	
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Description of Mark	NONE
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Goods/Services	<p>Class 007. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE</p> <p>Class 012. First use: First Use: 1988/07/13 First Use In Commerce: 1988/10/20 off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above</p>
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U.S. Registration No.	2423986	Application Date	02/22/1999
Registration Date	01/23/2001	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 028. First use: First Use: 1987/12/01 First Use In Commerce: 1987/12/01 [jigsaw puzzles, board games, hand-held electronic games involving computer generated images of applicant's earth-moving vehicles, battery operated ride on toys,] toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys		

U.S. Registration No.	2488264	Application Date	01/22/1999
Registration Date	09/11/2001	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 1999/09/30 First Use In Commerce: 1999/09/30		

	Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items
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U.S. Registration No.	2561988	Application Date	04/02/2001
Registration Date	04/16/2002	Foreign Priority Date	NONE
Word Mark	CATUSED.COM		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 035. First use: First Use: 2000/12/01 First Use In Commerce: 2000/12/01 PROVIDING A SEARCHABLE DATABASE, VIA THE INTERNET, OF USED CONSTRUCTION, AGRICULTURAL, PAVING, AND FORESTRY MACHINERY FOR SALE OR RENT		

U.S. Registration No.	2674815	Application Date	03/15/2002
Registration Date	01/14/2003	Foreign Priority Date	NONE
Word Mark	CAT ENGINEERED DURABILITY		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 025. First use: First Use: 2001/11/27 First Use In Commerce: 2001/11/27 FOOTWEAR		

U.S. Registration No.	3162544	Application Date	12/05/2005
Registration Date	10/24/2006	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of a triangle below the letter A in CAT.
Goods/Services	Class 004. First use: First Use: 1988/01/01 First Use In Commerce: 1988/01/01 Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants

U.S. Registration No.	3365449	Application Date	05/18/2007
Registration Date	01/08/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 025. First use: First Use: 1988/06/00 First Use In Commerce: 1988/06/00 Footwear		

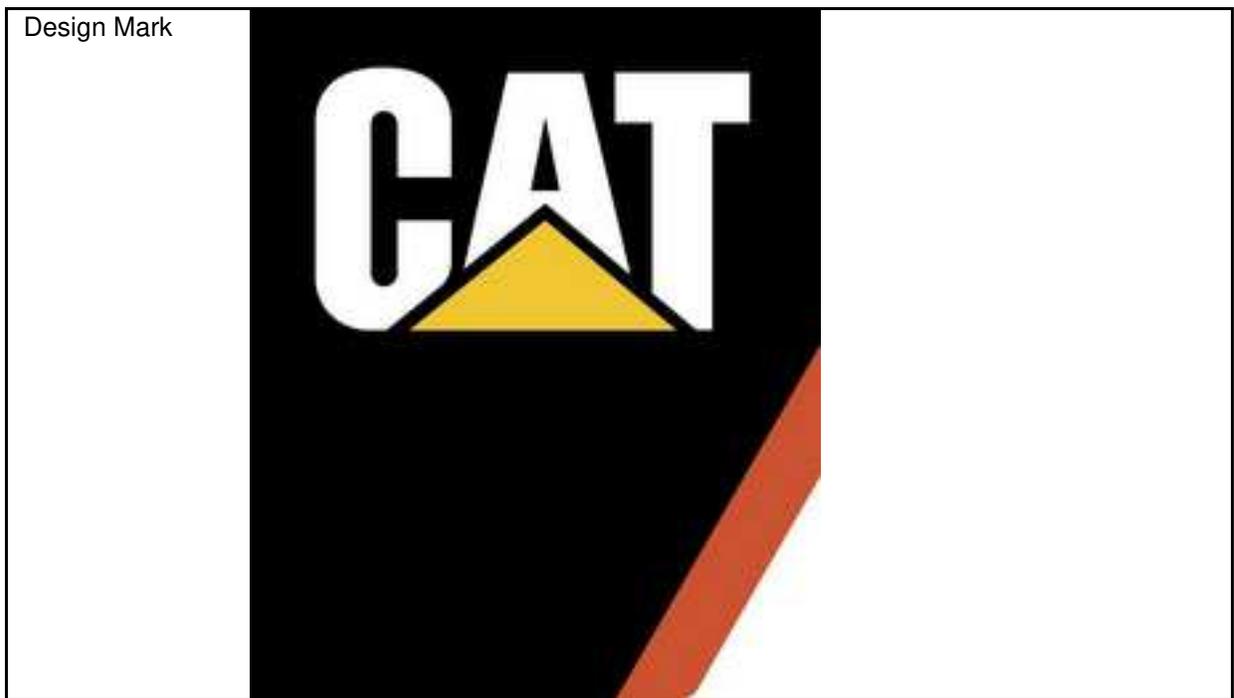
U.S. Registration No.	3525811	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling

U.S. Registration No.	3525812	Application Date	02/15/2006
Registration Date	10/28/2008	Foreign Priority Date	NONE

Word Mark	CAT
Design Mark	
Description of Mark	The mark consists of a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.
Goods/Services	Class 007. First use: First Use: 2007/01/02 First Use In Commerce: 2007/01/02 Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders

U.S. Registration No.	3529358	Application Date	02/15/2006
Registration Date	11/04/2008	Foreign Priority Date	NONE
Word Mark	CAT		



Description of Mark	NONE
Goods/Services	Class 007. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30 Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders

U.S. Registration No.	3539374	Application Date	05/17/2007
Registration Date	12/02/2008	Foreign Priority Date	NONE

Word Mark	SAFETY.CAT.COM
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Description of Mark	NONE
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Goods/Services	<p>Class 041. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course material in connection therewith</p> <p>Class 045. First use: First Use: 2007/04/18 First Use In Commerce: 2007/04/18 Providing information in the field of safety and safety awareness in the construction industry</p>
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U.S. Registration No.	3541939	Application Date	02/15/2006
Registration Date	12/02/2008	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.		
Goods/Services	Class 007. First use: First Use: 2007/08/20 First Use In Commerce: 2007/08/20 Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling		

U.S. Registration No.	3750812	Application Date	02/15/2006
Registration Date	02/16/2010	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 007. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30 Machinery for earth moving, earth conditioning, and material handling, namely,		

	<p>integrated tool carriers, wheel loaders, telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor-graders, industrial tractors, wheel tractor-scrappers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers; electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers</p> <p>Class 012. First use: First Use: 2006/03/30 First Use In Commerce: 2006/03/30</p> <p>Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks</p>
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U.S. Registration No.	3756598	Application Date	09/02/2008
Registration Date	03/09/2010	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a yellow rectangle with a black square on the right-hand side, containing the word "CAT" in white letters with a yellow triangle beneath the letter "A".		
Goods/Services	<p>Class 035. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00</p> <p>Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuring engines and power generation equipment, and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment</p> <p>Class 036. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00</p> <p>Financing services; credit and loan services; lease-purchase loans</p> <p>Class 037. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00</p> <p>Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines and power generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, and paving</p> <p>Class 039. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00</p> <p>Rental of vehicles</p> <p>Class 044. First use: First Use: 2000/11/00 First Use In Commerce: 2000/11/00</p> <p>rental of equipment and machinery for use in agriculture and forestry</p>		

U.S. Registration No.	4045652	Application Date	09/26/2007
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Registration Date	10/25/2011	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".		
Goods/Services	<p>Class 001. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins</p> <p>Class 002. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings</p> <p>Class 004. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings</p> <p>Class 006. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinfor-</p>		

cing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings

Class 007. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31

Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earthmoving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization ma-

chines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches

Class 008. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Hand tools, namely, taps and dies; handjacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices

Class 009. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors

Class 011. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors

	<p>for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators</p> <p>Class 012. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor</p> <p>Class 017. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings</p> <p>Class 020. First use: First Use: 2008/12/31 First Use In Commerce: 2008/12/31 Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts</p>
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U.S. Registration No.	4124547	Application Date	05/11/2011
Registration Date	04/10/2012	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".
Goods/Services	Class 012. First use: First Use: 2011/09/09 First Use In Commerce: 2011/09/09 Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications

U.S. Registration No.	4124548	Application Date	05/11/2011
Registration Date	04/10/2012	Foreign Priority Date	NONE
Word Mark	CAT		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 2011/09/09 First Use In Commerce: 2011/09/09 Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications		

U.S. Registration No.	4519310	Application Date	05/10/2012
Registration Date	04/22/2014	Foreign Priority Date	NONE
Word Mark	CAT		

Design Mark	
Description of Mark	The mark consists of the word "CAT" with a triangle below the letter "A".
Goods/Services	Class 009. First use: First Use: 2012/03/00 First Use In Commerce: 2012/03/00 Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies

U.S. Registration No.	85748	Application Date	08/19/1911
Registration Date	03/12/1912	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 004. First use: First Use: 1910/01/00 First Use In Commerce: 1910/01/00 LUBRICATING-OILS		

U.S. Registration No.	85816	Application Date	11/18/1910
Registration Date	03/19/1912	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class U023 (International Class 006, 007, 008, 009, 011, 012, 016, 021, 028). First use: First Use: 1904/09/01 First Use In Commerce: 1904/09/01 GASOLENE [, STEAM,] [AND TRACTION] ENGINES, [HARVESTERS,] AND ROAD-WORKING MACHINES		

U.S. Registration	277417	Application Date	03/24/1930
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No.			
Registration Date	11/11/1930	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class 012. First use: First Use: 1904/09/00 First Use In Commerce: 1904/09/00 TRACTORS, TRACTOR ENGINES, TRACK LINKS, TRACK SHOES, GROUSERS, GREASE GUNS; [AGRICULTURAL MACHINERY TOOLS, AND EQUIPMENT- NAMELY, COMBINED HARVESTERS, WINDROWERS, PICK-UP MACHINERY GRAIN CLEANERS, GRAIN GRADERS, AND THRESHING MACHINES;] ROAD CONSTRUCTION AND MAINTENANCE MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, GRADERS, [ELEVATING GRADERS, ROAD PLANERS,] SCARIFIERS, PLOWS, LOGGING MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, LOGGING ENGINES, [WINCHES, LOG HOISTS, AND BUMMERS;] AND THE PARTS FOR ALL SAID GOODS</p>		

U.S. Registration No.	345499	Application Date	12/12/1936
Registration Date	04/27/1937	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	<p>Class U023 (International Class 007, 012). First use: First Use: 1904/09/00 First Use In Commerce: 1904/09/00 TRACTORS ADAPTED TO BE EMPLOYED IN FARMING OPERATIONS, ROAD BUILDING, MINING, LOGGING, EARTH MOVING, HAULING, AND FOR OTHER INDUSTRIAL AND AGRICULTURAL PURPOSES; INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FORSELF-PROPELLED VEHICLES, AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL AND AGRICULTURAL USES; POWER AND MANUALLY CONTROLLED GRADERS, TERRACERS, PLANERS, SCARIFIERS, LEANING WHEEL GRADERS, ELEVATING GRADERS, SCRAPERS, BULLDOZERS, LEVELERS, PLOWS, DITCHERS, BANK CUTTERS, AND BACK SLOPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, SNOW, AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; HARVESTERS, COMBINE HARVESTERS, MOWERS, PUSH RAKES, WINDROW HEADERS AND WINDROW PICK-UPS; IMPLEMENT HITCHES; PARTS AND TOOLS FOR ALL OF SAID PRODUCTS AND ATTACHMENTS, ACCESSORIES AND EQUIPMENT ASSOCIABLE WITH ALL OF SAID PRODUCTS.</p>		

U.S. Registration	506258	Application Date	11/10/1947
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No.			
Registration Date	02/01/1949	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class 012. First use: First Use: 1941/00/00 First Use In Commerce: 1941/00/00 DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS		

U.S. Registration No.	531626	Application Date	11/10/1947
Registration Date	10/10/1950	Foreign Priority Date	NONE
Word Mark	CATERPILLAR		
Design Mark			
Description of Mark	NONE		
Goods/Services	Class U023 (International Class 007, 008, 009). First use: First Use: 1939/01/00 First Use In Commerce: 1939/01/00 DIESEL ENGINES FOR MARINE PURPOSES; CABLE-CONTROL UNITS FOR CONTROLLING CABLE ACTUATED EQUIPMENT ASSOCIATED WITH TRACTORS AND THE LIKE; HYDRAULICCONTROL UNITS FOR CONTROLLING HYDRAULICALLY ACTUATED EQUIPMENT ASSOCIATED WITH TRACTORS AND THE LIKE; EARTH RIPPERS; SCRAPING, CARRYING, AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH ORLIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; PARTS FOR ALL OF SAID PRODUCTS; AND SERVICE TOOLS-NAMELY, PLIERS, SCREW DRIVERS, WRENCHES, HAMMERS,GREASE GUNS, RIM TOOLS, PINCH BARS, ANDCABLE CUTTERS		

Attachments	72452924#TMSN.png(bytes) 73762371#TMSN.png(bytes) 74451720#TMSN.png(bytes) 75236985#TMSN.png(bytes) 75205498#TMSN.png(bytes) 75296705#TMSN.png(bytes) 75270839#TMSN.png(bytes) 75455116#TMSN.png(bytes) 75296724#TMSN.png(bytes) 75550438#TMSN.png(bytes) 75788362#TMSN.png(bytes) 75788387#TMSN.png(bytes) 75552003#TMSN.png(bytes) 75830492#TMSN.png(bytes) 75645252#TMSN.png(bytes) 75621863#TMSN.png(bytes) 76384020#TMSN.png(bytes) 78766626#TMSN.png(bytes) 77184659#TMSN.png(bytes) 78815915#TMSN.png(bytes) 78815922#TMSN.png(bytes)
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Certificate of Service

The undersigned hereby certifies that a copy of this paper has been served upon all parties, at their address record by First Class Mail on this date.

Signature	/R.J. McKenna/
Name	Richard J. McKenna
Date	09/15/2016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of:

App. Serial No.: 86727182
Mark: STAKCAT
Class: 7
Published: July 12, 2016

App. Serial No.: 86923668
Mark: SHORCAT
Class: 7
Published: August 16, 2016

CATERPILLAR INC.,)
Opposer,)
)
v.)
)
CLEAN AIR-ENGINEERING – MARITIME, INC.,)
Applicant.)
)

Opposition No. _____

UNITED STATES PATENT AND TRADEMARK OFFICE
Trademark Trial and Appeal Board
P.O. Box 1451
Alexandria, VA 22313-1451

CONSOLIDATED NOTICE OF OPPOSITION

Opposer, CATERPILLAR INC., a Delaware corporation having its principal place of business at 100 Northeast Adams Street, Peoria, Illinois, 61629-9240 (“Opposer”), believes that it is and will be damaged by, and hereby opposes registration of the marks STAKCAT and SHORCAT (collectively, “Applicant’s Marks”) that are the subjects of Application Serial Nos. 86727182 and 86923668, respectively (collectively, the “Applications”), filed by Clean Air-Engineering – Maritime, Inc. (“Applicant”). Application Serial No. 86727182 was filed on August 17, 2015, and published in the *Official Gazette* on July 12, 2016. Opposer timely filed a

Request for an Extension of Time to Oppose Application Serial No. 86727182 on August 9, 2016.

The request was granted, extending the time to oppose the Application to November 9, 2016.

Application Serial No. 86923668 was filed on February 29, 2016, and published in the *Official Gazette* on August 16, 2016. This Consolidated Notice of Opposition is therefore timely with respect to the Applications.

As grounds in support of its opposition, Opposer asserts as follows:

Opposer's CAT and CATERPILLAR Marks

1. Opposer is a long-established multi-national company engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of machinery, equipment, vehicles, and engines, including, but not limited to, earth moving machinery, such as track and wheel tractors, track and wheel loaders, track and wheel excavators, and backhoe loaders, as well as pipeline products for clearing, grading, ditching, high production stringing, boring holes for pipes, bending pipes, welding, coating pipes, lifting and lowering pipes, backfilling, and cleanup and restoration. All of these earth moving machines and pipeline products, as well as parts and engines therefor, are identified by the CAT and CATERPILLAR marks.

2. In the field of earth moving machinery and vehicles, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of attachments for its earth moving machinery, including augers, backhoes, blades, brooms, brushcutters, buckets, cold planners, compactors, couplers, delimiters, rock and track drills, felling heads, forks, grapples, hammers, harvester heads, highway miners, lift groups, material handling arms, mulchers, multi-processors, pulverizers, rakes, reclaimers, rippers, saws, scarifiers, shears, shovels, snow blowers, snow plows, snow wings, stump grinders, thumbs, tillers, trenchers, truss booms, tunnel boring machines, and underground mining machines, all

under the CAT and CATERPILLAR marks.

3. Also in the field of equipment, vehicles and machinery, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising, and sale of a wide variety of machinery, equipment, and vehicles principally for other uses, such as articulated trucks, asphalt pavers, cold planers, feller bunches, forest machines, forwarders, knuckleboom loaders, material handlers, motor graders, off-highway trucks, vocational trucks, and wheel-tractor scrapers, and a wide assortment of work tool attachments therefor, all under the CAT and CATERPILLAR marks.

4. Opposer is currently and has long been engaged in the development and manufacture of engines. In fact Opposer is one of the world's largest manufacturers of engines. Opposer's engines have a wide range of applications, including industrial/OEM, marine, oil and gas, power generation, on and off-highway vehicle engines and transmissions, as well as residential and commercial lawn care equipment, all under the CAT and CATERPILLAR marks. With respect to the marine industry, Opposer is an industry-leading provider of medium- and high-speed marine diesel engines and auxiliary engines, all under the CAT and CATERPILLAR marks. Opposer's engines are used in a multitude of marine applications, including but not limited to vessels used in offshore, tug and salvage, governmental, recreational, cargo, inland cargo, fishing, ferry, cruise, and dredging operations.

5. Further, Opposer is currently and has long been engaged in the development, manufacture, distribution, advertising and sale of electric power generation systems, including industrial gas turbines, generators, and generator sets. Opposer is the world's largest manufacturer of high-speed generator sets, all under the CAT and CATERPILLAR marks.

6. A more recent expansion of the use of the CAT and CATERPILLAR marks by Opposer has been in the field of mining and excavation equipment and vehicles, including

cranes, buckets, drag-lines, mining shovels, power shovels, excavators, drilling machines, boring machines, bulk material conveyors, aggregate loaders, trucks, cargo trailers, highwall miners, and longwall miners.

7. Opposer is currently and has for many years been in the business of developing, manufacturing, distributing and selling parts and equipment for use with CAT and CATERPILLAR branded machinery, equipment, vehicles, and engines. These CAT and CATERPILLAR branded parts and equipment are typically provided to customers by a global retail network of CAT dealers.

8. Opposer is currently and has long been engaged in providing maintenance, repair, and support services for the CAT and CATERPILLAR branded machinery, equipment, vehicles, and engines. These services are provided by Opposer's network of CAT dealers.

9. Opposer is currently and for many years has leased a variety of products through Opposer's network of retail stores operating under the mark CAT RENTAL STORES. There are hundreds of CAT RENTAL STORES outlets around the country. Equipment rented at the CAT RENTAL STORES outlets includes, among other things, vehicles, trucks, lift equipment, earth moving equipment, electrical products, and lights. CAT RENTAL STORES locations lease equipment bearing the CAT and CATERPILLAR marks manufactured by Caterpillar Inc., as well as equipment from other manufacturers. Details are provided at www.catrentalstore.com.

10. Opposer also develops, acquires, provides, sells and licenses to customers a wide range of computer software products utilizing the CAT and CATERPILLAR marks, the software being used in the operation, maintenance and optimization of its CAT and CATERPILLAR branded equipment and machinery.

11. An appreciable part of Opposer's business is the promotion, sale, and

distribution of a wide range of CAT branded merchandise and apparel under the CAT and CATERPILLAR marks through retail outlets, including Opposer's sponsored retail outlets, through catalogs, on its website, and through other Internet outlets. A key product line of licensed merchandise are mobile phones and accessories for mobile phones such as batteries, protective covers, chargers, mounts, belt clips and the like. Examples of Opposer's CAT branded mobile phones and accessories are available at www.catphones.com. The licensed apparel and merchandise also includes various types of clothing and footwear, accessories and commemorative items or gifts, such as key chains, wallets, lighters, caps, and tote bags. Opposer's CAT licensed merchandise is immensely popular. Examples of Opposer's CAT branded clothing, accessories, and gift items are available at www.shopcaterpillar.com.

12. Opposer licenses others to use the CAT and CATERPILLAR marks in connection with a wide range of goods which support Opposer's machines and equipment. These goods include vehicle batteries, hoses and couplings, lubricants, coolants, filtration products, repair kits, hand tools, communication equipment, hardware, computer software and vehicle tires.

13. Opposer uses the CAT and CATERPILLAR marks in conjunction with providing purchasers of its products and services with financing and insurance options through CAT Financial, the financial arm of Opposer's business.

14. Opposer uses the CAT and CATERPILLAR marks in conjunction with safety guidance for its customers in the use of its products and services through www.safety.cat.com. On this site, Opposer provides safety tips and CAT and CATERPILLAR branded safety brochures, videos, and checklists related to products and services offered by Opposer.

15. Opposer has enjoyed immense sales and has expended substantial resources advertising and promoting its CAT and CATERPILLAR marks throughout the United States

and the World. As part of its efforts to promote the CAT and CATERPILLAR marks to the public, for example, Opposer has, for many years, sponsored a team in the NASCAR race series. Opposer has also received extensive unsolicited publicity and media attention.

16. As a result of the distinctive nature, and thus inherent strength, of the CAT and CATERPILLAR marks; the widespread advertising, publicity, promotion, and substantial sales of Opposer's Products and Services (defined below); and Opposer's longstanding and extensive use of the CAT and CATERPILLAR marks, the CAT and CATERPILLAR marks are now, and for many years have been well known and famous.

17. On three separate occasions, the Trademark Trial and Appeal Board has expressly acknowledged the fame of the CAT mark. *See Caterpillar Inc. v. Pave Tech, Inc.*, Cancellation No. 92041776 (TTAB Mar. 12, 2007), *Caterpillar Inc. v. Big Cat Energy Corporation*, Opposition No. 91193704 (TTAB Sept. 3, 2014) and *Caterpillar Inc. v. Rodney C. Kelly*, Opposition No. 91210124 (TTAB Sept. 30, 2015).

18. Opposer owns valid and subsisting federal trademark registrations for its CAT marks in both word and logo format for the products and services listed below, including but not limited to the following:

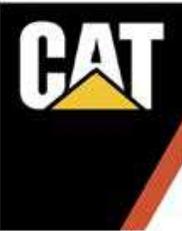
MARK	REG. NO.	REG. DATE	FIRST USE DATE	GOODS/SERVICES
CAT	564,272	09/23/1952	12/06/1948	(Int'l Class: 7) Dump-wagons, wheel tractor-dump-wagon combinations, and structural parts for such products (Int'l Class: 7) Diesel and other internal combustion engines adapted for employment as the source of power for self-propelled vehicles and as stationary or portable power units for industrial, marine and agricultural uses; scraping, carrying and dumping units adapted to be employed for scraping and collecting earth, rock, or like materials and transporting and dumping said materials; power and manually controlled graders, scarifiers, scrapers, and rippers adapted to be employed for the construction and maintenance of roads, for moving and removing of earth, rock, snow and like

				materials, for preventing soil erosion and for other industrial and agricultural uses; tractors for industrial and agricultural purposes; and parts and service tools furnished with said products (Int'l Class: 12) Electric generators and diesel electric generator sets, and parts furnished with said products
CAT	742,294	12/18/1962	09/1955	(Int'l Class: 28) Toy replicas of track-type tractors, tractor loaders, bulldozers, motor graders
CAT	770,639	06/02/1964	02/17/1949	(Int'l Class: 12) Motor trucks, dump wagons, wheel tractor-dump wagon combinations, wheel tractors, and parts therefor
CAT	777,703	09/29/1964	11/27/1962	(Int'l Class: 4) Anti-seize and sealing lubricant
CAT	778,638	10/13/1964	1951	(Int'l Class: 37) Service, maintenance and repair of trucks, tractors, engines, earthmoving equipment and control units therefor, generators and agricultural equipment
CAT	871,146	06/17/1969	04/12/1967	(Int'l Class: 6) Hose couplings (Int'l Class: 17) Hydraulic hose; seals for vehicles and machinery
CAT	984,444	05/21/1974	01/30/1973	(Int'l Class: 7) Lift trucks, and engines, attachments and parts therefor
CAT	1,023,137	10/21/1975	08/01/1966	(Int'l Class: 9) Batteries, fuses, electrical cables, conduits, fasteners and terminals; switches, engine starting systems, spark plugs, spark plug firing indicators, load signal systems, flashers, brake accumulator buzzers, low air pressure alarms, turn signal flashers, speedometers, odometers, tire wear gauges, tire pressure gauges, hydraulic system filter indicators, air cleaner service indicators, fuel pressure gauges, engine oil pressure gauges, torque converter temperature gauges, water temperature gauges, and service meters
CAT	1,265,041	01/24/1984	01/1970	(Int'l Class: 21) Glasses, cups and coasters (Int'l Class: 26) Cloth, iron-on, sew-on and pressure-sensitive patches
CAT	1,275,103	04/24/1984	01/1970	(Int'l Class: 16) Note pads, stationery type portfolios, pencils, pens, and decals (Int'l Class: 28) Golf balls, tees and tennis balls
CAT	1,298,364	10/02/1984	01/1970	(Int'l Class: 6) Articles of nonprecious metal-namely, key tags, key chains and name plates (Int'l Class: 14) Articles of precious metal-namely, tie tacks, belt buckles, lapel pins, watches and watch fobs (Int'l Class: 18) Leather and imitation leather goods-namely, business card cases, credit card cases, key holders, coin holders, briefcases,

				<p>garment bags for travel, duffel bags, flight bags, tote bags, umbrellas, traveling bags, purses and wallets (Int'l Class: 25) Work, sport and casual clothing-namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, mittens, rain wear, one-piece jump suits and socks (Int'l Class: 34) Cigarette lighters and ash trays</p>
<p>CAT and Design</p> 	1,579,437	01/23/1990	10/20/1988	(Int'l Class: 37) Maintenance and repair services in the field of internal combustion engines, vehicles and power equipment; namely trucks, tractors, engines, earthmoving equipment, material handling equipment, paving equipment, agricultural equipment, generators, and control units for the aforementioned
<p>CAT and Design</p> 	1,908,556	08/01/1995	06/1988	(Int'l Class: 25) Footwear
CAT	2,121,121	12/16/1997	1981	(Int'l Class: 36) Financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning
CAT	2,137,147	02/17/1998	02/02/1987	(Int'l Class: 4) Industrial oils for engines, transmissions, hydraulic systems, and drive train compartments
<p>CAT and Design</p> 	2,140,606	03/03/1998	07/13/1988	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines (Int'l Class: 12) Vehicles for earth and material hauling and handling, namely, tractors and engines therefor
CAT and Design	2,158,375	05/19/1998	04/1990	(Int'l Class: 14) Watches, clocks, cuff links, tie tacks, tie bars, belt buckles of precious metal, key chains, lapel pins, alarm clocks, wall clocks, watch bands, belt buckles, watch cases, chronographs for use as

				<p>watches, chronometers, tie clips, jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, stop watches, watch straps, tie fasteners, tie pins, parts for watches</p>
<p>CAT and Design</p> 	2,172,769	07/14/1998	1991	<p>(Int'l Class: 18) Leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, traveling bags, school book bags</p>
<p>CAT THE RENTAL STORE and Design</p> 	2,209,700	12/08/1998	10/01/1997	<p>(Int'l Class: 35) Retail rental store services in the field of construction equipment, mining equipment and generators</p>
<p>CAT and Design</p> 	2,234,260	03/23/1999	01/1990	<p>(Int'l Class: 25) Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, and socks, men's and women's shirts and jeans</p>
<p>THE CAT RENTAL STORE and Design</p> 	2,293,192	11/16/1999	10/01/1997	<p>(Int'l Class: 37) Rental services, namely, the rental of construction equipment, mining equipment, and generators</p>
<p>CAT and Design</p> 	2,358,605	06/13/2000	10/30/1999	<p>(Int'l Class: 9) Cd-rom games</p>
<p>CAT and Design</p> 	2,364,591	07/04/2000	12/1996	<p>(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management freight transportation</p>

				management, warehouse and product distribution operations management (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processes for others
CAT	2,364,592	07/04/2000	12/1996	(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processes for others
CAT and Design 	2,384,000	09/05/2000	12/1996	(Int'l Class: 16) Children's books
CAT and Design 	2,421,077	01/23/2001	12/01/1987	(Int'l Class: 28) toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys
CAT and Design 	2,423,986	01/23/2001	12/01/1987	(Int'l Class: 28) toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys
CAT	2,488,264	09/11/2001	09/30/1999	(Int'l Class: 35) Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items

CATUSED.COM	2,561,988	04/16/2002	12/01/2000	(Int'l Class: 35) Providing a searchable database, via the internet, of used construction, agricultural, paving, and forestry machinery for sale or rent
CAT ENGINEERED DURABILITY	2,674,815	01/14/2003	11/27/2001	(Int'l Class: 25) Footwear
CAT and Design 	3,162,544	01/13/2012	01/01/1988	(Int'l Class: 4) Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants
CAT	3,365,449	01/31/2013	06/1988	(Int'l Class: 25) Footwear
CAT and Design 	3,525,811	12/04/2013; 10/28/2008	01/02/2007	(Int'l Class: 7) Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
CAT and Design 	3,525,812	12/05/2013; 10/28/2008	01/02/2007	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders
CAT and Design 	3,529,358	12/02/2013; 11/04/2008	03/30/2006	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders
SAFETY.CAT.COM	3,539,374	12/28/2013; 12/02/2008	08/20/2007	(Int'l Class: 7) Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling
CAT and Design 	3,541,939	12/28/2013; 12/02/2008	08/20/2007	(Int'l Class: 7) Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material

				handling
CAT and Design 	3,750,812	02/16/2010	03/30/2006	(Int'l Class: 7) Machinery for earth moving, earth conditioning, and material handling, namely, integrated tool carriers, wheel loaders, telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor graders, industrial tractors, wheel tractor-scrappers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers; electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers (Int'l Class: 12) Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks
CAT and Design 	3,756,598	03/09/2010	11/2010	(Int'l Class: 35) Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuring engines and power generation equipment, and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment (Int'l Class: 36) Financing services; credit and loan services; lease-purchase loans (Int'l Class: 37) Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines and power generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, and paving (Int'l Class: 39) Rental of vehicles (Int'l Class: 44) Rental of equipment and machinery for use in agriculture and forestry
CAT and Design 	4,045,652	10/25/2011	12/31/2008	(Int'l Class: 1) Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics;

			<p>adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins</p> <p>(Int'l Class: 2) Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings</p> <p>(Int'l Class: 4) Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings</p> <p>(Int'l Class: 6) Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks, metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes;</p>
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				<p>clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signaling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings (Int'l Class: 7) Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings</p>
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				<p>for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats; brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines; bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators,</p>
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			<p>pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches</p> <p>(Int'l Class: 8) Hand tools, namely, taps and dies; hand jacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices</p> <p>(Int'l Class: 9) Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and</p>
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				<p>fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus; audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors</p> <p>(Int'l Class: 11) Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, led light bulbs, rear lights for vehicles, and valves as part of radiators</p> <p>(Int'l Class: 12) Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and</p>
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				<p>windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor</p> <p>(Int'l Class: 17) Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications; insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings</p> <p>(Int'l Class: 20) Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts</p>
<p>CAT and Design</p> 	4,124,547	04/10/2012	09/09/2011	(Int'l Class: 12) Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications
CAT	4,124,548	04/10/2012	09/09/2011	(Int'l Class: 12) Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications

CAT				
	4,519,310	04/22/2014	03/31/2012	(Int'l Class: 9) Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies

Attached as Exhibit A are printouts of the TESS/TARR and assignment records from the U.S. Patent and Trademark Office database showing the particulars of the CAT marks listed above.

19. Opposer owns valid and subsisting federal trademark registrations for its CATERPILLAR marks in both word and logo format for the products and services listed below, including but not limited to the following:

MARK	REG. NO.	REG. DATE	FIRST USE DATE	GOODS/SERVICES
CATERPILLAR	85,748	03/12/1912	01/1910	(Int'l Class: 4) Lubricating-oils
CATERPILLAR	85,816	03/19/1912	09/01/1904	(Int'l Class: 6, 7, 8, 9, 11, 12, 16, 21, 28) Gasoline engines, and road-working machines
CATERPILLAR	277,417	11/11/1930	09/1904	(Int'l Class: 12) Tractors, tractor engines, track links, track shoes, grousers, grease guns; road construction and maintenance machinery, tools, and equipment-namely, graders, scarifiers, plows, logging machinery, tools, and equipment-namely, logging engines, and the parts for all said goods
CATERPILLAR	345,499	04/27/1937	09/1904	(Int'l Class: 7, 12) Tractors adapted to be employed in farming operations, road building, mining, logging, earth moving, hauling, and for other industrial and agricultural purposes; internal combustion engines adapted for employment as the source of power for self-propelled vehicles, and as stationary or portable power units for industrial and agricultural uses; power and manually controlled graders, terracers, planers, scarifiers, leaning wheel graders, elevating graders, scrapers, bulldozers, levelers, plows, ditchers, bank cutters, and back slopers adapted to be employed for the construction and maintenance of roads, for moving and removing of earth, snow, and like materials, for preventing soil erosion and for other industrial and agricultural uses; harvesters, combine harvesters, mowers, push rakes, windrow headers and windrow pick-ups; implement hitches;

				parts and tools for all of said products and attachments, accessories and equipment associable with all of said products.
CATERPILLAR	506,258	02/01/1949	1941	(Int'l Class: 12) Dump-wagons, wheel tractor-dump-wagon combinations, and structural parts for such products
CATERPILLAR	531,626	10/10/1950	01/1939	(Int'l Class: 7, 8, 9) Diesel engines for marine purposes; cable-control units for controlling cable actuated equipment associated with tractors and the like; hydraulic control units for controlling hydraulically actuated equipment associated with tractors and the like; earth rippers; scraping, carrying, and dumping units adapted to be employed for scraping and collecting earth or like materials and transporting and dumping said materials; parts for all of said products; and service tools-namely, pliers, screw drivers, wrenches, hammers, grease guns, rim tools, pinch bars, and cable cutters
CATERPILLAR	551,623	12/04/1951	12/1948	(Int'l Class: 1) Rust inhibitor fluid for preventing formation of rust in the cooling system of internal combustion systems
CATERPILLAR	552,361	12/18/1951	1925	(Int'l Class: 37) Service, maintenance, and repair of internal combustion engines, tractors, scrapers, wagons, motor graders, scarifiers, bulldozers, rippers, cable-control units, hydraulic-control units, electric generator sets; plows, tool bars, and parts, tools, attachments, accessories and equipment associated with all of said products; and installation of repair parts for said products
CATERPILLAR	581,016	10/13/1953	12/1932	(Int'l Class: 28) Toy replicas of wheel tractors, track-type tractors, bulldozers, dump wagons, motor graders, scrapers, and rippers
CATERPILLAR	770,267	05/26/1964	1941	(Int'l Class: 12) Motor trucks, dump wagons, wheel tractor-dump wagon combinations, wheel tractors, and parts therefor
CATERPILLAR	832,440	07/25/1967	12/1934	(Int'l Class: 9) Electric storage batteries, electric generators and diesel electric generator sets, and parts for these products
CATERPILLAR	955,141	03/13/1973	09/30/1970	(Int'l Class: 12) Tires
CATERPILLAR	985,439	06/04/1974	09/1970	(Int'l Class: 7) Lift trucks, and engines, attachments and parts therefor
CATERPILLAR	1,267,209	02/14/1984	02/1962	(Int'l Class: 21) Glasses, cups and coasters (Int'l Class: 26) Cloth, iron-on, sew-on and pressure-sensitive patches
CATERPILLAR	1,280,488	06/05/1984	01/1970	(Int'l Class: 6) Articles of nonprecious metal-namely, key tags, key chains, money clips and name plates (Int'l Class: 14) Articles of precious metal-namely, belt buckles, key chains and lapel pins; clocks; jewelry watch fobs of leather (Int'l Class: 18) Leather and imitation leather goods-namely, wallets, key holders, business card cases, credit card cases, coin purses, briefcases, garment

				bags for travel, duffel bags, flight bags, tote bags and purses (Int'l Class: 25) Caps, ties, scarves, headwear and wrist bands (Int'l Class: 34) Matches, cigarette lighters and ash trays
CATERPILLAR	1,280,686	06/05/1984	02/1962	(Int'l Class: 16) Note pads, binders, stationery-type portfolios, calendars, pencils, pens, decals, coloring and game books, company magazines, newsletters, bulletins, playing cards, paper coasters, photo albums and check book holders
CATERPILLAR and Design 	1,579,438	01/23/1990	10/20/1988	(Int'l Class: 37) Maintenance and repair services in the field of internal combustion engines, vehicles and power equipment; namely trucks, tractors, engines, earthmoving equipment, material handling equipment, paving equipment agricultural equipment, generators, and control units for the aforementioned
CATERPILLAR and Design 	1,911,472	08/15/1995	06/1988	(Int'l Class: 25) Footwear
CATERPILLAR	2,121,122	12/16/1997	1981	(Int'l Class: 36) Financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning
CATERPILLAR and Design 	2,140,605	03/03/1998	07/13/1988	(Int'l Class: 7) Machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines (Int'l Class: 12) Vehicles for earth and material hauling and handling, namely, tractors and engines therefor
CATERPILLAR and Design 	2,156,340	05/12/1998	04/1990	(Int'l Class: 14) Watches, clocks, cuff links, tie tacks, tie bars, belt buckles of precious metal, key chains, lapel pins, alarm clocks, wall clocks, watch bands, belt buckles, watch cases, chronographs for use as watches, chronometers, tie clips, jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, stop watches, watch straps, tie fasteners, tie pins, parts for watches
CATERPILLAR and Design	2,182,134	08/18/1998	1991	(Int'l Class: 18) Leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment

CATERPILLAR				bags for travel, back packs, duffel bags, flight bags, tote bags, traveling bags, school book bags
CATERPILLAR and Design CATERPILLAR	2,234,261	03/23/1999	01/1990	(Int'l Class: 25) Work, sport and casual clothing namely, caps, belts, headwear, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, one-piece jump suits and socks, men's and women's shirts and jeans
CATERPILLAR and Design CATERPILLAR	2,364,588	07/04/2000	12/1996	(Int'l Class: 35) Business management and consultation services, namely, product distribution operations management services logistics consulting service, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others (Int'l Class: 39) Warehousing services (Int'l Class: 42) Design of computerized information systems for managing logistics and product distribution processed for others
CATERPILLAR and Design CATERPILLAR	2,439,011	03/27/2001	12/01/1987	(Int'l Class: 28) Puzzles, namely, jigsaw; games, namely, board; and toys, namely, electric action toys, toy vehicles and construction-site themed playsets, radio-controlled toy vehicles, and construction toys; all relating to earthmoving equipment and none relating to caterpillars
CATERPILLAR and Design CATERPILLAR	2,448,848	05/08/2001	07/13/1988	(Int'l Class: 7) Hydraulic excavators; mini hydraulic excavators; wheeled excavators; front shovels; backhoe loaders; skid steer loaders; compact wheel loaders; wheel loaders; integrated toolcarriers; telescopic handlers; track loaders; wheel tractor-scrappers; track-type tractors; wheel dozers; motor graders; soil compactors; cold planers; road reclaimers; asphalt pavers; vibratory compactors; marine engines; industrial engines; diesel generator sets; gas generator sets; demolition machines and scrap material handlers for use therewith namely, blades, buckets, crushers, grapples, hammers, hydraulic brooms, mobile shears, pallet forks, pulverizers, and rakes; log loaders; combines; pipelayers; mining shovels; waste handling machines; and parts for all the above (Int'l Class: 12) Off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above
CATERPILLAR and Design CATERPILLAR	2,483,573	08/28/2001	06/01/1995	(Int'l Class: 9) Eyeglasses and sunglasses

CATERPILLAR	2,517,975	12/11/2001	06/01/1988	(Int'l Class: 9) Eyeglasses and sunglasses
CATERPILLAR and Design 	3,165,185	10/31/2006	01/01/1988	(Int'l Class: 4) Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants
CATERPILLAR	3,365,450	01/08/2008	06/1988	(Int'l Class: 25) Footwear
CATERPILLAR	3,907,101	01/18/2011	01/1988	(Int'l Class: 25) Clothing, namely, belts, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, socks, shirts, and jeans
CATERPILLAR	4,193,027	08/21/2012	1910	(Int'l Class: 7) Engines, other than for land vehicles; engines not for land vehicles, compressors for machines, electricity generators, and pumps for machines, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; machines and machine tools for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair (Int'l Class: 12) Land vehicles for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; locomotives; engines for land vehicles

Attached as Exhibit B are printouts of the TESS/TARR and assignment records from the U.S. Patent and Trademark Office database showing the particulars of the CATERPILLAR Marks. The products and services identified in Paragraphs 2-17 and in the two tables of Paragraphs 18 and 19 are collectively referred to as “Opposer’s Products and Services.” The CAT and CATERPILLAR marks listed in paragraphs 18 and 19 are collectively the “Opposer’s Marks.”

20. Opposer’s Marks are valid and subsisting, and constitute *prima facie*

evidence and constructive notice of Opposer's rights in the CATERPILLAR and CAT names and marks. These registrations also evidence the wide scope of protection to which the CAT and CATERPILLAR marks are entitled. Moreover, many of the registrations listed above are incontestable pursuant to 15 U.S.C. § 1065(b), thus constituting conclusive evidence of Opposer's exclusive right to use the marks for the products and services specified in the registrations pursuant to 15 U.S.C. §§ 1065 and 1115(b).

Applicant's Applications

21. Upon information and belief, Applicant has an address at 2500 Via Cabrillo Marina, Suite 300, San Pedro, CA 90731.

22. Applicant is the listed owner of the Applications for Applicant's Marks, which are both for "Emissions control system in the nature of a hot gas filtration device comprised primarily of a ceramic catalytic filter for motors, engines, or exhausts for use by marine vessels to control and treat emissions" in Class 7 ("Applicant's Goods"). The Applications were each filed based upon the Applicant's intent-to-use the applicable mark and Applicant has not yet submitted any evidence of use.

Likelihood of Confusion, 15 U.S.C. § 1052(d)

23. Opposer repeats and realleges each and every allegation set forth in Paragraphs 1 through 22.

24. Applicant's Marks, as applied to Applicant's Goods, each so closely resemble Opposer's previously used trade names CAT and CATERPILLAR and Opposer's Marks used with Opposer's Products and Services, that such use by Applicant in conjunction with Applicant's Goods has or will cause confusion, mistake, or deception in the trade, and relevant consumers are likely to believe that Applicant's Goods are associated with, or connected with, or approved, sponsored, or authorized by Opposer, or that Applicant's Goods otherwise originate

from the same source, when such is not the case.

25. Applicant's Marks, as applied to Applicant's Goods, each so closely resemble Opposer's previously used trade names CAT and CATERPILLAR and registered Opposer's Marks used with Opposer's Products and Services, that Applicant's Marks, when applied to Applicant's Goods would be likely to or will cause confusion, mistake, or deception within the meaning of Section 2(d) of the Trademark Act, all to the damage of Opposer.

26. Opposer, itself or through its predecessors-in-interest and related companies, has priority through its use and registration of Opposer's Marks for Opposer's Products and Services, as noted in Paragraphs 2-20 above, long before the filing date of each of the opposed Applications, and any date of first use that may be alleged by Applicant.

27. Applicant had constructive knowledge of Opposer's CAT and CATERPILLAR marks before filing each of the Applications. Upon information and belief, based on the renown and fame of Opposer's CAT and CATERPILLAR marks, Applicant had actual knowledge of Opposer's CAT and CATERPILLAR marks before filing each of the Applications.

28. Opposer did not authorize Applicant's adoption, use or registration of Applicant's Marks.

Dilution, 15 U.S.C. §1125(c)(1)

29. Opposer repeats and realleges each and every allegation set forth in paragraphs 1 through 28.

30. Opposer's Marks are inherently distinctive.

31. Because of the exceptionally high quality of the products and services provided by Opposer under Opposer's Marks, and the enormous amounts of money, time, and effort devoted by Opposer to the development and promotion of its products bearing and advertised under Opposer's Marks, Opposer's Marks have become famous as designations for the source

of Opposer's Products and Services.

32. Opposer's Marks have come to be widely recognized and associated exclusively with Opposer in the minds of the general consuming public of the United States.

33. Opposer's Marks are famous and became famous well prior to the filing date of each of the Applications and any date of first use that may be alleged by Applicant.

34. Applicant's Marks as provided in the Applications each so closely resemble Opposer's previously used and registered Opposer's Marks as to dilute or to be likely to cause dilution of the distinctive quality of the famous Opposer's Marks in violation of Section 43(c), 15 U.S.C. § 1125(c).

35. Opposer, itself or through its predecessors-in-interest and related companies, has priority through its use and registration of Opposer's Marks for Opposer's Products and Services, as noted in Paragraphs 2-20 above, since long before the filing date of each of the Applications, and any date of first use that may be alleged by Applicant.

36. Opposer did not authorize Applicant's adoption, use, or registration of Applicant's Marks.

WHEREFORE, Opposer will be damaged by registration of the Applications, Opposer prays that each of Application Serial Nos. 86727182 and 86923668 be denied; that the Applications each be refused registration; and that this Opposition be sustained in Opposer's favor.

Opposer hereby gives notice under 37 C.F.R. § 2.122(d)(1) that it will rely on its registrations as seen in Exhibits A and B as evidence in Support of this Consolidated Notice of Opposition. The registrations are evidenced by the attached printouts from the United States

Patent and Trademark Office trademarks database, showing current status and title of the registration and application.

Caterpillar Inc. submits herewith the opposition fee in the amount of \$300 per class, per application (\$600). The Commissioner is hereby authorized to charge any additional fees which may be required regarding this Opposition, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Dated: September 15, 2016

Respectfully submitted,

FOLEY & LARDNER LLP

By: /R.J. McKenna/

Richard J. McKenna
Jeffrey A. Simmons
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367
Ph: (414) 271-2400
Fax: (414) 297-4900
Email: IPDocketing@foley.com
Counsel for Opposer

CERTIFICATE OF SERVICE

I certify that a true and accurate copy of the foregoing CONSOLIDATED NOTICE OF OPPOSITION was served by first class mail, postage prepaid, on this 15th day of September 2016, upon Applicant's Attorney at the following address:

Jennifer H. Hamilton
Avyno Law P C
6345 Balboa Blvd Bldg IV
Encino, CA 91316-1519

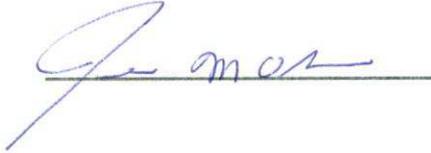
A handwritten signature in blue ink, appearing to read "J. Hamilton", is written over a horizontal line.

EXHIBIT A-1

TESS/TARR PRINTOUTS FOR CAT MARKS



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Typed Drawing

Word Mark CAT

Goods and Services IC 007. US 019. G & S: DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS. FIRST USE: 19490217. FIRST USE IN COMMERCE: 19490217

IC 012. US 021. G & S: ELECTRIC GENERATORS AND DIESEL ELECTRIC [ELECTRIC] GENERATOR SETS, AND PARTS FURNISHED WITH SAID PRODUCTS. FIRST USE: 19481209. FIRST USE IN COMMERCE: 19481209

IC 007. US 023. G & S: DIESEL AND OTHER INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL, MARINE AND AGRICULTURAL USES; SCRAPING, CARRYING AND DUMPING UNITS ADAPTED TO BE EMPLOYED FOR SCRAPING AND COLLECTING EARTH, ROCK, OR LIKE MATERIALS AND TRANSPORTING AND DUMPING SAID MATERIALS; POWER AND MANUALLY CONTROLLED GRADERS, SCARIFIERS, SCRAPERS, AND RIPPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, ROCK, SNOW AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; TRACTORS FOR INDUSTRIAL AND AGRICULTURAL PURPOSES; AND PARTS AND SERVICE TOOLS FURNISHED WITH SAID PRODUCTS. FIRST USE: 19481206. FIRST USE IN COMMERCE: 19481206

Mark Drawing Code (1) TYPED DRAWING

Serial Number 71575190

Filing Date March 10, 1949

Current Basis 1A

Original Filing Basis 1A

Registration Number 0564272

Registration Date September 23, 1952

Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT DELAWARE 100 N.E. ADAMS STREET PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 0079056;0085816;0120744;0131491;0134971;0137035;0277417;0345499;0504829; 0506258;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECTION 8(10-YR) 20120923.

Renewal 4TH RENEWAL 20120923

Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71575190](#)

Filing Dt: 03/10/1949

Reg #: [564272](#)

Reg. Dt: 09/23/1952

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.

C/O EUGENE C. GOODALE

PEORIA, IL 61629

Search Results as of: 05/10/2016 04:40 PM

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Word Mark	CAT
Goods and Services	IC 028. US 022. G & S: Toy Replicas of [Wheel Tractors,] Track-Type Tractors, Tractor Loaders, Bulldozers, [Dump Wagons,] Motor Graders [, Scrapers and Rippers]. FIRST USE: 19550900. FIRST USE IN COMMERCE: 19550900
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72137716
Filing Date	February 12, 1962
Current Basis	1A
Original Filing Basis	1A
Registration Number	0742294
Registration Date	December 18, 1962
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20121017.
Renewal	3RD RENEWAL 20121017
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72137716](#)

Filing Dt: 02/12/1962

Reg #: [742294](#)

Reg. Dt: 12/18/1962

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.

C/O EUGENE C. GOODALE

PEORIA, IL 61629

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Word Mark	CAT
Goods and Services	IC 012. US 019. G & S: Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor. FIRST USE: 19490217. FIRST USE IN COMMERCE: 19490217
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72174081
Filing Date	July 31, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	0770639
Registration Date	June 2, 1964
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. Washington St. East Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;0742294
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140505.
Renewal	3RD RENEWAL 20140505
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: [72174081](#)

Filing Dt: 07/31/1963

Reg #: [770639](#)

Reg. Dt: 06/02/1964

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: [2794/0516](#)

Recorded: 07/17/2003

Pages: 3

Conveyance: CHANGE OF NAME

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 07/02/2003

Entity Type: CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620

Correspondent: GENE BOLMARCICH
100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Word Mark CAT

Goods and Services IC 004. US 015. G & S: Anti-Seize and Sealing Lubricant. FIRST USE: 19621127. FIRST USE IN COMMERCE: 19621127

Mark Drawing Code (1) TYPED DRAWING

Serial Number 72181522

Filing Date November 20, 1963

Current Basis 1A

Original Filing Basis 1A

Registration Number **0777703**

Registration Date September 29, 1964

Owner (REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. East Peoria ILLINOIS

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. EAST PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Christopher P. Foley

Prior Registrations 0056427;0074229

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECTION 8(10-YR) 20140919.

Renewal 3RD RENEWAL 20140919

Live/Dead Indicator LIVE

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Assignments on the Web > Trademark Query

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: [72181522](#)

Filing Dt: 11/20/1963

Reg #: [777703](#)

Reg. Dt: 09/29/1964

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)
100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: [2794/0516](#)

Recorded: 07/17/2003

Pages: 3

Conveyance: CHANGE OF NAME

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 07/02/2003

Entity Type: CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)
100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620

Correspondent: GENE BOLMARCICH
100 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 037. US 103. G & S: Service, Maintenance and Repair of Trucks, Tractors, Engines, Earthmoving Equipment and Control Units Therefor, Generators and Agricultural Equipment. FIRST USE: 19510000. FIRST USE IN COMMERCE: 19510000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72164427
Filing Date	March 12, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	0778638
Registration Date	October 13, 1964
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. EAST PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christopher P. Foley
Prior Registrations	0056427
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140920.
Renewal	3RD RENEWAL 20140920
Live/Dead Indicator	LIVE

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Assignments on the Web > Trademark Query

Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: [72164427](#)

Filing Dt: 03/12/1963

Reg #: [778638](#)

Reg. Dt: 10/13/1964

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.

C/O EUGENE C. GOODALE

PEORIA, IL 61629

Assignment: 2

Reel/Frame: [2794/0516](#)

Recorded: 07/17/2003

Pages: 3

Conveyance: CHANGE OF NAME

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 07/02/2003

Entity Type: CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS 61629-9620

Correspondent: GENE BOLMARCICH

100 N.E. ADAMS ST.

PEORIA, IL 61629-9620

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Word Mark	CAT
Goods and Services	IC 006. US 013. G & S: HOSE COUPLINGS. FIRST USE: 19670412. FIRST USE IN COMMERCE: 19670427 IC 017. US 035. G & S: HYDRAULIC HOSE; SEALS FOR VEHICLES AND MACHINERY. FIRST USE: 19670412. FIRST USE IN COMMERCE: 19670427
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72299526
Filing Date	June 3, 1968
Current Basis	1A
Original Filing Basis	1A
Registration Number	0871146
Registration Date	June 17, 1969
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602 (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 NE. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20090402.
Renewal	2ND RENEWAL 20090402
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72299526](#)

Filing Dt: 06/03/1968

Reg #: [871146](#)

Reg. Dt: 06/17/1969

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CAT

Word Mark CAT
Goods and Services IC 007. US 023. G & S: LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR. FIRST USE: 19730130. FIRST USE IN COMMERCE: 19730130
Mark Drawing Code (1) TYPED DRAWING
Serial Number 72452924
Filing Date March 29, 1973
Current Basis 1A
Original Filing Basis 1A
Registration Number 0984444
Registration Date May 21, 1974
Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602
 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded ASSIGNMENT RECORDED
Attorney of Record Whitney Devin Cooke
Prior Registrations 0564272;0770639
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140408.
Renewal 3RD RENEWAL 20140408
Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72452924](#)

Filing Dt: 03/29/1973

Reg #: [984444](#)

Reg. Dt: 05/21/1974

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Typed Drawing

Word Mark CAT
Goods and Services IC 009. US 021 026. G & S: BATTERIES, FUSES, ELECTRICAL CABLES, CONDUITS, FASTENERS AND TERMINALS; SWITCHES, ENGINE STARTING SYSTEMS, SPARK PLUGS, SPARK PLUG FIRING INDICATORS, LOAD SIGNAL SYSTEMS, FLASHERS, BRAKE ACCUMULATOR BUZZERS, LOW AIR PRESSURE ALARMS, TURN SIGNAL FLASHERS, SPEEDOMETERS, ODOMETERS, TIRE WEAR GAUGES, TIRE PRESSURE GAUGES, HYDRAULIC SYSTEM FILTER INDICATORS, AIR CLEANER SERVICE INDICATORS, FUEL PRESSURE GAUGES, ENGINE OIL PRESSURE GAUGES, TORQUE CONVERTER TEMPERATURE GAUGES, WATER TEMPERATURE GAUGES, AND SERVICE METERS. FIRST USE: 19660801. FIRST USE IN COMMERCE: 19660801

Mark Drawing Code (1) TYPED DRAWING
Serial Number 73001719
Filing Date September 24, 1973
Current Basis 1A
Original Filing Basis 1A
Registration Number 1023137
Registration Date October 21, 1975
Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded ASSIGNMENT RECORDED
Attorney of Record Christopher P. Foley
Prior Registrations 0564272

Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150528.
Renewal 3RD RENEWAL 20150528
Live/Dead Indicator LIVE

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Assignments on the Web > Trademark Query

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73001719](#)

Filing Dt: 09/24/1973

Reg #: [1023137](#)

Reg. Dt: 10/21/1975

Registrant: CATERPILLAR TRACTOR CO.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.

C/O EUGENE C. GOODALE

PEORIA, IL 61629

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Word Mark	CAT
Goods and Services	IC 021. US 002 033. G & S: Glasses, Cups and Coasters. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 026. US 040. G & S: Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392836
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 1, 1983
Registration Number	1265041
Registration Date	January 24, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST PEORIA ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0742294;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140212.
Renewal	2ND RENEWAL 20140212
Live/Dead Indicator	LIVE

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Assignments on the Web > Trademark Query

Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392836](#)

Filing Dt: 09/29/1982

Reg #: [1265041](#)

Reg. Dt: 01/24/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark	CAT
Goods and Services	IC 016. US 037 038. G & S: Note Pads, Stationery Type Portfolios, Pencils, Pens, and Decals. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	(CANCELLED) IC 028. US 022. G & S: Golf Balls, Tees and Tennis Balls. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392835
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	January 31, 1984
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	1275103
Registration Date	April 24, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629
	(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 NE ADAMS ST PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0564272;0742294;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. PARTIAL SECT 8 (6-YR). SECTION 8(10-YR) 20140329.
Renewal	2ND RENEWAL 20140329
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392835](#)

Filing Dt: 09/29/1982

Reg #: [1275103](#)

Reg. Dt: 04/24/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Search Results as of: 05/10/2016 05:36 PM

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Typed Drawing

Word Mark	CAT
Goods and Services	IC 006. US 002 012 013 014 023 025 050. G & S: Articles of Nonprecious Metal-Namely, Key Tags, Key Chains [and Name Plates]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 014. US 002 027 028 050. G & S: Articles of Precious Metal-Namely, [Tie Tacks,] Belt Buckles, Lapel Pins, Watches [and Watch Fobs]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 018. US 003 041. G & S: Leather and Imitation Leather Goods-Namely, Business Card Cases, Credit Card Cases, Key Holders, Coin Holders, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags, Umbrellas, Traveling Bags, Purses and Wallets. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 025. US 039. G & S: Work, Sport and Casual Clothing-Namely, Caps, Belts, Ties, Scarves, Headwear, T-Shirts, Shorts, Sweat Pants, Sweat Shirts, Jackets, Vests, Gloves, Mittens, Rain Wear, One-Piece Jump Suits and Socks. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
	IC 034. US 002 008 009 017. G & S: Cigarette Lighters [and Ash Trays]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392831
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	July 24, 1984
Registration Number	1298364

Registration Date October 2, 1984

Owner (REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PERORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Christopher P. Foley

Prior Registrations 0564272;0742294;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140919.

Renewal 2ND RENEWAL 20140919

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392831](#)

Filing Dt: 09/29/1982

Reg #: [1298364](#)

Reg. Dt: 10/02/1984

Registrant: Caterpillar Tractor Co.

Mark: CAT

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.

PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.

C/O EUGENE C. GOODALE

PEORIA, IL 61629

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Word Mark CAT
Goods and Services IC 037. US 103. G & S: MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT, AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED. FIRST USE: 19881020. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code 26.05.21 - Triangles that are completely or partially shaded
 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number 73762371
Filing Date November 7, 1988
Current Basis 1A
Original Filing Basis 1A
Published for Opposition October 31, 1989
Registration Number 1579437
Registration Date January 23, 1990
Owner (REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. ADAMS STREET PEORIA ILLINOIS 61629
Attorney of Record Christie Baty Heinze
Prior Registrations 0564272;0778638;AND OTHERS
Type of Mark SERVICE MARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091006.
Renewal 2ND RENEWAL 20091006
Live/Dead Indicator LIVE

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For Serial Number: 73762371

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Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19890600
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	74451720
Filing Date	October 28, 1993
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 9, 1995
Registration Number	1908556
Registration Date	August 1, 1995
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Christopher P. Foley
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150508.
Renewal	2ND RENEWAL 20150508
Live/Dead Indicator	LIVE

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For Serial Number: 74451720

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Word Mark CAT

Goods and Services IC 036. US 100 101 102. G & S: financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning. FIRST USE: 19810000. FIRST USE IN COMMERCE: 19810000

Mark Drawing Code (1) TYPED DRAWING

Serial Number 75139425

Filing Date July 24, 1996

Current Basis 1A

Original Filing Basis 1A

Published for Opposition September 23, 1997

Registration Number 2121121

Registration Date December 16, 1997

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 1290411;1579437;AND OTHERS

Type of Mark SERVICE MARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20070509.

Renewal 1ST RENEWAL 20070509

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For Serial Number: 75139425

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Word Mark	CAT
Goods and Services	IC 004. US 001 006 015. G & S: industrial oils for engines, transmissions, hydraulic systems, and drive train compartments. FIRST USE: 19870202. FIRST USE IN COMMERCE: 19870202
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75236983
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	November 25, 1997
Registration Number	2137147
Registration Date	February 17, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080216.
Renewal	1ST RENEWAL 20080216
Live/Dead Indicator	LIVE

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For Serial Number: 75236983

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
	IC 012. US 019 021 023 031 035 044. G & S: vehicles for earth and material hauling and handling, namely, tractors and engines therefor. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75236985
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 9, 1997
Registration Number	2140606
Registration Date	March 3, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Kevin C. Earle
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080331.
Renewal	1ST RENEWAL 20080331
Live/Dead Indicator	LIVE

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For Serial Number: 75236985

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Word Mark	CAT
Goods and Services	IC 014. US 002 027 028 050. G & S: watches, clocks, cuff links, tie tacks, tie bars, [bracelets, pendants,] belt buckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases, [jewelry chains, watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches. FIRST USE: 19900400. FIRST USE IN COMMERCE: 19900400
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	75205498
Filing Date	November 29, 1996
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	February 24, 1998
Registration Number	2158375
Registration Date	May 19, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze
Prior Registrations 1280488;AND OTHERS
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080802.
Renewal 1ST RENEWAL 20080802
Live/Dead Indicator LIVE

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Word Mark	CAT
Goods and Services	IC 018. US 001 002 003 022 041. G & S: leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,] school book bags [, shoulder hand bags, purses]. FIRST USE: 19910000. FIRST USE IN COMMERCE: 19910000
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75296705
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 21, 1998
Registration Number	2172769
Registration Date	July 14, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze

Prior Registrations 1265565;1298364;1908556;AND OTHERS
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080723.
Renewal 1ST RENEWAL 20080723
Live/Dead Indicator LIVE

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For Serial Number: 75296705

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Word Mark	CAT THE RENTAL STORE
Goods and Services	IC 035. US 100 101 102. G & S: retail rental store services in the field of construction equipment, mining equipment and generators. FIRST USE: 19971001. FIRST USE IN COMMERCE: 19980129
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.01.21 - Circles that are totally or partially shaded. 26.05.21 - Triangles that are completely or partially shaded 26.11.12 - Rectangles with bars, bands and lines 26.11.21 - Rectangles that are completely or partially shaded
Serial Number	75270839
Filing Date	April 3, 1997
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	February 3, 1998
Registration Number	2209700
Registration Date	December 8, 1998
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Christie Baty Heinze
Prior	0277417;0345499;0506258;AND OTHERS

Registrations

Disclaimer NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "THE RENTAL STORE" APART FROM THE MARK AS SHOWN

Description of Mark The mark is lined for the color yellow.

Type of Mark SERVICE MARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081121.

Renewal 1ST RENEWAL 20081121

Live/Dead Indicator LIVE

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For Serial Number: 75270839

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Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: Work, sport and casual clothing, namely, caps, belts, ties, scarves, headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, [one-piece jump suits] and socks, men's and women's shirts and jeans. FIRST USE: 19900100. FIRST USE IN COMMERCE: 19900100
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75455116
Filing Date	March 23, 1998
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 29, 1998
Registration Number	2234260
Registration Date	March 23, 1999
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1298364;1908556;2172769;AND OTHERS
Type of Mark Register	TRADEMARK PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081222.
Renewal	1ST RENEWAL 20081222
Live/Dead Indicator	LIVE

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Word Mark	THE CAT RENTAL STORE
Goods and Services	IC 037. US 100 103 106. G & S: rental services, namely, the rental of construction equipment, mining equipment, and generators. FIRST USE: 19971001. FIRST USE IN COMMERCE: 19980129
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.01.21 - Circles that are totally or partially shaded. 26.05.02 - Plain single line triangles; Triangles, plain single line 26.11.01 - Rectangles as carriers or rectangles as single or multiple line borders
Serial Number	75296724
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	May 19, 1998
Registration Number	2293192
Registration Date	November 16, 1999
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Christie Baty Heinze
Prior Registrations	0778638;1579437
Disclaimer	NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "THE RENTAL STORE" APART FROM THE MARK AS SHOWN
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090303.
Renewal	1ST RENEWAL 20090303
Live/Dead Indicator	LIVE

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Word Mark	CAT
Goods and Services	IC 009. US 021 023 026 036 038. G & S: CD-ROM games. FIRST USE: 19991030. FIRST USE IN COMMERCE: 19991030
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75550438
Filing Date	September 9, 1998
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	June 8, 1999
Registration Number	2358605
Registration Date	June 13, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 616296480
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091016.
Renewal	1ST RENEWAL 20091016
Live/Dead Indicator	LIVE

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For Serial Number: 75550438

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Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: BUSINESS MANAGEMENT AND CONSULTATION SERVICES, NAMELY, PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT SERVICES; LOGISTICS CONSULTING SERVICES, NAMELY, PROVIDING CONTRACT LOGISTICS SERVICES AND CONSULTING IN THE AREAS OF INVENTORY MANAGEMENT FREIGHT TRANSPORTATION MANAGEMENT, WAREHOUSE AND PRODUCT DISTRIBUTION OPERATIONS MANAGEMENT. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
	IC 039. US 100 105. G & S: warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
	IC 042. US 100 101. G & S: DESIGN OF COMPUTERIZED INFORMATION SYSTEMS FOR MANAGING LOGISTICS AND PRODUCT DISTRIBUTION PROCESSES FOR OTHERS. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75788362
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Registration	

Number 2364591
Registration Date July 4, 2000
Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record Christie Baty Heinze
Prior Registrations 0564272;0770639;0778638;AND OTHERS
Type of Mark SERVICE MARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090911.
Renewal 1ST RENEWAL 20090911
Live/Dead Indicator LIVE

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Attorney Docket Nos.: 017330-1133
017330-0992

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CAT

Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
	IC 039. US 100 105. G & S: warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
	IC 042. US 100 101. G & S: Design of computerized information systems for managing logistics and product distribution processes for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75788387
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Registration Number	2364592
Registration	

Date July 4, 2000
Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record Christie Baty Heinze
Prior Registrations 0564272;0770639;0778638;AND OTHERS
Type of Mark SERVICE MARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090922.
Renewal 1ST RENEWAL 20090922
Live/Dead Indicator LIVE

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Word Mark	CAT
Goods and Services	IC 016. US 002 005 022 023 029 037 038 050. G & S: children's books. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75552003
Filing Date	September 10, 1998
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	October 19, 1999
Registration Number	2384000
Registration Date	September 5, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 616296480
Attorney of Record	Christie Baty Heinze
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091007.
Renewal	1ST RENEWAL 20091007
Live/Dead Indicator	LIVE

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For Serial Number: 75552003

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Word Mark CAT

Goods and Services IC 007. US 013 019 021 023 031 034 035. G & S: HYDRAULIC EXCAVATORS; MINI HYDRAULIC EXCAVATORS; WHEELED EXCAVATORS; FRONT SHOVELS; BACKHOE LOADERS; SKID STEER LOADERS; COMPACT WHEEL LOADERS; WHEEL LOADERS; INTEGRATED TOOLCARRIERS; TELESCOPIC HANDLERS; TRACK LOADERS; WHEEL TRACTOR-SCRAPERS; TRACK-TYPE TRACTORS; WHEEL DOZERS; MOTOR GRADERS; SOIL COMPACTORS; COLD PLANERS; ROAD RECLAIMERS; ASPHALT PAVERS; VIBRATORY COMPACTORS; MARINE ENGINES; INDUSTRIAL ENGINES; DIESEL GENERATOR SETS; GAS GENERATOR SETS; DEMOLITION MACHINES AND SCRAP MATERIAL HANDLERS FOR USE THEREWITH, namely, BLADES, BUCKETS, CRUSHERS, GRAPPLERS, HAMMERS, HYDRAULIC BROOMS, MOBILE SHEARS, PALLET FORKS; PULVERIZERS, AND RAKES; LOG LOADERS; [COMBINES;] PIPELAYERS; MINING SHOVELS; WASTE HANDLING MACHINES; AND PARTS FOR ALL THE ABOVE. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020

IC 012. US 019 021 023 031 035 044. G & S: off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded

Serial Number 75830492

Filing Date November 12, 1999

Current Basis 1A

Original Filing Basis 1A

Published for Opposition October 24, 2000
Registration Number 2421077
Registration Date January 16, 2001
Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS 61629
Attorney of Record Jonathan M. Gelchinsky
Prior Registrations 2140605;2140606
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20101208.
Renewal 1ST RENEWAL 20101208
Live/Dead Indicator LIVE

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Word Mark	CAT
Goods and Services	IC 028. US 022 023 038 050. G & S: [jigsaw puzzles, board games, hand-held electronic games involving computer generated images of applicant's earth-moving vehicles, battery operated ride on toys,] toy vehicles, construction site themed playsets, radio controlled toy vehicles, and construction toys. FIRST USE: 19871201. FIRST USE IN COMMERCE: 19871201
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75645252
Filing Date	February 22, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	February 1, 2000
Registration Number	2423986
Registration Date	January 23, 2001
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior	0742294

Registrations

Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20100521.
Renewal 1ST RENEWAL 20100521
Live/Dead Indicator LIVE

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CAT

Word Mark	CAT
Goods and Services	IC 035. US 100 101 102. G & S: Retail store services in the field of clothing, footwear, toys, tote and sport bags, watches, sunglasses, eyeglasses, books, sporting goods and gift items. FIRST USE: 19990930. FIRST USE IN COMMERCE: 19990930
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	75621863
Filing Date	January 22, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	April 25, 2000
Registration Number	2488264
Registration Date	September 11, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296480
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0742294;1265041;1298364;1908556;2158375;2172769;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20101101.
Renewal	1ST RENEWAL 20101101
Live/Dead Indicator	LIVE

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Word Mark	CATUSED.COM
Goods and Services	IC 035. US 100 101 102. G & S: PROVIDING A SEARCHABLE DATABASE, VIA THE INTERNET, OF USED CONSTRUCTION, AGRICULTURAL, PAVING, AND FORESTRY MACHINERY FOR SALE OR RENT. FIRST USE: 20001201. FIRST USE IN COMMERCE: 20001201
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	78056213
Filing Date	April 2, 2001
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	January 22, 2002
Registration Number	2561988
Registration Date	April 16, 2002
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0984444;2140606;AND OTHERS
Type of Mark Register	SERVICE MARK PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20120503.
Renewal	1ST RENEWAL 20120503
Live/Dead Indicator	LIVE

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CAT ENGINEERED DURABILITY

Word Mark	CAT ENGINEERED DURABILITY
Goods and Services	IC 025. US 022 039. G & S: FOOTWEAR. FIRST USE: 20011127. FIRST USE IN COMMERCE: 20011127
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	76384020
Filing Date	March 15, 2002
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 22, 2002
Registration Number	2674815
Registration Date	January 14, 2003
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1265565;1298364;1908556;2234260;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20130204.
Renewal	1ST RENEWAL 20130204
Live/Dead Indicator	LIVE

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For Serial Number: 76384020

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Word Mark	CAT
Goods and Services	IC 004. US 001 006 015. G & S: Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants. FIRST USE: 19880101. FIRST USE IN COMMERCE: 19880101
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	78766626
Filing Date	December 5, 2005
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	August 8, 2006
Registration Number	3162544
Registration Date	October 24, 2006
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christopher P. Foley

Prior Registrations 0777703;2137147
Description of Mark The mark consists of a triangle below the letter A in CAT.
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20160318.
Renewal 1ST RENEWAL 20160318
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For Serial Number: 7876626

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CAT

Word Mark	CAT
Goods and Services	IC 025. US 022 039. G & S: Footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19880600
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark
Serial Number	77184659
Filing Date	May 18, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 23, 2007
Registration Number	3365449
Registration Date	January 8, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Jonathan M. Gelchinsky
Prior Registrations 1908556;2488264;2674815;AND OTHERS
Type of Mark TRADEMARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR).
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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Attachments, namely, asphalt cutters, hydraulic brooms, vibratory compactors, cutting jaws, multi-processors, tillers, trenchers, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling. FIRST USE: 20070102. FIRST USE IN COMMERCE: 20070102
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.12 - Triangles with bars, bands and lines 26.05.21 - Triangles that are completely or partially shaded 26.11.21 - Rectangles that are completely or partially shaded 26.11.25 - Rectangles with one or more curved sides
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark SHAPES-BAR-BANDS Designs with bar, bands or lines SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815915
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	3525811

Registration Date October 28, 2008

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 2140606;2421077

Description of Mark The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning and material handling, namely, backhoe loaders, track excavators, wheeled excavators, telescoping material handlers, track material handlers, wheeled material handlers, underground mining loaders. FIRST USE: 20070102. FIRST USE IN COMMERCE: 20070102
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.13 - Triangles, exactly two triangles; Two triangles 26.05.21 - Triangles that are completely or partially shaded 26.05.28 - Miscellaneous designs with overall triangular shape; Triangular shape (miscellaneous overall shape)
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-MISC Miscellaneous shaped designs SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815922
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	3525812
Registration Date	October 28, 2008

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 2140606;2421077

Description of Mark The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

Live/Dead Indicator LIVE

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning and material handling, namely, track skidders, track feller bunchers, wheeled feller bunchers and forwarders. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.11.12 - Rectangles with bars, bands and lines 26.11.21 - Rectangles that are completely or partially shaded
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78980626
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	3529358
Registration Date	November 4, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 2140606;2421077

Description of Mark The color(s) yellow, black and red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background, and a red edge at the right-hand side of the mark. The word "CAT" is white.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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Attorney Docket Nos.: 017330-1133
017330-0992

EXHIBIT A-4

TESS/TARR PRINTOUTS FOR CAT MARKS



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SAFETY.CAT.COM

Word Mark	SAFETY.CAT.COM
Goods and Services	IC 041. US 100 101 107. G & S: Education services, namely, providing classes, seminars, workshops, and online multimedia presentations in the field of safety and safety awareness in the construction industry and distribution of course material in connection therewith. FIRST USE: 20070418. FIRST USE IN COMMERCE: 20070418
	IC 045. US 100 101. G & S: Providing information in the field of safety and safety awareness in the construction industry. FIRST USE: 20070418. FIRST USE IN COMMERCE: 20070418
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Trademark Search Facility Classification Code	NOTATION-SYMBOLS Notation Symbols such as Non-Latin characters,punctuation and mathematical signs,zodiac signs,prescription marks
Serial Number	77183562
Filing Date	May 17, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	September 16, 2008
Registration Number	3539374

Registration Date December 2, 2008
Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams St. Peoria ILLINOIS 616299240
Attorney of Record Jonathan M. Gelchinsky
Prior Registrations 0564272;0770639;0778638;AND OTHERS
Type of Mark SERVICE MARK
Register PRINCIPAL
Affidavit Text SECT 15. SECT 8 (6-YR).
Live/Dead Indicator LIVE

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Attachments, namely, augers, backhoes, hydraulic brooms, cold planers, compactors, vibratory compactors, crushers, grapples, hammers, pulverizers, pulverizing jaws, rakes, saws, shears, snow blowers, stump grinders, all of the foregoing for use with machinery for earth moving, earth conditioning and material handling. FIRST USE: 20070820. FIRST USE IN COMMERCE: 20070820
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.09.12 - Squares with bars, bands and lines 26.09.21 - Squares that are completely or partially shaded 26.09.25 - Squares with curved sides
Trademark Search Facility Classification Code	LETTER-3-OR-MORE CAT Combination of three or more letters as part of the mark SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78815917
Filing Date	February 15, 2006
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	August 22, 2006
Registration Number	3541939

Registration Date December 2, 2008

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 2140606;2421077

Description of Mark The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of yellow trim around the perimeter of the mark, a yellow triangle at the bottom of the word "CAT", a black background and a red edge at the right-hand side of the mark. The word "CAT" is white.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

Live/Dead Indicator LIVE

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For Serial Number: 78815917

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Word Mark	CAT
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: Machinery for earth moving, earth conditioning, and material handling, namely, integrated tool carriers, wheel loaders, telescoping material handlers, track-type tractors, pipelayers, track loaders, landfill compactors, wheel dozers, motor graders, industrial tractors, wheel tractor-scrappers, wheeled skidders, track harvesters, knuckleboom loaders, vibratory soil compactors, soil compactors, vibratory asphalt compactors, pneumatic compactors, asphalt pavers, track asphalt pavers, cold planers, road reclaimers, underground mining loaders, waste handlers; electric, industrial, diesel, gas, and natural gas generators and gensets; manual pallet movers. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330
	IC 012. US 019 021 023 031 035 044. G & S: Vehicles for earth moving, earth conditioning, and material handling, namely, articulated trucks, off-highway trucks, underground mining trucks, off-highway tractors; internal combustion fork lift trucks; electric fork lift trucks. FIRST USE: 20060330. FIRST USE IN COMMERCE: 20060330
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 26.13.21 - Quadrilaterals that are completely or partially shaded
Trademark Search Facility Classification Code	SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons SHAPES-MISC Miscellaneous shaped designs SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles
Serial Number	78981305
Filing Date	February 15, 2006
Current Basis	1A

Original Filing Basis 1B

Published for Opposition August 22, 2006

Registration Number 3750812

Registration Date February 16, 2010

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christopher P. Foley

Prior Registrations 2140606;2421077

Description of Mark The color(s) yellow, black, red and white is/are claimed as a feature of the mark. The mark consists of a yellow triangle at the bottom of the word "CAT", a black background, and a red edge at the right-hand side of the mark. The word "CAT" is white.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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Word Mark
Goods and Services

CAT

IC 035. US 100 101 102. G & S: Retail store services featuring vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry, and parts therefor; retail store services featuring engines and power generation equipment, and parts therefor; retail store services featuring clothing; online retail store services featuring parts for vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; online retail store services featuring parts for engines and power generation equipment. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100

IC 036. US 100 101 102. G & S: Financing services; credit and loan services; lease-purchase loans. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100

IC 037. US 100 103 106. G & S: Service, maintenance, and repair of vehicles, equipment, and machinery for use in earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; service, maintenance, and repair of engines and power generation equipment; service, maintenance, and repair of control units for all of the foregoing; installation, maintenance, and repair of machinery; rental of equipment and machinery for use in earth moving, earth conditioning, material handling, construction, mining, and paving. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100

IC 039. US 100 105. G & S: Rental of vehicles. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100

IC 044. US 100 101. G & S: rental of equipment and machinery for use in agriculture and forestry. FIRST USE: 20001100. FIRST USE IN COMMERCE: 20001100

Mark Drawing

(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Code

Design Search Code 26.05.21 - Triangles that are completely or partially shaded
 26.09.21 - Squares that are completely or partially shaded
 26.11.21 - Rectangles that are completely or partially shaded

Trademark Search Facility Classification Code SHAPES-COLORS-3-OR-MORE Design listing or lined for three or more colors
 SHAPES-GEOMETRIC Geometric figures and solids including squares, rectangles, quadrilaterals and polygons
 SHAPES-TRIANGLES Triangular shaped designs and marks including incomplete triangles

Serial Number 77560128

Filing Date September 2, 2008

Current Basis 1A

Original Filing Basis 1A

Published for Opposition December 22, 2009

Registration Number **3756598**

Registration Date March 9, 2010

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240

Attorney of Record Christopher P. Foley

Prior Registrations 0778638;1579437;2488264

Description of Mark The color(s) yellow, black, and white is/are claimed as a feature of the mark. The mark consists of a yellow rectangle with a black square on the right-hand side, containing the word "CAT" in white letters with a yellow triangle beneath the letter "A".

Type of Mark SERVICE MARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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Word Mark CAT

Goods and Services

IC 001. US 001 005 006 010 026 046. G & S: Chemicals for use in industry, agriculture, horticulture, and forestry except fungicides, herbicides, insecticides and paraticides; unprocessed artificial resins, unprocessed plastics; adhesives used in industry; cement for repairs, namely, adhesive cement for bonding, sealing and patching cork, leather, rubber, and metal; anti-freeze; coolants; chemical preparations in the nature of conditioners for enhancing the performance of engine cooling systems; chemical preparations in the nature of cleaners for enhancing the performance of engine cooling systems; chemical preparations for locking screw threads; battery fluid, namely, acidulated water for recharging batteries; color brightening chemicals for industrial use; chemical preparations in the nature of filtering materials for industrial use; hydraulic fluids; fuel additives, namely, chemical additives for fuel treatment; compositions for repairing vehicle tires, namely, rubber cement for repairing pneumatic tires; artificial and synthetic resins. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 002. US 006 011 016. G & S: Paints, varnishes, lacquers; preservatives against rust in the nature of a coating and against deterioration of wood; colorants; mordants used in the manufacturing of coatings; raw natural resins; primers, and paint thinners; metal preservatives in the nature of a coating; coatings in the form of paints for use in the manufacture of vehicles and on parts of vehicles; fireproof cement coatings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 004. US 001 006 015. G & S: Industrial oils and greases; all-purpose lubricants; dust absorbing composition for use on unpaved roads, dust wetting and dust binding compositions; fuels including motor spirit and illuminants, namely, lighting fuels; diesel oil; motor fuel; non-chemical fuel additives; anti-seizure lubricating compounds for use on engines; waxing compositions, namely, paraffin wax; lubricant designed for use in ball and roller bearings and bushings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 006. US 002 012 013 014 023 025 050. G & S: Non-electric cables and wires of common metals; pipes and tubes of metal; metal plugs, metal straps for handling loads, metal pipe clips, metal nuts, metal bolts, metal screws, metal sealing rings; metal ball lock pins, metal washers, metal seals, metal tie downs, metal pipe nipples, binding strips of metal used on packaging, metal bungs, metal caps for tubing ends, metal closures for containers, metal pipe collars, metal clamps, metal couplings for use with hoses, metal hooks,

metal nails, metal pegs, metal rivets, metal storage tanks, metal drain plugs, metal cotter pins, metal drawbar pins, metal clevis pins, metal locking pins, metal eye bolts, metal snap rings; metal screw thread inserts; metallic drain traps and drain caps; metal pipe extensions; metal debris deflectors; steel reinforcing grids for use in paving processes; clad steel plates; metal track hardware, namely, track links, track shoes and track pins; metal welding rods; metal chains, metal slings for loading and pulleys; metal bells; metal locks and padlocks; metal number plates; knobs of metal; non-luminous and non-mechanical metal signs; non-luminous and non-mechanical metal signalling panels; reinforcing materials of metal for building purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts for land vehicles, agricultural machinery, and earth moving machinery, namely, metal gaskets for machinery and land vehicles, metal pipe connectors, metal pipe fittings, metal cylinders for compressed gas or liquids sold empty, metal threaded fasteners, metal hose clamps, and metal hose fittings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 007. US 013 019 021 023 031 034 035. G & S: Motors and engines not for land vehicles; machine couplings and transmission components except for land vehicles; valves being parts of machines; spark plugs; air filters as parts of machines or engines for mechanical purposes; water regulators being parts of machines; oil filters; couplings for machines; assembly presses, namely, industrial machine presses; starters for motors and engines; electric pumps; excavators; bulldozers; earth moving machines, namely, loaders; feller bunchers; earth moving machines, namely, scrapers; asphalt paving machines; cutting machines; vibratory soil compactors, soil compactors, vibratory asphalt compactors, and pneumatic compactors; forestry machines, namely, skidders; oil, air, and gas filters for motors and engines; belts for machines; blades as machines parts; steam rollers, being parts of machines; power-operated lifting and moving equipment, namely, pipe laying machines; attachments for vehicles, namely, grapple buckets for moving earth and loose objects; hydraulic jacks; electric welding machines; pavement profilers; earth moving machines, namely, scarifiers, motor graders; combustion engine fuel nozzles; water separators for use in engines; fuel heaters for engines; power-operated cultivators and harvesters; agricultural machines, namely, threshers; road heading machines, tunnel heading machines, cold heading machines; agricultural machines, namely, reapers; hay binding machines; agricultural machines, namely, mowing machines, and tractor-towed harrows; ploughs and tractor-towed hay rakes; agitators for circulating liquid media; air condensers; alternators for land vehicles; anti-friction bearings for machines; anti-friction pads for machines; anti-pollution devices for motors and engines; axles for machines; ball rings for bearings for machines; bearing brackets for machines; engine bearings; belt conveyors; engines and motors for boats. brake linings for machines other than for vehicles; brake segments for machines other than for vehicles; brake shoes for machines other than for vehicles; brushes being parts of machines; carburetors; compressed air machines; compressed air pumps; compressors for machines; condensing installations, namely, air condensers and axial fan condensers; connecting rods for machines, motors, and engines; control cables being parts of machines, engines, or motors; current generators; milling cutters; cutting machines, cylinder heads for engines; cylinders for machines; cylinders for motors and engines; drilling bits being parts of machines; drilling heads being parts of machines; drilling machines, power drills; dynamo belts; dynamo brushes; dynamos; engines, other than for land vehicles; fan belts for motors and engines; fans for motors and engines; fuel conversion apparatus for internal combustion engines; fuel economizers for motors and engines; gear boxes other than for land vehicles; transmission gears for machines, other than for land vehicles; gear grinding machines; precision grinding machines; guards being parts of machines; hammers being parts of machines; pneumatic hammers; handling apparatus, namely, loading and unloading machines; hoists; hoppers for mechanical discharging; power jacks; lawnmowers; lift belts; lifting installation for the transport of goods; powered loading ramps in the form of conveyors; lubricating pumps; lubricators being parts of machines; machine fly wheels; machine wheels; metal working machines; spray guns for paints; painting machines; pistons for motors; pneumatic transporters; pulleys being parts of machines; electric pumps; rammers; reduction gears other than for land vehicles; mechanical power shovels; shaft couplings for machines. bearings for transmission shafts being parts of machines; spraying machines; superchargers for motors and engines; threading machines; threshing machines; transmission chains and shafts, other than for land vehicles; transmissions for machines; turbines other than for land vehicles; turbocompressors; vehicle washing installations; vulcanization machines; waste disposers being parts of machines; watering machines for agricultural purposes; parts and fittings for all the aforesaid goods sold as a unit with the goods; mechanical engine parts for land vehicles, agricultural machinery, and earth moving machinery, namely, starting motors, alternators, pistons, cylinder heads, cooling systems parts, turbochargers, lubricating systems parts, air compressors, and blocks; crank shafts for engines, engine camshafts, engine bearings; mufflers for engines and motors; engine exhaust caps; exhaust silencers for engines; radiators and radiator caps for vehicles; exhausts for engines; hand-held gas welding apparatus, namely, heat welding guns; electric cutting torches. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 008. US 023 028 044. G & S: Hand tools, namely, taps and dies; hand jacks; cable cutters; wire cutters; hand tools, namely, wrenches; knives; glass installation tools, namely, glass cutters; hand-operated crimping

tools and hand-operated crimping irons; hand held cutting tools; hand held hose cutters; hand drills and drill bits for hand drills; manually operated grease guns; hand tools, namely, socket wrenches, and socket sets; torque wrenches; parts and fittings for all the aforesaid goods sold as a unit with the goods; vices. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 009. US 021 023 026 036 038. G & S: Apparatus for recording, transmission or reproduction of sound or images; blank magnetic data carriers; blank recording discs; data processing equipment and computers; computer hardware and software for sensing and recording operational data to monitor the performance and maintenance needs of vehicles, equipment, and machinery used for earth moving, earth conditioning, material handling, construction, mining, paving, agriculture, and forestry; television sets; electric cable and wire; electricity conduits, electric switches, pressure switches; electrical connectors, cable jump leads, electrical fuses, circuit testers, voltage testers; electric fuse holders, transformers, battery testing apparatus for sale in kit form; thermostats, pressure gauges, and leveling rods; tape measures and rules; microscopes, tachometers; thermometers; anti-intrusion alarms, electronic warning horns, flashing safety lights, optical reflectors, reflective mirrors to prevent accidents, aerials, and battery chargers; electric couplings; metered petrol pumps; surveyor's levels; electronic locks; radios; batteries; speed checking apparatus for vehicles, namely, sensors; battery cables; electric connectors for sale in kit form; electrical terminals; probes for testing integrated circuits; ammeters; battery testers, gas detectors; flow meters; ohmmeters; welding torches; battery ground straps; battery tie downs; emergency jump start apparatus, namely, jumper cables; voltage converters; welding apparatus, namely, welding respirators, welding helmets, welding goggles, welding jackets, welding gloves, welding coats, and welding blankets; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, amplifiers for wireless communications, antennas, antennas for wireless communications apparatus. audio equipment for vehicles, namely, stereos, speakers, amplifiers, equalizers, crossovers, and speaker housings, batteries for vehicles, devices for wireless radio transmission, electric control panels, electric luminescent display panels, electric relays; electronic and optical communications instruments and components, namely, optical transmitters; electronic and optical communications instruments and components, namely, optical receivers; electronic and optical communications instruments and components, namely, digital transmitters, electronic control systems for machines, global positioning systems, laser object detectors for use on vehicles, navigation apparatus for vehicles in the nature of on-board computers, radios for vehicles, voltage regulators, voltage stabilizers, and voltmeters; machine parts, namely, control mechanisms for machines, engines, or motors; fuel/air ratio controls for engines; speed governors for machines, engines, and motors. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 011. US 013 021 023 031 034. G & S: Light bulbs, head light bulbs, tail light bulbs, vehicle turn signal light bulbs, dashboard light bulbs; electric torches for lighting; lights for vehicles, lamps; reflectors for vehicles; air conditioning apparatus; air conditioning filters; defrosting apparatus for vehicles; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machinery, and earth moving machinery, namely, backup lights for land vehicles, coolant recovery systems comprising reservoirs, pumps, filters, and cleaners; electric light bulbs, LED light bulbs, rear lights for vehicles, and valves as part of radiators. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 012. US 019 021 023 031 035 044. G & S: Land vehicles; tractors and tractor engines; haulage trucks and trailer wagons; vehicle chassis; tires for vehicle wheels; vehicle wheels; vehicle parts, namely, tracks; trucks; dump trucks; land vehicles incorporating loading, compacting, pipe laying, and grading apparatus; tire valves for vehicle tires; hydraulic circuits and hydraulic adapters for connecting components of hydraulic systems in vehicles; trailer couplings for vehicles; air pumps for vehicles; horns for vehicles; rearview mirrors, side view mirrors; mudguards for vehicles, mud flaps for vehicles, mud spray guards for vehicles, mud spray flaps for vehicles; seats and seat belts for vehicles; windscreen wipers and windscreen wiper blades; anti-skid chains for vehicles; brakes for vehicles; brake linings for vehicles; hydraulic apparatus for use in moving work tools attached to vehicles and not for engines or motors, namely, hydraulic drives, hydraulic gears, hydraulic pumps, and hydraulic shock absorbers; semi-fitted vehicle covers; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, namely, engines, connecting rods for vehicles other than parts of motors and engines, transmissions for land vehicles, and structural, repair, and replacement parts therefor. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 017. US 001 005 012 013 035 050. G & S: Non-metal seals for use on pipe joints and flanges; insulation tapes; plastic tapes used as insulating, stopping and packing materials; adhesive tapes for industrial and commercial use; semi-processed brake lining material; clutch linings; cylinder jointing of rubber; cylinder jointing of gum; cylinder jointing of plastics; non-metal gaskets for commercial and industrial applications;

insulating gloves; coupling and joints not of metal; rings of rubber or of plastic for use as pipe connection seals; sealing plugs made primarily of rubber; parts and fittings for all the aforesaid goods sold as a unit with the goods; parts and fittings for land vehicles, agricultural machines, and earth moving machines, namely, connecting hoses for vehicle radiators, electrical insulating tape, flexible plastic hoses for use in ventilation, hoses for air conditioners made of rubber, hydraulic hoses made of rubber, and non-metal oil hoses; electrical tape; moisture wicking sealant for fasteners, silicone-based sealant, adhesive sealant for industrial use, pipe sealant for use in sealing pipe joints and fittings. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

IC 020. US 002 013 022 025 032 050. G & S: Bins, not of metal; cable or pipe clips of plastic; plastic key cards; display cases of wood or plastic; closures not of metal for containers; containers not of metal for commercial use; ladders of wood or plastic; pulleys of plastic; tool handles of wood or plastic; transport pallets, not of metal; valves of plastic, other than machine parts. FIRST USE: 20081231. FIRST USE IN COMMERCE: 20081231

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.02 - Plain single line triangles; Triangles, plain single line
26.11.21 - Rectangles that are completely or partially shaded

Serial Number 77289081

Filing Date September 26, 2007

Current Basis 1A

Original Filing Basis 1B

Published for Opposition January 11, 2011

Registration Number 4045652

Registration Date October 25, 2011

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 0564272;2140606

Description of Mark Color is not claimed as a feature of the mark. The mark consists of a rectangular shape with a diagonal edge, containing the word "CAT" with a triangle below the letter "A".

Type of Mark TRADEMARK

Register PRINCIPAL

Live/Dead Indicator LIVE

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No assignment has been recorded at the USPTO

For Serial Number: 77289081

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Word Mark	CAT
Goods and Services	IC 012. US 019 021 023 031 035 044. G & S: Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications. FIRST USE: 20110909. FIRST USE IN COMMERCE: 20110909
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.20 - Triangles inside one another 26.05.21 - Triangles that are completely or partially shaded
Serial Number	85318067
Filing Date	May 11, 2011
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 24, 2012
Registration Number	4124547
Registration Date	April 10, 2012
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;2140606;2421077;AND OTHERS
Description of Mark	Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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No assignment has been recorded at the USPTO

For Serial Number: 85318067

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CAT

Word Mark	CAT
Goods and Services	IC 012. US 019 021 023 031 035 044. G & S: Trucks; vocational trucks for construction, earth moving, agriculture, logging, mining, oil and gas, quarry, paving, transportation, and waste management applications. FIRST USE: 20110909. FIRST USE IN COMMERCE: 20110909
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	85318073
Filing Date	May 11, 2011
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	January 24, 2012
Registration Number	4124548
Registration Date	April 10, 2012
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0564272;0770639;0984444;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Live/Dead Indicator	LIVE

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No assignment has been recorded at the USPTO

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Word Mark CAT

Goods and Services IC 009. US 021 023 026 036 038. G & S: Mobile phones; mobile phone accessories, namely, cases, covers, screen protectors, headphones, batteries, power supplies. FIRST USE: 20120300. FIRST USE IN COMMERCE: 20120300

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded

Serial Number 85981370

Filing Date May 10, 2012

Current Basis 1A

Original Filing Basis 1B

Published for Opposition May 28, 2013

Registration Number **4519310**

Registration Date April 22, 2014

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 0564272;1023137;2358605;AND OTHERS

Description of Mark Color is not claimed as a feature of the mark. The mark consists of the word "CAT" with a triangle below the letter "A".

Type of Mark TRADEMARK

Register PRINCIPAL

Live/Dead Indicator LIVE

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For Serial Number: 85981370

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Attorney Docket Nos.: 017330-1133
017330-0992

EXHIBIT B-1

TESS/TARR PRINTOUTS FOR CATERPILLAR MARKS



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CATERPILLAR

Word Mark CATERPILLAR

Goods and Services IC 004. US 015. G & S: LUBRICATING-OILS. FIRST USE: 19100100. FIRST USE IN COMMERCE: 19100100

Standard Characters Claimed

Mark Drawing Code (4) STANDARD CHARACTER MARK

Serial Number 71058278

Filing Date August 19, 1911

Current Basis 1A

Original Filing Basis 1A

Change In Registration CHANGE IN REGISTRATION HAS OCCURRED

Registration Number **0085748**

Registration Date March 12, 1912

Owner (REGISTRANT) HOLT MANUFACTURING COMPANY, THE CORPORATION CALIFORNIA AURORA STREET STOCKTON CALIFORNIA

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE SUITE AB6D 100 N.E. ADAMS STREET PEORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Jonathan M. Gelchinsky

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECTION 8(10-YR) 20120327.

Renewal 6TH RENEWAL 20120327

Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71058278](#)

Filing Dt: 08/19/1911

Reg #: [85748](#)

Reg. Dt: 03/12/1912

Registrant: HOLT MANUFACTURING COMPANY, THE

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR**Goods and Services** IC 006 007 008 009 011 012 016 021 028. US 023. G & S: GASOLENE [, STEAM,] [AND TRACTION] ENGINES, [HARVESTERS,] AND ROAD-WORKING MACHINES. FIRST USE: 19040901. FIRST USE IN COMMERCE: 19040901**Standard Characters Claimed****Mark Drawing Code** (4) STANDARD CHARACTER MARK**Serial Number** 71052847**Filing Date** November 18, 1910**Current Basis** 1A**Original Filing Basis** 1A**Change In Registration** CHANGE IN REGISTRATION HAS OCCURRED**Registration Number** **0085816****Registration Date** March 19, 1912**Owner** (REGISTRANT) HOLT MANUFACTURING COMPANY, THE CORPORATION CALIFORNIA AURORA STREET STOCKTON CALIFORNIA

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT, BY ASSIGNMENT DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED**Attorney of Record** Jonathan M. Gelchinsky**Type of Mark** TRADEMARK**Register** PRINCIPAL**Affidavit Text** SECT 12C. SECT 15. SECTION 8(10-YR) 20120327.**Renewal** 6TH RENEWAL 20120327**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71052847](#)

Filing Dt: 11/18/1910

Reg #: [85816](#)

Reg. Dt: 03/19/1912

Registrant: HOLT MANUFACTURING COMPANY, THE

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR**Goods and Services** IC 012. US 023. G & S: TRACTORS, TRACTOR ENGINES, TRACK LINKS, TRACK SHOES, GROUSERS, GREASE GUNS; [AGRICULTURAL MACHINERY TOOLS, AND EQUIPMENT- NAMELY, COMBINED HARVESTERS, WINDROWERS, PICK-UP MACHINERY GRAIN CLEANERS, GRAIN GRADERS, AND THRESHING MACHINES;] ROAD CONSTRUCTION AND MAINTENANCE MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, GRADERS, [ELEVATING GRADERS, ROAD PLANERS,] SCARIFIERS, PLOWS, LOGGING MACHINERY, TOOLS, AND EQUIPMENT-NAMELY, LOGGING ENGINES, [WINCHES, LOG HOISTS, AND BUMMERS;] AND THE PARTS FOR ALL SAID GOODS. FIRST USE: 19040900. FIRST USE IN COMMERCE: 19040900**Mark Drawing Code** (1) TYPED DRAWING**Serial Number** 71297791**Filing Date** March 24, 1930**Current Basis** 1A**Original Filing Basis** 1A**Registration Number** 0277417**Registration Date** November 11, 1930**Owner** (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA SAN LEANDRO CALIFORNIA

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N. E. ADAMS ST. PEORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED**Attorney of Record** Christie Baty Heinze**Prior Registrations** 0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950; 0215160;0229711;0229712;0229714;AND OTHERS**Type of Mark** TRADEMARK**Register** PRINCIPAL**Affidavit Text** SECT 12C. SECT 15. SECTION 8(10-YR) 20091204.**Renewal** 5TH RENEWAL 20091204**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71297791](#)

Filing Dt: 03/24/1930

Reg #: [277417](#)

Reg. Dt: 11/11/1930

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR
Goods and Services IC 007 012. US 023. G & S: TRACTORS ADAPTED TO BE EMPLOYED IN FARMING OPERATIONS, ROAD BUILDING, MINING, LOGGING, EARTH MOVING, HAULING, AND FOR OTHER INDUSTRIAL AND AGRICULTURAL PURPOSES; INTERNAL COMBUSTION ENGINES ADAPTED FOR EMPLOYMENT AS THE SOURCE OF POWER FOR SELF-PROPELLED VEHICLES, AND AS STATIONARY OR PORTABLE POWER UNITS FOR INDUSTRIAL AND AGRICULTURAL USES; POWER AND MANUALLY CONTROLLED GRADERS, TERRACERS, PLANERS, SCARIFIERS, LEANING WHEEL GRADERS, ELEVATING GRADERS, SCRAPERS, BULLDOZERS, LEVELERS, PLOWS, DITCHERS, BANK CUTTERS, AND BACK SLOPERS ADAPTED TO BE EMPLOYED FOR THE CONSTRUCTION AND MAINTENANCE OF ROADS, FOR MOVING AND REMOVING OF EARTH, SNOW, AND LIKE MATERIALS, FOR PREVENTING SOIL EROSION AND FOR OTHER INDUSTRIAL AND AGRICULTURAL USES; HARVESTERS, COMBINE HARVESTERS, MOWERS, PUSH RAKES, WINDROW HEADERS AND WINDROW PICK-UPS; IMPLEMENT HITCHES; PARTS AND TOOLS FOR ALL OF SAID PRODUCTS AND ATTACHMENTS, ACCESSORIES AND EQUIPMENT ASSOCIABLE WITH ALL OF SAID PRODUCTS. FIRST USE: 19040900. FIRST USE IN COMMERCE: 19040900

Mark Drawing Code (1) TYPED DRAWING

Serial Number 71386675

Filing Date December 12, 1936

Current Basis 1A

Original Filing Basis 1A

Registration Number 0345499

Registration Date April 27, 1937

Owner (REGISTRANT) CATEPILLAR TRACTOR CO. CORPORATION CALIFORNIA SAN LEANDRO CALIFORNIA
 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616296490

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Christie Baty Heinze

Prior Registrations 0079056;0085748;0085816;0120744;0134971;0135703;0190950;0215160;0227417; 0229711;0229712;0229714;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 12C. SECT 15. SECTION 8(10-YR) 20070521.

Renewal 4TH RENEWAL 20070521

Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71386675](#)

Filing Dt: 12/12/1936

Reg #: [345499](#)

Reg. Dt: 04/27/1937

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark CATERPILLAR

Goods and Services IC 012. US 019. G & S: DUMP-WAGONS, WHEEL TRACTOR-DUMP-WAGON COMBINATIONS, AND STRUCTURAL PARTS FOR SUCH PRODUCTS. FIRST USE: 19410000. FIRST USE IN COMMERCE: 19410000

Mark Drawing Code (1) TYPED DRAWING

Serial Number 71540644

Filing Date November 10, 1947

Current Basis 1A

Original Filing Basis 1A

Registration Number **0506258**

Registration Date February 1, 1949

Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA, 8 ILLINOIS

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616296490

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Christie Baty Heinze

Prior Registrations 0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950; 0277417;0345499

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECTION 8(10-YR) 20080925.

Renewal 3RD RENEWAL 20080925

Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71540644](#)

Filing Dt: 11/10/1947

Reg #: [506258](#)

Reg. Dt: 02/01/1949

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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(LAST LISTED OWNER) CATERPILAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED**Attorney of Record** Christie Baty Heinze**Prior Registrations** 0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0215160;0229711;0229712;0229714;0277417;0345499;AND OTHERS**Type of Mark** TRADEMARK**Register** PRINCIPAL**Affidavit Text** SECT 15. SECTION 8(10-YR) 20091207.**Renewal** 4TH RENEWAL 20091207**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[|.HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71540643](#)

Filing Dt: 11/10/1947

Reg #: [531626](#)

Reg. Dt: 10/10/1950

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR**Goods and Services** IC 001. US 006. G & S: RUST INHIBITOR FLUID FOR PREVENTING FORMATION OF RUST IN THE COOLING SYSTEM OF INTERNAL COMBUSTION SYSTEMS [, AND LIQUID CHEMICAL COMPOSITION FOR SOAKING DECALCOMANIA IN ORDER TO SEPARATE THE TRANSPARENT PRINTED LAYER FROM ITS PAPER BACKING AND RENDER THE SURFACE OF SAID TRANSPARENT LAYER ADHESIVE]. FIRST USE: 19481200. FIRST USE IN COMMERCE: 19481200**Standard Characters Claimed****Mark Drawing Code** (4) STANDARD CHARACTER MARK**Serial Number** 71596777**Filing Date** May 3, 1950**Current Basis** 1A**Original Filing Basis** 1A**Change In Registration** CHANGE IN REGISTRATION HAS OCCURRED**Registration Number** 0551623**Registration Date** December 4, 1951**Owner** (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS

(LAST LISTED OWNER) CATERPILLAR, INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED**Attorney of Record** Jonathan M. Gelchinsky**Prior Registrations** 0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0277417;0345499;0373488;0504829;0506258**Type of Mark** TRADEMARK**Register** PRINCIPAL**Affidavit Text** SECT 15. SECTION 8(10-YR) 20111201.**Renewal** 4TH RENEWAL 20111201**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71596777](#)

Filing Dt: 05/03/1950

Reg #: [551623](#)

Reg. Dt: 12/04/1951

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR**Goods and Services** IC 037. US 103. G & S: SERVICE, MAINTENANCE, AND REPAIR OF INTERNAL COMBUSTION ENGINES, TRACTORS, SCRAPERS, WAGONS, MOTOR GRADERS, SCARIFIERS, BULLDOZERS, RIPPERS, CABLE-CONTROL UNITS, HYDRAULIC-CONTROL UNITS, [ELECTRIC GENERATORS, DIESEL] ELECTRIC GENERATOR SETS; [AGRICULTURAL EQUIPMENT-NAMELY, HARVESTERS, COMBINE HARVESTERS, MOWERS, PUSH RAKES, WINDROWHEADERS AND WINDROWPICK-UPS, THRESHING MACHINES,] PLOWS, TOOL BARS, AND PARTS, TOOLS, ATTACHMENTS, ACCESSORIES AND EQUIPMENT ASSOCIATED WITH ALL OF SAID PRODUCTS; AND INSTALLATION OF REPAIR PARTS FOR SAID PRODUCTS. FIRST USE: 19250000. FIRST USE IN COMMERCE: 19250000**Standard Characters Claimed****Mark Drawing Code** (4) STANDARD CHARACTER MARK**Serial Number** 71601253**Filing Date** July 26, 1950**Current Basis** 1A**Original Filing Basis** 1A**Change In Registration** CHANGE IN REGISTRATION HAS OCCURRED**Registration Number** 0552361**Registration Date** December 18, 1951**Owner** (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA ILLINOIS

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. Adams Street, AH9510 ST. PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED**Attorney of Record** Jonathan M. Gelchinsky**Prior Registrations** 0079056;0085748;0085816;0120744;0131491;0134971;0135703;0137035;0190950;0277417;0345499;0373488;0504829;0506258**Type of Mark** SERVICE MARK**Register** PRINCIPAL**Affidavit Text** SECT 15. SECTION 8(10-YR) 20110129.**Renewal** 3RD RENEWAL 20110129**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71601253](#)

Filing Dt: 07/26/1950

Reg #: [552361](#)

Reg. Dt: 12/18/1951

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR

Goods and Services IC 028. US 022. G & S: TOY REPLICAS OF WHEEL TRACTORS, TRACK-TYPE TRACTORS, BULLDOZERS, DUMP WAGONS, MOTOR GRADERS, SCRAPERS, AND RIPPERS. FIRST USE: 19321200. FIRST USE IN COMMERCE: 19321200

Standard Characters Claimed

Mark Drawing Code (4) STANDARD CHARACTER MARK

Serial Number 71637111

Filing Date October 24, 1952

Current Basis 1A

Original Filing Basis 1A

Change In Registration CHANGE IN REGISTRATION HAS OCCURRED

Registration Number **0581016**

Registration Date October 13, 1953

Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 WEST WASHINGTON STREET PEORIA 8 ILLINOIS

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION BY ASSIGNMENT DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 616299620

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 0079056;0085816;0120744;0134971;0137035;0190950;0277417;0345499;0506258; 0531626

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECTION 8(10-YR) 20130908.

Renewal 4TH RENEWAL 20130908

Live/Dead Indicator LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [71637111](#)

Filing Dt: 10/24/1952

Reg #: [581016](#)

Reg. Dt: 10/13/1953

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark	CATERPILLAR
Goods and Services	IC 012. US 019. G & S: Motor Trucks, Dump Wagons, Wheel Tractor-Dump Wagon Combinations, Wheel Tractors, and Parts Therefor. FIRST USE: 19410000. FIRST USE IN COMMERCE: 19410000
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72174080
Filing Date	July 31, 1963
Current Basis	1A
Original Filing Basis	1A
Registration Number	0770267
Registration Date	May 26, 1964
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 600 W. Washington St. East Peoria ILLINOIS (LAST LISTED OWNER) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS 616299620
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0027741;0558877;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20140329.
Renewal	3RD RENEWAL 20140329
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 2

Serial #: [72174080](#)

Filing Dt: 07/31/1963

Reg #: [770267](#)

Reg. Dt: 05/26/1964

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

Assignment: 2

Reel/Frame: [2902/0083](#)

Recorded: 06/25/2003

Pages: 3

Conveyance: CHANGE OF NAME

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: NONE

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS 61629-9620

Correspondent: GENE BOLMARCHICH
101 N.E. ADAMS ST.
PEORIA, IL 61629-9620

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Attorney Docket Nos.: 017330-1133
017330-0992

EXHIBIT B-2

TESS/TARR PRINTOUTS FOR CATERPILLAR MARKS



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Word Mark	CATERPILLAR
Goods and Services	IC 009. US 021. G & S: ELECTRIC STORAGE BATTERIES, ELECTRIC GENERATORS AND DIESEL ELECTRIC GENERATOR SETS, AND PARTS FOR THESE PRODUCTS. FIRST USE: 19341200. FIRST USE IN COMMERCE: 19341200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72244882
Filing Date	May 4, 1966
Current Basis	1A
Original Filing Basis	1A
Registration Number	0832440
Registration Date	July 25, 1967
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 600 W. WASHINGTON ST. EAST PEORIA ILLINOIS (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Christie Baty Heinze
Prior Registrations	0079056;0770267;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECTION 8(10-YR) 20070412.
Renewal	2ND RENEWAL 20070412
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72244882](#)

Filing Dt: 05/04/1966

Reg #: [832440](#)

Reg. Dt: 07/25/1967

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark	CATERPILLAR
Goods and Services	IC 012. US 035. G & S: TIRES. FIRST USE: 19700930. FIRST USE IN COMMERCE: 19700930
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	72407912
Filing Date	November 17, 1971
Current Basis	1A
Original Filing Basis	1A
Registration Number	0955141
Registration Date	March 13, 1973
Owner	(REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS STREET PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	0345499;0871145;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20130307.
Renewal	3RD RENEWAL 20130307
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72407912](#)

Filing Dt: 11/17/1971

Reg #: [955141](#)

Reg. Dt: 03/13/1973

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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CATERPILLAR

Word Mark CATERPILLAR

Goods and Services IC 007. US 023. G & S: LIFT TRUCKS, AND ENGINES, ATTACHMENTS AND PARTS THEREFOR. FIRST USE: 19700900. FIRST USE IN COMMERCE: 19700900

Mark Drawing Code (1) TYPED DRAWING

Serial Number 72452923

Filing Date March 29, 1973

Current Basis 1A

Original Filing Basis 1A

Registration Number **0985439**

Registration Date June 4, 1974

Owner (REGISTRANT) CATERPILLAR TRACTOR CO. CORPORATION CALIFORNIA 100 NE. ADAMS ST. PEORIA ILLINOIS 61602

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Whitney Devin Cooke

Prior Registrations 0277417;0345499

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140409.

Renewal 3RD RENEWAL 20140409

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [72452923](#)

Filing Dt: 03/29/1973

Reg #: [985439](#)

Reg. Dt: 06/04/1974

Registrant: CATERPILLAR TRACTOR CO.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark CATERPILLAR

Goods and Services IC 021. US 002 033. G & S: Glasses, Cups and Coasters. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100

IC 026. US 040. G & S: Cloth, Iron-On, Sew-On and Pressure-Sensitive Patches. FIRST USE: 19620200. FIRST USE IN COMMERCE: 19620200

Mark Drawing Code (1) TYPED DRAWING

Serial Number 73392164

Filing Date September 29, 1982

Current Basis 1A

Original Filing Basis 1A

Published for Opposition November 22, 1983

Registration Number **1267209**

Registration Date February 14, 1984

Owner (REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629

(LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 NE ADAMS ST PEORIA ILLINOIS 61629

Assignment Recorded ASSIGNMENT RECORDED

Attorney of Record Whitney Devin Cooke

Prior Registrations 0079056;0581016

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140228.

Renewal 2ND RENEWAL 20140228

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392164](#)

Filing Dt: 09/29/1982

Reg #: [1267209](#)

Reg. Dt: 02/14/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark	CATERPILLAR
Goods and Services	IC 006. US 013 050. G & S: Articles of Nonprecious Metal-Namely, Key Tags, Key Chains, Money Clips and Name Plates. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 IC 014. US 003 028. G & S: Articles of Precious Metal-Namely, Belt Buckles, Key Chains and Lapel Pins; Clocks; Jewelry Watch Fobs of Leather. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 IC 018. US 003 041. G & S: Leather and Imitation Leather Goods-Namely, Wallets, Key Holders, Business Card Cases, Credit Card Cases, Coin Purses, Briefcases, Garment Bags for Travel, Duffel Bags, Flight Bags, Tote Bags and Purses. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 IC 025. US 039. G & S: Caps, Ties, Scarves, Headwear and Wrist Bands. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100 (CANCELLED) IC 034. US 002 008 009 017. G & S: [Matches, Cigarette Lighters and Ash Trays]. FIRST USE: 19700100. FIRST USE IN COMMERCE: 19700100
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392834
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	March 13, 1984
Change in Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	1280488
Registration Date	June 5, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.E. ADAMS ST. PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0079056;0581016
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20031112. PARTIAL SECTION 8(10-YR) 20140411.
Renewal	2ND RENEWAL 20140411
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392834](#)

Filing Dt: 09/29/1982

Reg #: [1280488](#)

Reg. Dt: 06/05/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark	CATERPILLAR
Goods and Services	IC 016. US 002 005 022 023 029 037 038 050. G & S: Note Pads, Binders, Stationery-Type Portfolios, Calendars, Pencils, Pens, Decals, Coloring [and Game] Books, Company Magazines, Newsletters, Bulletins, Playing Cards, Paper Coasters, Photo Albums and Check Book Holders. FIRST USE: 19620200. FIRST USE IN COMMERCE: 19620200
Mark Drawing Code	(1) TYPED DRAWING
Serial Number	73392832
Filing Date	September 29, 1982
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	March 13, 1984
Registration Number	1280686
Registration Date	June 5, 1984
Owner	(REGISTRANT) Caterpillar Tractor Co. CORPORATION CALIFORNIA 100 NE. Adams St. Peoria ILLINOIS 61629 (LAST LISTED OWNER) CATERPILLAR INC. CORPORATION ASSIGNEE OF DELAWARE 100 N.W. ADAMS ST PEORIA ILLINOIS 61629
Assignment Recorded	ASSIGNMENT RECORDED
Attorney of Record	Whitney Devin Cooke
Prior Registrations	0079056;0581016
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20140321.
Renewal	2ND RENEWAL 20140321
Live/Dead Indicator	LIVE

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Trademark Assignment Abstract of Title

Total Assignments: 1

Serial #: [73392832](#)

Filing Dt: 09/29/1982

Reg #: [1280686](#)

Reg. Dt: 06/05/1984

Registrant: Caterpillar Tractor Co.

Mark: CATERPILLAR

Assignment: 1

Reel/Frame: [0531/0979](#)

Recorded: 07/10/1986

Pages: 2

Conveyance: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

Assignor: [CATERPILLAR TRACTOR CO.](#)

Exec Dt: 05/22/1986

Entity Type: CORPORATION

Citizenship: CALIFORNIA

Entity Type: CORPORATION

Citizenship: DELAWARE

Assignee: [CATERPILLAR INC.](#)

100 N.E. ADAMS ST.
PEORIA, ILLINOIS

Correspondent: CATERPILLAR, INC.
C/O EUGENE C. GOODALE
PEORIA, IL 61629

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Word Mark CATERPILLAR

Goods and Services IC 037. US 100 103 106. G & S: MAINTENANCE AND REPAIR SERVICES IN THE FIELD OF INTERNAL COMBUSTION ENGINES, VEHICLES AND POWER EQUIPMENT; NAMELY TRUCKS, TRACTORS, ENGINES, EARTHMOVING EQUIPMENT, MATERIAL HANDLING EQUIPMENT, PAVING EQUIPMENT AGRICULTURAL EQUIPMENT, GENERATORS, AND CONTROL UNITS FOR THE AFOREMENTIONED. FIRST USE: 19881020. FIRST USE IN COMMERCE: 19881020

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded
27.03.01 - Geometric figures forming letters, numerals or punctuation

Serial Number 73762373

Filing Date November 7, 1988

Current Basis 1A

Original Filing Basis 1A

Published for Opposition October 31, 1989

Registration Number **1579438**

Registration Date January 23, 1990

Owner (REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. ADAMS STREET PEORIA ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 0079056;0552361;AND OTHERS

Type of Mark SERVICE MARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20090922.

Renewal 2ND RENEWAL 20090922

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For Serial Number: 73762373

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Word Mark	CATERPILLAR
Goods and Services	IC 025. US 039. G & S: footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19890600
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	74451721
Filing Date	October 28, 1993
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 23, 1995
Registration Number	1911472
Registration Date	August 15, 1995
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N. E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Christopher P. Foley
Prior Registrations	1280488
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20150511.
Renewal	2ND RENEWAL 20150511
Live/Dead Indicator	LIVE

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Word Mark CATERPILLAR

Goods and Services IC 036. US 100 101 102. G & S: financing; issuance of debt securities; owning, holding and servicing receivables and notes; management of foreign exchange, commodity and interest rate exposure; management of pension and corporate insurance funds; insurance services, namely, providing extended warranty and physical damage insurance for construction and earthmoving equipment, fixed property and casualty insurance for independent dealerships, life insurance for machine owners and/or operators, and providing actuarial analysis, risk analysis, coverage analysis, brokerage consultation, risk quality improvement, alternative risk financing options and disaster recovery planning. FIRST USE: 19810000. FIRST USE IN COMMERCE: 19810000

Mark Drawing Code (1) TYPED DRAWING

Serial Number 75139426

Filing Date July 24, 1996

Current Basis 1A

Original Filing Basis 1A

Published for Opposition September 23, 1997

Registration Number 2121122

Registration Date December 16, 1997

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 0079056;1911472;AND OTHERS

Type of Mark SERVICE MARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20070511.

Renewal 1ST RENEWAL 20070511

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For Serial Number: 75139426

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Word Mark	CATERPILLAR
Goods and Services	IC 007. US 013 019 021 023 031 034 035. G & S: machinery for earth moving, earth conditioning and material handling, namely, loaders and engines therefor, and parts for vehicle and internal combustion engines. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
	IC 012. US 019 021 023 031 035 044. G & S: vehicles for earth and material hauling and handling, namely, tractors and engines therefor. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75236984
Filing Date	February 5, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 9, 1997
Registration Number	2140605
Registration Date	March 3, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296490
Attorney of Record	Kevin C. Earle
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080331.
Renewal	1ST RENEWAL 20080331
Live/Dead Indicator	LIVE

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For Serial Number: 75236984

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Attorney Docket Nos.: 017330-1133
017330-0992

EXHIBIT B-3

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Word Mark CATERPILLAR

Goods and Services IC 014. US 002 027 028 050. G & S: watches, clocks, cuff links, tie tacks, tie bars, [bracelets, pendants,] belt buckles of precious metal, [earrings, charms,] key chains, [stick pins,] lapel pins, [necklaces, watch fobs,] alarm clocks, wall clocks, watch bands, belt buckles, watch cases, [jewelry chains, watch chains, charms,] chronographs for use as watches, chronometers, [cigarette holders, ear clips,] tie clips, [costume jewelry,] jewelry, cigarette lighters of precious metal, necktie fasteners, ornamental pins, pocket watches, [rings,] stop watches, watch straps, tie fasteners, tie pins, [watch movements,] parts for watches. FIRST USE: 19900400. FIRST USE IN COMMERCE: 19900400

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded
27.03.01 - Geometric figures forming letters, numerals or punctuation

Serial Number 75205497

Filing Date November 29, 1996

Current Basis 1A

Original Filing Basis 1A

Published for Opposition February 17, 1998

Registration Number **2156340**

Registration Date May 12, 1998

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 1280488;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080716.

Renewal 1ST RENEWAL 20080716

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For Serial Number: 75205497

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Word Mark	CATERPILLAR
Goods and Services	IC 018. US 001 002 003 022 041. G & S: leather and imitation leather goods, namely, wallets, credit card cases, business card cases, key holders, coin purses, briefcases, garment bags for travel, back packs, duffel bags, flight bags, tote bags, [umbrellas,] traveling bags, [athletic bags, all purpose sport bags,] school book bags, [shoulder hand bags, purses]. FIRST USE: 19910000. FIRST USE IN COMMERCE: 19910000
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded 27.03.01 - Geometric figures forming letters, numerals or punctuation
Serial Number	75296699
Filing Date	May 22, 1997
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	May 26, 1998
Registration Number	2182134
Registration Date	August 18, 1998
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20080802.
Renewal	1ST RENEWAL 20080802
Live/Dead Indicator	LIVE

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For Serial Number: 75296699

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Word Mark	CATERPILLAR
Goods and Services	IC 025. US 022 039. G & S: Work, sport and casual clothing namely, caps, belts, [ties, scarves,] headwear, t-shirts, shorts, sweat pants, sweat shirts, [wrist bands,] jackets, vests, gloves, [mittens,] rain wear, one-piece jump suits and socks, men's and women's shirts and jeans. FIRST USE: 19900100. FIRST USE IN COMMERCE: 19900100
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75455117
Filing Date	March 23, 1998
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	December 29, 1998
Registration Number	2234261
Registration Date	March 23, 1999
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	1280488;1911472;2182134;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20081222.
Renewal	1ST RENEWAL 20081222
Live/Dead Indicator	LIVE

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Word Mark	CATERPILLAR
Goods and Services	IC 035. US 100 101 102. G & S: Business management and consultation services, namely, product distribution operations management services; logistics consulting services, namely, providing contract logistics services and consulting in the areas of inventory management, freight transportation management, warehouse and product distribution operations management and designing and managing complete logistics solutions for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961000
	IC 039. US 100 105. G & S: Warehousing services. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
	IC 042. US 100 101. G & S: Design of computerized information systems for managing logistics and product distribution processes for others. FIRST USE: 19961200. FIRST USE IN COMMERCE: 19961200
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75788122
Filing Date	August 30, 1999
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	April 11, 2000
Change In Registration	CHANGE IN REGISTRATION HAS OCCURRED
Registration Number	2364588
Registration Date	July 4, 2000
Owner	(REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629
Attorney of Record	Christie Baty Heinze
Prior Registrations	0085816;0277417;0551623;AND OTHERS
Type of Mark	SERVICE MARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20091119.
Renewal	1ST RENEWAL 20091119
Live/Dead Indicator	LIVE

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Word Mark CATERPILLAR

Goods and Services IC 028. US 022 023 038 050. G & S: Puzzles, namely, jigsaw; games, namely, board; and toys, namely, electric action toys, [battery operated ride-ons,] toy vehicles and construction-site themed playsets, radio-controlled toy vehicles, and construction toys; all relating to earthmoving equipment and none relating to caterpillars. FIRST USE: 19871201. FIRST USE IN COMMERCE: 19871201

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded

Serial Number 75551642

Filing Date September 11, 1998

Current Basis 1A

Original Filing Basis 1B

Published for Opposition July 27, 1999

Registration Number 2439011

Registration Date March 27, 2001

Owner (REGISTRANT) CATERPILLAR INC. CORPORATION DELAWARE 100 N. E. Adams St. Peoria ILLINOIS 61629

Attorney of Record Christie Baty Heinze

Prior Registrations 0079056;0085748;0085816;0277417;0345499;0506258;0531626;0551623;0552361;0553849;0581016;0770267;1579438;1911472;2121122;2140605;2156340;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20100703.

Renewal 1ST RENEWAL 20100703

Live/Dead Indicator LIVE

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For Serial Number: 75551642

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CATERPILLAR

Word Mark CATERPILLAR

Goods and Services IC 007. US 013 019 021 023 031 034 035. G & S: hydraulic excavators; mini hydraulic excavators; wheeled excavators; front shovels; backhoe loaders; skid steer loaders; compact wheel loaders; wheel loaders; integrated toolcarriers; telescopic handlers; track loaders; wheel tractor-scrappers; track-type tractors; wheel dozers; motor graders; soil compactors; cold planers; road reclaimers; asphalt pavers; vibratory compactors; marine engines; industrial engines; diesel generator sets; gas generator sets; demolition machines and scrap material handlers for use therewith namely, blades, buckets, crushers, grapples, hammers, hydraulic brooms, mobile shears, pallet forks, pulverizers, and rakes; log loaders; combines; pipelayers; mining shovels; waste handling machines; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020

IC 012. US 019 021 023 031 035 044. G & S: off-highway trucks; articulated trucks; truck engines; agricultural tractors; and parts for all the above. FIRST USE: 19880713. FIRST USE IN COMMERCE: 19881020

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded

Serial Number 75830496

Filing Date November 12, 1999

Current Basis 1A

Original Filing Basis 1A

Published for Opposition February 13, 2001

Registration Number **2448848**

May 8, 2001

**Registration
Date**

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams St. Peoria ILLINOIS
61629

**Attorney of
Record** Jonathan M. Gelchinsky

**Prior
Registrations** 2140605;2140606

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110128.

Renewal 1ST RENEWAL 20110128

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Indicator** LIVE

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For Serial Number: 75830496

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Word Mark	CATERPILLAR
Goods and Services	IC 009. US 021 023 026 036 038. G & S: Eyeglasses and sunglasses. FIRST USE: 19950601. FIRST USE IN COMMERCE: 19950601
Mark Drawing Code	(3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS
Design Search Code	26.05.21 - Triangles that are completely or partially shaded
Serial Number	75855402
Filing Date	December 10, 1999
Current Basis	1A
Original Filing Basis	1B
Published for Opposition	November 28, 2000
Registration Number	2483573
Registration Date	August 28, 2001
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299620
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1911472;2156340
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110128.
Renewal	1ST RENEWAL 20110128
Live/Dead Indicator	LIVE

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For Serial Number: 75855402

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Word Mark CATERPILLAR

Goods and Services IC 009. US 021 023 026 036 038. G & S: Eyeglasses and sunglasses. FIRST USE: 19880601. FIRST USE IN COMMERCE: 19880601

Mark Drawing Code (1) TYPED DRAWING

Serial Number 75621859

Filing Date January 22, 1999

Current Basis 1A

Original Filing Basis 1B

Published for Opposition January 9, 2001

Registration Number **2517975**

Registration Date December 11, 2001

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616296480

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 0770267;0985439;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20110131.

Renewal 1ST RENEWAL 20110131

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Word Mark CATERPILLAR

Goods and Services IC 004. US 001 006 015. G & S: Greases, lubricants and oils for land vehicles; greases, lubricants and oils for engines; industrial greases, lubricants and oils; hydraulic oils; greases, lubricants and oils for industrial machinery; non-chemical engine treatments and additives for engine oils, gasoline and diesel fuels, transmission fluids and coolants. FIRST USE: 19880101. FIRST USE IN COMMERCE: 19880101

Mark Drawing Code (3) DESIGN PLUS WORDS, LETTERS, AND/OR NUMBERS

Design Search Code 26.05.21 - Triangles that are completely or partially shaded
27.03.01 - Geometric figures forming letters, numerals or punctuation

Serial Number 78766666

Filing Date December 5, 2005

Current Basis 1A

Original Filing Basis 1A

Published for Opposition August 15, 2006

Registration Number **3165185**

Registration Date October 31, 2006

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 NE Adams Street Peoria ILLINOIS 61629

Attorney of Record Jonathan M. Gelchinsky

Prior Registrations 0085748;0551623

Description of Mark The mark consists of a triangle below the A in CATERPILLAR.

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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For Serial Number: 78766666

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CATERPILLAR

Word Mark	CATERPILLAR
Goods and Services	IC 025. US 022 039. G & S: Footwear. FIRST USE: 19880600. FIRST USE IN COMMERCE: 19880600
Standard Characters Claimed	
Mark Drawing Code	(4) STANDARD CHARACTER MARK
Serial Number	77184678
Filing Date	May 18, 2007
Current Basis	1A
Original Filing Basis	1A
Published for Opposition	October 23, 2007
Registration Number	3365450
Registration Date	January 8, 2008
Owner	(REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 616299240
Attorney of Record	Jonathan M. Gelchinsky
Prior Registrations	1280488;1911472;2234261;AND OTHERS
Type of Mark	TRADEMARK
Register	PRINCIPAL
Affidavit Text	SECT 15. SECT 8 (6-YR).
Live/Dead Indicator	LIVE

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For Serial Number: 77184678

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CATERPILLAR

Word Mark CATERPILLAR

Goods and Services IC 025. US 022 039. G & S: Clothing, namely, belts, t-shirts, shorts, sweat pants, sweat shirts, jackets, vests, gloves, rain wear, socks, shirts, and jeans. FIRST USE: 19880100. FIRST USE IN COMMERCE: 19880100

Standard Characters Claimed

Mark Drawing Code (4) STANDARD CHARACTER MARK

Serial Number 85020678

Filing Date April 22, 2010

Current Basis 1A

Original Filing Basis 1A

Published for Opposition November 2, 2010

Registration Number 3907101

Registration Date January 18, 2011

Owner (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629

Attorney of Record Christopher P. Foley

Prior Registrations 1280488;1911472;2234261;AND OTHERS

Type of Mark TRADEMARK

Register PRINCIPAL

Affidavit Text SECT 15. SECT 8 (6-YR).

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CATERPILLAR

Word Mark CATERPILLAR**Goods and Services** IC 007. US 013 019 021 023 031 034 035. G & S: Engines, other than for land vehicles; engines not for land vehicles, compressors for machines, electricity generators, and pumps for machines, for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; machines and machine tools for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair. FIRST USE: 19100000. FIRST USE IN COMMERCE: 19100000

IC 012. US 019 021 023 031 035 044. G & S: Land vehicles for use in agriculture, compaction, construction, demolition, earth conditioning, earth contouring, earth moving, forestry, landscaping, lawn care, lifting, marine propulsion, material handling, mining, oil and gas production, paving, pipelaying, power generation, and road building and repair; locomotives; engines for land vehicles. FIRST USE: 19100000. FIRST USE IN COMMERCE: 19100000

Standard Characters Claimed**Mark Drawing Code** (4) STANDARD CHARACTER MARK**Serial Number** 85354196**Filing Date** June 23, 2011**Current Basis** 1A**Original Filing Basis** 1A**Published for Opposition** June 5, 2012**Registration Number** 4193027**Registration Date** August 21, 2012**Owner** (REGISTRANT) Caterpillar Inc. CORPORATION DELAWARE 100 N.E. Adams Street Peoria ILLINOIS 61629**Attorney of Record** Jonathan M. Gelchinsky**Prior Registrations** 0085748;0085816;0277417;AND OTHERS**Type of Mark** TRADEMARK**Register** PRINCIPAL**Live/Dead Indicator** LIVE[TESS HOME](#) | [NEW USER](#) | [STRUCTURED](#) | [FREE FORM](#) | [BROWSE DICT](#) | [SEARCH OG](#) | [TOP](#) | [HELP](#)[HOME](#) | [SITE INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [HELP](#) | [PRIVACY POLICY](#)



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