

Request for Reconsideration after Final Action

The table below presents the data as entered.

Input Field	Entered
SERIAL NUMBER	85886636
LAW OFFICE ASSIGNED	LAW OFFICE 118
MARK SECTION (no change)	
GOODS AND/OR SERVICES SECTION (036)(current)	
INTERNATIONAL CLASS	036
DESCRIPTION	Cloud-based shareholder management software for investors
FILING BASIS	Section 1(b)
GOODS AND/OR SERVICES SECTION (036)(proposed)	
INTERNATIONAL CLASS	036
TRACKED TEXT DESCRIPTION	
<p>Cloud-based shareholder management software for investors; Online services for the organization and exchange of financial information for investors in the fields of registered and unregistered securities, namely, providing investors with financial information, financial administration of securities accounts, namely, administering the issuance, underwriting and distribution of securities and shares</p>	
FINAL DESCRIPTION	
<p>Online services for the organization and exchange of financial information for investors in the fields of registered and unregistered securities, namely, providing investors with financial information, financial administration of securities accounts, namely, administering the issuance, underwriting and distribution of securities and shares</p>	
FILING BASIS	Section 1(b)
GOODS AND/OR SERVICES SECTION (035)(class added)	
INTERNATIONAL CLASS	035
DESCRIPTION	
<p>Online services for the compilation of financial records, namely, capitalization tables and ownership records for recordkeeping purposes.</p>	
FILING BASIS	Section 1(b)
ADDITIONAL STATEMENTS SECTION	

MISCELLANEOUS STATEMENT	<p>RESPONSE TO OFFICE ACTION AND REQUEST FOR RECONSIDERATION Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451 Dear Commissioner: This communication is being filed in response to the Final Office Action mailed January 31, 2013. Pursuant to TMEP §715.03 and 37 C.F.R. § 2.64(b), Applicant respectfully submits this communication both as a Response to Office Action and as a Request for Reconsideration of the Office Action. Applicant respectfully requests that the Examining Attorney reconsider her refusal to register the mark based on the additional information submitted with this communication. Applicant is also filing a Notice of Appeal concurrently with this Response to Office Action and Request for Reconsideration.</p>
PAYMENT SECTION	
NUMBER OF CLASSES	1
FEE PER CLASS	325
TOTAL FEES DUE	325
SIGNATURE SECTION	
DECLARATION SIGNATURE	The filing Attorney has elected not to submit the signed declaration, believing no supporting declaration is required under the <i>Trademark Rules of Practice</i> .
RESPONSE SIGNATURE	/LMH/
SIGNATORY'S NAME	Lynn M. Humphreys
SIGNATORY'S POSITION	Attorney of Record, California Bar Member
DATE SIGNED	06/23/2014
AUTHORIZED SIGNATORY	YES
CONCURRENT APPEAL NOTICE FILED	YES
FILING INFORMATION SECTION	
SUBMIT DATE	Mon Jun 23 20:37:49 EDT 2014
TEAS STAMP	<p>USPTO/RFR-204.130.0.8-201 40623203749589048-8588663 6-500d188e83d23806e50585f 43c55c688da99771a9e142c98 7912bcba565dc6125-DA-7067 -20140623200524055739</p>

Request for Reconsideration after Final Action To the Commissioner for Trademarks:

Application serial no. **85886636** has been amended as follows:

CLASSIFICATION AND LISTING OF GOODS/SERVICES

Applicant proposes to amend the following class of goods/services in the application:

Current: Class 036 for Cloud-based shareholder management software for investors

Original Filing Basis:

Filing Basis: Section 1(b), Intent to Use: The applicant has had a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. (15 U.S.C. Section 1051(b)).

Proposed:

Tracked Text Description: ~~Cloud-based shareholder management software for investors~~; [Online services for the organization and exchange of financial information for investors in the fields of registered and unregistered securities, namely, providing investors with financial information, financial administration of securities accounts, namely, administering the issuance, underwriting and distribution of securities and shares](#)

Class 036 for Online services for the organization and exchange of financial information for investors in the fields of registered and unregistered securities, namely, providing investors with financial information, financial administration of securities accounts, namely, administering the issuance, underwriting and distribution of securities and shares

Filing Basis: Section 1(b), Intent to Use: The applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. (15 U.S.C. Section 1051(b)).

Applicant hereby adds the following class of goods/services to the application:

New: Class 035 for Online services for the compilation of financial records, namely, capitalization tables and ownership records for recordkeeping purposes.

Filing Basis: Section 1(b), Intent to Use: The applicant has a bona fide intention to use or use through the applicant's related company or licensee the mark in commerce on or in connection with the identified goods and/or services as of the filing date of the application. (15 U.S.C. Section 1051(b)).

ADDITIONAL STATEMENTS

Miscellaneous Statement

RESPONSE TO OFFICE ACTION AND REQUEST FOR RECONSIDERATION Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451 Dear Commissioner: This communication is being filed in response to the Final Office Action mailed January 31, 2013. Pursuant to TMEP §715.03 and 37 C.F.R. § 2.64(b), Applicant respectfully submits this communication both as a Response to Office Action and as a Request for Reconsideration of the Office Action. Applicant respectfully requests that the Examining Attorney reconsider her refusal to register the mark based on the additional information submitted with this communication. Applicant is also filing a Notice of Appeal concurrently with this Response to Office Action and Request for Reconsideration.

FEE(S)

Fee(s) in the amount of \$325 is being submitted.

SIGNATURE(S)**Declaration Signature**

I hereby elect to bypass the submission of a signed declaration, because I believe a declaration is not required by the rules of practice. I understand that the examining attorney could still, upon later review, require a signed declaration.

Request for Reconsideration Signature

Signature: /LMH/ Date: 06/23/2014

Signatory's Name: Lynn M. Humphreys

Signatory's Position: Attorney of Record, California Bar Member

The signatory has confirmed that he/she is an attorney who is a member in good standing of the bar of the highest court of a U.S. state, which includes the District of Columbia, Puerto Rico, and other federal territories and possessions; and he/she is currently the applicant's attorney or an associate thereof; and to the best of his/her knowledge, if prior to his/her appointment another U.S. attorney or a Canadian attorney/agent not currently associated with his/her company/firm previously represented the applicant in this matter: (1) the applicant has filed or is concurrently filing a signed revocation of or substitute power of attorney with the USPTO; (2) the USPTO has granted the request of the prior representative to withdraw; (3) the applicant has filed a power of attorney appointing him/her in this matter; or (4) the applicant's appointed U.S. attorney or Canadian attorney/agent has filed a power of attorney appointing him/her as an associate attorney in this matter.

The applicant is filing a Notice of Appeal in conjunction with this Request for Reconsideration.

RAM Sale Number: 85886636

RAM Accounting Date: 06/24/2014

Serial Number: 85886636

Internet Transmission Date: Mon Jun 23 20:37:49 EDT 2014

TEAS Stamp: USPTO/RFR-204.130.0.8-201406232037495890

48-85886636-500d188e83d23806e50585f43c55

c688da99771a9e142c987912bcba565dc6125-DA

-7067-20140623200524055739

RAM SALE NUMBER: 85886636
RAM ACCOUNTING DATE: 20140624

INTERNET TRANSMISSION DATE:
2014/06/23

SERIAL NUMBER:
85/886636

Description	Fee Code	Transaction Date	Fee	Number Of Classes	Total Fees Paid
New App	7001	2014/06/23	325	1	325